

IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF CALIFORNIA

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3  
4 IN SUK KIM,

No. C 10-2101 CW

5 Plaintiff,

ORDER GRANTING IN  
PART AND DENYING  
IN PART  
DEFENDANT'S MOTION  
FOR SUMMARY  
JUDGMENT  
(Docket No. 58)

6 v.

7 THOMAS J. VILSACK, Secretary of  
8 the United States Department of  
Agriculture,

9 Defendant.

10 \_\_\_\_\_/

United States District Court  
For the Northern District of California

11  
12 Plaintiff In Suk Kim charges Defendant Thomas J. Vilsack,  
13 Secretary of United States Department of Agriculture, with age and  
14 national origin discrimination and unlawful retaliation in  
15 violation of the Age Discrimination in Employment Act (ADEA), 29  
16 U.S.C. §§ 621-634, and Title VII, 42 U.S.C. §§ 2000e, et seq.  
17 Defendant moves for summary judgment on all of Plaintiff's claims.  
18 Plaintiff opposes Defendant's motion. Having considered the  
19 papers filed by the parties and their oral arguments during the  
20 hearing, the Court GRANTS Defendant's motion in part and DENIES it  
21 in part.  
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BACKGROUND

1  
2 The following summary presents any disputed facts in the  
3 light most favorable to Plaintiff, as the non-moving party.<sup>1</sup>

4 Plaintiff was born in 1938 and is of Korean ancestry. Compl.  
5 ¶ 3; Answer ¶ 3; Mot. for Summ. J. 2; Opp. 6. From 1993 through  
6 2001, Plaintiff was employed by the United States Department of  
7 Agriculture (USDA), first as a Development and Investigation  
8 chemist in the Food Safety Inspection Service Western Laboratory  
9 in Alameda, California and later as a Toxicologist in Washington,  
10 D.C. Decl. of Richard M. Rogers (Rogers Decl.) ¶ 4, Ex. 6,  
11 Deposition of In Suk Kim (Kim Depo.), 15:2-16:22. Plaintiff left  
12 the USDA in 2001 for personal reasons and moved back to  
13 California. Id. at 15:25-16:2.

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16 \_\_\_\_\_  
17 <sup>1</sup> Concurrently with her opposition to Defendant's motion,  
18 Plaintiff filed a separate eight-page document containing  
19 objections to certain evidence submitted by Defendant in support  
20 of the motion for summary judgment. See Pl.'s Evidentiary  
21 Objections, Docket No. 66. Defendant requests that this document  
22 be disregarded, because it violates Local Rule 7-3(a), which  
23 requires that evidentiary objections to the motion should be  
24 contained within the opposition. Because, when added together,  
25 Plaintiff's opposition and the additional document containing  
26 evidentiary objections total less twenty-five pages, the maximum  
27 length allowed for her opposition under Local Rule 7-3(a), the  
28 Court exercises its discretion to excuse Plaintiff's failure to  
comply with the requirement that they be contained within a single  
document. Accordingly, Defendant's request is OVERRULED.

To the extent that the Court relies on any evidence to which  
Plaintiff objects, the Court rules on the objection prior to  
considering the evidence. Where necessary, such rulings are  
discussed below. To the extent that the Court decides the motion  
without considering evidence to which Plaintiff has objected,  
Plaintiff's objections are OVERRULED as moot.

1 About two years later, Plaintiff applied to work at the USDA  
2 again and was hired by Dr. Emilio Esteban, then the Laboratory  
3 Director of the Western Laboratory, and Leon Ilnicki, the  
4 Chemistry Branch Chief (CBC), to work in the Western Laboratory as  
5 a Supervisory Chemist paid at the GS-13 level. Id. at  
6 25:20-27:20; Decl. of Emilio Esteban (Esteban Decl.) ¶ 2. When  
7 Plaintiff began her new position on September 7, 2003, Mr. Ilnicki  
8 was her direct supervisor. Kim Depo., at 30:4-9.

9  
10 Mr. Ilnicki took sick leave in 2005 and resigned due to  
11 illness shortly after taking leave. Id. at 30:7-9, 60:19-22;  
12 Esteban Decl. ¶ 2. After Mr. Ilnicki left, Dr. Esteban became  
13 Plaintiff's direct supervisor. Kim Depo., at 30:7-9; Esteban  
14 Decl. ¶ 2. Dr. Esteban assigned Plaintiff to serve in the  
15 position of Acting CBC for a period of time in 2005, after  
16 Plaintiff complained to him during a meeting that Stephen Powell,  
17 another Supervisory Chemist, who was born in 1948, had initially  
18 been assigned to serve as the Acting CBC. Kim Depo., at 64:10-16.  
19 From mid-2005 through early January 2006, Plaintiff and Mr. Powell  
20 rotated in this position. Powell Depo., at 20:3-4; Esteban Decl.  
21 ¶ 4; Opp. at 2.

22  
23 On July 13, 2005, Dr. Esteban gave Plaintiff a performance  
24 review for the period from July 1, 2004 through June 30, 2005.  
25 Dr. Esteban rated Plaintiff at the highest level overall, with an  
26 "OUTSTANDING" rating, and in each individual performance element,  
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1 with an "EXCEEDS" rating, including for supervision. Rogers Decl.  
2 ¶ 4, Ex. 7, Esteban Depo., Ex. 1, at 1.

3 In June 2005, Dr. Esteban posted a vacancy announcement for  
4 the permanent CBC position. Esteban Decl. ¶ 5. The vacancy  
5 announcement was open until February 2006 and was advertised  
6 multiple times. Id. Plaintiff saw continued advertisements of  
7 the position in local and national media outlets in December 2005  
8 and sometime between then and July 2006. Kim Depo., at 71:1-8.  
9 Three candidates, including Plaintiff, who met the minimum  
10 requirements for the position, applied and were placed onto a  
11 Promotion Certificate. Esteban Decl. ¶ 5. The other two  
12 applicants placed on the Promotion Certificate were born in 1952  
13 and 1958. Id. at ¶ 6. Dr. Esteban decided that none of the three  
14 candidates was appropriate for the position and did not interview  
15 any of them. Id. at ¶ 5.<sup>2</sup>

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18 Plaintiff was informed that she was not selected for the  
19 position on October 24, 2005 and again on July 6, 2006. Id. at  
20 ¶¶ 6, 7, Exs. D and E; Kim Depo., at 71:2-8; 72:24-73:4. The July  
21 6, 2006 letter stated that the Western Laboratory had cancelled  
22 the vacancy announcement. Esteban Decl. ¶ 7, Ex. E. When  
23 Plaintiff asked Dr. Esteban, after she received the July 6, 2006  
24 letter, why she was not selected, he told her that the reason was

25  
26 <sup>2</sup> Plaintiff objects to paragraph five of Dr. Esteban's declaration  
27 as lacking foundation and conclusory. However, this statement is  
28 based on Dr. Esteban's personal knowledge. Accordingly,  
Plaintiff's objections are OVERRULED.

1 "a budget problem." Kim Depo., at 72:24-73:13. Dr. Esteban now  
2 states that he rejected Plaintiff for the position because he  
3 believed that she did not have the supervisory and management  
4 skills necessary for the position, she consistently had difficulty  
5 meeting deadlines and completing administrative tasks, and other  
6 staff members complained that she was too intrusive into their  
7 personal lives. Esteban Decl. ¶ 7.<sup>3</sup>  
8

9 In 2005 or 2006, Mr. Powell informed Dr. Esteban that he  
10 intended to retire in March 2007. Powell Depo., at 13:2-15:2.  
11 Dr. Esteban asked him to stay to train, and to transfer his  
12 institutional knowledge to, Catalina Yee and Dr. Patricia  
13 Nedialkova.<sup>4</sup> Powell Depo., at 13:12-16:21. Dr. Nedialkova's  
14 national origin is described as "American" and she was born in  
15 1975. Nedialkova Decl. ¶ 4. Defendant states, and Plaintiff does  
16 not dispute, that Ms. Yee's national origin is "USA" and she was  
17 born in 1961.  
18

19 From January 13, 2006 through April 30, 2007, Plaintiff  
20 continuously served as Acting CBC. Kim Depo., at 65:10-23.  
21

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22 <sup>3</sup> Plaintiff objects to the relevant portion of paragraph seven of  
23 Dr. Esteban's declaration as lacking foundation, conclusory and  
24 hearsay. However, the statements contained therein are based on  
25 Dr. Esteban's personal knowledge. Further, Dr. Esteban's  
26 statement that the staff members made complaints are not offered  
27 to prove the truth of the matter contained in the complaints, but  
28 rather as evidence of his motive for rejecting Plaintiff for the  
promotion. Accordingly, Plaintiff's objections are OVERRULED.

