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IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

BARTH CAPELA,)	No. C 07-4734 SBA (PR)
)	
Petitioner,)	<u>ORDER DENYING PETITION FOR</u>
)	<u>WRIT OF HABEAS CORPUS</u>
v.)	
)	
ROBERT HOREL, Warden,)	
)	
Respondent.)	
_____)	

INTRODUCTION

This matter is now before the Court for consideration of Petitioner's pro se petition for a writ of habeas corpus under 28 U.S.C. § 2254 challenging a conviction he received in Santa Clara County Superior Court. Respondent Robert Horel, Warden of the California State Prison – Solano, opposes the petition. Petitioner has filed a traverse. For the reasons discussed below, the petition is DENIED as to all claims.

BACKGROUND

I. Case History

On November 19, 2003, Petitioner was charged with the following four counts, all arising out of an alleged attack upon Rafael Gonzales on August 19, 2003: attempted robbery in the second degree (Cal. Pen. Code §§ 664, 211, 212.5(c)) (Count 1); assault with a deadly weapon or by means of force likely to produce great bodily injury, i.e., aggravated assault (Cal. Pen. Code § 245(a)(1)) (Count 2), by use of a wrist rocket and slingshot; aggravated assault (Cal. Pen. Code § 245(a)(1)) (Count 3), by use of a baseball bat; and aggravated assault (Cal. Pen. Code § 245(a)(1)) (Count 4), by use of an air gun. The information also alleged that Petitioner had three prior strike convictions (Cal. Pen. Code §§ 667/1170.12), three prior serious felony convictions (Cal. Pen. Code § 667(a)(1)), and two prior convictions that resulted in imposition of prison terms (Cal. Pen. Code § 667.5(b)).

1
2 A jury found Petitioner guilty on April 7, 2004, of the charge of attempted robbery in the
3 second degree (Count 1). The jury found Petitioner not guilty on the second and fourth counts of
4 aggravated assault, but found him guilty of the lesser-included offense of misdemeanor assault (Cal.
5 Pen. Code § 240) as to each of those counts. The jury acquitted Petitioner of the third count of
6 aggravated assault by use of a baseball bat. The trial court found true each of the enhancement
7 allegations regarding his prior convictions and prison terms. Petitioner's motion for new trial was
8 denied on October 8, 2004, but his motion to dismiss the three prior strikes was granted. The trial
9 court sentenced Petitioner to a term of nineteen years in state prison, consisting of two years for the
10 attempted robbery conviction, fifteen years for the three enhancements for prior serious felony
11 convictions (Cal. Pen. Code § 667(a)), and two years for each of the enhancements related to the
12 prior prison terms (Cal. Pen. Code § 667.5(b)).¹

13 The California Court of Appeal affirmed the judgment in an unpublished opinion and denied
14 Petitioner's habeas petition in a summary order, both dated April 4, 2006, and the California
15 Supreme Court summarily denied a petition for review on July 17, 2006. (Resp't Exs. 10, 12.)
16 Petitioner filed a petition for a writ of habeas corpus in the California Supreme Court, which was
17 summarily denied on August 15, 2007. (Resp't Ex. 14.)

18
19 Petitioner filed the instant petition on September 14, 2007 setting forth six claims: (1) that
20 the trial court violated his right to due process by admitting evidence that Petitioner had a knife; (2)
21 that the trial court violated his right to due process by excluding evidence that Petitioner did not
22 have a motive to steal; (3) the trial court violated his right to due process by failing to issue a jury
23 instruction sua sponte concerning the use of circumstantial evidence to prove specific intent,
24 pursuant to CALJIC No. 2.02; (4) that the cumulative prejudice of the foregoing errors violated his
25 right to due process; (5) that the prosecutor committed misconduct by placing a document on the
26 seats of the jurors during a recess; and (6) that he was deprived of his Sixth Amendment right to
27 counsel because he was proceeding pro se and did not receive sufficient assistance to pursue a state-

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¹Two 30-day sentences for the two misdemeanor assault convictions were deemed served.

1 law claim that the charge of attempted robbery should not have been filed after the preliminary
2 examination. On May 12, 2008, Respondent was ordered to show cause. Respondent filed an
3 answer and memorandum of points and authorities on September 8, 2008, and lodged a number of
4 exhibits. Petitioner filed a traverse on November 25, 2008.

5 **II. Statement of Facts**

6 The following facts are taken from the opinion of the California Court of Appeal:

7 I. *Prosecution Witnesses*

8 A. *Rafael Gonzales*

9 Rafael Gonzales was on his way to work at about 7:15 a.m. on August 19,
10 2003. He was walking on Murray Avenue in Gilroy and was talking on his cell phone
11 when he heard someone call out the name “Harvey” to him. Gonzales responded that
12 his name wasn't “Harvey” and kept walking. The man who called out to Gonzales
then got out of his car with a slingshot. Gonzales turned away so that he wouldn't get
hit in the face and felt something strike his thigh.

