

1 DENNIS J. HERRERA, State Bar #139669
 City Attorney
 2 JOANNE HOEPER, State Bar #114961
 Chief Trial Attorney
 3 MEGHAN K. HIGGINS, State Bar #235685
 JOSHUA S. WHITE, State Bar #237223
 4 Deputy City Attorneys
 Fox Plaza
 5 1390 Market Street, Sixth Floor
 San Francisco, California 94102-5408
 6 Telephone: (415) 554-3896
 Facsimile: (415) 554-3837
 7 E-Mail: meghan.higgins@sfgov.org

8 Attorneys for Defendants,
 9 CITY AND COUNTY OF SAN FRANCISCO,
 MICHAEL HENNESSEY, HEATHER FONG,
 10 KAMALA HARRIS & RICK FORMAN

11
 12 UNITED STATES DISTRICT COURT
 13 NORTHERN DISTRICT OF CALIFORNIA

14 ROBERT VON MERTA,

15 Plaintiff,

16 vs.

17 CITY AND COUNTY OF SAN
 18 FRANCISCO, SAN FRANCISCO POLICE
 DEPARTMENT, HEATHER FONG, SAN
 19 FRANCISCO SHERIFF'S DEPARTMENT,
 MICHAEL HENNESSEY, SAN FRANCISCO
 20 DISTRICT ATTORNEY'S OFFICE,
 KAMALA HARRIS, RICK FOREMAN, and
 21 DOES 1-200,

22 Defendants.

Case No. CV09-0753 PJH

STIPULATED PROTECTIVE
 ORDER

Trial Date: January 31, 2011

23
 24 1. PURPOSES AND LIMITATIONS

25 Disclosure and discovery activity in this action are likely to involve production of confidential,
 26 proprietary, or private information for which special protection from public disclosure and from use
 27 for any purpose other than prosecuting this litigation may be warranted. Accordingly, the parties
 28 hereby stipulate to and petition the court to enter the following Stipulated Protective Order. The parties

1 acknowledge that this Order does not confer blanket protections on all disclosures or responses to
2 discovery and that the protection it affords from public disclosure and use extends only to the limited
3 information or items that are entitled to confidential treatment under the applicable legal principles.
4 The parties further acknowledge, as set forth in Section 8, below, that this Stipulated Protective Order
5 does not entitle them to file confidential information under seal; Civil Local Rule 79-5 sets forth the
6 procedures that must be followed and the standards that will be applied when a party seeks permission
7 from the court to file material under seal.

8 2. DEFINITIONS

9 2.1. Challenging Party: a Party or Non-Party that challenges the designation of information or
10 items under this Order.

11 2.2. “CONFIDENTIAL” Information or Items: information (regardless of how it is generated,
12 stored or maintained) or tangible things that qualify for protection under Federal Rule of Civil
13 Procedure 26(c).

14 2.3. Counsel (without qualifier): Outside Counsel of Record and House Counsel (as well as
15 their support staff).

16 2.4. Designating Party: a Party or Non-Party that designates information or items that it
17 produces in disclosures or in responses to discovery as “CONFIDENTIAL.”

18 2.5. Disclosure or Discovery Material: all items or information, regardless of the medium or
19 manner in which it is generated, stored, or maintained (including, among other things, testimony,
20 transcripts, and tangible things), that are produced or generated in disclosures or responses to
21 discovery in this matter.

22 2.6. Expert: a person with specialized knowledge or experience in a matter pertinent to the
23 litigation who has been retained by a Party or its counsel to serve as an expert witness or as a
24 consultant in this action.

25 2.7. House Counsel: attorneys who are employees of a party to this action. House Counsel
26 does not include Outside Counsel of Record or any other outside counsel.

27 2.8. Non-Party: any natural person, partnership, corporation, association, or other legal entity
28 not named as a Party to this action.

1 2.9. Outside Counsel of Record: attorneys who are not employees of a party to this action but
2 are retained to represent or advise a party to this action and have appeared in this action on behalf of
3 that party or are affiliated with a law firm which has appeared on behalf of that party.

