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IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF CALIFORNIA

ANTHONY C. GORE,

Petitioner,

v.

ROBERT A. HOREL, Warden,

Respondent.

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No. C 08-04365 CW (PR)

ORDER DENYING PETITION FOR WRIT  
OF HABEAS CORPUS; GRANTING  
CERTIFICATE OF APPEALABILITY

Petitioner Anthony Clark Gore is a prisoner of the State of California, incarcerated at California Medical Facility. On September 17, 2008, Petitioner filed a pro se petition for a writ of habeas corpus pursuant to 28 U.S.C. § 2254, challenging the validity of his 2005 state conviction. Respondent opposes the petition. Petitioner has not filed a traverse. Having considered all of the papers filed by the parties, the Court DENIES the petition.

BACKGROUND

The following is a summary of the facts taken from the December 5, 2007 state appellate court's unpublished opinion on direct appeal. Respondent. Ex. F<sup>1</sup>, People v. Gore, No. A112059, 2007 WL 4248859 at \*1-6 (Cal. Ct. App. 1 Dist. Dec. 5, 2007).

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<sup>1</sup> All references herein to exhibits are to the exhibits submitted by Respondent in support of the Answer.

1 A. Wagner's Death

2       Petitioner was a patient at Napa State Mental Hospital.<sup>2</sup> He  
3 shared a room at the hospital with patient Dennis Wagner. Between  
4 7:00 and 7:30, on the morning of May 3, 2002, another patient,  
5 Randy Robertson, found Wagner in his bed, not breathing. Wagner's  
6 face was swollen, as if he had been in a fight. Petitioner was  
7 lying awake on his bed. Robertson asked Petitioner what was wrong  
8 with Wagner, and Petitioner answered, "The mother fucker's asleep."  
9 Robertson went to get a nurse, James Miller, who came to the room.  
10 Petitioner was lying on his bed, on his back, with his arms folded  
11 behind his head. He looked at Miller without saying anything.  
12 Miller saw that Wagner had no pulse and that his neck and upper  
13 mouth areas were swollen. Miller and other medical personnel tried  
14 for twenty to thirty minutes to resuscitate Wagner. During the  
15 first fifteen minutes of that time, Petitioner continued to lie  
16 fully dressed on his back, shoes on, with his arms folded behind  
17 his head, appearing relaxed. Petitioner watched the resuscitation  
18 attempts and looked at the ceiling without moving. Miller  
19 suspected Petitioner had been involved in Wagner's death, and told  
20 one of the police officers who had come to the room, "You need to  
21 watch him." Miller also told a nurse, Judith Boan, that he thought  
22 Petitioner was responsible for Wagner's death.

23       A paramedic who was called around 8:00 or 8:30 pronounced  
24 Wagner dead, and concluded he had been dead for an hour or two.  
25 Wagner had died of asphyxia due to manual strangulation. His face  
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28       <sup>2</sup> Petitioner had been found not guilty of an assault in 1999 by  
reason of insanity, and was committed to the mental hospital.

1 and neck were bruised, there was redness along the jaw and an  
2 abrasion on the chin, and blood had come from his mouth.

3 B. Aftermath of Wagner's Death and Investigation

4 During the resuscitation, Boan asked Petitioner to leave the  
5 room. Accompanied by three uniformed officers, Petitioner went  
6 with her to a seclusion room without saying anything. An officer  
7 stood at the door of the seclusion room to make sure Petitioner did  
8 not leave. When Miller told Boan he thought Petitioner might have  
9 been Wagner's killer, Boan wanted to see Petitioner's body to see  
10 if he had any wounds. Petitioner responded to her directions and  
11 appeared to know his surroundings, and Boan did not see indications  
12 of any psychiatric problems. There were spots of blood on  
13 Petitioner's shirt, sweat pants and shoes. Boan told Petitioner to  
14 remove his outer clothing, and he did so. Petitioner's only injury  
15 was on his right hand where there was coagulated blood. Boan asked  
16 Petitioner what had happened to his knuckle, and he did not  
17 respond. One of the officers asked Petitioner how he had injured  
18 himself, and he said he had hit a wall the previous day. The  
19 officer asked Petitioner how he had gotten blood on his knee, and  
20 he said he had fallen in the courtyard the previous day.

21 Petitioner appeared to understand the questions, and answered them  
22 calmly and directly, although he had a "blank stare on his face."

23 Later that morning, Jon Crawford, a detective from the Napa  
24 County Sheriff's Department, went with another detective to speak  
25 with Petitioner. They wanted to "see where [Petitioner] was  
26 mentally," and to find out whether he would give a statement. They  
27 saw that Petitioner's hands were swollen, and that his right hand,  
28 particularly the knuckle area, was more swollen than the left.

1 Crawford and other officers saw Petitioner again approximately two  
2 hours later. They told Petitioner they wanted to collect evidence  
3 from his person, and asked if he would be willing to cooperate.  
4 Petitioner told them he would not cooperate and that he would fight  
5 the officers to stop them from collecting evidence. The officers  
6 handcuffed Petitioner, and he struggled as they undressed him and  
7 collected evidence. They told Petitioner he was under arrest for  
8 killing Wagner.

9 DNA analysis revealed that the blood on Petitioner's sweat  
10 pants and shirt had come from Wagner. The blood on Petitioner's  
11 shoe was his own.

12 C. Petitioner's Prior Dealings with Wagner

13 A patient at Napa State Hospital, Canada Coburn, testified  
14 that she had bought drugs from Petitioner many times. Petitioner  
15 sold marijuana cigarettes for ten dollars cash, or twenty dollars  
16 credit. Several weeks before Wagner's death, Wagner obtained a  
17 marijuana cigarette from Petitioner without paying. At the time,  
18 Petitioner told Wagner he had "better pay up[,] fool." About three  
19 days before Wagner was killed, Coburn spoke with Wagner on the  
20 telephone. Wagner told Coburn he feared for his life because he  
21 could not pay Petitioner what he owed for the marijuana. Wagner  
22 then handed the telephone to Petitioner, who told Coburn that  
23 Wagner had "fucked up and that he needed to pay up," and that  
24 something was going to happen to Wagner because he could not pay  
25 what he owed. When Coburn protested, Petitioner told her Wagner  
26 had "made his own bed" and "had to lay in it." Around the time  
27 that Wagner received the marijuana from Petitioner, Coburn had  
28 noticed Petitioner becoming more violent. She thought he "wasn't

1 really thinking straight, he was really religious and really  
2 paranoid."

3       The day before Wagner died, Petitioner told his friend Rena  
4 Hess, another patient at the hospital, that he was upset about not  
5 getting his money from Wagner and that Wagner "was going to be  
6 squashed that night if he didn't get his money." That evening,  
7 Petitioner told Hess something to the effect that he was going to  
8 "take care of the situation, that he was going to . . . do  
9 something to him, basically just kill him." In that conversation,  
10 Petitioner told Hess he was hearing voices. When Hess spoke with  
11 Petitioner after he had been arrested, Petitioner initially told  
12 her he had killed Wagner, but the next day he indicated he had not  
13 been serious. At one point, Petitioner told her he felt bad about  
14 having killed Wagner.

15       One or two days before Wagner's death, Petitioner told another  
16 patient, Forrest Kendrid, that he was upset about Wagner not paying  
17 him back and that Wagner had to be "dealt with" (which Kendrid  
18 testified was slang for killing), or that Petitioner was "going to  
19 kill that punk mother fucker," and that Wagner had to be made an  
20 example. Kendrid offered to pay the debt himself, but Petitioner  
21 refused, saying "it's the principle of the thing."