13 After Gonzales was struck in the leg, the man approached him and “yell[ed]
14 at” him, saying that Gonzales owed him some money. Gonzales again told him that
15 he was not “Harvey” and tried to show him some identification. The man did not
16 want to look at the identification and instead punched Gonzales in the mouth.
17 Gonzales fell to the ground and covered up his head. As he was on the ground, he was
punched or kicked in the head. He became somewhat groggy from the assault.
18 Gonzales received a bloody split lip from the punch and a bump on the head from
19 being struck while he was on the ground.

20 As Gonzales got to his feet, he saw the man return to his car and retrieve a
21 stick or a bat. As Gonzales attempted to walk away, the man said “[s]omething to
22 [the] effect” of “I'm going to get my money from you one way or the other.”
23 Gonzales kept walking away from the man. As he did so, Gonzales saw a woman
24 who had come out of her house; he told her to go back inside because he was afraid
25 for her. The man made a U-turn and drove away.

26 Shortly thereafter, Officer Morrow arrived and took Gonzales to a Chevron
27 station. The officer asked Gonzales to identify the person who assaulted him;
28 Gonzales identified defendant and also said that the vehicle appeared to be the one
that defendant had been driving at the time of the assault.

29 B. *Michael Deleonardo*

30 Michael Deleonardo was driving his truck northbound on Murray in Gilroy on
31 August 19, 2003, at around 7:30 a.m. He observed a conflict between a Caucasian
32 man (whom he identified at trial as defendant) and a Hispanic man. Deleonardo saw
33 defendant pull back on a slingshot and strike the Hispanic man on the leg with a shiny
34

1 object. He backed his truck up and told them to “knock it off.”² Deleonardo then
2 observed defendant pointing a gun at the Hispanic male about two or three feet away
3 from him; defendant was “mouthing words to” the Hispanic male. At the time, the
4 Hispanic male was on the ground covering his head. Deleonardo used his cell phone
5 to call 911.³

6 Defendant returned to his car, made a U-turn, and left the scene. Deleonardo
7 followed defendant, who turned into a parking lot for a Chevy's restaurant.
8 Deleonardo passed the entrance to the parking lot, turned around, and waited for
9 defendant at another driveway. As defendant passed Deleonardo, he said, “[M]ind
10 your own fuckin' business.” After the police arrived moments later, Deleonardo drove
11 back to the scene to locate the victim. He found the victim and observed that “he was
12 bleeding from the mouth pretty bad.”

13
14
15 C. *Officer Hugo Del Moral*

16 Officer Hugo Del Moral arrived at a Chevron station that morning in response
17 to a call. Other officers were already there and “were conducting a high risk car stop”
18 (i.e., “taking the person out of the vehicle at gun point”). Defendant was ordered out
19 of the car; he complied and was arrested. Officer Del Moral searched defendant's
20 automobile—a blue Toyota. Its contents included a wrist rocket slingshot, a bag of
21 marbles, binoculars, and a folding knife, all located on the front passenger seat. He
22 also found a baseball bat in the vehicle as well as a Crossman air gun that was hidden
23 between the folded-down backrest and rear passenger seat. The air gun did not have a
24 trigger.

25
26 D. *Officer Steve Morrow*

27 Shortly after 7:00 a.m. on August 19, 2003, Officer Steve Morrow was
28 dispatched to “a fight in progress ... possibly involving weapons.” Upon his arrival at
a gas station, Officer Morrow observed other officers already on the scene conducting
a stop of defendant in his vehicle. Once matters were secure, Officer Morrow drove a
few blocks and met with Gonzales and Deleonardo. Gonzales was bleeding from the
mouth, and the officer felt bumps on Gonzales's head. Gonzales told Officer Morrow
that defendant had said during the assault: “Get in the car. I'm going to get my money
out of you one way or another.” The officer took Gonzales back to the gas station,
where he identified defendant as his assailant.

II. *Defense Witness*

A. *Wiley Capela*

Wiley Capela is defendant's brother. He and defendant had shot slingshots for
“[a]s long as [the witness could] remember.” Defendant was a very good marksman
with a slingshot; the witness estimated that if one were to line up 10 cans and have
defendant shoot at them from thirty yards, he would miss no more than one of them.
The witness would have had no problem loaning defendant \$200 to \$300 if he needed

²Although the time sequence is unclear from the record, at some point, Deleonardo saw defendant punch the Hispanic male.

³The tape from Deleonardo's somewhat extended 911 call was played to the jury without objection.

1 the money.

2 B. *Stipulation*

3 The prosecution and defense stipulated that at the time of defendant's arrest
4 and booking, he had \$168.00 in cash in his possession.