4 2.10. Party: any party to this action, including all of its officers, directors, employees,
5 consultants, retained experts, and Outside Counsel of Record (and their support staffs).

6 2.11. Producing Party: a Party or Non-Party that produces Disclosure or Discovery Material
7 in this action.

8 2.12. Professional Vendors: persons or entities that provide litigation support services (e.g.,
9 photocopying, videotaping, translating, preparing exhibits or demonstrations, and organizing, storing,
10 or retrieving data in any form or medium) and their employees and subcontractors.

11 2.13. Protected Material: any Disclosure or Discovery Material that is designated as
12 “CONFIDENTIAL.”

13 2.14. Receiving Party: a Party that receives Disclosure or Discovery Material from a
14 Producing Party.

15 3. SCOPE

16 The protections conferred by this Stipulation and Order cover not only Protected Material (as
17 defined above), but also (1) any information copied or extracted from Protected Material; (2) all
18 copies, excerpts, summaries, or compilations of Protected Material; and (3) any testimony,
19 conversations, or presentations by Parties or their Counsel that might reveal Protected Material.
20 However, the protections conferred by this Stipulation and Order do not cover the following
21 information: (a) any information that is in the public domain at the time of disclosure to a Receiving
22 Party or becomes part of the public domain after its disclosure to a Receiving Party as a result of
23 publication not involving a violation of this Order, including becoming part of the public record
24 through trial or otherwise; and (b) any information known to the Receiving Party prior to the
25 disclosure or obtained by the Receiving Party after the disclosure from a source who obtained the
26 information lawfully and under no obligation of confidentiality to the Designating Party. Any use of
27 Protected Material at trial shall be governed by a separate agreement or order.

1 4. DURATION

2 Even after final disposition of this litigation, the confidentiality obligations imposed by this
3 Order shall remain in effect until a Designating Party agrees otherwise in writing or a court order
4 otherwise directs. Final disposition shall be deemed to be the later of (1) dismissal of all claims and
5 defenses in this action, with or without prejudice; and (2) final judgment herein after the completion
6 and exhaustion of all appeals, rehearings, remands, trials, or reviews of this action, including the time
7 limits for filing any motions or applications for extension of time pursuant to applicable law.

8 5. DESIGNATING PROTECTED MATERIAL

9 5.1. Exercise of Restraint and Care in Designating Material for Protection. Each Party or Non-
10 Party that designates information or items for protection under this Order must take care to limit any
11 such designation to specific material that qualifies under the appropriate standards. The Designating
12 Party must designate for protection only those parts of material, documents, items, or oral or written
13 communications that qualify – so that other portions of the material, documents, items, or
14 communications for which protection is not warranted are not swept unjustifiably within the ambit of
15 this Order.

16 Mass, indiscriminate, or routinized designations are prohibited. Designations that are shown to
17 be clearly unjustified or that have been made for an improper purpose (e.g., to unnecessarily encumber
18 or retard the case development process or to impose unnecessary expenses and burdens on other
19 parties) expose the Designating Party to sanctions.

20 If it comes to a Designating Party's attention that information or items that it designated for
21 protection do not qualify for protection, that Designating Party must promptly notify all other Parties
22 that it is withdrawing the mistaken designation.

23 5.2. Manner and Timing of Designations. Except as otherwise provided in this Order (see,
24 e.g., second paragraph of section 5.2(a) below), or as otherwise stipulated or ordered, Disclosure or
25 Discovery Material that qualifies for protection under this Order must be clearly so designated before
26 the material is disclosed or produced.

27 Designation in conformity with this Order requires:
28

1 (a) for information in documentary form (e.g., paper or electronic documents, but excluding
2 transcripts of depositions or other pretrial or trial proceedings), that the Producing Party affix the
3 legend “CONFIDENTIAL” to each page that contains protected material. If only a portion or portions
4 of the material on a page qualifies for protection, the Producing Party also must clearly identify the
5 protected portion(s) (e.g., by making appropriate markings in the margins).

