MATTHEW M. OLIVERI, ESQ. (SBN 230486) 1 MADSEN & WOLCH, LLP 2 2055 N. Broadway, Suite 100 Walnut Creek, CA 94596 3 Telephone: (925) 974-0800 Facsimile: (925) 974-0808 4 mmo@madsenwolch.com; mwllp@aol.com 5 Attorneys for DEFENDANTS, 6 RUIZ BROTHERS PREFERRED PLUMBING, INC. 7 JAMES LUIS RUIZ; EMILIO RUIZ; FEDERICO RUIZ; AND DOES 1 THROUGH 10, inclusive. 8 IN THE UNITED STATES DISTRICT COURT 9 NORTHERN DISTRICT OF CALIFORNIA 10 11 TRUSTEES OF U.A. LOCAL 159 Health &) Case No. CV 09 2397 PJH Welfare Trust Fund, Apprentice Training Fund: TRUSTEES OF THE PIPE TRADES DISTRICT COUNCIL NO. 36 Health & Welfare Trust Fund, 12 STIPULATION AND PROTECTIVE ORDER PERTAINING TO AUDIT OF 13 Pension Trust Fund, Apprentice Training Fund; TRUSTEES OF THE NORTHERN DEFENDANTS'/CROSS-COMPLAINANTS' BUSINESS 14 CALIFORNIA PIPE TRADES Health & Welfare RECORDS Trust Fund, Pension Trust Fund, Apprentice 15 Training Fund, 16 Plaintiffs, 17 18 RUIZ BROTHERS PREFERRED PLUMBING, INC; JAMES LUIS RUIZ; EMILIO RUIZ; 19 FEDERICO RUIZ; AND DOES 1-10, inclusive, 20 Defendants. 21 22 23 RUIZ BROTHERS PREFERRED PLUMBING, INC; JAMES LUIS RUIZ; EMILIO RUIZ; 24 FEDERICO RUIZ, 25 Cross-Complainants, 26 27 Local Union No. 159 of the United Association of Journeyman and Apprentices of the Plumbing and 28 Pipe Fitting Industry of the United States and Canada, AFL-CIO, an entity of unknown TRUSTEES ET AL. V. PREFERRED PLUMBING, ET. AL., CV 09-2397 PJH STIPULATION AND PROTECTIVE ORDER PERTAINING TO AUDIT OF BUSINESS RECORDS

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formation: Northern California Mechanical Contractors Association, an entity of unknown formation; Pipe Trades District Council No. 36, an) entity of unknown formation; Mechanical Contractors Council, an entity of unknown formation; PLUMBERS & STEAMFITTERS LOCAL UNION 342 OF THE UNITED ASSOCIATION OF JOURNEYMAN AND APPRENTICES OF THE PLUMBING AND PIPEFITTING INDUSTRY OF THE UNITED STATES AND CANADA, AFL-CIO, an entity of unknown formation; AIR CONDITIONING & REFRIGERATION CONTRACTORS ASSOCIATION OF NORTHERN CALIFORNIA. an entity of unknown formation; NORTHERN CALIFORNIA PIPING CONTRACTORS ASSOCIATION AND INDUSTRIAL CONTRACTORS, UMIC, an entity of unknown formation; ADAM HODESS, an individual; JOE GARZA, an individual; and ROES 1-50, inclusive. Cross-Defendants.

PLAINTIFFS TRUSTEES OF U.A. LOCAL 159 Health & Welfare Trust Fund,
Apprentice Training Fund, TRUSTEES OF THE PIPE TRADES DISTRICT COUNCIL NO. 36
Health & Welfare Trust Fund, Pension Trust Fund, Apprentice Training Fund, and TRUSTEES
OF THE NORTHERN CALIFORNIA PIPE TRADES Health & Welfare Trust Fund, Pension
Trust Fund, Apprentice Training Fund (collectively, "TRUSTEES"), and DEFENDANTS /
CROSS-PLAINTIFFS RUIZ BROTHERS PREFERRED PLUMBING, INC, JAMES LUIS
RUIZ, EMILIO RUIZ, and FEDERICO RUIZ (collectively, "PREFERRED PLUMBING"), by
and through their respective Attorneys of Record, hereby stipulate to the following items, and
request that the Court issue the following Orders:

1. The TRUSTEES and PREFERRED PLUMBING acknowledge and agree that PREFERRED PLUMBING has certain information, documentation, practices, manuals, methods, records and the like, relating to its business, operations and finances that are confidential, private, proprietary, and that PREFERRED PLUMBING does not want that information falling into the hands of parties not involved in the above-entitled litigation.(hereinafter collectively referred to as "Confidential Information").

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- 2. The TRUSTEES and PREFERRED PLUMBING acknowledge and agree that PREFERRED PLUMBING has the right and need for a Protective Order limiting the disclosure of such confidential information to third parties. "Third parties" does not include parties to this litigation, their agents or experts, parties to the cross-complaint, Lindquist auditors or their agents, the Court, witnesses, a jury or other persons working on the litigation.
- 3. The TRUSTEES and PREFERRED PLUMBING acknowledge and agree to be bound by the provisions, restrictions, limitations, conditions, and stipulations contained in this Stipulation and Protective Order ("Protective Order").
- 4. The purpose of this Protective Order is to prohibit dissemination of documents to parties that are not involved in this litigation. This Protective Order does not and shall not limit the rights of the parties and their agents, including Lindquist, from obtaining relevant information. The Protective Order simply prohibits transmission of such information to parties not involved in the litigation.