<sup>4</sup> Dr. Nedialkova was known as Patricia Linden for some of the time  
period relevant to this case. Opp. at 1 n.1.

1 During this time, Dr. Nediakova and Ms. Yee served as Acting  
2 Supervisory Chemists. Esteban Depo., at 90:13-17, Ex. 3. While  
3 Plaintiff was serving as Acting CBC, she made some of her  
4 subordinates feel uncomfortable by asking them about personal  
5 matters and by engaging them in long conversations that were not  
6 work-related. Nediakova Depo., at 30:5-25.

7  
8 In June or July 2006, Dr. Esteban gave Plaintiff a  
9 performance review for the period from July 1, 2005 through June  
10 30, 2006. Esteban Depo., Ex. 1, at 2; Kim Depo., at 85:25-86:2.  
11 In this review, Dr. Esteban gave Plaintiff an overall "SUPERIOR"  
12 rating, the second highest rating. Esteban Depo., Ex. 1, at 2.  
13 He gave her the highest "EXCEEDS" rating in three individual  
14 categories, and the middle "MEETS" rating, in two, including for  
15 Supervision. Id. When they met to discuss this review, Dr.  
16 Esteban told Plaintiff that she was "not energetic enough to  
17 supervise" others. Kim Depo., at 85:25-86:2.

18  
19 In October 2006, after operating without a permanent CBC for  
20 more than a year, Dr. Esteban decided to eliminate the CBC  
21 position and reorganize the Chemistry Branch of the Western  
22 Laboratory. Esteban Decl. ¶ 8. As part of the reorganization,  
23 Dr. Esteban began to develop a new position, Lead Chemist, with  
24 the intention of moving Plaintiff into it from the Supervisory  
25 Chemist position. Id. The Lead Chemist position had the same  
26 responsibilities as the Supervisory Chemist except that it lacked  
27 a supervisory component. Id. The Lead Chemist and the  
28

1 Supervisory Chemist positions had the same pay grade and salary.  
2 Id. The Lead Chemist would provide additional training and  
3 expertise for the less experienced chemists, and would act as a  
4 consultant for them. Esteban Decl. 17, Ex. H (Esteban September  
5 19, 2007 Affidavit (Esteban Aff.)), at 15.<sup>5</sup> As part of the  
6 reorganization, Dr. Esteban also promoted two Chemistry Analysts,  
7 Dr. Nediakova and Ms. Yee, to the position of Supervisory  
8 Analyst. Esteban Decl. ¶ 8.  
9

10 In January 2007, the CBC at the Food Emergency Response  
11 Network (FERN) requested a report on what methods the Western  
12 Laboratory used. Esteban Decl. ¶ 11.<sup>6</sup> As Acting CBC, Plaintiff  
13 sent a report. Id. Dr. Esteban determined that the report  
14 Plaintiff sent was incomplete and asked her to revise it to  
15 include an analysis that she had omitted. Id.  
16  
17

18 <sup>5</sup> Plaintiff challenges the admissibility of affidavits attached  
19 to the declarations of Dr. Esteban, Kenneth Dobson, and John  
20 Rivera, which these individuals had submitted in connection with  
21 the earlier EEO investigations, based on a general and unexplained  
22 objection that they are hearsay. Pl.'s Evidentiary Objections, at  
23 4, 6, 7. These affidavits are sworn statements made in front of  
24 officers authorized to administer oaths. See 29 C.F.R.  
25 § 1614.108(c)(2). Accordingly, Plaintiff's objections are  
26 OVERRULED.  
27

28 <sup>6</sup> Plaintiff objects to the admissibility of paragraphs eleven and  
thirteen of Dr. Esteban's declaration based on lack of foundation  
and the best evidence rule. However, the statement contained  
therein are based on his personal knowledge. Further, his  
statement regarding the documents is offered to prove his  
assessment of Plaintiff's writing skills and work performance and  
is not offered to prove the content of the documents.  
Accordingly, Plaintiff's objections are OVERRULED.

1 In February 2007, Dr. Esteban asked Plaintiff to edit a  
2 document to add a paragraph justifying the purchase of certain  
3 laboratory equipment. Id. at ¶ 13. She failed to do so. Id.  
4 Dr. Esteban decided that her written work product was of poor  
5 quality and re-wrote the document. Id.

6 On February 26, 2007, while Plaintiff was serving as the  
7 Acting CBC, at the GS-13 level, she sent an email to Dr. Esteban,  
8 requesting that she be temporarily promoted to Acting CBC at  
9 Grade-14 pay for 120 days from that date. Kim Depo., at  
10 75:7-77:24; Esteban Decl. ¶ 9, Ex G. At the time of Plaintiff's  
11 request, there was no vacancy announcement pending for the  
12 permanent CBC position. Kim Depo., at 77:16-18.

13 On March 6, 2007, Plaintiff met with Dr. Esteban for her  
14 midterm performance evaluation. Id. at 80:12-19. During that  
15 meeting, Dr. Esteban told her that her request to be promoted to a  
16 Grade-14 position of Acting CBC was denied and that he was  
17 eliminating the CBC position. Id. at 79:7-12, 82:15-17. He told  
18 her that the reason for his denial was that there was a budget  
19 problem. Id. at 79:13-14. During that conversation, Dr. Esteban  
20 also informed Plaintiff that she would be moved from the  
21 Supervisory Chemist to the Lead Chemist position. Id. at  
22 84:21-85:17. Dr. Esteban explained that Plaintiff was not  
23 "energetic enough to be a supervisory chemist," that he "wanted to  
24 have young one," and that she was "not aggressive enough to be a  
25 supervisor." Id. at 82:24-25, 85:18-86:7. Dr. Esteban also  
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1 informed Plaintiff that Dr. Nediaalkova would take the Supervisory  
2 Chemist opening. Kim Depo., at 84:17-20. After the meeting,  
3 Plaintiff submitted a request for training to increase her skills  
4 as a supervisor. Esteban Aff. at 7.

5 On March 26, 2007, Plaintiff wrote a letter, which was  
6 forwarded to the Equal Employment Office (EEO), containing  
7 complaints about discrimination. Id. at 87:22-88:4. Plaintiff  
8 filed a written complaint on May 31, 2007. Rogers Decl. ¶ 3, Ex.  
9 3. In her complaint, Plaintiff alleged that Dr. Esteban had  
10 discriminated against her on the basis of age by "preventing her  
11 merit promotion to Chemistry Branch Chief." Id. at 3. Plaintiff  
12 also made several other allegations, including age discrimination  
13 in her performance reviews and in moving her to the Lead Chemist  
14 position. Id.

15 In July 2007, Dr. Esteban signed Plaintiff's performance  
16 evaluation for the July 1, 2006 through June 30, 2007 time period.  
17 Rogers Decl., Ex. 4, at 19. In this evaluation, Dr. Esteban gave  
18 Plaintiff an overall "SUPERIOR" rating, the second highest rating  
19 out of five possible ratings. Id. Dr. Esteban gave Plaintiff the  
20 highest "EXCEEDS" rating in two individual categories, and the  
21 middle "MEETS" rating in three, including for Supervision. Id.