6 A Party or Non-Party that makes original documents or materials available for inspection need
7 not designate them for protection until after the inspecting Party has indicated which material it would
8 like copied and produced. During the inspection and before the designation, all of the material made
9 available for inspection shall be deemed “CONFIDENTIAL.” After the inspecting Party has identified
10 the documents it wants copied and produced, the Producing Party must determine which documents,
11 or portions thereof, qualify for protection under this Order. Then, before producing the specified
12 documents, the Producing Party must affix the “CONFIDENTIAL” legend to each page that contains
13 Protected Material. If only a portion or portions of the material on a page qualifies for protection, the
14 Producing Party also must clearly identify the protected portion(s) (e.g., by making appropriate
15 markings in the margins).

16 (b) for testimony given in deposition or in other pretrial or trial proceedings, that the
17 Designating Party identify on the record, before the close of the deposition, hearing, or other
18 proceeding, all protected testimony.

19 (c) for information produced in some form other than documentary and for any other tangible
20 items, that the Producing Party affix in a prominent place on the exterior of the container or containers
21 in which the information or item is stored the legend “CONFIDENTIAL.” If only a portion or portions
22 of the information or item warrant protection, the Producing Party, to the extent practicable, shall
23 identify the protected portion(s).

24 5.3. Inadvertent Failures to Designate. If timely corrected, an inadvertent failure to designate
25 qualified information or items does not, standing alone, waive the Designating Party’s right to secure
26 protection under this Order for such material. Upon timely correction of a designation, the Receiving
27 Party must make reasonable efforts to assure that the material is treated in accordance with the
28 provisions of this Order.

1 6. CHALLENGING CONFIDENTIALITY DESIGNATIONS

2 6.1. Timing of Challenges. Any Party or Non-Party may challenge a designation of
3 confidentiality at any time. Unless a prompt challenge to a Designating Party's confidentiality
4 designation is necessary to avoid foreseeable, substantial unfairness, unnecessary economic burdens,
5 or a significant disruption or delay of the litigation, a Party does not waive its right to challenge a
6 confidentiality designation by electing not to mount a challenge promptly after the original designation
7 is disclosed.

8 6.2. Meet and Confer. The Challenging Party shall initiate the dispute resolution process by
9 providing written notice of each designation it is challenging and describing the basis for each
10 challenge. To avoid ambiguity as to whether a challenge has been made, the written notice must recite
11 that the challenge to confidentiality is being made in accordance with this specific paragraph of the
12 Protective Order. The parties shall attempt to resolve each challenge in good faith and must begin the
13 process by conferring directly (in voice to voice dialogue; other forms of communication are not
14 sufficient) within 14 days of the date of service of notice. In conferring, the Challenging Party must
15 explain the basis for its belief that the confidentiality designation was not proper and must give the
16 Designating Party an opportunity to review the designated material, to reconsider the circumstances,
17 and, if no change in designation is offered, to explain the basis for the chosen designation. A
18 Challenging Party may proceed to the next stage of the challenge process only if it has engaged in this
19 meet and confer process first or establishes that the Designating Party is unwilling to participate in the
20 meet and confer process in a timely manner.

21 6.3. Judicial Intervention. If the Parties cannot resolve a challenge without court intervention,
22 the Designating Party shall file and serve a motion to retain confidentiality under Civil Local Rule 7
23 (and in compliance with Civil Local Rule 79-5, if applicable) within 21 days of the initial notice of
24 challenge or within 14 days of the parties agreeing that the meet and confer process will not resolve
25 their dispute, whichever is earlier. Each such motion must be accompanied by a competent declaration
26 affirming that the movant has complied with the meet and confer requirements imposed in the
27 preceding paragraph. Failure by the Designating Party to make such a motion including the required
28 declaration within 21 days (or 14 days, if applicable) shall automatically waive the confidentiality

1 designation for each challenged designation. In addition, the Challenging Party may file a motion
2 challenging a confidentiality designation at any time if there is good cause for doing so, including a
3 challenge to the designation of a deposition transcript or any portions thereof. Any motion brought
4 pursuant to this provision must be accompanied by a competent declaration affirming that the movant
5 has complied with the meet and confer requirements imposed by the preceding paragraph.