22 D. Petitioner's Mental State

23       At trial, Petitioner did not take the position that he had  
24 not killed Wagner. Instead, Petitioner argued that because of his  
25 mental illness, he did not form the intent and mental state  
26 necessary for murder. In his defense, Petitioner presented  
27 evidence that he was required to take antipsychotic medication at  
28 the time of Wagner's death. A quarterly evaluation of Petitioner

1 in 2002 indicated that he was not ready to be released to the  
2 community because he was not totally in remission. Other hospital  
3 residents testified that Petitioner had been in fights with two  
4 other patients, James Foster and Robertson, during April 2002, and  
5 one patient had observed that Petitioner had said odd things and  
6 appeared "tripped out" or "psychotic" in the days before those  
7 fights. A resident testified that a few days before Wagner's  
8 death, Petitioner yelled about God in a manner the resident could  
9 not understand, behaved bizarrely and spoke in a disjointed  
10 fashion. In the days before Wagner's death, Petitioner would stay  
11 up all night in his room, praying and pacing, and on at least one  
12 occasion, a nurse saw him appearing agitated and delusional. A  
13 psychiatric social worker saw Petitioner in a seclusion room in  
14 five-point restraints on April 8, 2002, something that is usually  
15 done after an assault. She tried to discuss one of the fights with  
16 him; Petitioner did not want to talk, but showed no signs of  
17 internal stimuli or delirium. Between approximately April 16 and  
18 April 24, 2002, Petitioner was under constant in-sight observation,  
19 which is used when a patient is a danger to himself or others. Up  
20 until Wagner's death or the day before it, he was being observed at  
21 frequent intervals. On one or both of the two days preceding  
22 Wagner's death, Petitioner refused his medications. Staff members  
23 had asked him to cooperate in taking his medications, but had not  
24 forced him to do so. His medications had been ordered "crushed" as  
25 of April 29, 2002, to reduce the chances of his "cheeking" them, or  
26 failing to swallow them and throwing them out later.

27 Dr. Bruce Victor, a psychiatrist who testified as an expert  
28 witness on Petitioner's behalf, was of the opinion that Petitioner

1 suffered from chronic paranoid schizophrenia, with polysubstance  
2 abuse and antisocial personality disorder, and that Petitioner's  
3 condition may have been exacerbated by the use of methamphetamine.  
4 Victor also testified that medications are available to calm the  
5 symptoms of schizophrenia, and that withdrawal from the medications  
6 can cause agitation and sleeplessness. In both instances of  
7 Petitioner's April 2002 assaults on other patients, there was  
8 evidence that Petitioner had experienced command hallucinations, in  
9 one instance through a wall. Petitioner had also been increasingly  
10 preoccupied with religion and had been praying fervently, in a way  
11 that was not characteristic of his behavior when his mental state  
12 was healthier. Victor also testified that Petitioner had never  
13 given him a psychotic reason for killing Wagner, and in fact had  
14 denied having killed him. Petitioner had also told Victor he had  
15 not heard voices regarding Wagner.

16 Dr. Kevin Kappler, a psychologist at Napa State Hospital,  
17 testified for the prosecution. Kappler was part of Petitioner's  
18 treatment team. During 2002, Petitioner did not cooperate with his  
19 treatment plan. Petitioner refused medications, refused to go to  
20 therapy groups and sometimes refused to meet with his treatment  
21 team. Kappler believed Petitioner might be feigning his mental  
22 illness. Petitioner would refuse his psychotropic medications and  
23 request morphine instead. Sometime in the months before killing  
24 Wagner, Petitioner told Kappler he did not want to be in the  
25 hospital, that he was "a felon in between crimes" and that he would  
26 rather be in jail, where he could get better drugs. Kappler saw no  
27 signs of delusions, and Petitioner did not tell him of any  
28 hallucinations.

1 Dr. Madeline Andrew, a forensic psychiatrist, testified as an  
2 expert witness for the prosecution. She agreed with Victor's  
3 diagnosis of Petitioner. Although Petitioner had been trying to  
4 "cheek" his medications, Andrew believed the medical records showed  
5 that Petitioner had generally been ingesting them. She testified  
6 that crushing medications was effective in preventing a patient  
7 from cheeking medications. She also testified that when a patient  
8 stops taking medication, it can take some time for the medication  
9 to be cleared out of the body, and symptoms may not recur for days,  
10 weeks or even months. Although Petitioner seemed to have missed  
11 two or three doses of medication on May 1 and 2, 2002, Andrew did  
12 not believe the missed doses significantly affected the level of  
13 medication in his blood. She believed the disturbance in  
14 Petitioner's sleep patterns was voluntary, and that he preferred to  
15 sleep during the day and be awake at night.

16 Andrew also testified about the results of drug tests on  
17 Petitioner. In January 2002, Petitioner tested positive for  
18 cocaine. In February 2002, he tested negative for all drugs. He  
19 had a positive drug screen for phenobarbital, a barbiturate, in  
20 March 2002; a positive test for amphetamine, methamphetamine and  
21 phenobarbital April 9, 2002, after he had assaulted two other  
22 patients; and another positive test for phenobarbital on April 16,  
23 2002. Petitioner was placed on constant in-sight observation from  
24 that time until April 24, when he was reduced to fifteen-minute  
25 checks. On April 26, the observations were reduced to every thirty  
26 minutes, and those observations were discontinued on May 1. A  
27 screen on May 3, 2002, after Petitioner had been arrested for  
28 killing Wagner, was negative for all drugs. Although Petitioner



1 was diagnosed in April with phenobarbital withdrawal, which can  
2 cause behavioral changes, agitation, anxiety, paranoia and  
3 delusions or hallucinations, Andrew concluded that symptoms of  
4 withdrawal had ended by April 24, 2002, at the latest. Based in  
5 part on Petitioner's physical symptoms during April, including  
6 elevated blood pressure and heart rate, Andrew believed that the  
7 symptoms Petitioner experienced in April, at the time he was put in  
8 restraints, were predominantly due to barbiturate withdrawal,  
9 rather than to his schizophrenia. Petitioner's records indicated  
10 to Andrew that his condition was generally improving up until the  
11 time of the killing. Andrew testified that people with paranoid  
12 schizophrenia and antisocial personality disorder are capable of  
13 thinking rationally at times and of planning and carrying out  
14 crimes.

15 E. Sanity Phase of Trial

16 On September 8, 2005, the jury found Petitioner guilty of  
17 first degree murder, and the sanity phase of the trial ensued. All  
18 evidence from the guilt phase was admitted in the sanity phase.  
19 Victor testified again for Petitioner. Victor's review of  
20 documents from Napa State Hospital, Atascadero State Hospital,  
21 California Medical Facility at Vacaville, the Napa County jail and  
22 the evaluations and reports of various doctors indicated that  
23 Petitioner had a history of paranoid schizophrenia, psychosis and  
24 polysubstance abuse, and that Petitioner had been arrested in 1999  
25 for an unprovoked attack committed in a psychotic state under the  
26 delusional belief that the victim intended to harm Petitioner's  
27 family. Before the 1999 attack, Petitioner had been seen pacing in  
28 an agitated way in a parking lot, and his psychiatric records