IT IS HEREBY STIPULATED by and between the Parties and their respective Attorneys, subject to the approval of the Court, that:

- 5. Each Party to this Stipulation and Protective Order, and their respective Attorneys, and any agent of the Parties and their Attorneys (including the auditing firm selected by the TRUSTEES), and anyone else who may subsequently stipulate to be bound by the provisions of this Stipulation and Protective Order, hereby stipulate and are Ordered to be bound by the provisions contained herein.
- This Protective Order shall apply to any and all information and documents pertaining to 6. PREFERRED PLUMBING'S business, operations and finances produced in connection with the Court-ordered audit.
- 7. Any and all invoices, payroll records, contracts, proposals, documents, information and the like, which are made available to any Party, Attorney or their agent, shall remain confidential, and shall not be disseminated to any third party, without the express written consent of PREFERRED PLUMBING or by Court Order. As mentioned above, a "third party" does not include the parties or their consultants, experts, or agents, the Court,

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- Lindquist, witnesses, or any jury hearing this case or any case brought by Cross Plaintiffs Ruiz Brothers against Cross-Defendants.
- 8. Each person or entity that obtains or reviews any confidential information pertaining to PREFERRED PLUMBINGS' business, operations and/or finances shall sign an Acknowledgment Form indicating that that individual or company agrees to be bound by this Protective Order.
- 9. Any and all experts or consultants hired by a Party or Attorney who obtains or reviews Confidential Information pertaining to PREFERRED PLUMBING shall sign an Acknowledgment Form indicating that that individual or company agrees to be bound by this Protective Order.
- 10. Any and all written Confidential Information that may be offered as evidence at any court proceeding shall be withdrawn at the conclusion of such proceedings if PREFERRED PLUMBING so requests, or PREFERRED PLUMBING may ask, the Court to seal such Confidential Information as the Court deems property, so that such Confidential Information shall not be accessible by the public.
- This Protective Order may not be modified, amended, terminated or vacated without the 11. express written consent of the TRUSTEES and PREFERRED PLUMBING, or without Court Order.
- 12. Confidential Information shall not be disseminated to any person or entity that may later be joined into this action, unless said party or entity signs a written acknowledgement agreeing to be bound by this Protective Order.
- 13. If the TRUSTEES wish to provide access to Confidential Information to any person or entity who is not included herein, or who is not an employee or agent of the TRUSTEES or their Attorneys, the TRUSTEES will give written notification to PREFERRED PLUMBING, via its Attorneys, that the TRUSTEES wish to provide access to said Confidential Information. The notification shall include (a) the identification of the persons or entities that will receive the Confidential Information, including the name, address, telephone number and email of said persons or entities; (b) the items to be

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disseminated; and (c) the purpose and reasons for the dissemination of Confidential Information.

- 14. PREFERRED PLUMBING shall have ten (10) days to object to the dissemination of Confidential Information via written objections to the TRUSTEES. If no written objection is made by PREFERRED PLUMBING, the objection is deemed waived.
- 15. If PREFERRED PLUMBING objects in writing to the dissemination of any Confidential Information, no Confidential Information shall be disseminated without express written consent of PREFERRED PLUMBING, or Court Order.
- 16. If PREFERRED PLUMBING objects in writing to the dissemination of any Confidential Information, the Parties agree to meet and confer within 5 days of the objection.
- 17. If the Parties cannot resolve the dispute, either Party may file a motion to seek judicial resolution of the dispute. No Confidential Information may be disseminated until the Parties or the Court resolves the dispute.
- If the TRUSTEES or PREFERRED PLUMBING substitute new Attorneys into the case, 18. said Attorneys shall be not be provided any Confidential Information until they sign a written acknowledgement agreeing to be bound by the terms of this Protective Order, and any amendments thereto.
- Nothing contained herein shall be deemed an admission or waiver by any party, person or 19. entity, including a waiver of any provision of the Federal Rules of Evidence and Civil Procedure.
- 20. This Protective Order is executed solely for the purpose of facilitating the exchange of documents and information between the Parties herein, without the need for involving the Court in extensive Discovery motions.
- 21. This Protective Order is executed without a waiver by or prejudice to any Party in this case, to present motions to the Court for additional protective orders as the circumstances arise.
- 22. This Protective Order may be modified, amended, terminated or vacated without leave of Court, per written agreement of the Parties.

Dated: 4-19-10

Attorneys for TRUSTEES

THEW M. OLIVERI, ESO. Attorneys for PREFERRED PLUMBING

4/21/10



CERTIFICATE OF SERVICE

The undersigned hereby certifies that on April 20, 2010, the foregoing

OF DEFENDANTS'/CROSS-COMPLAINANTS' BUSINESS RECORDS has

been filed through the Court's ECF filing system and I am informed that it will be

Court's Notice of Electronic Filing. The foregoing will be sent via first class mail

to the defendants and/or attorneys of record who are not indicated as participants.

sent electronically to the following registered participants as identified on the

STIPULATION AND PROTECTIVE ORDER PERTAINING TO AUDIT

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Via First Class Mail:

Dated: April 20, 2010

CERTIFICATE OF SERVICE

4:09-cv-2397 PJH

/s/ Adam J. Zapala ADAM J. ZAPALA