22 In February 2008, Dr. Esteban left the Western Laboratory  
23 after receiving a promotion. Esteban Decl. ¶ 10. From February  
24 12, 2008 through June 12, 2008, John Rivera and Dave Martin served  
25 as Acting Laboratory Directors for the Western Laboratory. Rivera  
26  
27  
28

1 Decl. ¶ 1; Esteban Decl. ¶ 10. When they began as acting  
2 directors, Plaintiff was researching a new Carbadox method, and  
3 she presented many issues related to the new method at a meeting  
4 on June 3, 2008. Kim Decl. ¶ 19.

5 While Dr. Rivera was acting director, he "instructed  
6 [Plaintiff] to work with the supervisors" of the chemistry  
7 analysts and to go through the supervisors in order to assign work  
8 to the analysts whom they supervised, but "she refused to do it"  
9 and would instead go directly to the chemistry analysts. Rivera  
10 Decl. ¶ 3, Ex. A (Rivera March 16, 2010 Affidavit (Rivera Aff.)),  
11 at 4; Nedialkova Depo., at 80:12-16. Plaintiff also failed to  
12 provide Dr. Rivera with progress reports that he requested.  
13 Rivera Aff., at 4. Plaintiff did not complete an assignment  
14 related to hormones. Id.

15 In August 2008, Plaintiff received a performance appraisal  
16 for the July 1, 2007 through June 30, 2008 appraisal period.  
17 Sladden Decl. ¶ 14, Ex. M. The appraisal was a composite of two  
18 sets of ratings, one given by Dr. Esteban for the time period  
19 through February 16, 2008 and one by Dr. Rivera from February 17,  
20 2008 through June 12, 2008. Id. Both sets of ratings were  
21 identical: "FULLY SUCCESSFUL" for her overall rating, and average  
22 "MEETS" ratings for each of the individual categories. Id. Dr.  
23 Rivera gave her these ratings because he felt that she "did not  
24 contribute to the team" and did not complete at least one  
25 assignment as required. Rivera Aff., at 4.

1 In June or July 2008, Dr. Kenneth Dobson began as the  
2 Laboratory Director for the Western Laboratory. Dobson Decl. ¶ 1;  
3 Kim Decl. ¶ 17.

4 At some point after that, Plaintiff began refusing to  
5 communicate with the Supervisory Chemists and told them that she  
6 was only required to communicate with and share information with  
7 her supervisor, not with her peers. Nediaalkova Depo., at  
8 59:11-16, 77:20-25. The Supervisory Chemists reported this to  
9 their supervisor, Dr. Dobson, who was also Plaintiff's supervisor.  
10 Nediaalkova Depo., at 77:20-25.

11 When Plaintiff went to his office, Dr. Dobson would turn  
12 around and leave. Pl.'s Response to Def.'s Interrogatories (Resp.  
13 to Interrogatories), at 3. When Plaintiff would speak in  
14 meetings, Dr. Dobson would not listen to what she had to say and  
15 would talk to Ms. Yee or Dr. Nediaalkova instead of responding to  
16 Plaintiff. Rogers Decl. ¶ 4, Ex. 11 (Deposition of Carlos  
17 Hernandez (Hernandez Depo.)), at 23:12-24. Other employees  
18 believed that Dr. Dobson was "cold" to Plaintiff. Id. at  
19 23:17-19. On one occasion, Dr. Dobson fell asleep while she was  
20 giving a presentation during a meeting. Id. at 20:18-22.

21 At some unspecified point, in a staff meeting, Dr. Dobson  
22 remarked that he had to treat every employee like the worst  
23 possible employee, or "somebody with the last name like 'Perez'  
24 would sue him." Rogers Decl. ¶ 4, Ex. 9 (Deposition of Karen  
25 Thomas (Thomas Depo.)), at 20:12-19.

1 In or about October 2008, Dr. Rivera was asked to provide a  
2 member for the newly created Chemistry Method Steering Group.  
3 Rivera Aff., at 4. Dr. Charles Pixley, Director of the Laboratory  
4 Quality Assurance, told him that the member had to be a  
5 supervisor. Id. at 4-5. Because there was no CBC at the time,  
6 Dr. Rivera asked that either Ms. Yee or Dr. Nediaalkova, as the  
7 Supervisory Chemists, serve on the committee; Dr. Nediaalkova was  
8 ultimately placed on the committee. Id.  
9

10 On January 3, 2009, Plaintiff filed a second employment  
11 discrimination complaint alleging that she was subjected to  
12 retaliation and age and national origin discrimination when she  
13 was given the rating of "FULLY SUCCESSFUL" on her August 2008  
14 performance review and when she was not selected to serve on the  
15 Chemistry Method Steering Group. Rogers Decl., Ex. 4; 2AC ¶ 4;  
16 Answer ¶ 4; Opp. at 10.  
17

18 Dr. Dobson did not give Plaintiff any projects. Kim Depo.,  
19 Ex. 14, Resp. to Interrogatories, at 3. On January 29, 2009, Dr.  
20 Dobson took away all of Plaintiff's projects, except the Melamine  
21 project. Id. However, work on the Melamine project was stopped  
22 on January 29, 2009. Kim Depo., at 108:17-22.  
23

24 Defendant does not dispute that Plaintiff subsequently  
25 amended her second administrative complaint to allege that Dr.  
26 Dobson's reassignment of her projects to other employees in  
27 January 2009 was also the result of retaliation and  
28 discrimination. 11/17/2011 Hr'g Tr. 13:23-15:11.

1 On April 23, 2009, Dr. Dobson sent an email to a colleague  
2 stating that he was looking for projects for Plaintiff to do, in  
3 order to address her perception that she was "'marginalized' by  
4 her position." Rogers Decl. ¶ 2, Ex. 1. Dr. Dobson also stated  
5 that he was seeking projects suitable for a chemist who "doesn't  
6 go into the lab because of 'chemical sensitivity.'" Id.

7  
8 In late 2009, the Western Laboratory was assigned the new  
9 responsibility of hormone detection, a function that was  
10 previously performed by another laboratory. Dobson Decl. ¶ 8.  
11 This additional responsibility would require additional analysis  
12 or "bench work" done in the laboratory. Id. To meet this  
13 additional responsibility, Dr. Dobson decided that he would  
14 eliminate the Lead Chemist position and assign some of the  
15 responsibilities of the role to the Supervisory Chemist position,  
16 while changing Plaintiff to the role of Chemistry Analyst. Id.

17  
18 On November 24, 2009, Dr. Dobson sent an email to a colleague  
19 describing his plan to change Plaintiff's job position. Rogers  
20 Decl., Ex. 2. In the email, he stated that he had been given the  
21 results of a survey of employees that stated that Plaintiff  
22 "apparently does nothing" and that he needs "to do a better job  
23 managing her." Rogers Decl., Ex. 2. Attached to the email was a  
24 diagram that showed that Dr. Dobson planned to re-assign Plaintiff  
25 either to a solo project or to the laboratory and that he would  
26 consider the situation resolved if Plaintiff were to perform well,  
27 quit or be terminated. Id. The diagram also showed that, if  
28

1 Plaintiff were to assert that she had a chemical sensitivity, he  
2 planned to require her to see a doctor, pursuant to regulation, to  
3 confirm the diagnosis or face progressive discipline, up to  
4 termination. Id. If a doctor were to confirm that she had a  
5 chemical sensitivity, that would mean that she could not work in  
6 the laboratory, and she would be terminated as unfit for the  
7 position. Id.

8  
9 In December 2009, Dr. Dobson decided to reinstate the CBC  
10 position and posted the position through a vacancy announcement.  
11 Dobson Decl. ¶ 7. Plaintiff was not on the Promotion Certificate  
12 for this vacancy. Id. Dr. Nediaalkova was selected for the  
13 position on February 22, 2010. Id.

14 On March 3, 2010, Dr. Dobson and Dr. Nediaalkova told  
15 Plaintiff that she would have to work on a hormone method and that  
16 she would have to work in the laboratory the next week. Kim  
17 Depo., at 161:23-162:11.

