

United States District Court
For the Northern District of California

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IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

ERIC L. GONZALEZ,

Plaintiff,

v.

DR. J. CHUDY, et al.,

Defendants.

No. C 10-3732 CW (PR)

ORDER GRANTING DEFENDANTS'
MOTION FOR SUMMARY JUDGMENT;
ADDRESSING NON-DISPOSITIVE
MOTIONS

(Docket nos. 17, 18, 19, 23,
26)

INTRODUCTION

Plaintiff, a state prisoner incarcerated at the Correctional Training Facility (CTF) at Soledad, filed this pro se civil rights action pursuant to 42 U.S.C. § 1983, alleging deliberate indifference to his serious medical needs.

Defendants have filed a motion for summary judgment. Plaintiff has opposed the motion, Defendants have filed a reply and Plaintiff has filed a sur-reply. Also pending are various non-dispositive motions filed by the parties.

For the reasons discussed below, the Court GRANTS Defendants' motion for summary judgment.

BACKGROUND

The following facts are taken from Plaintiff's verified amended complaint (Docket no. 8), and the parties' admissible evidence submitted in support of and in opposition to the motion for summary judgment. The facts are undisputed unless otherwise noted.

Plaintiff claims Defendants Dr. Joseph Chudy, Dr. Darrin

1 Bright and Dr. Michael Sepulveda provided him with
2 constitutionally inadequate medical care by failing to arrange a
3 timely consultation for him with a podiatrist. Each of the
4 Defendants is a licensed physician and is being sued for actions
5 taken while acting as the Chief Medical Officer (CMO) at CTF.

6 The allegations in the amended complaint concern events that
7 took place at CTF between August 20, 2009 and September 9, 2010.
8 Dr. Chudy was the CMO at CTF from July 2006 through June 2009, and
9 from October 2009 through November 2010. Decl. Dr. Chudy Supp.
10 Mot. Summ. J. (Chudy Decl.) ¶ 2. When Dr. Chudy was out for three
11 months for medical reasons, Dr. Sepulveda was the acting CMO from
12 July 2009 through September 2009. Decl. Dr. Sepulveda Supp. Mot.
13 Summ. J. (Sepulveda Decl.) ¶ 2. When Dr. Sepulveda was out for
14 one day on August 20, 2009, Dr. Bright, a CTF staff physician who
15 also acted as Chief Physician, served as the acting CMO for that
16 day only. Decl. Dr. Bright Supp. Mot. Summ. J. (Bright Decl.)
17 ¶ 2.

18 As described by Defendants:

19 The Chief Medical Officer is responsible for planning
20 and directing the work of the Clinical Care Services
21 staff, for supervising subordinate department heads, for
22 implementing general policy directives, directing the
23 clinical work of the department, advising Health Care
24 Services Division, and acting as a member of the
25 Warden's executive staff. As a senior member of the
26 health care management team, the CMO works with other
27 division managers and supervisors to improve quality,
28 resolve problems, and develop programs. The CMO manages
second level 602 appeals by reviewing and evaluating
determinations by the appeals coordinator.

Chudy Decl. ¶ 2.

Plaintiff's medical records and administrative appeals show
that he first complained of swelling in both legs on May 27, 2009,

1 when he was seen by CTF Chief Physician Dr. Bright for bilateral
2 emergency leg edema. Sepulveda Decl. ¶ 5. Based on the swelling
3 and Plaintiff's past medical conditions of chest pain, high blood
4 pressure and high cholesterol, Dr. Bright ordered an
5 electrocardiogram (EKG), chest x-rays and blood tests. Bright
6 Decl. ¶ 5. On June 9, 2009, Plaintiff complained to a nurse about
7 lower leg pain; his vital signs were found to be normal. Amen.
8 Compl. Ex. A4. On June 16, 2009, Plaintiff was advised that all
9 of the tests ordered by Dr. Bright came back within normal limits.
10 Bright Decl. ¶ 5.

11 On June 19, 2009, Plaintiff complained to a nurse of pain in
12 both of his calves and told her that blood tests previously had
13 been ordered for his symptom of swollen ankles. Pl.'s Opp'n Mot.
14 Summ. J. (Opp'n) Ex. A10. The nurse provided him with a form to
15 request medical attention because his condition was not an
16 emergency. Bright Decl. ¶ 5. He then filed a grievance claiming
17 inadequate medical care for the pain and swelling in his calves,
18 and expressed his fear that he might be experiencing poor
19 circulation that could cause a stroke or heart attack (No. CTF-14-
20 09-11915) (June 602). Id.; Opp'n Ex. A10. On June 24, 2009,
21 Plaintiff's appointment to see CTF physician Dr. Lim-Javate -- for
22 what was noted in the medical record as athlete's foot -- was
23 rescheduled to take place in two to four weeks, due to time
24 constraints. Amen. Compl. Ex. A8, Opp'n Ex. A14.