5 People v. Capela, No. H028273, slip op. at 2-5 (Cal. Ct. App. April 4, 2006) (Resp't Ex. 7)
6 (footnotes in original).

7 **DISCUSSION**

8 **I. Legal Standard**

9 **A. Standard of Review for State Court Decisions**

10 Under the Antiterrorism and Effective Death Penalty Act (AEDPA), a district court may
11 grant a petition challenging a state conviction or sentence on the basis of a claim that was
12 "adjudicated on the merits" in state court only if the state court's adjudication of the claim:
13 "(1) resulted in a decision that was contrary to, or involved an unreasonable application of, clearly
14 established Federal law, as determined by the Supreme Court of the United States; or (2) resulted in
15 a decision that was based on an unreasonable determination of the facts in light of the evidence
16 presented in the State court proceeding." 28 U.S.C. § 2254(d). A state court has "adjudicated" a
17 petitioner's constitutional claim "on the merits" for purposes of § 2254(d) when it has decided the
18 petitioner's right to post-conviction relief on the basis of the substance of the constitutional claim
19 advanced, rather than denying the claim on the basis of a procedural or other rule precluding state
20 court review on the merits. Lambert v. Blodgett, 393 F.3d 943, 969 (9th Cir. 2004). It is error for a
21 federal court to review de novo a claim that was adjudicated on the merits in state court. See Price
22 v. Vincent, 538 U.S. 634, 638-43 (2003).

23 **1. Section 2254(d)(1)**

24 Challenges to purely legal questions resolved by a state court are reviewed under
25 § 2254(d)(1), under which a state prisoner may obtain habeas relief with respect to a claim
26 adjudicated on the merits in state court only if the state court adjudication resulted in a decision that
27 was "contrary to" or "involved an unreasonable application of clearly established Federal law, as
28 determined by the Supreme Court of the United States." Williams (Terry) v. Taylor, 529 U.S. 362,

1 402-04, 409 (2000). While the "contrary to" and "unreasonable application" clauses have
2 independent meaning, see id. at 404-05, they often overlap, which may necessitate examining a
3 petitioner's allegations against both standards, see Van Tran v. Lindsey, 212 F.3d 1143, 1149-50 (9th
4 Cir. 2000), overruled on other grounds, Lockyer v. Andrade, 538 U.S. 63, 70-73 (2003).

5 **a. Clearly Established Federal Law**

6 "Clearly established federal law, as determined by the Supreme Court of the United States"
7 refers to "the holdings, as opposed to the dicta, of [the Supreme] Court's decisions as of the time of
8 the relevant state-court decision." Williams, 529 U.S. at 412. "Section 2254(d)(1) restricts the
9 source of clearly established law to [the Supreme] Court's jurisprudence." Id. "A federal court may
10 not overrule a state court for simply holding a view different from its own, when the precedent from
11 [the Supreme] Court is, at best, ambiguous." Mitchell v. Esparza, 540 U.S. 12, 17 (2003). If there is
12 no Supreme Court precedent that controls on the legal issue raised by a petitioner in state court, the
13 state court's decision cannot be contrary to, or an unreasonable application of, clearly-established
14 federal law. See, e.g., Stevenson v. Lewis, 384 F.3d 1069, 1071 (9th Cir. 2004).

15 The fact that Supreme Court law sets forth a fact-intensive inquiry to determine whether
16 constitutional rights were violated "obviates neither the clarity of the rule nor the extent to which the
17 rule must be seen as 'established'" by the Supreme Court. Williams, 529 U.S. at 391. There are,
18 however, areas in which the Supreme Court has not established a clear or consistent path for courts
19 to follow in determining whether a particular event violates a constitutional right; in such an area, it
20 may be that only the general principle can be regarded as "clearly established." Andrade, 538 U.S.
21 at 64-65. When only the general principle is clearly established, it is the only law amenable to the
22 "contrary to" or "unreasonable application of" framework. See id. at 73.

23 Circuit decisions may still be relevant as persuasive authority to determine whether a
24 particular state court holding is an "unreasonable application" of Supreme Court precedent or to
25 assess what law is "clearly established." Clark v. Murphy, 331 F.3d 1062, 1070-71 (9th Cir.), cert.
26 denied, 540 U.S. 968 (2003); Duhaime v. Ducharme, 200 F.3d 597, 600 (9th Cir. 1999).

27 **b. "Contrary to"**

28 "Under the 'contrary to' clause, a federal habeas court may grant the writ if the state court

1 arrives at a conclusion opposite to that reached by [the Supreme] Court on a question of law or if the
2 state court decides a case differently than [the Supreme] Court has on a set of materially
3 indistinguishable facts." Williams, 529 U.S. at 413. A "run-of-the-mill state-court decision" that
4 correctly identifies the controlling Supreme Court framework and applies it to the facts of a
5 prisoner's case "would not fit comfortably within § 2254(d)(1)'s 'contrary to' clause." Williams, 529
6 U.S. at 406. Such a case should be analyzed under the "unreasonable application" prong of
7 § 2254(d). See Weighall v. Middle, 215 F.3d 1058, 1062 (9th Cir. 2000).