1 indicated he had been hearing voices and responding to internal  
2 stimuli. Records from Atascadero State Hospital indicated that in  
3 late 2000, after Petitioner had been found not guilty by reason of  
4 insanity, the dosage of his antipsychotic medication was decreased,  
5 and his behavior and thought processes deteriorated, necessitating  
6 a re-increase in dosage. In April 2002, the month before Wagner's  
7 death, at a time when Petitioner was abusing drugs and his  
8 antipsychotic medications were interrupted, his behavior  
9 deteriorated, and he made unprovoked attacks on inmates. In one of  
10 those attacks, while in a psychotic state, Petitioner attacked a  
11 peer who was lying in bed. Victor's review of Petitioner's records  
12 indicated that, at the time he killed Wagner, Petitioner had not  
13 taken any medication for a little over forty hours, and Victor was  
14 of the opinion that as a result, the level of medication that  
15 protected Petitioner from psychosis had dropped drastically. As a  
16 result, Petitioner's delusions would have become worse, he would  
17 misperceive events, and his behavior would get out of control. In  
18 addition, Petitioner had been using methamphetamine during April  
19 2002, and Victor testified that those drugs could still have been  
20 affecting Petitioner at the time of the murder. Victor considered  
21 Petitioner's expressed motivation to kill Wagner--retribution for  
22 an unpaid drug debt--to be consistent with insanity. Victor also  
23 testified that Petitioner's explanation of how blood got onto his  
24 clothing did not contradict a conclusion that he was insane,  
25 explaining that people in psychotic states can come up with  
26 explanations that they believe are rational. In Victor's opinion,  
27 Petitioner's later inconsistent accounts of events did not indicate  
28 that Petitioner was not psychotic at the time he killed Wagner, and

1 in fact it was likely that his accounts would become inconsistent  
2 as he had more aggressive antipsychotic treatment. Victor noted  
3 that people with paranoid schizophrenia often have a "flat affect,"  
4 as Petitioner did after Wagner was killed. Although a doctor who  
5 saw Petitioner on April 24, 2002, had noted that Petitioner was not  
6 suffering hallucinations or delusions, in the ensuing days  
7 Petitioner had talked about his medication being poisoned, had been  
8 religiously preoccupied and had been pacing in an agitated manner  
9 the night before the murder, indicating decreased control over his  
10 paranoia. Victor was of the opinion that Petitioner most likely  
11 understood the nature and quality of his acts when he killed  
12 Wagner, but that he was probably hallucinating and delusional at  
13 the time he did so, to the extent that he did not know the  
14 difference between right and wrong.

15 Victor testified on cross-examination that Petitioner had told  
16 him in May 2005--at a time he showed signs of psychosis--that  
17 everyone had a right to live, and that at the time Petitioner  
18 understood that it was morally wrong to take another person's life.  
19 In the interview, Petitioner told Victor that he did not have any  
20 idea how Wagner had died, he did not give a psychotic explanation  
21 for the killing and he said he had never been a recreational drug  
22 user.

23 Dr. Gregory Sokolov, a court-appointed psychiatrist, testified  
24 for the prosecution. In a 2005 interview, Petitioner told Sokolov  
25 he had used marijuana, methamphetamine and crack cocaine at Napa  
26 State Hospital, and that he had made \$200 to \$300 a day selling  
27 items to other patients. Petitioner said that in the days leading  
28 up to the killing, he was intoxicated, "drugged out" and not "in

1 his right mind," but denied having killed Wagner. Sokolov agreed  
2 with the diagnoses Petitioner had received of paranoid  
3 schizophrenia, polysubstance dependence and antisocial personality  
4 disorder. People with schizophrenia have periods in which they are  
5 not psychotic, in which they do not have acute mental symptoms.

6 Sokolov reviewed a test for malingering that Petitioner had  
7 taken in September 2002, and concluded Petitioner was faking his  
8 psychotic symptoms. Two other court-appointed psychologists and  
9 one doctor in the jail in 2003 had also concluded Petitioner was  
10 malingering, or exaggerating his psychiatric symptoms. Sokolov did  
11 not think Petitioner was delusional when he killed Wagner. Sokolov  
12 based his opinion on the fact that two days before the murder,  
13 Petitioner's own psychiatrist noted that Petitioner was doing well  
14 and having no behavioral problems and that two days after the  
15 murder, jail workers had said Petitioner was "oriented [and]  
16 manipulative," with no mental health problems. In Sokolov's  
17 interview with Petitioner, Petitioner did not show any delusional  
18 beliefs or paranoia toward Wagner, and Petitioner told Sokolov he  
19 was not hearing voices that night and had slept through the night.  
20 Sokolov did not believe pacing the floor the night before the  
21 murder was a psychotic symptom. Sokolov believed Petitioner knew  
22 right from wrong at the time of the killing, based on the facts  
23 that Petitioner had given a false explanation for the blood on his  
24 clothing, he had not been having delusions and could therefore  
25 distinguish right from wrong and he had given conflicting  
26 explanations of events, also indicating that he was not operating  
27 under delusional beliefs and that he understood what he had done  
28 was wrong.

1 Dr. Stephen Donoviel, a psychologist who had been appointed by  
2 the court to evaluate Petitioner's sanity, also testified as an  
3 expert witness for the prosecution. When he interviewed Petitioner  
4 in 2005, Petitioner told Donoviel he knew nothing about the murder.  
5 According to Petitioner, he had gone to sleep and "woke up" when he  
6 was being taken down the hall. Petitioner told Donoviel that  
7 Wagner was his best friend at the hospital, denied having  
8 threatened to harm Wagner because of the drug debt and denied  
9 selling drugs. Petitioner said he had attacked two other patients  
10 in April 2002 to "teach them a lesson" because they had been "bad  
11 mouthing his business," which he said involved selling candy and  
12 coffee. Petitioner told Donoviel he was as "mean as a rattle  
13 snake." Petitioner also said that he knew that harming or killing  
14 someone was morally, legally and religiously wrong. Donoviel's  
15 review of Petitioner's records showed that his physical and mental  
16 condition improved over the course of April 2002. In late March,  
17 Petitioner had begun treatment for an abscess in his arm, which was  
18 the result of using intravenous drugs. The medical staff was  
19 concerned because Petitioner had not been eating, and petitioned to  
20 force feed him. The request was denied in the middle of April,  
21 because at that time Petitioner was thinking rationally. Early in  
22 April, there were entries in Petitioner's records indicating he was  
23 confused, disoriented and delusional, but those symptoms abated  
24 over the course of the month, and Petitioner's condition had  
25 improved by the end of the month. Near the end of that time,  
26 entries indicated that Petitioner had been heard talking on the  
27 phone in a cheerful voice and he was "[c]learly oriented," and  
28 Donoviel concluded he was no longer confused. In Donoviel's

1 opinion, Petitioner understood the nature and quality of his acts  
2 and could distinguish right from wrong when he killed Wagner.

3 On September 16, 2005, the jury found Petitioner was sane when  
4 he killed Wagner. On October 28, 2005, Petitioner was sentenced to  
5 twenty-five years to life in state prison. On October 6, 2006,  
6 Petitioner appealed his conviction to the California Court of  
7 Appeal. On December 5, 2007, the state appellate court affirmed  
8 the judgment of conviction. On January 15, 2008, Petitioner filed  
9 a petition for review in the California Supreme Court, which was  
10 denied on March 26, 2008. Petitioner filed the instant federal  
11 habeas petition on September 17, 2008.