25 On July 3, 2009, Plaintiff came to the infirmary and
26 complained to a nurse that both of his lower legs and ankles were
27 swollen and in pain. She told him that he could not be seen by
28 doctors at that time because they were seeing prisoners in

1 administrative segregation. Bright Decl. ¶ 6. That same day,
2 Plaintiff filed a second grievance claiming inadequate medical
3 care because he required emergency care and the nurse was not
4 qualified to diagnose his medical needs (No. CTF-14-09-12024)
5 (July 602). Id. On July 7, 2009, Plaintiff submitted a medical
6 care services request form for the "severe pain and swelling in my
7 lower legs and ankles." Bright Decl. Ex. A19.¹ On July 8, he was
8 seen by a nurse, who noted that neither of his lower legs was
9 swollen but both legs had some redness and were warm to the touch.
10 She instructed Plaintiff to elevate both of his lower legs while
11 resting and to return to the clinic if his condition worsened.
12 Id. The next day, July 9, CTF medical staff renewed Plaintiff's
13 medications for high blood pressure (Atenolol), high cholesterol
14 (Simvastatin) and provided an inhaler for breathing difficulty and
15 chest tightness (Proventil). Bright Decl. ¶ 6.

16 On July 27, 2009, Dr. Sepulveda responded, at the first level
17 of review, to Plaintiff's June 602 concerning inadequate care for
18 the pain and swelling in his legs. Dr. Sepulveda granted
19 Plaintiff's request to receive adequate medical care, in
20 accordance with prison regulations, for his leg pain and swelling.
21 Sepulveda Decl. ¶ 7 & Ex. A6-7. It was Dr. Sepulveda's opinion
22 that Plaintiff already was receiving adequate care for his leg
23 swelling, because CTF doctors were exploring the possible
24 relationship between the leg swelling and Plaintiff's heart
25

26 ¹¹ None of the exhibits attached to any Defendant's
27 declaration include page numbers. The Court therefore identifies
28 the exhibits with the page numbers inserted on the documents by
the Court's electronic filing system (ECF).

1 condition. Sepulveda Decl. ¶ 7.

2 On August 6, 2009, Plaintiff was seen by CTF Nurse
3 Practitioner (NP) Maria Koziol for complaints of foot pain.
4 Sepulveda Decl. Ex. A20. She theorized that the cause of the pain
5 might be that Plaintiff's orthopedic shoes were not well-fitted.
6 Id. She submitted a Routine Request for Service (RFS) form for
7 Plaintiff to be seen by a podiatrist. Id. The RFS had to be
8 reviewed and approved by the Chief Physician, Dr. Bright.
9 Sepulveda Decl. ¶ 8.

10 On August 20, 2009, a response was issued with respect to
11 Plaintiff's July 602 asking that he receive emergency medical care
12 for his leg swelling and pain and be diagnosed and treated by a
13 doctor, not a nurse.² Bright Decl. ¶ 8 & Ex. A13. The July 602
14 was investigated by RN L. Hernandez, who interviewed Plaintiff and
15 wrote the response. With respect to the RFS submitted by NP
16 Koziol for a podiatrist consult, RN Hernandez wrote: "08/06/09 you
17 were evaluated by the Nurse Practitioner and appropriate
18 medication and treatment was [sic] ordered (Request for
19 Service/Podiatry Consult)." Id. Plaintiff's request to be
20 diagnosed and treated by a doctor was partially granted in that
21 "[a]ll patients are assigned to a Primary Care Physician," and
22 Plaintiff had been evaluated by both a doctor and a nurse
23 practitioner. Id.

24 Dr. Bright, acting in his capacity as Acting CMO for the day,
25 approved the response written by RN Hernandez. Id. According to
26 Dr. Bright, he partially granted Plaintiff's request to be

27 _____
28 ² The July 602 went directly to the second level of review.

1 diagnosed and treated by a doctor because: "I personally examined
2 [Plaintiff] on May 27, 2009 and he was seen by N.P. Koziol on
3 August 6, 2009, thus he saw two medical providers for his leg
4 swelling and pain, in addition to multiple visits with CTF's
5 registered nurses, in a span of less than three months." Bright
6 Decl. ¶ 8.