8 **c. "Unreasonable Application"**

9 "Under the 'unreasonable application' clause, a federal habeas court may grant the writ if the
10 state court identifies the correct governing legal principle from [the Supreme] Court's decisions but
11 unreasonably applies that principle to the facts of the prisoner's case." Williams, 529 U.S. at 412-13.
12 "[A] federal habeas court may not issue the writ simply because that court concludes in its
13 independent judgment that the relevant state-court decision applied clearly established federal law
14 erroneously or incorrectly. Rather, that application must also be unreasonable." Id. at 411; accord
15 Middleton v. McNeil, 541 U.S. 433, 436 (2004) (per curiam) (challenge to state court's application
16 of governing federal law must be not only erroneous, but objectively unreasonable); Woodford v.
17 Visciotti, 537 U.S. 19, 25 (2002) (per curiam) ("unreasonable" application of law is not equivalent to
18 "incorrect" application of law).

19
20 Evaluating whether a rule application was unreasonable requires considering the relevant
21 rule's specificity; if a legal rule is specific, the range of reasonable judgment may be narrow; if it is
22 more general, the state courts have more leeway. Yarborough v. Alvarado, 541 U.S. 652, 664
23 (2004). Whether the state court's decision was unreasonable must be assessed in light of the record
24 that court had before it. Holland v. Jackson, 542 U.S. 649, 651 (2004) (per curiam).

25 The objectively unreasonable standard is not a clear error standard. Andrade, 538 U.S. at 75-
26 76 (rejecting Van Tran's use of "clear error" standard); Clark, 331 F.3d at 1067-69 (acknowledging
27 the overruling of Van Tran on this point). After Andrade,

28 [t]he writ may not issue simply because, in our determination, a state court's
application of federal law was erroneous, clearly or otherwise. While the
"objectively unreasonable" standard is not self-explanatory, at a minimum it denotes
a greater degree of deference to the state courts than [the Ninth Circuit] ha[s]

1 previously afforded them.

2 Id. In examining whether the state court decision was unreasonable, the inquiry may require
3 analysis of the state court's method as well as its result. Nunes v. Mueller, 350 F.3d 1045, 1054 (9th
4 Cir. 2003).

5 **2. Sections 2254(d)(2), 2254(e)(1)**

6 A federal habeas court may grant a writ if it concludes a state court's adjudication of a claim
7 "resulted in a decision that was based on an unreasonable determination of the facts in light of the
8 evidence presented in the State court proceeding." 28 U.S.C. § 2254(d)(2). An unreasonable
9 determination of the facts occurs where a state court fails to consider and weigh highly probative,
10 relevant evidence, central to a petitioner's claim, that was properly presented and made part of the
11 state court record. Taylor v. Maddox, 366 F.3d 992, 1005 (9th Cir. 2004). A district court must
12 presume correct any determination of a factual issue made by a state court unless a petitioner rebuts
13 the presumption of correctness by clear and convincing evidence. 28 U.S.C. § 2254(e)(1).

14 Section 2254(d)(2) applies to an intrinsic review of a state court's fact-finding process, or
15 situations in which the petitioner challenges a state court's fact-findings based entirely on the state
16 court record, whereas § 2254(e)(1) applies to challenges based on extrinsic evidence, or evidence
17 presented for the first time in federal court. See Taylor v. Maddox, 366 F.3d 992, 999-1000 (9th Cir.
18 2004). In Taylor, the Ninth Circuit established a two-part analysis under §§ 2254(d)(2) and
19 2254(e)(1). Id. First, federal courts must undertake an "intrinsic review" of a state court's fact-
20 finding process under the "unreasonable determination" clause of § 2254(d)(2). Id. at 1000. The
21 intrinsic review requires federal courts to examine the state court's fact-finding process, not its
22 findings. Id. Once a state court's fact-finding process survives this intrinsic review, the second part
23 of the analysis begins by dressing the state court finding in a presumption of correctness under
24 § 2254(e)(1). Id. According to the AEDPA, this presumption means that the state court's fact-
25 finding may be overturned based on new evidence presented by a petitioner for the first time in
26 federal court only if such new evidence amounts to clear and convincing proof a state court finding
27 is in error. See 28 U.S.C. § 2254(e)(1). "Significantly, the presumption of correctness and the
28 clear-and-convincing standard of proof only come into play once the state court's fact-findings

1 survive any intrinsic challenge; they do not apply to a challenge that is governed by the deference
2 implicit in the 'unreasonable determination' standard of section 2254(d)(2)." Taylor, 366 F.2d at
3 1000.

4 When there is no reasoned opinion from the highest state court to consider the Petitioner's
5 claims, the Court looks to the last reasoned opinion. See Ylst v. Nunnemaker, 501 U.S. 797, 801-06
6 (1991). Here, the California Court of Appeal was the highest state court to issue an explained
7 opinion on Petitioner's first four claims. There is no explained state court opinion on Petitioner's
8 remaining two claims, which were raised in his state habeas petitions that were summarily denied

9 **II. Exhaustion**

10 Prisoners in state custody who wish to challenge collaterally in federal habeas proceedings
11 either the fact or length of their confinement are required first to exhaust state judicial remedies,
12 either on direct appeal or through state collateral proceedings, by presenting the highest state court
13 available with a fair opportunity to rule on the merits of each and every claim they seek to raise in
14 federal court. See 28 U.S.C. § 2254(b),(c); Granberry v. Greer, 481 U.S. 129, 133-34 (1987). The
15 parties do not dispute that Petitioner has exhausted his claims.

