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Benefit has been using its registered Roller Lash and Hook 'N' Roll trademarks in connection with mascara and a mascara brush respectively. Benefit describes the Roller Lash Trade Dress as consisting of a mascara tube with (1) a pink top, (2) a black base, and (3) pink lettering on the black portion of the product which matches the color of the pink top. Dkt. 1 ("Compl.") ¶ 7. The Complaint also features a product image of the Roller Lash Trade Dress. Id. ¶ 31.



15 Benefit maintains that in January 2023 it became aware that e.l.f. was selling mascara 16 under e.l.f.'s Lash 'N Roll mark using Benefit's Roller Lash Trade Dress. It thereafter notified e.l.f. of its registered trademark and common law trade dress rights and requested e.l.f. cease and 18 desist from its acts of infringement. Because e.l.f. continued selling its Lash 'N Roll mascara, 19 Benefit filed suit. The Complaint raises nine claims for relief, including two trade dress claims: 20one for federal trade dress infringement in violation of 15 U.S.C. § 1125(a), and one for California common law trade dress infringement. Defendant now moves to dismiss these two trade dress 22 claims under Federal Rule of Civil Procedure 12(b)(6).

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III. LEGAL STANDARD

A. Motions to Dismiss

25 Federal Rule of Civil Procedure 12(b)(6) governs motions to dismiss for failure to state a 26 claim. A complaint must include "a short and plain statement of the claim showing that the pleader 27 is entitled to relief." Fed. R. Civ. P. 8(a)(2). While "detailed factual allegations" are not required, a ORDER DENYING PARTIAL MOTION TO DISMISS 28 CASE NO. 23-cv-00861-RS United States District Court Northern District of California 8

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complaint must have sufficient factual allegations to "state a claim to relief that is plausible on its
face." *Ashcroft v. Iqbal*, 556 U.S. 662, 678 (2009) (quoting *Bell Atlantic v. Twombly*, 550 U.S.
544, 570 (2007)). When evaluating such a motion, courts generally "accept all factual allegations
in the complaint as true and construe the pleadings in the light most favorable to the nonmoving
party." *Knievel v. ESPN*, 393 F.3d 1068, 1072 (9th Cir. 2005). However, "[t]hreadbare recitals of
the elements of a cause of action, supported by mere conclusory statements, do not suffice." *Iqbal*,
556 U.S. at 678.

B. Trade Dress Claims

Section 43(a) of the federal Lanham Act provides a cause of action for the unauthorized use by any person of "any word, term, name, symbol or device, or any combination thereof" which is "likely to cause confusion . . . as to the origin, sponsorship, or approval of his or her goods." 15 U.S.C. § 1125(a). State trademark claims are "substantially congruent" with federal claims under the Lanham Act and are analyzed under the same framework. *Grupo Gigante SA De CV v. Dallo* & *Co., Inc.*, 391 F.3d 1099, 1100 (9th Cir. 2004). To prevail on such claims, a plaintiff must prove (1) the claimed dress is nonfunctional; (2) the claimed dress serves a source-identifying role because it is inherently distinctive or has acquired secondary meaning; and (3) that the defendant's product or service creates a likelihood of consumer confusion. *Clicks Billiards, Inc. v. Sixshooters, Inc.*, 251 F.3d 1252, 1258 (9th Cir. 2001). Due to the intensely factual nature of unregistered trade dress claims, a plaintiff must also clearly articulate its claimed trade dress to give a defendant sufficient notice. *Sleep Sci. Partners v. Lieberman*, No. 09-4200 CW, 2010 WL 1881770, at *3 (N.D. Cal. May 10, 2010).

IV. DISCUSSION

Defendant's motion advances three arguments for dismissal of the trade dress claims. Defendant asserts that (1) Benefit failed to plead adequately that its alleged trade dress is nonfunctional; (2) Benefit failed adequately to aver secondary meaning; and (3) Benefit failed to provide a complete and accurate recitation of the alleged trade dress. All three arguments are unavailing, and each is addressed in turn.

A. Functionality

Defendant first moves to dismiss by challenging the adequacy of Plaintiff's pleading as to nonfunctionality. As a threshold matter, only nonfunctional designs may be protected under trade dress. *Clicks Billiards*, 251 F.3d at 1258. Defendant contends Plaintiff's allegations that its trade dress is nonfunctional are conclusory, and specifically avers that Plaintiff failed to plead sufficiently the existence of alternative designs. This contention is unfounded.