18  
19 On March 4, 2010, Dr. Dobson told Plaintiff that he was  
20 changing her position from Lead Chemist to Chemistry Analyst. Id.  
21 at 165:21-24. Dr. Dobson and Dr. Nediaalkova also told Plaintiff  
22 that she would have to vacate her office and move to a desk in the  
23 "train," an area attached to the lab where all of the chemists  
24 sat. Id. at 166:1-8. They told her that she had to move there by  
25 the end of the next day. Id. at 164:1-3. At that time, Plaintiff  
26 did not state that she could not work in the laboratory or the  
27 train due to chemical sensitivities. Id. at 166:14-16. Instead,

1 Plaintiff resigned her position that day. Kim Depo., at  
2 160:24-161:1; Sladden Decl. ¶ 15, Ex. N.

3 On May 17, 2010, Plaintiff filed this action, alleging  
4 violations of the ADEA and Title VII based on the claims  
5 comprising the two administrative complaints described above.<sup>7</sup>

6 On July 6, 2010, Plaintiff filed another administrative  
7 complaint, alleging that she was subjected to retaliation and  
8 discrimination based on her age, national origin, and race when  
9 she was demoted from Lead Chemist to Chemist and constructively  
10 discharged on March 4, 2010. Sladden Decl. ¶ 13, Ex. L. There is  
11 no dispute that Plaintiff exhausted her administrative remedies as  
12 to each of her administrative complaints.  
13

14 On February 9, 2011, after being granted leave to amend,  
15 Plaintiff filed a second amended complaint (2AC), containing three  
16 claims: (1) unlawful discrimination based on age in violation of  
17 the ADEA; (2) unlawful discrimination based on national origin in  
18 violation of Title VII for conduct after March 26, 2007; and  
19 (3) retaliation after March 26, 2007 in violation of the ADEA and  
20 Title VII. Defendant now moves for summary judgment as to all  
21 three counts.  
22  
23

24 \_\_\_\_\_  
25 <sup>7</sup> Plaintiff also filed an administrative complaint on January  
26 29, 2010 alleging that she had been discriminated against based on  
27 her age when she learned on January 27, 2010 that she would not be  
28 considered for the reinstated CBC position. Sladden Decl. ¶ 12,  
Ex. K. She withdrew this complaint on February 5, 2010, *id.*, and  
she does not raise the claim in the instant case.

LEGAL STANDARD

Summary judgment is properly granted when no genuine and disputed issues of material fact remain, and when, viewing the evidence most favorably to the non-moving party, the movant is clearly entitled to prevail as a matter of law. Fed. R. Civ. P. 56; Celotex Corp. v. Catrett, 477 U.S. 317, 322-23 (1986); Eisenberg v. Ins. Co. of N. Am., 815 F.2d 1285, 1288-89 (9th Cir. 1987).

The moving party bears the burden of showing that there is no material factual dispute. Therefore, the court must regard as true the opposing party's evidence, if supported by affidavits or other evidentiary material. Celotex, 477 U.S. at 324; Eisenberg, 815 F.2d at 1289. The court must draw all reasonable inferences in favor of the party against whom summary judgment is sought. Matsushita Elec. Indus. Co. v. Zenith Radio Corp., 475 U.S. 574, 587 (1986); Intel Corp. v. Hartford Accident & Indem. Co., 952 F.2d 1551, 1558 (9th Cir. 1991).

Material facts which would preclude entry of summary judgment are those which, under applicable substantive law, may affect the outcome of the case. The substantive law will identify which facts are material. Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 248 (1986).

Where the moving party does not bear the burden of proof on an issue at trial, the moving party may discharge its burden of production by either of two methods:

1 The moving party may produce evidence negating  
2 an essential element of the nonmoving party's  
3 case, or, after suitable discovery, the moving  
4 party may show that the nonmoving party does not  
5 have enough evidence of an essential element of  
6 its claim or defense to carry its ultimate  
7 burden of persuasion at trial.

8 Nissan Fire & Marine Ins. Co., Ltd., v. Fritz Cos., Inc., 210 F.3d  
9 1099, 1106 (9th Cir. 2000).

10 If the moving party discharges its burden by showing an  
11 absence of evidence to support an essential element of a claim or  
12 defense, it is not required to produce evidence showing the  
13 absence of a material fact on such issues, or to support its  
14 motion with evidence negating the non-moving party's claim. Id.;  
15 see also Lujan v. Nat'l Wildlife Fed'n, 497 U.S. 871, 885 (1990);  
16 Bhan v. NME Hosps., Inc., 929 F.2d 1404, 1409 (9th Cir. 1991). If  
17 the moving party shows an absence of evidence to support the non-  
18 moving party's case, the burden then shifts to the non-moving  
19 party to produce "specific evidence, through affidavits or  
20 admissible discovery material, to show that the dispute exists."  
21 Bhan, 929 F.2d at 1409.

22 If the moving party discharges its burden by negating an  
23 essential element of the non-moving party's claim or defense, it  
24 must produce affirmative evidence of such negation. Nissan, 210  
25 F.3d at 1105. If the moving party produces such evidence, the  
26 burden then shifts to the non-moving party to produce specific  
27 evidence to show that a dispute of material fact exists. Id.

1 If the moving party does not meet its initial burden of  
2 production by either method, the non-moving party is under no  
3 obligation to offer any evidence in support of its opposition.  
4 Id. This is true even though the non-moving party bears the  
5 ultimate burden of persuasion at trial. Id. at 1107.

6 DISCUSSION

7 I. Plaintiff's Discrimination Claims

8 In Count One of her 2AC, Plaintiff claims that Defendant's  
9 treatment of her before and after March 26, 2006 violated the  
10 ADEA. In Count Two of her 2AC, Plaintiff claims that Defendant's  
11 treatment of her after March 26, 2006 constituted prohibited  
12 discrimination based on national origin under Title VII. In her  
13 opposition, Plaintiff clarifies that she is claiming that the  
14 following adverse employment actions were discriminatory: "She was  
15 denied permanent promotion and temporary designation to CBC, she  
16 was given poor performance reviews, she was marginalized and  
17 deprived of assignments, and she was constructively discharged."  
18 Opp. at 6. While Plaintiff urges the Court to consider the  
19 discrete adverse acts collectively, the Ninth Circuit has  
20 cautioned that district courts should consider a plaintiff's  
21 "claim of discrimination with regard to each of these employment  
22 decisions separately, examining the specific rationale offered for  
23 each decision and determining whether that explanation supported  
24 the inference of pretext.'" Odima v. Westin Tucson Hotel Co., 991  
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1 F.2d 595, 600 (9th Cir. 1993) (quoting Norris v. San Francisco,  
2 900 F.2d 1326, 1330 (9th Cir. 1990)).

3 A. Legal Standard

4 Plaintiff pursues claims under the ADEA and Title VII using a  
5 theory of disparate treatment. Therefore, she must provide  
6 evidence of intentional age or national origin discrimination by  
7 Defendant.

8  
9 In disparate treatment cases, plaintiffs can prove  
10 intentional discrimination through direct or indirect evidence.  
11 Enlow v. Salem-Keizer Yellow Cab Co., 389 F.3d 802, 812 (9th Cir.  
12 2004). Direct evidence is "evidence of conduct or statements by  
13 persons involved in the decision-making process that may be viewed  
14 as directly reflecting the alleged discriminatory attitude  
15 sufficient to permit the fact finder to infer that that attitude  
16 was more likely than not a motivating factor in the employer's  
17 decision." Id. (citation and internal quotation and editing marks  
18 omitted). When a plaintiff submits actual evidence of  
19 discrimination, "very little such evidence is necessary to raise a  
20 genuine issue of fact regarding an employer's motive." Lowe v.  
21 Monrovia, 775 F.2d 998, 1009 (9th Cir. 1985). Even if an employer  
22 proffers a non-discriminatory reason for the action, direct  
23 evidence of discrimination "necessarily" raises "a genuine issue  
24 of material fact with respect to the legitimacy or bona fides of  
25 the employer's articulated reason for its employment decision."  
26 Id.  
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1           Because direct proof of intentional discrimination is rare,  
2 such claims may also be proved circumstantially. See Dominguez-  
3 Curry v. Nev. Transp. Dep't, 424 F.3d 1027, 1037 (9th Cir. 2005).  
4 ADEA and Title VII claims based on circumstantial evidence are  
5 analyzed through the burden-shifting framework set forth in  
6 McDonnell Douglas Corp. v. Green, 411 U.S. 792, 802-03 (1973).  
7 See Shelley v. Geren, 2012 U.S. App. LEXIS 623, at \*18-22 (9th  
8 Cir. 2012) (finding McDonnell Douglas framework still applicable  
9 to motions for summary judgment on ADEA claims after the Supreme  
10 Court's decision in Gross v. FBL Financial Services, Inc., 557  
11 U.S. 167 (2009)).