6 The burden of persuasion in any such challenge proceeding shall be on the Designating Party.
7 Frivolous challenges, and those made for an improper purpose (e.g., to harass or impose unnecessary
8 expenses and burdens on other parties) may expose the Challenging Party to sanctions. Unless the
9 Designating Party has waived the confidentiality designation by failing to file a motion to retain
10 confidentiality as described above, all parties shall continue to afford the material in question the level
11 of protection to which it is entitled under the Producing Party's designation until the court rules on the
12 challenge.

13 7. ACCESS TO AND USE OF PROTECTED MATERIAL

14 7.1. Basic Principles. A Receiving Party may use Protected Material that is disclosed or
15 produced by another Party or by a Non-Party in connection with this case only for prosecuting,
16 defending, or attempting to settle this litigation. Such Protected Material may be disclosed only to the
17 categories of persons and under the conditions described in this Order. When the litigation has been
18 terminated, a Receiving Party must comply with the provisions of section 13 below (FINAL
19 DISPOSITION).

20 Protected Material must be stored and maintained by a Receiving Party at a location and in a
21 secure manner that ensures that access is limited to the persons authorized under this Order.

22 7.2. Disclosure of "CONFIDENTIAL" Information or Items. Unless otherwise ordered by the
23 court or permitted in writing by the Designating Party, a Receiving Party may disclose any
24 information or item designated "CONFIDENTIAL" only to:

25 (a) the Receiving Party's Outside Counsel of Record in this action, as well as employees of
26 said Outside Counsel of Record to whom it is reasonably necessary to disclose the information for this
27 litigation and who have signed the "Acknowledgment and Agreement to Be Bound" that is attached
28 hereto as Exhibit A;

1 (b) the officers, directors, and employees (including House Counsel) of the Receiving Party to
2 whom disclosure is reasonably necessary for this litigation and who have signed the
3 “Acknowledgment and Agreement to Be Bound” (Exhibit A);

4 (c) Experts (as defined in this Order) of the Receiving Party to whom disclosure is reasonably
5 necessary for this litigation and who have signed the “Acknowledgment and Agreement to Be Bound”
6 (Exhibit A);

7 (d) the court and its personnel;

8 (e) court reporters and their staff, professional jury or trial consultants, mock jurors, and
9 Professional Vendors to whom disclosure is reasonably necessary for this litigation and who have
10 signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A);

11 (f) during their depositions, witnesses in the action to whom disclosure is reasonably necessary
12 and who have signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A), unless
13 otherwise agreed by the Designating Party or ordered by the court. Pages of transcribed deposition
14 testimony or exhibits to depositions that reveal Protected Material must be separately bound by the
15 court reporter and may not be disclosed to anyone except as permitted under this Stipulated Protective
16 Order.

17 (g) the author or recipient of a document containing the information or a custodian or other
18 person who otherwise possessed or knew the information.

19 8. PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN OTHER
20 LITIGATION

21 If a Party is served with a subpoena or a court order issued in other litigation that compels
22 disclosure of any information or items designated in this action as “CONFIDENTIAL,” that Party
23 must:

24 (a) promptly notify in writing the Designating Party. Such notification shall include a copy of
25 the subpoena or court order;

26 (b) promptly notify in writing the party who caused the subpoena or order to issue in the other
27 litigation that some or all of the material covered by the subpoena or order is subject to this Protective
28 Order. Such notification shall include a copy of this Stipulated Protective Order; and

1 (c) cooperate with respect to all reasonable procedures sought to be pursued by the Designating
2 Party whose Protected Material may be affected.