16 **III. Legal Claims**

17 Petitioner claims: (1) that the trial court violated his right to due process by admitting
18 evidence that Petitioner had a knife; (2) that the trial court violated his right to due process by
19 excluding evidence that Petitioner did not have a motive to steal; (3) the trial court violated his right
20 to due process by failing to issue a jury instruction sua sponte concerning the use of circumstantial
21 evidence to prove specific intent, pursuant to CALJIC No. 2.02; (4) that the cumulative prejudice of
22 the foregoing errors violated his right to due process; (5) that the prosecutor committed misconduct
23 by placing a document on the seats of the jurors during a recess; and (6) that he was deprived of his
24 Sixth Amendment right to counsel because he was proceeding pro se and did not receive sufficient
25 assistance to pursue a state-law claim that the charge of attempted robbery should not have been
26 filed after the preliminary examination.
27

28 **1. Admission of Knife Evidence**

Petitioner claims that the trial court violated his right to due process by admitting evidence

1 that the police found a folding knife in his car. He argued at trial and on appeal in the state courts, as
2 he does here, that the evidence was not relevant because it was not used in the assault and that it was
3 prejudicial because it is a deadly weapon and the other weapons in Petitioner’s possession were not.
4 (Resp’t Ex. 10 at 7-8.)

5 The United States Supreme Court “has not yet made a clear ruling that admission of
6 irrelevant or overtly prejudicial evidence constitutes a due process violation sufficient to warrant
7 issuance of the writ.” Holley v. Yarborough, 568 F.3d 1091, 1101 (9th Cir. 2009). Absent such a
8 ruling from the United States Supreme Court, a federal habeas court cannot find the state court’s
9 ruling was an “unreasonable application” of “clearly established federal law” under 28 U.S.C.
10 § 2254(d)(1). Id. (citing Carey v. Musladin, 549 U.S. 70, 77 (2006)). Under Holley, therefore, this
11 Court cannot grant habeas relief under § 2254(d)(1) on Petitioner’s claim that the admission of
12 irrelevant and overly prejudicial evidence violated his right to due process. See id. at 1101 n.2
13 (finding that although trial court’s admission of irrelevant and prejudicial evidence violated due
14 process under Ninth Circuit precedent, such admission was not contrary to, or an unreasonable
15 application of, “clearly established Federal law” under § 2254(d)(1) and therefore not grounds for
16 granting federal habeas relief).

17 Moreover, even if federal habeas relief were available on this claim, the admission of the
18 knife evidence in this case did not violate due process. The due process inquiry is whether the
19 admission of evidence was arbitrary or so prejudicial that it rendered the trial fundamentally unfair.
20 See Walters v. Maass, 45 F.3d 1355, 1357 (9th Cir. 1995). Only if there are no permissible
21 inferences that the jury may draw from the evidence can its admission violate due process. Jammal
22 v. Van de Kamp, 926 F.2d 918, 919-20 (9th Cir. 1991). Petitioner’s central defense was that he did
23 not intend to rob the victim. (Resp’t Ex. 10 at 10.) The knife evidence was relevant because it
24 showed that Petitioner had an intent to rob the victim, in addition to assaulting him, by means of
25 “force or fear,” as is required under California Penal Code § 211. The knife was in Petitioner’s car,
26 close to Petitioner during the assault, and it was with the other weapons that Petitioner brought to the
27 scene of the crime and used in assaulting Petitioner. (Resp’t Ex. 10 at 9-10.) The jury could
28 reasonably infer that Petitioner brought the knife also in order to have it “at his disposal” if needed

1 to force Petitioner to give him money. (Id. at 10.) As the jury could draw a permissible inference
2 from the evidence, the state court could reasonably conclude that its admission did not violate
3 Petitioner’s right to due process.

4 Consequently, Petitioner is not entitled to habeas relief on this claim.

5 **2. Exclusion of Evidence Regarding Motive to Steal**

6 Petitioner claims that the trial court violated his right to due process by excluding the
7 testimony of a witness named Selina Roberts who would have testified that Petitioner owned
8 property at the time of the crime. Petitioner argues that his owning property was relevant to show
9 that he did not have the motive to attempt to rob the victim.