12           At the first step of the McDonnell Douglas analysis,  
13 Plaintiff must establish a prima facie inference of  
14 discrimination. See Diaz v. Eagle Produce Ltd. P'ship, 521 F.3d  
15 1201, 1207 (9th Cir. 2008). A prima facie showing includes proof  
16 that 1) the plaintiff is a member of a protected class or, in the  
17 age discrimination context, over forty years of age; 2) the  
18 plaintiff is qualified for the position in question or is  
19 performing her job satisfactorily; 3) the plaintiff suffered an  
20 adverse employment action; and 4) the plaintiff was treated  
21 differently than a similarly situated employee who did not belong  
22 to the same protected class. Cornwell v. Electra Cent. Credit  
23 Union, 439 F.3d 1018, 1028 (9th Cir. 2006); Coleman v. Quaker Oats  
24 Co., 232 F.3d 1271, 1281 (9th Cir. 2000) (citing Nidds v.  
25 Schindler Co., 113 F.3d 912, 917 (9th Cir. 1997)); Washington v.  
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1 Garrett, 10 F.3d 1421, 1433 (9th Cir. 1993); Sischo-Nownejad v.  
2 Merced Community College, 934 F.2d 1104, 1109-10 & n.7 (9th Cir.  
3 1991). In the context of non-selection for a promotion, this  
4 final factor may be shown by demonstrating that "the position  
5 remained open after his or her rejection and the employer  
6 continued to seek applications from other people with similar  
7 qualifications to the plaintiff." Warren v. City of Carlsbad, 58  
8 F.3d 439, 441 (9th Cir. 1995). "[V]ery little evidence" must be  
9 produced to make the prima facie case. Sischo-Nownejad, 934 F.2d  
10 at 1110-11.

12 Once a plaintiff has established a prima facie inference of  
13 discrimination, he or she will generally have raised a genuine  
14 issue of material fact regarding the legitimacy of the employer's  
15 articulated reason for her termination. Accordingly, a factual  
16 question will almost always exist, and summary judgment will not  
17 be appropriate. Id. at 1111; Washington, 10 F.3d at 1433.  
18 However, in those cases where the prima facie case consists of no  
19 more than the minimum necessary to create a presumption under  
20 McDonnell Douglas, the plaintiff must produce some evidence of  
21 pretext to overcome summary judgment if the employer articulates a  
22 non-discriminatory reason for the adverse treatment. Wallis v.  
23 J.R. Simplot Co., 26 F.3d 885, 890 (9th Cir. 1994).

26 When a plaintiff presents direct evidence that the proffered  
27 explanation is a pretext for discrimination, "very little  
28 evidence" is required to avoid summary judgment. EEOC v. Boeing

1 Co., 577 F.3d 1044, 1049 (9th Cir. 2009). In contrast, when a  
2 plaintiff relies on circumstantial evidence, "that evidence must  
3 be specific and substantial to defeat the employer's motion for  
4 summary judgment.'" Id. (quoting Coghlan v. Am. Seafoods Co.  
5 LLC, 413 F.3d 1090, 1095 (9th Cir. 2005)).

6 B. Age Discrimination in Plaintiff's March 26, 2007  
7 Administrative Complaint

8 1. Denial of Permanent CBC position

9 To make her prima facie case, Plaintiff offers actual  
10 evidence of age discrimination in Dr. Esteban's refusal to promote  
11 her to the position of permanent CBC. Plaintiff testified that,  
12 at the time Dr. Esteban first informed her that he was eliminating  
13 the supervisory permanent CBC position, he told her that she was  
14 not "energetic enough to be a supervisory chemist," that he  
15 "wanted to have young one," and that she was "not aggressive  
16 enough to be a supervisor." Kim Depo., at 82:24-25, 85:18-86:7.  
17 Thus, Plaintiff has introduced a statement by Dr. Esteban, the  
18 relevant decision-maker, that raises a triable issue of fact as to  
19 whether an age-discriminatory attitude motivated Dr. Esteban's  
20 decision to eliminate the permanent CBC position rather than  
21 promote Plaintiff to fill it. Defendant argues that there was no  
22 permanent CBC position open and that Dr. Esteban has previously  
23 proffered various explanations for his denial of the promotion,  
24 including budgetary reasons and his perception that Plaintiff  
25 lacked adequate supervisory skills. Nonetheless, Plaintiff has  
26  
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28

1 presented evidence that raises a genuine question of material fact  
2 as to whether the elimination of the position was based on  
3 age-based animus and the proffered reasons were pretextual. See  
4 Dominguez-Curry, 424 F.3d at 1039 ("a single discriminatory  
5 comment by a plaintiff's supervisor or decision maker is  
6 sufficient to preclude summary judgment for the employer").  
7

8 Defendant argues that Plaintiff's claims for discrimination  
9 based upon the denial of her applications for the permanent CBC  
10 position are time-barred. The evidence shows that Plaintiff was  
11 first informed that she was not selected for the position on  
12 October 24, 2005. Esteban Decl. at ¶ 6, Ex. D. Plaintiff was  
13 informed again on July 6 or 7, 2006 that she was not selected for  
14 the position; at that time, she was also told that the vacancy  
15 announcement had been canceled. Id. at ¶ 7, Ex. E; Kim Depo., at  
16 71:2-8; 72:24-73:4. On March 6, 2007, Dr. Esteban informed  
17 Plaintiff that he was eliminating the permanent CBC position  
18 altogether. Kim Depo., at 82:15-17. The parties agree that  
19 Plaintiff's first contact with an EEO counselor was made on March  
20 26, 2007 and that she filed her initial complaint on May 31, 2007.  
21 Opp. at 2; Reply, at 3.  
22

23 Federal regulations require aggrieved federal employees to  
24 contact an EEO counselor within forty-five days of the alleged  
25 discriminatory action. 29 C.F.R. § 1614.105(a)(1). At the  
26 hearing, Plaintiff's counsel argued that Plaintiff did not learn  
27 that she was being discriminated against until March 6, 2007  
28

1 during her meeting with Dr. Esteban and that the relevant time  
2 period did not start until then. In support of this argument,  
3 Plaintiff's counsel cited Jones v. Dillard's, Inc., 331 F.3d 1259  
4 (11th Cir. 2003), in which the Eleventh Circuit permitted  
5 equitable tolling of an ADEA claim where the plaintiff did not  
6 have sufficient information to plead a claim based on  
7 discrimination in termination until after the limitations period  
8 had run. Id. at 1266.

9  
10 In Jones, the court found that, until the employer had hired  
11 her replacement, the plaintiff had no information, other than  
12 rumors and suspicion, to support that she was fired for any reason  
13 other than the pretextual reason she was given, which in that case  
14 was the financial status of the company. Id. at 1267-68. The  
15 Jones court distinguished several other cases in the Eleventh  
16 Circuit in which equitable tolling was not applied, where the  
17 plaintiffs "had sufficient evidence of their age discrimination  
18 claims to file an EEOC charge within the limitations period,"  
19 because they learned of their younger replacements within that  
20 time period. Id. at 1267. In so holding, the court in Jones  
21 stated, "The applicable limitations period did not begin to run  
22 until the facts supporting a cause of action became apparent or  
23 should have become [sic] apparent to a reasonably prudent person  
24 with concern for his or her rights." Id.