#### 12 LEGAL STANDARD

13 A federal court may entertain a habeas petition from a state  
14 prisoner "only on the ground that he is in custody in violation of  
15 the Constitution or laws or treaties of the United States." 28  
16 U.S.C. § 2254(a). Under the Antiterrorism and Effective Death  
17 Penalty Act (AEDPA), a district court may not grant a petition  
18 challenging a state conviction or sentence on the basis of a claim  
19 that was reviewed on the merits in state court unless the state  
20 court's adjudication of the claim: "(1) resulted in a decision that  
21 was contrary to, or involved an unreasonable application of,  
22 clearly established federal law, as determined by the Supreme Court  
23 of the United States; or (2) resulted in a decision that was based  
24 on an unreasonable determination of the facts in light of the  
25 evidence presented in the State court proceeding." 28 U.S.C.  
26 § 2254(d). A decision is contrary to clearly established federal  
27 law if it fails to apply the correct controlling authority, or if  
28 it applies the controlling authority to a case involving facts

1 materially indistinguishable from those in a controlling case, but  
2 nonetheless reaches a different result. Clark v. Murphy, 331 F.3d  
3 1062, 1067 (9th Cir. 2003). A decision is an unreasonable  
4 application of federal law if the state court identifies the  
5 correct legal principle but unreasonably applies it to the facts of  
6 the prisoner's case. Id.

7 The only definitive source of clearly established federal law  
8 under 28 U.S.C. § 2254(d) is the holdings of the Supreme Court as  
9 of the time of the relevant state court decision. Williams v.  
10 Taylor, 529 U.S. 362, 412 (2000).

11 To determine whether the state court's decision is contrary  
12 to, or involved an unreasonable application of, clearly established  
13 law, a federal court looks to the decision of the highest state  
14 court that addressed the merits of a petitioner's claim in a  
15 reasoned decision. LaJoie v. Thompson, 217 F.3d 663, 669 n.7 (9th  
16 Cir. 2000). In the present case, the only state court to address  
17 the merits of Petitioner's claims is the California appellate court  
18 on direct review.

19  
20 DISCUSSION

21 Petitioner raises four claims. First, he alleges that the  
22 trial court erred by admitting statements he made to officers at  
23 the mental hospital because the statements were made in violation  
24 of Petitioner's rights under Miranda v. Arizona, 384 U.S. 436  
25 (1966). Second, Petitioner claims that the trial court erred by  
26 not sua sponte ordering a hearing on Petitioner's competence to  
27 stand trial. Third, Petitioner asserts that the trial court  
28 impermissibly shifted the burden of proof in instructing the jury

1 regarding the effect of Petitioner's mental disorder on the  
2 requisite mental state for murder. Finally, Petitioner claims that  
3 the trial court committed instructional error during the sanity  
4 phase of his trial.

5 I. Miranda Violation

6 Petitioner claims that his conviction was based on "non-  
7 Mirandized" statements he gave to officials at Napa State Hospital  
8 and that it was error for the trial court to admit these  
9 statements. Petitioner does not specify what statements should  
10 have been excluded from evidence. A review of Petitioner's opening  
11 brief on appeal, however, identifies two statements. Ex. C at 10.  
12 First, when one of the investigating officers asked Petitioner how  
13 Petitioner had cut his hand, Petitioner stated that he had punched  
14 a wall in the courtyard the day before. Id. Second, when the  
15 officer asked Petitioner how Petitioner had gotten blood on his  
16 knee, Petitioner stated that he had fallen down in the courtyard.  
17 Id.

18 Petitioner claims that these two statements, which were given  
19 without a Miranda warning, contradicted his guilt-phase defense  
20 that he was acting under a schizophrenic episode, because a jury  
21 could have inferred that the explanations showed a consciousness of  
22 guilt. Id. at 29. Further, Petitioner asserts the statements  
23 contradicted his insanity defense because Dr. Sokolov used the  
24 statements to opine that Petitioner knew right from wrong insofar  
25 as he knew enough to come up with an excuse to hide his behavior.  
26 Id. at 29-30.

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1 A. State Appellate Court Opinion Addressing Petitioner's  
2 Claim

3 The state appellate court did not decide whether Petitioner's  
4 Miranda rights were violated. Instead the court rejected the claim  
5 on the grounds that admission of the statements was harmless beyond  
6 a reasonable doubt under the federal prejudice standard. People v.  
7 Gore, 2007 WL 4248859 at \*9-11 (citing Arizona v. Fulminante, 499  
8 U.S. 279, 313 (1991)).

9 B. Analysis of Petitioner's Claim Under AEDPA

10 Miranda requires that a person subjected to custodial  
11 interrogation be advised that he has the right to remain silent,  
12 that statements made can be used against him, that he has the right  
13 to counsel and that he has the right to have counsel appointed.  
14 See Miranda, 384 U.S. at 444. These warnings must precede any  
15 custodial interrogation, which occurs whenever law enforcement  
16 officers question a person after taking that person into custody or  
17 otherwise significantly deprive a person of freedom of action. Id.  
18 Miranda protections are triggered "only where there has been such a  
19 restriction on a person's freedom as to render him 'in custody.'" Stansbury v. California, 511 U.S. 318, 322 (1994) (citing Oregon v.  
20 Mathiason, 429 U.S. 492, 495 (1977)). "[I]n custody" requires that  
21 "a reasonable person [would] have felt he or she was not at liberty  
22 to terminate the interrogation and leave," as judged by the  
23 totality of the circumstances. Thompson v. Keohane, 516 U.S. 99,  
24 112 (1995). Habeas relief should be granted if the admission of  
25 statements in violation of Miranda "had a substantial and injurious  
26 effect or influence in determining the . . . verdict." Juan H. v.  
27

28

1 Allen, 408 F.3d 1262, 1271 n.9 (9th Cir. 2005)(quoting Brecht v.  
2 Abrahamson, 507 U.S. 619, 637 (1993)).

3         The Court need not decide whether Petitioner's Miranda rights  
4 were violated because Petitioner has not shown that the statements  
5 had a substantial and injurious effect on the jury's verdict. As  
6 noted by the appellate court, in both phases of Petitioner's trial,  
7 evidence of guilt was overwhelming, and the two statements  
8 regarding Petitioner's injuries were only a small part of the  
9 prosecution's case.

10         While Petitioner is correct that Dr. Sokolov used the  
11 statements to opine that Petitioner knew right from wrong (Ex. A,  
12 vol. 1 at 188), there was plentiful other evidence of Petitioner's  
13 guilt and state of mind. For example, Petitioner had Wagner's  
14 blood on his clothing. Ex. B at 3850, 3884, 4388-90. In the days  
15 leading up to the murder, Petitioner had told numerous people that  
16 he was going to harm Wagner due to the debt Wagner owed Petitioner.  
17 Id. at 3899, 3911-13, 3916, 4059-61, 4305-06. Petitioner had  
18 stated on the evening before Wagner's death that he would kill  
19 Wagner. Id. at 4305-08, 4312. Testing showed that Petitioner's  
20 blood did not contain any drugs after or during the attack on  
21 Wagner. Id. at 4473-74. The evidence also showed that Petitioner  
22 was calm and cooperative after Wagner was discovered dead and that  
23 he had an apparent understanding of his surroundings and the  
24 staff's directions. Id. at 3689, 3851-53, 3866, 3868-73, 3888,  
25 3890-91, 3923-24, 3956-58, 4513-14, 4522, 4525. Petitioner  
26 responded appropriately to questions and commands and did not  
27 appear to have any psychotic problems at the time. Id. Dr.  
28 Sokolov noted the absence of reported or observed delusions in the

1 days before and after the event. Ex. B at 6714; Ex. A, vol. 1 at  
2 187. Dr. Sokolov also noted that Petitioner made changing  
3 explanations of the events, which is uncharacteristic of someone  
4 operating under a delusional conviction. Ex. B at 6708-09; Ex. A,  
5 vol. 1 at 188. The evidence also included reports showing that  
6 Petitioner's mental state had improved by the end of April 2002.  
7 Ex. A, vol. 1 at 185-87; Ex. A, vol. 3 at 52-53. Petitioner's  
8 treating psychologist opined that Petitioner was faking mental  
9 illness and testified that Petitioner even admitted to malingering.  
10 Ex. B at 5293-96. Other court-appointed psychologists agreed that  
11 Petitioner was malingering. Id. at 6705-06. Another expert opined  
12 that Petitioner was not showing withdrawal symptoms at the time of  
13 the killing and that Petitioner was being adequately treated for  
14 his psychiatric problems. Id. at 5329-31, 5336-37, 5353, 5355.  
15 Finally, Petitioner himself reported that he understood right from  
16 wrong and knew that it was morally, legally and religiously wrong  
17 to kill another person. Id. at 5824-25. Based on the strong case  
18 against Petitioner, the court of appeal reasonably found that there  
19 was no resulting prejudice to Petitioner from the admission of the  
20 two statements.