10 In December 2003, approximately four months prior to trial, Petitioner, who had opted to
11 proceed pro se, twice moved to produce Roberts from the prison where she was incarcerated at the
12 time. (Resp’t Ex. 10 at 12-13.) Petitioner argued that she could testify about his skill with a
13 slingshot and his ownership of personal property. (Id. at 13.) The trial court denied the motions,
14 finding that he had not established good cause for transporting Roberts from prison. (Id.) On March
15 29, 2004, the prosecutor indicated that Roberts was scheduled to be released from prison in the
16 coming week, and the next day – the day trial started – the trial court allowed Petitioner to telephone
17 Roberts. (Reporter’s Transcript (“RT”) (Resp’t Ex. 2) at 35-36.) Petitioner had Roberts’s mother’s
18 phone number, but he was not able to reach Roberts. (Id. at 37.) On April 2, 2004, the day the first
19 witness was called, the prosecutor indicated that Roberts had been released the day before, and
20 Petitioner stated that he would call her to testify only about seeing Petitioner use a slingshot. (Id. at
21 Resp’t Ex. E at 14.) On the prosecutor’s motion, the trial court ruled that if Roberts testified, her
22 two prior convictions would be admissible for impeachment. (Resp’t Ex. 10 at 14.) Petitioner
23 ultimately did not call Roberts as a witness at trial. (Id.)

24 The exclusion of defense evidence may violate a defendant’s constitutional rights to due
25 process and to present a defense, rights originating in the Sixth and Fourteenth Amendments.
26 Holmes v. South Carolina, 547 U.S. 319, 324 (2006) (quotations and citations omitted). The record
27 in this case establishes that the trial court never excluded Roberts’s testimony, however, or ruled that
28 it would not be admitted. When Petitioner announced his intention to call her as a witness at the

1 California Court of Appeal, CALJIC No. 2.01 is more general and more inclusive than CALJIC No.
2 2.02, which is focused only on circumstantial evidence necessary to show specific intent. (See
3 Resp't Ex. E at 19.)⁴

4 As an initial matter, Petitioner's claim does not adequately state a claim for federal habeas
5 relief. While the failure to issue an instruction may under certain circumstances violate a
6 defendant's right to due process, see, generally, Duckett v. Godinez, 67 F.3d 734, 745 (9th Cir.
7 1995), he makes only a single, conclusory allegation that his right to due process was violated (Pet.
8 at 9 & Attachment A). Conclusory allegations in a habeas petition fail to state a claim and do not
9 suffice to state a cognizable claim for federal habeas relief. See Hendricks v. Vasquez, 908 F.2d
10 490, 491-92 (9th Cir. 1990); Allard v. Nelson, 423 F.2d 1216, 1217 (9th Cir. 1970). The argument
11 and discussion Petitioner presents in support of this claim asserts only a violation of state law, and
12 neither mentions due process or any other federal law nor cites any federal authority. (Pet.
13

14 ⁴CALJIC No. 2.01 reads:

15 "However, a finding of guilt as to any crime may not be based on circumstantial
16 evidence unless the proved circumstances are not only (1) consistent with the theory
17 that the defendant is guilty of the crime, but (2) cannot be reconciled with any other
18 rational conclusion. ¶ Further, each fact which is essential to complete a set of
19 circumstances necessary to establish the defendant's guilt must be proved beyond a
20 reasonable doubt. In other words, before an inference essential to establish guilt may be
21 found to have been proved beyond a reasonable doubt, each fact or circumstance on
22 which the inference necessarily rests must be proved beyond a reasonable doubt. ¶
Also, if the circumstantial evidence as to any particular count permits two reasonable
interpretations, one of which points to the defendant's guilt and the other to his
innocence, you must adopt that interpretation that points to the defendant's innocence,
and reject the interpretation that points to his guilt. ¶ If, on the other hand, one
interpretation of this evidence appears to you to be reasonable and the other
interpretation to be unreasonable, you must accept the reasonable interpretation and
reject the unreasonable."

23 CALJIC No. 2.02 reads:

24 "The [specific intent] [or][and] [mental state] with which an act is done may be
25 shown by the circumstances surrounding the commission of the act. However, you may
26 not [find the defendant guilty of the crime charged] ... unless the proved circumstances
27 are not only (1) consistent with the theory that the defendant had the required [specific
28 intent] [or] [and] [mental state] but (2) cannot be reconciled with any other rational
conclusion. ¶ Also, if the evidence as to [any] [specific intent] [or] [mental state]
permits two reasonable interpretations, one of which points to the existence of the
[specific intent] [or] [mental state] and the other to its absence, you must adopt that
interpretation which points to its absence. If, on the other hand, one interpretation of the
evidence as to the [specific intent] [or] [mental state] appears to you to be reasonable
and the other interpretation to be unreasonable, you must accept the reasonable
interpretation and reject the unreasonable."

1 Attachment B at ii & 29-32.) A claim of instructional error based solely on state law does not state a
2 claim cognizable in federal habeas corpus proceedings. Estelle v. McGuire, 502 U.S. 62, 71-72
3 (1991). Consequently, Petitioner’s claim that CALJIC No. 2.02 does not state a sufficient basis for
4 federal habeas relief.