25  
26  
27 The Ninth Circuit has also held that equitable tolling  
28 applies to claims brought under the ADEA. Forester v. Chertoff,

1 500 F.3d 920, 925 (9th Cir. 2007). "Equitable tolling may be  
2 applied if, despite all due diligence, a plaintiff is unable to  
3 obtain vital information bearing on the existence of his claim."  
4 Santa Maria v. Pacific Bell, 202 F.3d 1170, 1178 (9th Cir. 2000),  
5 overruled in part on other grounds, 272 F.3d 1176, 1194 (9th Cir.  
6 2000). "If a reasonable plaintiff would not have known of the  
7 existence of a possible claim within the limitations period, then  
8 equitable tolling will serve to extend to [sic] statute of  
9 limitations for filing suit until the plaintiff can gather what  
10 information he needs." Id. "However, equitable tolling does not  
11 postpone the statute of limitations until the existence of a claim  
12 is a virtual certainty." Id. Thus, the Ninth Circuit has held  
13 that equitable tolling does not apply if the plaintiff "knew or  
14 reasonably should have known of the possible existence of a . . .  
15 discrimination claim within the limitations period," even if the  
16 plaintiff has not "marshaled every conceivable item of proof he  
17 might eventually be able to use at trial." Id. at 1179.

20 Plaintiff has introduced evidence that, if credited, could  
21 prove that, like in Jones, the facts necessary for Plaintiff  
22 sufficiently to allege a cause of action based on discrimination  
23 were not apparent until Dr. Esteban informed her that he was  
24 eliminating the permanent CBC position, and told her that he  
25 "wanted to have young one." Defendant has not shown that a  
26 reasonable plaintiff would have suspected prior to the March 6,  
27 2007 meeting that Dr. Esteban's stated reasons for denial of the  
28

1 promotion were pretextual and that the decision was actually  
2 motivated by age-based animus. Thus, there is a dispute of  
3 material fact as to whether Plaintiff's claim of a discriminatory  
4 promotion denial was equitably tolled until that date. If it was,  
5 Plaintiff's claim would not be time-barred, because Plaintiff  
6 contacted an EEO counselor less than forty-days after that  
7 meeting.

8  
9 Accordingly, Defendant's motion is DENIED to the extent that  
10 it seeks summary judgment on Plaintiff's claim for age  
11 discrimination in a promotion denial.

12 2. Denial of Promotion to GS-14 Acting CBC Position

13 Plaintiff also alleges that Defendant discriminated against  
14 her in denying her a temporary 120-day promotion to a GS-14 Acting  
15 CBC position on March 6, 2007, though Plaintiff continued to serve  
16 as Acting CBC at a GS-13 level through April 30, 2007. Because  
17 Dr. Esteban denied her temporary promotion to GS-14 Acting CBC in  
18 the same meeting in which Plaintiff says he made an overtly age  
19 discriminatory statement, Plaintiff has raised a factual dispute  
20 as to whether Defendant engaged in discrimination. Defendant  
21 argues that "the denial was based upon her poor supervisory  
22 skills." Mot. at 17. However, Plaintiff's proffered evidence  
23 raises a factual dispute as to whether this reason was pretextual.  
24 Defendant also argues that the CBC position was being eliminated  
25 entirely. However, this does not explain the refusal to promote  
26 her to the GS-14 position through April 30, 2007. Further, as  
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1 already addressed above, Dr. Esteban's statement raises a genuine  
2 question of material fact as to whether the elimination of the  
3 position was actually based on age-based animus. Accordingly,  
4 Plaintiff has met her burden on summary judgment, and Defendant's  
5 motion is DENIED to the extent that it seeks summary judgment on  
6 her claim for age discrimination in the denial of a temporary  
7 promotion.

8  
9 C. Age and National Origin Discrimination Claims in  
10 Plaintiff's January 3, 2009 Administrative Complaint

11 Defendant argues that Plaintiff should not be permitted to  
12 raise claims based on age and national origin discrimination from  
13 her January 3, 2009 administrative complaint. Defendant bases  
14 this argument on the Court's February 3, 2011 order granting  
15 Plaintiff leave to file a second amended complaint to allege  
16 claims based on the July 6, 2010 administrative complaint.  
17 However, Defendant fails to recognize that Plaintiff had already  
18 plead the discrimination claims arising from her January 3, 2009  
19 administrative complaint in her First Amended Complaint (1AC), see  
20 1AC ¶¶ 9-10, Docket No. 4, and thus these claims were not at issue  
21 in the Court's February 3, 2011 order.

22  
23 In Plaintiff's January 3, 2009 administrative complaint, she  
24 alleged that she was subjected to retaliation and discrimination  
25 based on her age and national origin when she was given a rating  
26 of "FULLY SUCCESSFUL" on her August 2008 performance review and  
27 when she was not selected to serve on the Chemistry Method  
28

1 Steering Group. Rogers Decl., Ex. 4; 2AC ¶ 4; Answer ¶ 4. It is  
2 not disputed that this administrative complaint also contained an  
3 allegation that Plaintiff was subjected to age and national origin  
4 discrimination and retaliation when her supervisor gave her  
5 projects to other employees in January 2009. Opp. at 10.

6 1. Non-selection to the Chemistry Method Steering Group

7 Defendant argues that Dr. Rivera's non-selection of Plaintiff  
8 for the Chemistry Method Steering Group was not an adverse  
9 employment action. The Ninth Circuit defines "'adverse employment  
10 action' broadly." Fonseca v. Sysco Food Services of Arizona,  
11 Inc., 374 F.3d 840, 847 (9th Cir. 2004) (citing Ray v. Henderson,  
12 217 F.3d 1234, 1241 (9th Cir. 2000); see also Brooks v. City of  
13 San Mateo, 229 F.3d 917, 928 (9th Cir. 2000) (collecting cases).

14 An adverse employment action is one that "materially affect[s] the  
15 compensation, terms, conditions, or privileges of . . .  
16 employment." Davis v. Team Elec. Co., 520 F.3d 1080, 1089 (9th  
17 Cir. 2008). However, "[n]ot every employment decision amounts to  
18 an adverse employment action." Brooks, 229 F.3d at 928.

19 Defendant argues that Plaintiff's non-selection for the committee  
20 was not an adverse employment action, because it had no material  
21 impact on her employment, title, grade, duties, promotional  
22 opportunities or duties. Mot. at 9. Defendant further argues  
23 that there was a non-discriminatory reason for the decision: that  
24 the members of the group had to be CBCs or otherwise in  
25 supervisory roles. Id.; Rivera Aff., at 4-5. Plaintiff does not  
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1 respond to either of these arguments. Plaintiff also adduces no  
2 evidence of discriminatory intent on Dr. Rivera's part.  
3 According, the Court GRANTS Defendant's motion for summary  
4 judgment as to Plaintiff's discrimination claims arising from her  
5 non-selection to the Chemistry Advisory Group.

6 2. August 2008 Performance Review

7 Defendant argues that Plaintiff has failed to establish a  
8 prima facie case of discrimination as to her final 2008  
9 performance review, because she not identified any similarly  
10 situated person outside of her protected class who was treated  
11 more favorably than she was. Mot. at 20. In his reply, Defendant  
12 also argues that the evaluation was not an adverse action and did  
13 not affect the material terms of her employment. Reply, at 10.  
14 However, "undeserved performance ratings, if proven, would  
15 constitute 'adverse employment decisions.'" Yartzoff v. Thomas,  
16 809 F.2d 1371, 1376 (9th Cir. 1987). While she seeks to rebut  
17 Defendant's evidence that her work performance was flawed and thus  
18 argue that the proffered non-discriminatory reasons are  
19 pretextual, Response at 12, Plaintiff does not respond to the  
20 contention that she has not established a prima facie case of  
21 discrimination on this basis. At the hearing, Plaintiff's counsel  
22 conceded that she could not establish that similarly situated  
23 people outside of her protected class had been treated more  
24 favorably. According, the Court GRANTS Defendant's motion for  
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1 summary judgment as to Plaintiff's discrimination claims arising  
2 from her 2008 performance review.