21       Accordingly, Petitioner has not demonstrated a reasonable  
22 probability that the result of the proceeding would have been  
23 different had the statements been excluded. The state court's  
24 rejection of this claim was neither contrary to, nor an  
25 unreasonable application of, federal law.

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1 II. Competency Hearing

2 Petitioner claims he was denied due process when the trial  
3 court failed to order a hearing sua sponte on Petitioner's  
4 competency to stand trial. In August 2002, defense counsel  
5 expressed doubt in Petitioner's competency under Cal. Penal Code  
6 § 1368.<sup>3</sup> Ex. B at 303-04. The trial court appointed two  
7 psychologists to examine Petitioner. Id.; Ex. A, vol. 1 at 21.  
8 Both concluded that Petitioner was feigning the nature or degree of  
9 his psychotic symptoms. Ex. A, vol. 3 at 14-29; Ex. B at 452. At  
10 the request of defense counsel, the trial judge then appointed a  
11 psychiatrist to examine Petitioner. Ex. A, vol. 1 at 26; Ex. B at  
12 355-56. The psychiatrist concluded that Petitioner was able to  
13 understand the charges against him and assist counsel in presenting  
14 a rational defense. Ex. A, vol. 3 at 31-35. In January 2003, the  
15 trial court found Petitioner competent to stand trial based on the  
16 three expert evaluations. Ex. A, vol. 1 at 42; Ex. B at 555.

17  
18 On June 28, 2004, Petitioner personally presented a written  
19 motion for appointment of new counsel, which was granted based on a  
20 breakdown in the relationship between Petitioner and defense  
21 counsel. Ex. A, vol. 1 at 107; Ex. B at 1931-32. The trial court  
22 appointed new defense counsel. Id.

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<sup>3</sup> Cal. Penal Code § 1368(b) states in relevant part:

26 If counsel informs the court that he or she  
27 believes the defendant is or may be mentally  
28 incompetent, the court shall order that the  
question of the defendant's mental competence is  
to be determined in a hearing.

1           Subsequently, in April 2005, Napa County Counsel applied ex  
2 parte for an order transferring Petitioner from the Napa County  
3 jail to the California Medical Facility in Vacaville (CMF). Ex. A,  
4 vol. 1 at 143-44. The application stated that Petitioner had  
5 injured himself by hitting his head against a steel bunk and  
6 running headlong into a wall. Id. Petitioner had also assaulted  
7 correctional officers and was refusing to take his psychotropic  
8 medications. Id. County counsel argued that CMF could supervise  
9 and medicate Petitioner more effectively than the county jail  
10 could. Id. Petitioner's new counsel submitted the issue on the ex  
11 parte application and notified the court that Petitioner was  
12 requesting medical attention. Ex. B at 2402. On May 2, 2005, the  
13 trial court granted the order, and Petitioner was transferred to  
14 CMF. Ex. A, vol. 1 at 147-49.

15           At a hearing on June 1, 2005, the trial court considered where  
16 Petitioner should be housed during trial. Ex. A, vol. 1 at 160;  
17 Ex. B at 2555-64. Defense counsel expressed a need to confer with  
18 Petitioner outside of trial hours each day and told the court that  
19 Petitioner "[did] well when he's taking his meds and when he's  
20 properly medicated." Ex. B at 2558. County counsel urged the  
21 court to continue housing Petitioner at CMF where Petitioner had  
22 resumed taking his medication. Id. at 2560. The trial court  
23 continued Petitioner's housing at CMF but ordered that he be  
24 brought to court early on trial mornings. Ex. A, vol. 1 at 161-62;  
25 Ex. B at 2563-64.

26           At the same hearing, Petitioner personally entered a plea of  
27 not guilty and not guilty by reason of insanity. Ex. A, vol. 1 at  
28 160; Ex. B at 2569. The prosecution then asked whether defense

1 counsel was raising a doubt about Petitioner's competence to stand  
2 trial. Ex. B at 2577. Defense counsel responded, "I'm not." Id.  
3 The trial judge stated that her review of Dr. Victor's report did  
4 not raise those issue in her mind and that nothing about  
5 Petitioner's conduct in court raised a doubt. Id. at 2577-78.  
6 After being offered an opportunity to comment further on  
7 Petitioner's competency, defense counsel stated, "I don't have  
8 doubt at this time." Id. at 2578.

9       Also on June 1, 2005, the trial court appointed Dr. Sokolov  
10 and Dr. Donoviel under Cal. Penal Code § 1027, which requires the  
11 trial court to appoint experts to evaluate a plea of insanity. Ex.  
12 A, vol. 1 at 160; Ex. B at 2575. Both doctors interviewed  
13 Petitioner and issued reports in June 2005. Ex. A, vol. 1 at  
14 182-89; Ex. A, vol. 3 at 42-57. While these experts were appointed  
15 in connection with Petitioner's plea and not with regard to  
16 Petitioner's competence to stand trial, neither expert suggested  
17 that Petitioner was not competent to be tried. Id. The Court also  
18 notes that both experts opined that Petitioner was sane at the time  
19 of the offense. Ex. A, vol. 1 at 187-89; Ex. A, vol. 3 at 57. Dr.  
20 Donoviel indicated that Petitioner cooperated with the interview,  
21 was "fully alert and correctly oriented to time, place, person and  
22 situation," showed a good sense of humor and appropriate range of  
23 emotions and did not appear to be preoccupied or responding to  
24 internal stimuli. Ex. A, vol. 3 at 54. Dr. Sokolov also indicated  
25 that Petitioner cooperated with the interview and said he was  
26 pleading insanity on his lawyer's advice. Ex. A, vol. 1 at 182,  
27 184.

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1 In July 2005, county counsel informed the trial court that the  
2 treating psychiatrist at CMF had discharged Petitioner from the  
3 acute psychiatric ward. Ex. A, vol. 1 at 176-81. The trial court  
4 ordered Petitioner returned to county jail. Ex. A, vol. 1 at 190-  
5 91; Ex. B at 2705-06. Jury selection began on August 22, 2005.  
6 Ex. A, vol. 1 at 208.

7 Petitioner claims that his April 2005 conduct--resulting in  
8 his transfer to CMF--presented evidence of a change in his  
9 psychiatric condition, such that the trial court should have  
10 reconsidered its earlier competency determination and sua sponte  
11 ordered a competency hearing.

