

UNITED STATES DISTRICT COURT  
For the Northern District of California

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UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

HARTFORD FIRE INSURANCE  
COMPANY,

No. C 09-05363 SBA (LB)

Plaintiff(s),

**AMENDED  
NOTICE AND ORDER REGARDING  
SETTLEMENT CONFERENCE**

v.

NBC GENERAL CONTRACTORS  
CORPORATION, ET AL.,

Defendant(s).

TO ALL PARTIES AND COUNSEL OF RECORD:

The above matter was referred to United States Magistrate Judge Laurel Beeler for settlement purposes.

**A. Time and Location of Settlement Conference**

You are hereby notified that a settlement conference is being rescheduled to **August 3, 2012, at 9:30 a.m.**, 3rd Floor, Federal Building, 1301 Clay Street, Oakland, CA 94612. The previous set date of **January 3, 2012 at 9:30 a.m.** is **VACATED**. Further details about the location are contained in the last section of this order. The parties shall notify the Court's chambers immediately at (510) 637-3324 if the case settles before the settlement conference.

**B. Meet-and-Confer For Settlement Conferences Conducted Before Discovery Cut-Off**

If the settlement conference is being conducted before the discovery cut-off deadline set by the

1 trial judge, counsel must meet and confer in person or by telephone no later than 21 days before the  
2 settlement conference and **before** preparing their exchanged and confidential settlement conference  
3 statements. The purpose of the meeting is to identify and exchange whatever discovery is needed  
4 for all sides to evaluate the case for settlement. Counsel shall cooperate in providing discovery  
5 informally and expeditiously before the settlement conference.

6 **C. Participants**

7 Lead trial counsel shall attend the settlement conference with the parties and with the person(s)  
8 having full authority to negotiate and settle the case.

9 **1. Corporation or Other Non-Government Entity.** A party other than a natural person (such  
10 as a corporation or association) satisfies the attendance requirement if represented by a person (other  
11 than outside counsel) who has final authority to settle and who is knowledgeable about the facts of  
12 the case. If the authority to settle is vested only in a governing board, claims committee, or  
13 equivalent body and cannot be delegated, a party must comply with the requirements of Northern  
14 District of California ADR Local Rule 7-4(a) and shall designate a person with authority to  
15 participate in the settlement conference and, if a tentative settlement agreement is reached, to  
16 recommend the agreement to the approving body for its approval.

17 **2. Government Entity.** A party that is a government entity satisfies the attendance requirement  
18 if represented by a person (in addition to counsel of record) who (a) has, to the greatest extent  
19 feasible, authority to settle, (b) is knowledgeable about the facts of the case, the government entity's  
20 position, and the positions and policies under which the government entity decides whether to accept  
21 proposed settlements, and (c) has the authority, if a tentative settlement agreement is reached, to  
22 recommend the agreement to the government entity for its approval. *See* N.D. Cal. ADR Local R. 7-  
23 4(b). If the action is brought by the government on behalf of one or more individuals, at least one  
24 such individual also must attend. *See id.*

25 **3. Insured Party.** An insured party shall appear with a representative of the carrier with full  
26 authority to negotiate up to the limits of coverage.

27 **4. Personal Attendance By Counsel and Parties.** Personal attendance by counsel will not be  
28 excused, and personal attendance of a party rarely will be excused by the Court. Permission for a

1 party to attend by telephone may be granted upon a written and e-filed request made at least ten  
2 calendar days in advance of the conference **if** attendance would constitute an extraordinary or  
3 unjustified hardship. The nature of the hardship must be explained in detail. A copy of the written  
4 request must be served on all other parties. Any objection to the request must be e-filed within 48  
5 hours of receipt. If telephone attendance is allowed, the party must be available throughout the  
6 entire conference.

7 **D. Settlement Conference Statements**

8 **No later than seven days before the settlement conference, each party shall lodge (not e-file**  
9 **or file) two copies of the following settlement conference statements.**

- 10 1. "Exchanged Settlement Conference Statement," and
- 11 2. "Additional Settlement Information" to be included either in the exchanged settlement  
12 conference statement or in a separate confidential statement.

13 Please three-hole punch the documents at the left side. The settlement conference statements  
14 should not be filed and instead should be submitted to the Clerk's Office, 1301 Clay Street, Suite  
15 400S, Oakland, CA 94612, in a sealed envelope addressed to Judge Beeler and prominently marked  
16 "**SETTLEMENT CONFERENCE DOCUMENTS: DO NOT FILE.**" The trial judge will not  
17 have access to the statements.