3 3. Reassignment of Projects in January 2009

4 Defendant argues that Plaintiff cannot establish a prima  
5 facie case for discrimination based on Dr. Dobson's reassignment  
6 of all of her projects on January 29, 2009. Defendant states that  
7 the projects at issue had not been assigned to her in the first  
8 place. However, Plaintiff has submitted evidence that the  
9 projects were initially assigned to her, and points out that Dr.  
10 Dobson's prior affidavit states that they had been assigned to  
11 her. Resp. to Interrogatories, at 4; Dobson Aff., at 83-84.  
12 There is no dispute that the projects were re-assigned to Dr.  
13 Nediaalkova and Ms. Yee. Dobson Aff., at 84; Dobson Decl. ¶ 11,  
14 Ex. D. Further, Defendant acknowledges that Dr. Nediaalkova is  
15 approximately thirty-seven years younger than Plaintiff and Ms.  
16 Yee is approximately twenty-three years younger than Plaintiff,  
17 and that both are of "American" national origin. Plaintiff has  
18 produced evidence that her projects were re-assigned to colleagues  
19 who were substantially younger and of a different national origin  
20 than she. Defendant has not challenged that she is of a protected  
21 national origin class, Korean, over forty years old and qualified  
22 to complete the projects. Plaintiff has established a prima facie  
23 case that Dr. Dobson's reassignment of her projects constituted  
24 age and national origin discrimination.  
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1 Defendant states that there was a non-discriminatory reason  
2 for Dr. Dobson's re-assignment of Plaintiff's projects: the need  
3 for Plaintiff to focus her attention on the Melamine project,  
4 which was the highest priority at the time. Mot. at 9. However,  
5 Plaintiff has testified that work on the Melamine project was  
6 stopped on January 29, 2009. Kim Depo., at 108:17-22; Mot. at 10.  
7 Thus, Plaintiff has produced evidence rebutting the proffered  
8 non-discriminatory reason "indirectly by showing that the  
9 employer's proffered explanation is unworthy of credence." Tex.  
10 Dep't of Cmty. Affairs v. Burdine, 450 U.S. 248, 256 (1981).

11 Accordingly, Defendant's motion for summary judgment is DENIED as  
12 to Plaintiff's claims for national origin and age discrimination  
13 arising from the January 2009 reassignment of all of her projects.

14  
15 D. Plaintiff's July 6, 2010 Administrative Complaint

16 In her July 6, 2010 administrative complaint, Plaintiff  
17 alleged that she had been subjected to discrimination based on her  
18 national origin and age when she was constructively discharged by  
19 being demoted from Lead Chemist to Chemist and moved to the  
20 laboratory space. Sladden ¶ 13, Ex. L. In her complaint and in  
21 her opposition to this motion, Plaintiff argues that this was a  
22 constructive termination because Dr. Dobson knew that she could  
23 not work in the laboratory due to chemical sensitivity and so  
24 deliberately took these actions to force her to quit. 3AC ¶ 17.

25  
26 A constructive discharge occurs when the "working conditions  
27 deteriorate, as a result of discrimination, to the point that they  
28

1 become 'sufficiently extraordinary and egregious to overcome the  
2 normal motivation of a competent, diligent, and reasonable  
3 employee to remain on the job to earn a livelihood and to serve  
4 his or her employer.'" Brooks v. City of San Mateo, 229 F.3d 917,  
5 930 (9th Cir. 2000) (quoting Turner v. Anheuser-Busch, Inc., 7  
6 Cal. 4th 1238, 1246 (1994)). "The determination whether  
7 conditions were so intolerable and discriminatory as to justify a  
8 reasonable employee's decision to resign is normally a factual  
9 question left to the trier of fact." Watson v. Nationwide Ins.  
10 Co., 823 F.2d 360, 361 (9th Cir. 1987).

11  
12 In Defendant's reply, he argues for the first time that  
13 Plaintiff has failed to meet her burden to "link her constructive  
14 discharge to her protected class or national origin." Reply, at  
15 14. While it is true that Plaintiff has the burden of persuasion  
16 of this element, in his opening brief, Defendant did not produce  
17 evidence negating it or argue that Plaintiff does not have enough  
18 evidence of this element to carry her ultimate burden of  
19 persuasion at trial. Because Defendant, as the moving party, did  
20 not meet his initial burden of production by either method,  
21 Plaintiff was under no obligation to offer any evidence in support  
22 of her opposition. See Nissan, 210 F.3d at 1105. Further, to the  
23 extent that Defendant raises an argument regarding causation for  
24 the first time in his reply brief, it is waived. See Graves v.  
25 Arpaio, 623 F.3d 1043, 1048 (9th Cir. 2010) ("arguments raised for  
26 the first time in a reply brief are waived").  
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1 While Defendant acknowledges that Plaintiff has testified  
2 that she has a sensitivity to chemicals that causes her neck and  
3 back pain, Mot. at 25, he argues that she has not established that  
4 work conditions were so intolerable and egregious as to force her  
5 to resign. Mot. at 24. Defendant also contends that Plaintiff  
6 failed to protest her reassignment on the basis of her chemical  
7 sensitivity and thus that she did not attempt to resolve the  
8 problems related to her employment prior to quitting. Mot. at 25;  
9 Reply, at 14-15.  
10

11 However, Plaintiff has proffered evidence that could  
12 establish that Dr. Dobson, knowing that she would find work  
13 conditions in the laboratory intolerable, deliberately moved  
14 Plaintiff to the laboratory with the intention of forcing her to  
15 quit or creating a reason to terminate her. Plaintiff has  
16 submitted an email, dated April 23, 2009, in which Dr. Dobson  
17 acknowledges that Plaintiff has an alleged "chemical sensitivity."  
18 Rogers Decl. ¶ Ex. 1. In another email, sent on November 24,  
19 2009, Dr. Dobson describes his plan to change Plaintiff's job  
20 position. Rogers Decl. ¶ Ex. 2. Attached to the email is a  
21 diagram that shows that Dr. Dobson planned to re-assign Plaintiff  
22 either to a solo project or to the laboratory. Id. The diagram  
23 shows that if Plaintiff asserted that she had a chemical  
24 sensitivity, as Dr. Dobson believed she would, he intended to  
25 require her to see a doctor to confirm the diagnosis or face  
26 progressive discipline, up to termination. Id. If a doctor were  
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1 to confirm that she had a chemical sensitivity that meant that she  
2 could not work in the laboratory, she would be terminated as unfit  
3 for the position. Id.

4 Defendant cites no case that supports the proposition that an  
5 employer's deliberate reassignment of an employee to work in a  
6 space that would trigger a known chemical sensitivity of the  
7 employee could not constitute constructive discharge as a matter  
8 of law. Further, while "[a]n employee who quits without giving  
9 his employer a reasonable chance to work out a problem has not  
10 been constructively discharged," Poland v. Chertoff, 494 F.3d  
11 1174, 1185 (9th Cir. 2007) (quoting Tidwell v. Meyer's Bakeries,  
12 Inc., 93 F.3d 490, 494 (8th Cir. 1996)), Plaintiff has presented  
13 evidence that could establish that Dr. Dobson deliberately created  
14 the problem here in order to force Plaintiff to quit or give him a  
15 reason to terminate her. Given that Dr. Dobson already had  
16 knowledge of the problem, and in fact anticipated it, the fact  
17 that Plaintiff did not raise it at the time of the reassignment  
18 did not deprive him of a chance to "work out" the problem.

19 Accordingly, the Court DENIES Defendant's motion for summary  
20 judgment on Plaintiff's claims for age and national origin  
21 discrimination based on constructive discharge.

## 22 II. Plaintiff's Retaliation Claims

23 In her opposition, Plaintiff clarifies that she claims that  
24 certain acts alleged in her administrative complaints were  
25 retaliatory: the August 2008 "FULLY SUCCESSFUL" performance  
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1 rating; her exclusion from membership in the Chemistry Method  
2 Steering Group; "being stripped of her projects" on January 29,  
3 2009; and the alleged constructive discharge on March 4, 2010.  
4 Reply, at 10.