12 A. State Appellate Court Opinion Addressing Petitioner's  
13 Claim

14 The state appellate court analyzed Petitioner's claim under  
15 Cal. Penal Code § 1368, which requires the court to order a  
16 competency hearing if "a doubt arises in the mind of the judge as  
17 to the mental competence of the defendant" or "[i]f counsel informs  
18 the court that he or she believes the defendant is or may be  
19 mentally incompetent." The appellate court rejected Petitioner's  
20 claim, noting that Petitioner's own counsel expressed that he did  
21 not doubt Petitioner's competence and noting that Petitioner was  
22 again taking his medications by the time of trial. People v. Gore,  
23 2007 WL 4248859 at \*9. The appellate court also noted that nothing  
24 in the reports of the appointed experts nor in the report of the  
25 defense expert indicated that Petitioner would be incompetent when  
26 properly medicated. Id. The court found no substantial evidence  
27 suggesting Petitioner's inability to understand the proceedings  
28 against him or to consult rationally with his lawyer. Id.

1 B. Analysis of Petitioner's Claim Under AEDPA

2 The test for competence to stand trial is whether the  
3 defendant demonstrates the ability "'to consult with his lawyer  
4 with a reasonable degree of rational understanding' and has 'a  
5 rational as well as factual understanding of the proceedings  
6 against him.'" Godinez v. Moran, 509 U.S. 389, 396 (1993)(quoting  
7 Dusky v. United States, 362 U.S. 402, 402 (1960)). Due process  
8 requires a trial court to order a psychiatric evaluation or conduct  
9 a competency hearing sua sponte if the court has a good faith doubt  
10 concerning the defendant's competence. Pate v. Robinson, 383 U.S.  
11 375, 385 (1966). A good faith doubt about a defendant's competence  
12 arises if "'a reasonable judge, situated as was the trial court  
13 judge whose failure to conduct an evidentiary hearing is being  
14 reviewed, should have experienced doubt with respect to competency  
15 to stand trial.'" Maxwell v. Roe, 606 F.3d 561, 568 (9th Cir.  
16 2010) (quoting de Kaplany v. Enomoto, 540 F.2d 975, 983 (9th Cir.  
17 1976)). "In reviewing whether a state trial judge should have  
18 conducted a competency hearing, we may consider only the evidence  
19 that was before the trial judge." McMurtrey v. Ryan, 539 F.3d  
20 1112, 1119 (9th Cir. 2008). "'[E]vidence of a defendant's  
21 irrational behavior, his demeanor at trial, and any prior medical  
22 opinion on competence to stand trial are all relevant in  
23 determining whether further inquiry is required,' and 'one of these  
24 factors standing alone may, in some circumstances, be sufficient.'" Maxwell, 606 F.3d at 568 (quoting Drope v. Missouri, 420 U.S. 162,  
25 180 (1975)).  
26

27 Here, it is undisputed that Petitioner had a history of  
28 psychiatric disorders, including court-ordered time at a state



1 mental hospital. Ex. B at 6604-17. Further, it is undisputed that  
2 Petitioner was previously found not guilty by reason of insanity  
3 for a 1999 assault. Id.; People v. Gore, 2007 WL 4248859 at \*1,  
4 n.2. The record shows no prior medical opinion, however, finding  
5 Petitioner incompetent to stand trial. And none of the expert  
6 opinions gathered for Petitioner's 2005 trial on the underlying  
7 offense, including that of the defense expert, suggested that  
8 Petitioner was incompetent. Ex. A, vol. 1 at 182-89; Ex. A, vol. 3  
9 at 36-41, 42-57. The three psychological and psychiatric reports  
10 ordered by the trial court in 2002 all concluded that Petitioner  
11 was competent. Ex. A, vol. 3 at 14-29, 31-35. Later reports by  
12 Dr. Donoviel and Dr. Sokolov, issued two months before trial,  
13 showed that Petitioner was cooperative and capable of understanding  
14 their interview questions. Ex. A, vol. 3 at 54; Ex. A, vol. 1 at  
15 182, 184. And both opined that Petitioner was sane at the time of  
16 the offense. Ex. A, vol. 1 at 187-89; Ex. A, vol. 3 at 57.  
17 Further, defense counsel denied having any doubt as to Petitioner's  
18 ability to stand trial and stated that Petitioner did well when  
19 properly medicated. Ex. B at 2577-77. The CMF treating  
20 psychiatrist reported that Petitioner was back on his medication in  
21 July 2005. Ex. A, vol. 1 at 180-81. The trial judge noted that  
22 she also did not notice anything out of the ordinary. Ex. B at  
23 2577-78. It appears that the Petitioner's demeanor and behavior  
24 throughout the proceedings, the expert reports and the statements  
25 of county counsel and defense counsel were the only evidence  
26 considered by the trial court and, therefore, the only evidence  
27 this Court is to consider in determining whether the trial court  
28 should have ordered a competency hearing. See McMurtrey, 539 F.3d

1 at 1119; Amaya-Ruiz v. Stewart, 121 F.3d 486, 493 (9th Cir. 1997).  
2 A review of this evidence shows no reason why the trial court  
3 should have had a good faith doubt regarding Petitioner's  
4 competence. See Maxwell, 606 F.3d at 568.

5 The Ninth Circuit issued its recent opinion in Maxwell v. Roe,  
6 606 F.3d 561, 568 (9th Cir. 2010) subsequent to the briefing in  
7 this case. Maxwell granted federal habeas relief to a petitioner  
8 where the trial court failed to conduct a second competency hearing  
9 despite substantial evidence that the petitioner's psychiatric  
10 condition had worsened following an initial competency finding.  
11 This Court has considered the opinion and finds it distinguishable  
12 from the present case.

13 In Maxwell, the petitioner was ordered to undergo a competency  
14 determination after defense counsel expressed doubt about his  
15 ability to stand trial. Id. at 564. Four of five psychiatrists  
16 concluded that Maxwell was malingering, or feigning a psychosis,  
17 while the fifth concluded that Maxwell was indeed incompetent to  
18 stand trial. Id. at 565. The trial judge subsequently found  
19 Maxwell competent and reinstated criminal proceedings. Id. By the  
20 time trial commenced thirteen months later, Maxwell's behavior had  
21 become uncontrollable, and defense counsel repeatedly alerted the  
22 court that Maxwell's condition was worsening and that communication  
23 with Maxwell was severely strained. Id. at 565. During pretrial  
24 proceedings, Maxwell made noises and blurted out obscenities. Id.  
25 at 569. He refused to take his medication and had assaulted  
26 another inmate with a knife. Id. Following one physical and  
27 verbal outburst in the courtroom, the trial judge found that  
28 Maxwell posed a danger and had him removed. Id. at 570. As a

1 result, the trial proceeded in Maxwell's absence. Id. at 565.  
2 Halfway through the trial, Maxwell attempted suicide with a razor  
3 blade and was placed by hospital staff on a seventy-two hour  
4 "psychiatric hold" or detention that later was extended to a two-  
5 week hold. Id. at 570-71. The Ninth Circuit concluded that, in  
6 light of the psychiatric holds, "[n]o reasonable judge . . . could  
7 have proceeded with the trial without doubting Maxwell's competency  
8 to stand trial." Id. at 573.

9       The Ninth Circuit also observed that, had the trial court  
10 conducted an additional competency hearing, it "would have  
11 discovered further information suggesting Maxwell's incompetence,"  
12 specifically the reports from the psychiatric holds explicitly  
13 finding that Maxwell was "actively psychotic" and that he had been  
14 "involuntary [sic] administered heavy doses of [an] antipsychotic  
15 drug." Id. Additionally the appellate court noted that the report  
16 first relied upon by the trial judge, which concluded Maxwell had  
17 been malingering, was "thirteen months old," "was itself based on  
18 aging psychiatric evaluations that were, by the time of Maxwell's  
19 trial, eighteen months old" and that Maxwell's condition had  
20 deteriorated significantly in the intervening time. Id. at 575.