Functionality is split into two types, each subject to a different legal test. *Blumenthal Distrib., Inc. v. Herman Miller, Inc.*, 963 F.3d 859, 865 (9th Cir. 2020). Utilitarian functionality relates to how well the product works, and it is evaluated under the four *Disc Golf* factors: "(1) whether the design yields a utilitarian advantage, (2) whether alternative designs are available, (3) whether advertising touts the utilitarian advantages of the design, and (4) whether the particular design results from a comparatively simple or inexpensive method of manufacture." *Disc Golf Ass 'n, Inc. v. Champion Discs, Inc.*, 158 F.3d 1002, 1006 (9th Cir. 1998). Alternatively, aesthetic functionality considers whether recognizing rights in a trade dress would impose significant non-reputation-related competitive disadvantages on other sellers. *Blumenthal*, 963 F.3d at 865. Under either test, functionality is a question of fact that is determined upon consideration of the trade dress as a whole. *Fuddruckers, Inc. v. Doc's B.R. Others, Inc.*, 826 F.2d 837, 843 (9th Cir. 1987). The Ninth Circuit considers both standards in evaluating trade dress claims. *Millennium Lab'ys, Inc. v. Ameritox, Ltd.*, 817 F.3d 1123, 1128–29 (9th Cir. 2016).

Plaintiff has pleaded sufficiently how its Roller Lash Trade Dress is nonfunctional by alleging facts related to the existence of alternative designs. The existence of feasible and costequivalent alternative designs suggests a trade dress is nonfunctional under either functionality inquiry. *See Disc Golf*, 158 F.3d at 1006; *Blumenthal*, 963 F.3d at 865. In the Complaint, Benefit alleges e.l.f. uses "different and distinguishable" trade dress on its other mascara products and includes product images of Benefit's mascara products which do not use the claimed trade dress. Compl. ¶¶ 57, 35. These allegations are sufficient to infer that Plaintiff and Defendant have both packaged mascara products using alternative trade dresses and suggests that mascara may be

United States District Court Northern District of California packaged in "many ways" that are "not necessarily functional." *Millennium Lab'ys*, 817 F.3d at 1130–31. Although Defendant argues these allegations are insufficient because they do not explicitly articulate that there are "wide and varied ways to design mascara tubes," it is reasonable to infer that such variation exists from the facts alleged. Dkt. 24 ("Reply"), at 4. Therefore, Plaintiff has pleaded sufficiently the existence of alternative designs to support its allegation that the trade dress is nonfunctional.

The remaining *Disc Golf* and aesthetic functionality considerations also support the conclusion that Plaintiff has pleaded nonfuctionality as to the Roller Lash Trade Dress. It is not alleged that the pink and black color combination or corresponding lettering on Benefit's trade dress yields any utilitarian advantage, that its advertising touts such advantage, or that the design results from a particularly simple or inexpensive method of production. *See Disc Golf*, 158 F.3d at 1006. Similarly, there is no reason to infer that recognizing a right in the Roller Lash Trade Dress would hinder competition and force competitors to turn to alternative trade dresses that are more costly, undesirable, or that consumers would be less willing to purchase. *See Blumenthal*, 963 F.3d at 865. Drawing all reasonable inferences in its favor, Plaintiff has alleged sufficiently its trade dress is nonfunctional, and thus Defendant's first ground does not warrant dismissal.

B. Secondary Meaning

Defendant similarly challenges the adequacy of Plaintiff's pleading as to secondary meaning. Secondary meaning is acquired when the purchasing public associates a product's trade dress with a single producer rather than just the product itself. First Brands Corp. v. Fred Meyer, Inc., 809 F.2d 1378, 1383 (9th Cir. 1987). Defendant argues Plaintiff has failed "to allege any facts to show that consumers associate its alleged trade dress in the Roller Lash mascara with Benefit as the source." Dkt. 18 ("Mot."), at 11. To the extent the Complaint includes allegations regarding the duration of use, investment, and marketing efforts undertaken by Plaintiff, Defendant asserts they are insufficient to support an inference that Plaintiff's trade dress has obtained secondary meaning. By the same token, Defendant argues that Plaintiff has failed to show a "nexus" between its investments and sales and the alleged trade dress. Reply at 5.

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Defendant's contentions are unpersuasive, primarily because the Ninth Circuit has consistently held that allegations relating to the duration of use, sales, and promotional activities, such as those Plaintiff alleges, may be sufficient to support an inference of secondary meaning. See, e.g., Clamp Mfg. Co. v. Enco Mfg. Co., 870 F.2d 512, 517 (9th Cir. 1989); First Brands Corp., 809 F.2d at 1383; see also Filipino Yellow Pages Inc. v. Asian J. Publ'ns, Inc., 198 F.3d 1143, 1151 (9th Cir. 1999) (factors 3 to 6). The Complaint contains detailed factual allegations relating to seven years of consistent use, Benefit's widespread investment in and advertising of the Roller Lash Mascara featuring the claimed dress, awards and brand loyalty occasioned, and hundreds of millions of dollars in sales. Compl. ¶¶ 32, 35, 40, 42. The "nexus" between Benefit's investments and the alleged trade dress, or the effectiveness of the investment and advertising in linking its trade dress with Benefit as the product source, may reasonably be inferred from these allegations. Additionally, Plaintiff's express allegation that the Roller Lash Trade Dress has acquired secondary meaning and that "consumers recognize the Roller Lash Trade Dress as linked to Benefit's premium quality mascara product" is sufficient at the pleading stage to support an inference that the public has come to associate the Roller Lash Trade Dress with Benefit as the product source. Compl. ¶¶ 38, 41. Therefore, Defendant's contention that Plaintiff has failed to allege that the Roller Lash Trade Dress has acquired secondary meaning is unfounded and similarly does not warrant dismissal.