3 If the Designating Party timely seeks a protective order, the Party served with the subpoena or
4 court order shall not produce any information designated in this action as “CONFIDENTIAL” before a
5 determination by the court from which the subpoena or order issued, unless the Party has obtained the
6 Designating Party’s permission. The Designating Party shall bear the burden and expense of seeking
7 protection in that court of its confidential material – and nothing in these provisions should be
8 construed as authorizing or encouraging a Receiving Party in this action to disobey a lawful directive
9 from another court.

10 9. A NON-PARTY’S PROTECTED MATERIAL SOUGHT TO BE PRODUCED IN THIS
11 LITIGATION

12 (a) The terms of this Order are applicable to information produced by a Non-Party in this
13 action and designated as “CONFIDENTIAL.” Such information produced by Non-Parties in
14 connection with this litigation is protected by the remedies and relief provided by this Order. Nothing
15 in these provisions should be construed as prohibiting a Non-Party from seeking additional
16 protections.

17 (b) In the event that a Party is required, by a valid discovery request, to produce a Non-Party’s
18 confidential information in its possession, and the Party is subject to an agreement with the Non-Party
19 not to produce the Non-Party’s confidential information, then the Party shall:

20 1. promptly notify in writing the Requesting Party and the Non-Party that some or all of the
21 information requested is subject to a confidentiality agreement with a Non-Party;

22 2. promptly provide the Non-Party with a copy of the Stipulated Protective Order in this
23 litigation, the relevant discovery request(s), and a reasonably specific description of the information
24 requested; and

25 3. make the information requested available for inspection by the Non-Party.

26 (c) If the Non-Party fails to object or seek a protective order from this court within 14 days of
27 receiving the notice and accompanying information, the Receiving Party may produce the Non-Party’s
28 confidential information responsive to the discovery request. If the Non-Party timely seeks a

1 protective order, the Receiving Party shall not produce any information in its possession or control that
 2 is subject to the confidentiality agreement with the Non-Party before a determination by the court.¹
 3 Absent a court order to the contrary, the Non-Party shall bear the burden and expense of seeking
 4 protection in this court of its Protected Material.

5 10. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL

6 If a Receiving Party learns that, by inadvertence or otherwise, it has disclosed Protected
 7 Material to any person or in any circumstance not authorized under this Stipulated Protective Order,
 8 the Receiving Party must immediately (a) notify in writing the Designating Party of the unauthorized
 9 disclosures, (b) use its best efforts to retrieve all unauthorized copies of the Protected Material, (c)
 10 inform the person or persons to whom unauthorized disclosures were made of all the terms of this
 11 Order, and (d) request such person or persons to execute the “Acknowledgment and Agreement to Be
 12 Bound” that is attached hereto as Exhibit A.

13 11. INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE PROTECTED 14 MATERIAL

15 When a Producing Party gives notice to Receiving Parties that certain inadvertently produced
 16 material is subject to a claim of privilege or other protection, the obligations of the Receiving Parties
 17 are those set forth in Federal Rule of Civil Procedure 26(b)(5)(B). This provision is not intended to
 18 modify whatever procedure may be established in an e-discovery order that provides for production
 19 without prior privilege review. Pursuant to Federal Rule of Evidence 502(d) and (e), insofar as the
 20 parties reach an agreement on the effect of disclosure of a communication or information covered by
 21 the attorney-client privilege or work product protection, the parties may incorporate their agreement in
 22 the stipulated protective order submitted to the court.

23 12. MISCELLANEOUS

24 12.1 Right to Further Relief. Nothing in this Order abridges the right of any person to seek its
 25 modification by the court in the future.

27 ¹ 1 The purpose of this provision is to alert the interested parties to the existence of
 28 confidentiality rights of a Non-Party and to afford the Non-Party an opportunity to protect its
 confidentiality interests in this court.

1 12.2 Right to Assert Other Objections. By stipulating to the entry of this Protective Order no
2 Party waives any right it otherwise would have to object to disclosing or producing any information or
3 item on any ground not addressed in this Stipulated Protective Order. Similarly, no Party waives any
4 right to object on any ground to use in evidence of any of the material covered by this Protective
5 Order.