21       Here, in contrast, defense counsel did not voice continuing  
22 concern about Petitioner. While the failure of a defendant or his  
23 attorney to request a competency hearing is not a factor in  
24 determining whether there is a good faith doubt in the defendant's  
25 competency, Maxwell, 606 F.3d at 574, this was not a "failure to  
26 request" case. As discussed above, the trial judge specifically  
27 inquired of defense counsel whether there was any need for a second  
28 competency determination, and defense counsel specifically stated

1 that he did not doubt Petitioner's competence to stand trial. See  
2 Williams v. Woodford, 384 F.3d 567, 607-610 (9th Cir. 2004)  
3 (affording significant weight to defense counsel's firm belief that  
4 defendant was competent and, in the absence of "persuasive"  
5 evidence to the contrary, concluding that defendant did not  
6 establish a violation of his right not to be tried and convicted  
7 while incompetent); see also United States v. Clark, 617 F.2d 180,  
8 186 n.11 (9th Cir. 1980) ("The fact that [the defendant's] attorney  
9 apparently considered him competent is significant evidence that he  
10 was competent.").

11 Further, as discussed above, defense counsel also informed the  
12 trial judge that Petitioner did well when taking his medication  
13 (Ex. B at 2558), and the record shows that Petitioner was back on  
14 medication at the time of his trial and well enough to be returned  
15 to county jail. Ex. A, vol. 1 at 176-81. The record also shows  
16 that defense counsel was communicating directly with Petitioner  
17 during trial. Ex. B at 2558-59, 2563-64. See Stanley v. Culler,  
18 633 F.3d 852, 860 (2011) (defense counsel, while agreeing that  
19 client was difficult to control, informed the court that they could  
20 ensure his competence by taking measures such as getting him proper  
21 medication or communicating with him directly). Finally, it  
22 appears from the record that Petitioner was coherent in his brief  
23 colloquies with the court (Ex. B at 2568-69, 2617), and did not  
24 disrupt proceedings. The trial judge noted at one point that  
25 nothing in Petitioner's demeanor raised a doubt to the court. Ex.  
26 B at 2577-78. See Stanley, 633 F.3d at 861 (not unreasonable for  
27 trial court to conclude there was not enough evidence before it to  
28 raise a doubt about defendant's competence where defendant was

1 coherent in his testimony and colloquies with the court, and state  
2 court judges indicated his demeanor in courtroom did not raise a  
3 doubt about his competency).

4 Nor does the record suggest there were later findings by  
5 treatment staff that would have permitted the inference Petitioner  
6 was incompetent, in contrast to Maxwell. Indeed, as noted above,  
7 later evaluations found that Petitioner was cooperative and  
8 communicative and opined that Petitioner was sane at the time of  
9 the offense. And Petitioner's discharge papers from CMF described  
10 him as "calm, cooperative, pleasant" and reported that he "denie[d]  
11 hallucinations or suicidal intent." Ex. A, vol. 1 at 181. The  
12 evaluation presented by Petitioner's own psychological expert  
13 nowhere suggested that Petitioner would be unable to stand trial.  
14 There is nothing in the record to show that Petitioner could not  
15 assist counsel or understand the proceedings against him at the  
16 time of trial. Godinez, 509 U.S. at 396. Accordingly, Maxwell  
17 does not mandate federal habeas relief here. Especially in light  
18 of the highly deferential standard this Court must give to the  
19 state court's factual finding, see Stanley, 633 F.3d at 859, the  
20 state court's decision rejecting this claim was not contrary to, or  
21 an unreasonable application of, clearly established federal law.  
22 28 U.S.C. § 2254(d).

23 III. Jury Instruction On Effect Of Mental Disorder On Mental State

24 Petitioner claims that the trial court erred in its  
25 instruction to the jury on the mental state required for first  
26 degree murder. Specifically, the trial court instructed the jury  
27 with a modified version of CALJIC No. 4.21.1 as follows:  
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It is the general rule that no act committed by a person with a mental disorder is less criminal by reason of that condition. Thus in the crime of first degree murder as charged in Count One, or the crime of second degree murder, which is a lesser thereto, the fact that the defendant had a mental disorder is not a defense and does not relieve defendant of responsibility for the crime. However there is an exception to this general rule, namely where a specific intent or mental state is an essential element of the crime. In that event you should consider the defendant's mental disorder in deciding whether the defendant possessed the required specific intent or mental state at the time of the commission of the alleged crime. [¶] Thus in the crime of first degree murder charged in Count One or the lesser crime of second degree murder, a necessary element is the existence in the mind of the defendant of a certain specific intent or mental state which is included in the definition of the crime set forth elsewhere in these instructions. If the evidence shows that a defendant had a mental disorder at the time of the alleged crime you should consider that fact in deciding whether or not defendant had the required specific intent or mental state. If from all the evidence you have a reasonable doubt whether the defendant had a required specific intent or mental state you must find that the defendant did not have that specific intent or mental state.

Ex. A, vol. 2 at 430; Ex. B at 5551-52.

Petitioner claims that this instruction impermissibly shifted the burden to the defense to prove that Petitioner did not harbor the requisite mental state for first degree murder.

A. State Appellate Court Opinion Addressing Petitioner's Claim

The state court of appeal rejected Petitioner's claim, finding that nothing in the instruction directed the jury to find that Petitioner acted with the intent to kill. People v. Gore, 2007 WL 4248859 at \*12. To the contrary, the jury was instructed that if it had a reasonable doubt whether Petitioner had the required

1 mental state, it must find for the defense. Id. The appellate  
2 court also noted that the instructions as a whole properly  
3 instructed the jury that the prosecution had the burden of proof on  
4 each fact necessary to establish guilt. Id.

5 B. Analysis of Petitioner's Claim Under AEDPA

6 A challenge to a jury instruction solely as an error under  
7 state law does not state a claim cognizable in federal habeas  
8 corpus proceedings. See Estelle v. McGuire, 502 U.S. 62, 71-72  
9 (1991). To obtain federal collateral relief for errors in the jury  
10 charge, a petitioner must show that the ailing instruction by  
11 itself so infected the entire trial that the resulting conviction  
12 violates due process. Id. at 72. The instruction may not be  
13 judged in artificial isolation, but must be considered in the  
14 context of the instructions as a whole and the trial record. Id.

15  
16 Petitioner does not show how the challenged instruction so  
17 infected his trial. The instruction did not require the jury to  
18 find that Petitioner acted with specific intent to kill. Rather  
19 the instruction directed the jurors to find that he did not have  
20 such intent if the jury had a reasonable doubt. Petitioner simply  
21 does not show how the instruction shifted, or in any way lessened,  
22 the prosecution's burden to prove Petitioner guilty beyond a  
23 reasonable doubt as to each element of the crime, after taking his  
24 mental disorder into consideration. Looking at the record as a  
25 whole, the instructions given adequately placed the burden on the  
26 prosecution. Accordingly, the state court's denial of this claim  
27 was not contrary to, or an unreasonable application of, established  
28 federal authority.

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IV. Jury Instruction During Sanity Phase

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Petitioner claims the trial court committed instructional error in the sanity phase of his trial. Specifically, Petitioner challenges the trial court's use of an instruction requiring Petitioner to prove legal insanity by a preponderance of the evidence. While not specified in his petition, Petitioner's argument on direct appeal was that his prior adjudication of legal insanity in 1999 should have been presumed to have continued. Ex. C at 40-42, 55-56. While also not stated in the instant petition, Petitioner raised a second argument on direct appeal challenging the trial court's instruction to the jury on the use of circumstantial evidence. *Id.* at 57-59. Specifically, the trial court instructed the jury as follows:

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Each fact which is essential to complete a set of circumstances necessary to establish the defendant's insanity must be proved by a preponderance of the evidence. In other words, before an inference essential to establish insanity may be found to have been proved by a preponderance of the evidence, each fact or circumstance on which the inference necessarily rests must be proved by a preponderance of the evidence. [¶] Also if the circumstantial evidence permits two reasonable interpretations, one which points to the defendant's insanity, the other to his sanity, you must adopt the interpretation that points to the defendant's sanity and reject the interpretation that points to his insanity. If, on the other hand, one interpretation of the evidence appears to you to be reasonable, the other interpretation to be unreasonable, you must accept the reasonable interpretation and reject the unreasonable.