18 **1. Exchanged Settlement Conference Statement**

19 The exchanged settlement conference statement may not exceed ten pages of text and twenty  
20 pages of exhibits. (Key documents -- such as the insurance policy in an insurance dispute -- can be  
21 very helpful at a settlement conference.) Counsel shall serve the exchanged statement on all parties  
22 and should share with their clients the exchanged statement received from opposing counsel. The  
23 statement must cite specifically to any supporting exhibits and authorities. The exchanged  
24 settlement conference statement may include any information that may be useful to the settlement  
25 judge and shall include the following:

- 26 a. **Substance of the Suit.** Describe briefly the substance of the suit, including the following:
  - 27 i. The facts giving rise to the case.
  - 28 ii. The claims and defenses, including the statutory or other grounds upon which the claims

1 are founded.

2 iii. A summary of the proceedings to date, including a list of the motions previously made,  
3 the dispositions, and any pending motions.

4 iv. A statement of the facts not reasonably in dispute.

5 v. A list of the key facts in dispute and the specific evidence relevant to a determination of  
6 those facts.

7 vi. A brief statement of issues of law with respect to liability and damages. The statement  
8 shall include cites to statutes and cases, but no extended legal argument is necessary.

9 b. Relief Sought. A statement of the relief sought, including an itemization of damages and any  
10 non-monetary relief sought.

11 c. Settlement Discussions. Except to the extent prohibited by applicable rules of privilege,  
12 describe the history and current status of any settlement negotiations, including the parties' positions  
13 on settlement (including present demands and offers), a summary of past settlement discussions, and  
14 a current reasonable proposal of settlement. If there have been no prior settlement discussions,  
15 Plaintiff must serve a demand on Defendant in writing no later than fourteen days before the  
16 conference, outlining its theories for recovery, the supporting facts, and damages. Plaintiff must  
17 include its demand in its exchanged settlement conference statement. Defendant must include its  
18 response to the demand in its exchanged settlement conference statement.

19 d. Persons Attending Conference. For each party, a list of names, titles, and positions of  
20 persons who will attend the conference. This list does not count toward the ten-page limit. This  
21 section should identify by name, title or status, the following persons: (i) the person(s) with  
22 decision-making authority who, in addition to counsel, will attend the settlement conference as a  
23 representative of the party, and (ii) the person(s) connected with a party opponent (including an  
24 insurer representative) whose presence might substantially improve the prospects for settlement.

25 **2. Additional Settlement Information**

26 The parties **must** submit the additional information listed below either in the exchanged  
27 statement or in a separate confidential statement that is not served on the other parties. The page  
28 limits for the additional information are five pages of text and five pages of exhibits.

1 a. Litigation Costs. A statement of litigation costs and attorney's fees to date and estimated  
2 costs, fees and time projected for further discovery, pretrial proceedings, and trial.

3 b. Settlement Analysis. Describe the party's views of the key liability issues and damages and  
4 include a candid evaluation of the strengths, weaknesses, and uncertainties of your case (factual and  
5 legal) and the probability of prevailing on the major issues and disputes. Include any information  
6 about past settlement discussions not suitable for inclusion in the exchanged settlement conference  
7 statement.

8 c. Additional Information. Include any additional information about other needs, interests, or  
9 considerations not described elsewhere and that might be pertinent to settlement. That information  
10 might include the following:

11 i. What needs of your client must be met for the parties to reach a settlement?

12 ii. What needs of the opposing party must be met to reach a settlement?

13 iii. What do you see as the obstacles to a settlement, and what ideas do you have to overcome  
14 them?

15 iv. Do you have enough information to discuss settlement, and if not, what additional  
16 information is needed?

17 v. What are the consequences to each side if no settlement is reached?

18 **E. Settlement Conference**

19 Settlement conferences regularly last three or more hours. On the date and time of the settlement  
20 conference, please come to Courtroom 4 on the third floor of the Federal Building at 1301 Clay  
21 Street, Oakland, California. If the courtroom is in use, come directly to Judge Beeler's chambers,  
22 also on the third floor.

23 At the conference, the parties should be prepared to discuss their settlement objectives, the issues  
24 discussed in the "additional information" paragraph in the preceding section, and the possibility of a  
25 creative resolution of the dispute.

26 **F. Continuances**

27 Any request to continue the settlement conference should be e-filed, should demonstrate a  
28 compelling reason for the continuance, should state whether it is joined or opposed by the opposing

1 party or parties, and should contain three alternate dates agreed to by all parties after review of the  
2 Court's publicly-available calendar at <http://www.cand.uscourts.gov/CAND/Calendar.nsf>. Parties  
3 must appear on the already-calendared date unless the Court sets a different date. If the continuance  
4 would result in a settlement conference on a date after the deadline set by the trial judge, the parties  
5 must obtain the trial judge's written approval to the extension of the deadline.

6 **G. Additional Requirements**

7 Counsel shall provide a copy of this order to each party who will participate in the conference.  
8 Counsel should review Northern District of California ADR Local Rule 7, which is available at  
9 <http://www.adr.cand.uscourts.gov>.

10 **IT IS SO ORDERED.**

11 Dated: December 14, 2011

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14 LAUREL BEELER  
15 United States Magistrate Judge  
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