6 12.3 Filing Protected Material. Without written permission from the Designating Party or a
7 court order secured after appropriate notice to all interested persons, a Party may not file in the public
8 record in this action any Protected Material. A Party that seeks to file under seal any Protected
9 Material must comply with Civil Local Rule 79-5. Protected Material may only be filed under seal
10 pursuant to a court order authorizing the sealing of the specific Protected Material at issue. Pursuant to
11 Civil Local Rule 79-5, a sealing order will issue only upon a request establishing that the Protected
12 Material at issue is privileged, protectable as a trade secret, or otherwise entitled to protection under
13 the law. If a Receiving Party's request to file Protected Material under seal pursuant to Civil Local
14 Rule 79-5(d) is denied by the court, then the Receiving Party may file the information in the public
15 record pursuant to Civil Local Rule 79-5(e) unless otherwise instructed by the court.

16 13. FINAL DISPOSITION. Within 60 days after the final disposition of this action, as defined
17 in paragraph 4, each Receiving Party must return all Protected Material to the Producing Party or
18 destroy such material. As used in this subdivision, "all Protected Material" includes all copies,
19 abstracts, compilations, summaries, and any other format reproducing or capturing any of the
20 Protected Material. Whether the Protected Material is returned or destroyed, the Receiving Party must
21 submit a written certification to the Producing Party (and, if not the same person or entity, to the
22 Designating Party) by the 60 day deadline that (1) identifies (by category, where appropriate) all the
23 Protected Material that was returned or destroyed and (2) affirms that the Receiving Party has not
24 retained any copies, abstracts, compilations, summaries or any other format reproducing or capturing
25 any of the Protected Material. Notwithstanding this provision, Counsel are entitled to retain an
26 archival copy of all pleadings, motion papers, trial, deposition, and hearing transcripts, legal
27 memoranda, correspondence, deposition and trial exhibits, expert reports, attorney work product, and
28 consultant and expert work product, even if such materials contain Protected Material. Any such

1 archival copies that contain or constitute Protected Material remain subject to this Protective Order as
2 set forth in Section 4 (DURATION).

3 IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.

4 Dated: May 24, 2010

DENNIS J. HERRERA
City Attorney
JOANNE HOEPER
Chief Trial Attorney
MEGHAN K. HIGGINS
Deputy City Attorneys

9 By: /s/ Meghan K. Higgins
MEGHAN K. HIGGINS
Attorneys for Defendants

13 Dated: May 24, 2010

14 By: /s/ Matthew Witteman*
MATTHEW WITTEMAN
Attorneys for Plaintiff
ROBERT VON MERTA

17 *Pursuant to General Order 45, the electronic signatory of this
18 documents attests that this individual concurs in his electronic
signature of this document. The actual signature page is on file.

19 **PURSUANT TO STIPULATION, IT IS SO ORDERED.**

20 Dated: 05/25/10

21
22
23 THE HONORABLE
UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
Judge Joseph C. Spero
24
25
26
27
28

EXHIBIT A

ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND

I, _____ [print or type full name], of _____ [print or type full address], declare under penalty of perjury that I have read in its entirety and understand the Stipulated Protective Order that was issued by the United States District Court for the Northern District of California on [date] in the case of _____ [insert formal name of the case and the number and initials assigned to it by the court]. I agree to comply with and to be bound by all the terms of this Stipulated Protective Order and I understand and acknowledge that failure to so comply could expose me to sanctions and punishment in the nature of contempt. I solemnly promise that I will not disclose in any manner any information or item that is subject to this Stipulated Protective Order to any person or entity except in strict compliance with the provisions of this Order.

I further agree to submit to the jurisdiction of the United States District Court for the Northern District of California for the purpose of enforcing the terms of this Stipulated Protective Order, even if such enforcement proceedings occur after termination of this action.

I hereby appoint _____ [print or type full name] of _____ [print or type full address and telephone number] as my California agent for service of process in connection with this action or any proceedings related to enforcement of this Stipulated Protective Order.

Date: _____

City and State where sworn and signed: _____

Printed name: _____

[printed name]

Signature: _____

[signature]