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C. Complete and Accurate Recitation

Finally, Defendant raises two arguments for why Plaintiff has not provided a sufficiently 20detailed and accurate description of the alleged trade dress: (1) the written description of the color 21 22 of the mascara top as "pink" is inconsistent with the product image which depicts another color 23 that "is not pink," and (2) the written description of the trade dress is "different from, and 24 irreconcilable with" the product image, which includes "dominant and distinctive" features the 25 description lacks. Mot. at 9–10. Defendant asserts this second point "leaves the irreconcilable questions" of whether these "dominant and distinctive" features are part of the alleged trade dress. 26 27 *Id.* at 10. It thus contends it has not been put on adequate notice of the infringement.

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1 Defendant's first argument, while somewhat interesting, does not compel dismissal. In 2 essence, Defendant claims that Plaintiff has inaccurately described one of the colors in the Roller 3 Lash Trade Dress as "pink" because the product fails to blend into the presumably "pink" background of an advertising image included in the Complaint. See Compl. ¶ 35 tbl. However, 4 accepting this argument would require accepting Defendant's narrow view that one is "pink" and 5 the other is not. This is both a conclusion of fact that is inappropriate to resolve now and a facially 6 7 unsound contention given the more commonsense view that both can be considered shades of 8 pink. Cf. In re Cook Med. Techs. LLC, 105 U.S.P.Q. 2d 1377, 1384, 2012 TTAB LEXIS 496, at 9 *26 (T.T.A.B. 2012) ("[I]n the present case, the mark is described only as the color 'blue' (applied 10 to a certain part of the goods), and therefore we have considered the mark to be for any shade that 11 would fall under the general term 'blue.'"). Plaintiff is under no requirement to describe the colors in the trade dress using anything other than ordinary language, nor even to specify any particular 12 13 shade or hue. The main question for the purpose of evaluating the Complaint is simply whether the 14 description, "examined in light of the photographs [Plaintiff] has submitted, is so imprecise that it 15 fails to articulate a legally cognizable trade dress." The Black & Decker Corp. v. Positec USA Inc., No. 11-cv-5426, 2015 WL 4183775, at *2 (N.D. Ill. July 10, 2015). The answer is plainly no, and 16 the more salient question — whether the reasonable consumer would "be likely to be confused by 17 18 the similar color as to source, sponsorship, affiliation, or approval" — is to be determined at a later 19 stage. 1 J. Thomas McCarthy, McCarthy on Trademarks and Unfair Competition § 7:45.70 (5th 20ed.), Westlaw (database updated June 2023) (discussing "shade confusion").

Defendant's second argument, although more persuasive, is also unavailing. While Benefit defines its trade dress in reference to both the pictures and the written description, it may be reasonably inferred that the trade dress claim is limited to the features of the design articulated in the written description, with the product image serving as a visual aid in "depict[ing] how the elements come together to form the claimed trade dress." *Deckers Outdoor Corp. v. Fortune Dynamic, Inc.*, No. CV 15-769 PSG (SSX), 2015 WL 12731929, at *4 (C.D. Cal. May 8, 2015). The written description clearly defines the boundaries of the trade dress and states with

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particularity which of the features visible in the product image constitute Benefit's alleged dress. 2 As the claimed features are consistent in both the written description and the product image, 3 Defendant has received sufficient notice of the components of the alleged trade dress. Further, although Defendant correctly notes that the written description omits certain features visible in the 4 product image — namely, "a repeating three-dimensional recessed diamond pattern and an 5 intermediate and protruding band of ridges" - Defendant concedes that a trade dress claim need 6 7 not include all features visible on a product and cites no authority imposing such a requirement. 8 See Reply at 1–2. In fact, in Deckers Outdoor Corp. v. Fortune Dynamic, Inc., a court in the Ninth 9 Circuit found the plaintiff had described the relevant trade dress with sufficient particularity even though the written description omitted several notable features visible in the product image. 2015 10 WL 12731929 at *4. Defendant's characterization of the omitted features as "dominant and 12 distinctive," Mot. at 10, does not change this analysis.

The trade dress description included in the Complaint is adequately detailed to put Defendant on notice of the alleged infringement, and Defendant's general assertion that it has not received adequate notice is implausible. To the extent Defendant is concerned that the omitted features will be claimed as part of Plaintiff's trade dress as the case progresses, Plaintiff is not entitled unilaterally to change its claimed trade dress beyond what it has articulated in the Complaint. Thus, Plaintiff has stated with sufficient specificity the components of its alleged trade dress, and Defendant's third ground does not warrant dismissal.

V. CONCLUSION

For the reasons discussed above, Defendant's partial motion to dismiss is denied.

23 IT IS SO ORDERED.

25 Dated: July 7, 2023

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RICHARD SEEBORG Chief United States District Judge ORDER DENYING PARTIAL MOTION TO DISMISS CASE NO. 23-cv-00861-RS