5 In any event, even if Petitioner’s claim were construed, or amended, to state a cognizable due
6 process claim, it would fail. A trial court’s failure to issue an instruction violates due process only if
7 the failure rendered the trial fundamentally unfair when considering the evidence and the overall
8 instructions given to the jury. See Duckett, 67 F.3d at 745; Dunckhurst v. Deeds, 859 F.2d 110, 114
9 (9th Cir. 1988). The Ninth Circuit has found the difference between CALJIC No. 2.02 and 2.01 to
10 be no more significant than “a quibble” such that the failure to issue CALJIC No. 2.02 instead of No.
11 2.01 does not rise to the level of a federal constitutional violation. McMillan v. Gomez, 19 F.3d
12 465, 468-69 (9th Cir. 1994). The court found CALJIC No. 2.01 to be “ample and exact” such that
13 there is “no need to precisely tie [circumstantial evidence] to proof of specific intent” by issuing
14 CALJIC No. 2.02. Id. Based on this authority, even if Petitioner stated a cognizable claim for
15 federal habeas relief, his claim of instructional error does not rise to the level of a due process
16 violation.

17 Accordingly, the state courts’ decisions denying Petitioner’s claim were not contrary to, or
18 an unreasonable application of, clearly established Supreme Court precedent, nor were they based on
19 an unreasonable determination of the facts in light of the evidence presented. See 28 U.S.C. §
20 2254(d)(1),(2).

21
22 **4. Cumulative Error**

23 Petitioner claims that the cumulative effect of the foregoing asserted trial errors caused his
24 trial to be fundamentally unfair, in violation of his right to due process. Petitioner cites no Supreme
25 Court precedent, and the Court is aware of none, providing that the cumulative effect of multiple
26 alleged errors may violate a defendant's due process right to a fair trial. As discussed above,
27 AEDPA mandates that habeas relief may be granted only if the state courts have acted contrary to or
28 have unreasonably applied federal law as determined by the United States Supreme Court. Williams
v. Taylor, 529 U.S. at 412 (“Section 2254(d)(1) restricts the source of clearly established law to [the

1 Supreme] Court's jurisprudence."). Consequently, in the absence of Supreme Court precedent
2 recognizing a due process theory of "cumulative error," habeas relief cannot be granted on such a
3 claim. In any event, there is no cumulative error in this case. For the reasons discussed above, the
4 trial court did not commit the constitutional errors that Petitioner asserts. Consequently, there were
5 no errors to accumulate.

6 Accordingly, the state courts' decisions denying Petitioner's claim were not contrary to, or
7 an unreasonable application of, clearly established Supreme Court precedent, nor were they based on
8 an unreasonable determination of the facts in light of the evidence presented. See 28 U.S.C. §
9 2254(d)(1),(2).

10 **5. Prosecutorial Misconduct**

11 Petitioner claims that the prosecutor committed misconduct by placing a five-page outline of
12 his closing argument on the seats of each juror during closing argument. Petitioner claims that this
13 misconduct violated his right to due process. A defendant's due process rights are violated when a
14 prosecutor's misconduct renders a trial "fundamentally unfair." Darden v. Wainwright, 477 U.S.
15 168, 181 (1986).

16 Petitioner raised this claim in the trial court in his motion for a new trial, which was denied
17 after a hearing.⁵ After the hearing, Petitioner stated that the prosecutor had paced the document on
18 the jurors' seats during closing argument, but the trial court found otherwise:

19
20 [O]n the issue of the illustrative billboards as it were used by [the prosecutor]
21 in his argument, putting aside the issue as to whether there was any objection at the
22 time or not, it is the Court's clear recollection that [the prosecutor] used the blowups
23 to illustrate his argument. A copy was provided to the Court. A copy was provided
24 to [petitioner]. No copies were provide[d] to the jury either for their following along
25 during argument or in the jury room or at any other time in the course [sic]. Copies
26 were for the Court and [the petitioner] because of the proximity of the board to the
27 jury and the potential that he and I could not follow along at the time. No copies
28 were given to the jury nor would the Court have permitted copies to have been given
to the jury. And it's significantly different than a transcript of a tape recording being
given to the jury to follow along during the tape. And I guess he and I will agree to
disagree on our recollection of what happened.

(RT at 326-27.) The trial court's factual finding that the prosecutor did not leave the document on
the jury seats is a factual finding entitled to deference under 28 U.S.C. § 2254(d)(2). The relevant

⁵The California Court of Appeal and the California Supreme Court summarily denied this claim and the sixth claim, below, as they were raised in his state habeas petitions, not on direct appeal.

1 question under § 2254(d)(2) is whether the state appellate courts, applying the normal standards of
2 appellate review, could reasonably conclude that the state court findings are supported by the record.
3 See Lambert v. Blodgett, 393 F.3d 943, 978 (9th Cir. 2004). There is evidence in the record –
4 specifically the trial court’s own recollection – to support the trial court’s finding that the prosecutor
5 did not place the document on the jurors’ seats. Indeed, the report of Petitioner’s investigator who
6 interviewed the jurors after the trial found that none of the jurors recalled receiving the five-page
7 outline from the prosecutor. (Resp’t Ex. 13 at Exh. A.) Some of the jurors did recall receiving some
8 documents on their seats at trial, but none of them stated that the documents were from the
9 prosecutor or were received following the break in the prosecutor’s closing argument. (See id.) One
10 juror stated the documents were “instructions,” suggesting that what the jurors received on their
11 seats were copies of the jury instructions from the trial court. (Id.) Under these circumstances, the
12 state appellate courts could reasonably conclude that the trial court’s factual finding that the
13 prosecutor did not place documents on the jurors’ seats was supported by the record.