1 Ex. B at 6797; Ex. A, vol. 2 at 544 (emphasis added). Petitioner  
2 argued on appeal that the underlined language misstated his burden.  
3 Ex. C at 57-59. The Court will construe the petition as raising  
4 the same two instructional error claims raised on appeal.

5 A. State Appellate Court Opinion Addressing Petitioner's  
6 Claim

7 The state appellate court rejected Petitioner's first claim  
8 noting that, under California law, insanity is an affirmative  
9 defense to a criminal charge, and a defendant has the burden of  
10 proving it by a preponderance of the evidence. People v. Gore,  
11 2007 WL 4248859 at \*13. The court rejected Petitioner's argument  
12 that an exception should apply where there has already been a prior  
13 finding of insanity. Id. at \*13-14. Specifically, Petitioner  
14 argued that such a prior finding should create a rebuttable  
15 presumption of insanity, shifting the burden to the prosecution.  
16 Id. at \*14. The court noted that California case law, specifically  
17 People v. Wolff, 61 Cal. 2d 795, 816-18 (1964), had already  
18 considered and rejected such an exception, maintaining with the  
19 defendant the burden of proving insanity by a preponderance of the  
20 evidence. Id.

21 The appellate court agreed with Petitioner's second argument  
22 that the instruction on use of circumstantial evidence misstated  
23 Petitioner's burden. Id. at \*14-15. The court noted that the  
24 instruction was adapted from CALJIC No. 2.01, which informs the  
25 jury on how to evaluate circumstantial evidence when determining  
26 whether the prosecution has met its burden of proving guilt beyond  
27 a reasonable doubt. Id. In such context, the prosecution has not  
28 met its burden where one reasonable interpretation of the evidence

1 points to innocence. Id. But by applying this instruction to the  
2 sanity phase, the trial court erroneously raised Petitioner's  
3 burden beyond a preponderance of the evidence. Id. The appellate  
4 court nonetheless rejected Petitioner's instructional error claim,  
5 finding that the error was harmless. Id. at \*16. The court again  
6 pointed out the overwhelming evidence against Petitioner, including  
7 the circumstances of the murder, Petitioner's own statements and  
8 expert opinion that Petitioner knew right from wrong. Id. The  
9 appellate court also rejected the claim on the basis that the jury  
10 was elsewhere correctly instructed as to Petitioner's burden of  
11 proof. Id. at \*17.

12 B. Analysis of Petitioner's Claim Under AEDPA

13 As noted above, a challenge to a jury instruction solely as an  
14 error under state law does not state a claim cognizable in federal  
15 habeas corpus proceedings. Estelle, 502 U.S. at 71-72. A federal  
16 due process violation arises only if the instruction rendered the  
17 entire trial unfair. Estelle, 502 U.S. at 72. Moreover, the  
18 standard for a finding of insanity is a matter of state law and is  
19 not of federal constitutional dimension. Leland v. Oregon, 343  
20 U.S. 790, 798-99 (1952). A state law imposing a high burden of  
21 proof of insanity, including proof beyond a reasonable doubt, does  
22 not violate due process. Id.

23 Here, given the overwhelming evidence against Petitioner and  
24 the instructions as a whole, the appellate court reasonably  
25 concluded that any error was harmless. See Brecht v. Abrahamson,  
26 507 U.S. 619, 637 (1993). As detailed above, evidence of  
27 Petitioner's sanity at the time of the murder was substantial. By  
28 way of example only, the experts appointed by the trial court both

1 opined that Petitioner was sane (Ex. A, vol. 1 at 187-89; Ex. A,  
2 vol. 3 at 56-57) and several witnesses testified that Petitioner  
3 was cooperative, alert and oriented following the discovery of  
4 Wagner's murder. Ex. B at 3689, 3851-53, 3866, 3868-73, 3888,  
5 3890-91, 3923-24, 3956-58, 4513-14, 4522, 4525. Further, the trial  
6 court gave the jury other proper instructions on Petitioner's  
7 burden to prove insanity by a preponderance of the evidence. Ex.  
8 A, vol. 2 at 551, 557, 563. See Middleton v. McNeil, 541 U.S. 433,  
9 437-38 (2004) (state court reasonably found no due process  
10 violation where trial court gave at least three correct  
11 instructions on unreasonable self-defense and one admittedly  
12 incorrect instruction). Accordingly, Petitioner does not show that  
13 the error had a substantial and injurious effect on the verdict.  
14 Brecht, 507 U.S. at 623.

15 Based on the above, the state court's denial of this claim was  
16 not contrary to, or an unreasonable application of, established  
17 federal authority.

18 CONCLUSION

19 For the foregoing reasons, the Petition for a Writ of Habeas  
20 corpus is DENIED.

21 Pursuant to Rule 11 of the Rules Governing Section 2254 Cases,  
22 a certificate of appealability (COA) under 28 U.S.C. § 2253(c) is  
23 GRANTED as to Petitioner's competency hearing claim. The Court  
24 finds that reasonable jurists viewing the record could find the  
25 Court's assessment of this claim "debatable or wrong." Slack v.  
26 McDaniel, 529 U.S. 473, 484 (2000). Because Petitioner has failed  
27 to make a substantial showing that any of his other claims amounted  
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1 to a denial of his constitutional rights or demonstrate that a  
2 reasonable jurist would disagree with this Court's assessment, a  
3 COA is DENIED as to all other claims. The COA on Petitioner's  
4 competency hearing claim does not obviate the requirement that  
5 Petitioner file any notice of appeal within thirty (30) days of  
6 this order.

7 The Clerk shall terminate any pending motions as moot, enter  
8 judgment in favor of Respondent and close the file.

9 IT IS SO ORDERED.

10 Dated: 8/15/2011

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12 CLAUDIA WILKEN

13 UNITED STATES DISTRICT JUDGE  
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1 UNITED STATES DISTRICT COURT  
2 FOR THE  
3 NORTHERN DISTRICT OF CALIFORNIA

4 ANTHONY C. GORE,

Case Number: CV08-04365 CW

6 Plaintiff,

**CERTIFICATE OF SERVICE**

7 v.

9 ROBERT A. HOREL et al,

10 Defendant.  
11 \_\_\_\_\_/

12 I, the undersigned, hereby certify that I am an employee in the Office of the Clerk, U.S. District  
13 Court, Northern District of California.

14 That on August 15, 2011, I SERVED a true and correct copy(ies) of the attached, by placing said  
15 copy(ies) in a postage paid envelope addressed to the person(s) hereinafter listed, by depositing said  
16 envelope in the U.S. Mail, or by placing said copy(ies) into an inter-office delivery receptacle located  
17 in the Clerk's office.

18  
19 Anthony Clark Gore F-01081  
20 California Medical Facility  
21 P.O. Box 2000  
22 M-229-L  
23 Vacaville, CA 95696-2000

24 Dated: August 15, 2011

Richard W. Wieking, Clerk  
By: Nikki Riley, Deputy Clerk