5 The burden-shifting framework outlined in McDonnell Douglas  
6 governs actions for retaliation under Title VII and the ADEA. Lam  
7 v. University of Hawaii, 40 F.3d 1551, 1559 (9th Cir. 1994); Heyer  
8 v. Governing Bd. of the Mt. Diablo Unified Sch. Dist., 2011 U.S.  
9 Dist. LEXIS 70124, at \*4-5 n.3 (N.D. Cal.) (citing O'Day v.  
10 McDonnell Douglas Helicopter Co., 79 F.3d 756, 763 (9th Cir.  
11 1996)). The parties agree that claims of retaliation by an  
12 employer require a plaintiff to demonstrate that: (1) he or she  
13 engaged in protected activity; (2) he or she was subjected to  
14 adverse employment action; and (3) there is a causal link between  
15 the plaintiff's protected complaint and the adverse treatment.  
16 Stegall v. Citadel Broadcasting Co., 350 F.3d 1061, 1065 (9th Cir.  
17 2004); Wallis v. J.R. Simplot Co., 26 F.3d 885, 889 (9th Cir.  
18 1994); Wrighten v. Metropolitan Hospitals, Inc., 726 F.2d 1346,  
19 1354 (9th Cir. 1984). In the retaliation context, an adverse  
20 action is more broadly defined than in the discrimination context,  
21 and encompasses those actions that "might have dissuaded a  
22 reasonable worker from making or supporting a charge of  
23 discrimination." Burlington Northern & Santa Fe Ry. v. White, 548  
24 U.S. 53, 68 (2006). Once a plaintiff has established a prima  
25 facie case, the burden shifts to the employer to put forth a  
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1 legitimate non-discriminatory reason for the adverse employment  
2 action. Wallis, 26 F.3d at 889. If an employer meets this  
3 relatively light burden, the plaintiff can still prevail if he or  
4 she can demonstrate that the reason asserted is simply a pretext.  
5 Id. at 889.

6 Defendant argues that Plaintiff should not be permitted to  
7 raise retaliation claims from her January 3, 2009 administrative  
8 complaint. Defendant again bases this argument on the Court's  
9 February 3, 2011 order in which the Court granted Plaintiff leave  
10 to file a second amended complaint in order to allege claims based  
11 to file a second amended complaint in order to allege claims based  
12 on the July 6, 2010 administrative complaint. However, as  
13 previously stated, Defendant fails to recognize that Plaintiff had  
14 already plead the retaliation claims arising from her January 3,  
15 2009 administrative complaint in her First Amended Complaint  
16 (FAC), see FAC ¶¶ 9-10, Docket No. 4, and thus these claims were  
17 not at issue in the Court's February 3, 2011 order.

18  
19 A. Non-selection to the Chemistry Method Steering Group

20 Defendant argues that Plaintiff has not established a prima  
21 facie case for retaliation based on non-selection for the  
22 Chemistry Method Steering Group. Plaintiff bases her argument for  
23 a causal link between her protected complaint and non-selection on  
24 the temporal relationship between the two. However, as Plaintiff  
25 herself points out, the "exclusion from the Steering Committee on  
26 October 1, 2008, was over two years after Dr. Kim's first  
27 complaint of discrimination," Opp. at 10, and several months  
28

1 before her second complaint. Given the time period separating the  
2 first complaint and the alleged adverse action, an inference of  
3 causation cannot be made. See Clark County Sch. Dist. v. Breedon,  
4 532 U.S. 268, 273 (2001) (per curiam) (noting that a court may not  
5 infer causation from temporal proximity unless the time between an  
6 employer's knowledge of protected activity and an adverse  
7 employment action is "very close" and citing cases for the  
8 proposition that a three-month and four-month time lapse is  
9 insufficient to infer causation). Plaintiff also does not point  
10 to any evidence that Dr. Rivera, who made the selection, knew of  
11 her EEO complaint prior to making the selection. Further, as  
12 previously discussed, Defendant has proffered a legitimate non-  
13 retaliatory reason, that the committee members had to be CBCs or  
14 other individuals in supervisory positions, which Plaintiff has  
15 not demonstrated is a pretext.  
16

17  
18 Accordingly, the Court GRANTS Defendant summary judgment as  
19 to Plaintiff's retaliation claim based on non-selection to the  
20 Chemistry Method Steering Group.

21 B. 2008 Performance Appraisal

22 Defendant also argues that Plaintiff has not established a  
23 prima facie case for retaliation based on her 2008 performance  
24 appraisal, because she cannot establish causation between her  
25 protected complaint and the adverse action. To establish  
26 causation, Plaintiff relies on time proximity. She admits that  
27 Dr. Esteban, who signed the 2008 performance appraisal, knew of  
28

1 her prior EEO complaint by at least April 27, 2007, more than a  
2 year before he signed the performance review, which again is too  
3 attenuated to give rise to an inference of causation. Opp. at 10.  
4 Accordingly, the Court GRANTS Defendant summary judgment as to  
5 Plaintiff's claim for retaliation based on her 2008 performance  
6 review.

7 C. Reassignment of Projects in January 2009

8 Defendant does not argue that Plaintiff has not established a  
9 prima facie case for retaliation based on the January 2009  
10 reassignment of her projects, which took place less than a month  
11 after Plaintiff filed her second administrative complaint.  
12 Instead, Defendant proffers a non-retaliatory reason for the  
13 action. The Court has already found that there is a disputed  
14 issue of material fact as to the credibility of Defendant's  
15 proffered non-retaliatory reason. Accordingly, the Court DENIES  
16 Defendant's motion for summary judgment as to Plaintiff's claim  
17 for retaliation based on the January 2009 reassignment of her  
18 projects.  
19 projects.

20 D. Constructive Discharge in March 2010

21 Defendant argues that Plaintiff cannot establish a prima  
22 facie case for retaliation when she was constructively discharged  
23 by her reassignment to Chemistry Analyst on March 4, 2010, because  
24 the length of time between the date the relevant EEO complaint was  
25 filed--January 3, 2009--and the adverse action was over a year,  
26 which cannot give rise to an inference of causation. Mot. at 21.  
27  
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1 Plaintiff's only response is to assert that Dr. Dobson began "his  
2 plan to marginalize and fire Dr. Kim on April 23, 2009" and cites  
3 Dr. Dobson's April 23, 2009 email. Opp. at 10. However, that  
4 email does not contain a plan to marginalize and fire Plaintiff,  
5 as she purports. Instead, Dr. Dobson seeks to find projects that  
6 would be suitable for Plaintiff, in an effort to address her  
7 feeling that she was marginalized in her current position. Rogers  
8 Decl. ¶ 2, Ex. 1. Accordingly, the Court GRANTS Defendant summary  
9 judgment as to Plaintiff's claim of retaliation arising from  
10 constructive discharge based on her reassignment to Chemistry  
11 Analyst on March 4, 2010.

12  
13 CONCLUSION

14 For the reasons set forth above, the Court GRANTS Defendant's  
15 motion for summary judgment in part and DENIES it in part (Docket  
16 No. 58). Defendant's motion for summary judgment is denied as to  
17 the following claims:

- 18
- 19 1. Age discrimination in violation of the ADEA (Count One of the  
20 2AC), based on: (1) denial of the permanent CBC position;  
21 (2) denial of the temporary promotion to the GS-15 Acting CBC  
22 position; (3) reassignment of all of Plaintiff's projects in  
23 January 2009; and (4) constructive discharge.
  - 24 2. National origin discrimination in violation of Title VII (Count  
25 Two of the 2AC), based on: (1) reassignment of all of  
26 Plaintiff's projects in January 2009, and (2) constructive  
27 discharge.
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3. Retaliation in violation of the ADEA and Title VII (Count Three of the 2AC), based on reassignment of all of Plaintiff's projects in January 2009.

IT IS SO ORDERED.

Dated: 2/3/2012

  
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CLAUDIA WILKEN  
United States District Judge