1 IN THE UNITED STATES DISTRICT COURT 2 FOR THE NORTHERN DISTRICT OF CALIFORNIA 3 U.S. ETHERNET INNOVATIONS, LLC, No. C 10-3724 CW 4 Plaintiff, ORDER ADDRESSING 5 USEI'S MOTION FOR v. DISCOVERY 6 SANCTIONS AND TO ACER, INC.; ACER AMERICA SET A SHOW CAUSE 7 CORPORATION; APPLE, INC.; ASUS HEARING FOR COMPUTER INTERNATIONAL; ASUSTEK FURTHER SANCTIONS 8 COMPUTER, INC.; DELL, INC.; DUE TO PARTY FUJITSU, LTD.; FUJITSU AMERICA, MISCONDUCT (Docket 9 INC.; GATEWAY, INC.; HEWLETT No. 749) PACKARD CO.; SONY CORPORATION; 10 SONY CORPORATION OF AMERICA; SONY ELECTRONICS INC.; TOSHIBA 11 CORPORATION; TOSHIBA AMERICA, INC.; and TOSHIBA AMERICA 12 INFORMATION SYSTEMS, INC., 13 Defendants, 14 INTEL CORPORATION; NVIDIA CORPORATION; MARVELL 15 SEMICONDUCTOR, INC.; ATHEROS COMMUNICATIONS, INC.; and 16 BROADCOM CORPORATION, 17 Intervenors. 18 19 Plaintiff U.S. Ethernet Innovations LLC (USEI) moves for 20 certain "evidentiary sanctions" against Intervenor Intel 21 Corporation, Defendant Hewlett-Packard Company (HP) and their 22 counsel and for an order directing them to show cause why 23 additional sanctions should not be ordered. USEI contends that 24 these parties improperly entered into a consulting agreement with 25 Richard Baker, who is a former employee of 3Com, which was the 26 prior owner of the patents-in-suit. Mr. Baker is also a former 27 employee of HP and is a potential fact witness in this litigation. 28

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USEI requests that the Court find that all communications between 1 Mr. Baker and Intel, HP or their counsel are not privileged and 2 must be disclosed to USEI; require the production of documents 3 related to meetings between them and all documents that they have 4 5 exchanged; permit USEI to depose Mr. Baker about these 6 communications; and prohibit Intel and HP from using evidence from 7 Mr. Baker to support their claims or defenses. USEI also asks 8 that the Court set a show cause hearing to consider whether to 9 disqualify HP and Intel's counsel and to strike their claims and 10 defenses. HP and Intel oppose the motion in its entirety.

Having considered the papers filed by the parties, the Court 11 12 DENIES the motion in part and REFERS it in part. USEI has not 13 shown that HP and Intel's counsel should be disqualified, that 14 their claims and defenses should be stricken or that any other 15 such sanctions are warranted. However, HP and Intel may not 16 prevent USEI from contacting Mr. Baker directly and may not require that USEI contact Mr. Baker only through HP or its 17 counsel.¹ They also may not prevent Mr. Baker from speaking with 18 19 USEI, if he wishes to do so.

The Court REFERS the remainder of the motion, including the issues of USEI's specific discovery requests and HP and Intel's claims of privilege, to the discovery Magistrate Judge for resolution. Within seven days of the date of this Order, the parties shall meet and confer regarding the remaining issues raised in USEI's motion, and shall file a single joint letter

^{27 &}lt;sup>1</sup> If Mr. Baker is represented by counsel himself, USEI may 28 contact Mr. Baker only through his attorney.

brief addressing any issues on which they are unable to reach a resolution, following the procedures set forth in the Magistrate Judge's standing order. IT IS SO ORDERED. Dated: 8/16/2013 United States District Judge