14 Accordingly, the state courts’ decisions denying Petitioner’s claim were not contrary to, or
15 an unreasonable application of, clearly established Supreme Court precedent, nor were they based on
16 an unreasonable determination of the facts in light of the evidence presented. See 28 U.S.C. §
17 2254(d)(1),(2).

18
19 **6. Right to Counsel**

20 Petitioner, who represented himself prior to and during trial, claims that he was deprived of
21 his right to counsel because he was not granted advisory counsel and had limited access to legal
22 materials while he was in jail. According to Petitioner, this prevented him from challenging the
23 prosecutor’s filing the charge of attempted robbery after the preliminary hearing, which he claims
24 was improper under California law. Petitioner’s motion to proceed pro se pursuant to Faretta v.
25 California, 422 U.S. 806, 832 (1975), was granted after a hearing at which Petitioner was subjected
26 to voir dire and signed a petition to proceed pro se that set forth the risks of such a strategy. (Resp’t
27 Ex. 10 at 30-31 & n.28.)

28 Petitioner’s allegations that he did not have sufficient access to legal materials in jail and was
not appointed advisory counsel, even if true, are not grounds for federal habeas relief. A criminal

1 defendant has a Sixth Amendment right to self-representation. Faretta v. California, 422 U.S. 806,
2 832 (1975). Faretta does not, however, “clearly establish” a right to law library access or any other
3 specific legal aid that must be afforded to a criminal defendant who has chosen to represent himself.
4 Kane v. Garcia Espitia, 546 U.S. 9, 408 (2005). Because § 2254(d)(1) provides that federal habeas
5 relief may only be based upon “clearly established” precedent from the United States Supreme
6 Court, Petitioner is not entitled to federal habeas relief based on limitations on his access to legal
7 materials in jail. Similarly, although the Supreme Court has noted that it may be wise to appoint
8 standby counsel for a defendant who wishes to waive representation by counsel, a defendant has no
9 constitutional right "to represent himself and have access to 'advisory' or 'consultative' counsel at
10 trial." United States v. Kienenberger, 13 F.3d 1354, 1356 (9th Cir. 1994). Consequently, Petitioner
11 is also not entitled to federal habeas relief based on the trial court’s failure to appoint advisory
12 counsel to him.

13 Accordingly, the state courts’ decisions denying Petitioner’s claim were not contrary to, or
14 an unreasonable application of, clearly established Supreme Court precedent, nor were they based on
15 an unreasonable determination of the facts in light of the evidence presented. See 28 U.S.C. §
16 2254(d)(1),(2).

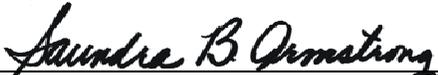
17 CONCLUSION

18 For the foregoing reasons, the petition for a writ of habeas corpus is DENIED. The Clerk of
19 the Court shall enter judgment and close the file.

20 No certificate of appealability is warranted in this case. See Rule 11(a) of the Rules
21 Governing § 2254 Cases, 28 U.S.C. foll. § 2254 (requiring district court to rule on certificate of
22 appealability in same order that denies petition). Petitioner has failed to make a substantial showing
23 that any of his claims amounted to a denial of his constitutional rights or demonstrate that a
24 reasonable jurist would find this Court's denial of his claims debatable or wrong. See Slack v.
25 McDaniel, 529 U.S. 473, 484 (2000).

26 IT IS SO ORDERED.

27 DATED: 9/15/10

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SAUNDRA BROWN ARMSTRONG
United States District Judge

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UNITED STATES DISTRICT COURT
FOR THE
NORTHERN DISTRICT OF CALIFORNIA

BARTH DENNIS CAPELA,

Plaintiff,

v.

BOB HOREL et al,

Defendant.

Case Number: CV07-04734 SBA

CERTIFICATE OF SERVICE

I, the undersigned, hereby certify that I am an employee in the Office of the Clerk, U.S. District Court, Northern District of California.

That on September 15, 2010, I SERVED a true and correct copy(ies) of the attached, by placing said copy(ies) in a postage paid envelope addressed to the person(s) hereinafter listed, by depositing said envelope in the U.S. Mail, or by placing said copy(ies) into an inter-office delivery receptacle located in the Clerk's office.

Barth Dennis Capela V-58262
California State Prison - San Quentin
San Quentin, CA 94974

Dated: September 15, 2010

Richard W. Wieking, Clerk
By: LISA R CLARK, Deputy Clerk