7 On August 25, 2009, Dr. Bright, acting in his capacity as
8 Chief Physician, reviewed NP Koziol's RFS for Plaintiff to be seen
9 by a podiatrist. He avers the following with respect to his
10 review of the RFS:

11 As Chief Physician, I had the responsibility of
12 reviewing and approving the RFS. On August 25, 2009, I
13 denied Mr. Gonzalez's request to see the podiatrist as
14 Mr. Gonzalez was already receiving adequate treatment
15 for his leg swelling. At the time of the denial, I
16 (along with CTF medical staff) still believed that Mr.
17 Gonzalez's leg swelling and pain was possibly related to
18 a more serious heart issue (including high blood
19 pressure) and we were attempting to treat those
20 underlying issues. The denial of the podiatrist visit
21 was justified because we believed that a podiatrist
could not treat his heart issues, thus there was no
reason to approve the visit. In addition, the
podiatrist, Dr. Kristal, was only available for urgent
consults as he covered four different CDCR facilities in
2009 and 2010: CTF, Avenal State Prison, Pleasant Valley
State Prison and Salinas Valley State Prison. During
that period, emergency cases were sent to the hospital
whereas routine podiatric needs were provided by the
primary care physicians. Mr. Gonzalez's swelling was
not considered a life-threatening emergency.

22 Bright Decl. ¶ 7.

23 Also on August 25, 2009, Dr. Sepulveda responded at the
24 second level of review to Plaintiff's June 602 requesting adequate
25 medical care. The 602 was partially granted, in that Dr.
26 Sepulveda determined Plaintiff was receiving adequate medical care
27 for his problems of leg swelling and pain. Sepulveda Decl. Ex.
28 A8.

1 On September 12, 2009, CTF doctors stopped Plaintiff's beta
2 blockers, believing they might be the cause of the leg swelling
3 and pain. Chudy Decl. ¶ 6. On September 18, 2009, the Prison Law
4 Office (PLO) received a letter from Plaintiff complaining about
5 his failure to receive medical care. Amen. Compl. Ex. A15. On
6 September 23, 2009, Plaintiff was sent for a cardiology
7 consultation and stress test for evaluation of his complaints of
8 chest pain. Chudy Decl. Exs. A24 & A25. No abnormalities were
9 noted other than an "asymptomatic abnormal blood pressure response
10 during the test." Id. Ex. A24. On October 19, 2009, the PLO
11 wrote to the Attorney General's Office requesting further
12 information about Plaintiff's blood test results, leg pain and
13 swelling. Amen. Compl. Ex. A17. On November 9, 2009, Dr. Chudy
14 advised the PLO that the swelling was a side effect of the beta
15 blockers, which had been stopped on September 12, 2009, and that
16 CTF doctors were treating the swelling as a symptom of underlying
17 heart issues. Chudy Decl. ¶ 13a. The PLO wrote a letter to
18 Plaintiff on November 30, 2009, informing him of their
19 communications with Dr. Chudy. Amen. Compl. Ex. A 17.

20 On November 23, 2009, Plaintiff requested new orthopedic
21 shoes because his arch supports were not working. Chudy Decl.
22 ¶ 7 & Ex. A26. On December 3, 2009, Plaintiff was seen by his
23 treating physician, Dr. Jamari, who approved his request for new
24 orthopedic inserts. Id. & Ex. A28. Plaintiff received the
25 inserts from the specialty clinic on December 18, 2009. Id. & Ex.
26 A29.

27 On January 7, 2010, Dr. Chudy spoke a second time with the
28 PLO regarding Plaintiff's complaints of chest pains and leg pain

1 and swelling. Although he previously told the PLO that
2 Plaintiff's records did not suggest serious heart disease, he also
3 noted that the combination of chest pain and leg swelling made it
4 difficult to rule out the possibility. Chudy Decl. ¶ 13b.

5 In March 2010, Plaintiff received Director's level responses
6 to both his June and July 602s. Both appeals were denied because
7 Plaintiff's medical records showed that he had been evaluated by
8 licensed clinical staff and was receiving treatment deemed
9 medically necessary. Chudy Decl. Exs. A11-13 (June 602) & A16-18
10 (July 602).

11 On June 1, 2010, Plaintiff submitted a health care services
12 request form complaining of continuing pain in both feet despite
13 the arch supports. Chudy Decl. ¶ 8 & Ex. A31. On June 21, 2010,
14 he saw his primary care physician, who submitted a routine RFS
15 recommending that he see a podiatrist to reassess the efficacy of
16 his orthotics and that his feet be x-rayed. Id. & Exs. A32 & A33.
17 On July 7, 2010, Dr. Chudy approved the request to see the
18 podiatrist because the medical records showed a decreased
19 likelihood that the leg swelling and pain were symptoms of a more
20 serious heart issue. Chudy Decl. ¶ 8. On July 8, 2010, Dr. Chudy
21 responded to Plaintiff's most recent medical appeal for new
22 orthopedic shoes and partially granted the appeal by confirming
23 approval of the RFS to see the podiatrist, who would determine
24 appropriate treatment and the need for additional orthotics. Id.
25 & Ex. A19.

26 On July 22, 2010, Plaintiff was seen by the CTF podiatrist,
27 Dr. Ira Kristal, who ordered x-rays of Plaintiff's feet. Chudy
28 Decl. Ex. A28. The x-rays were taken on July 27, 2010. Chudy

1 Decl. ¶ 9 & Ex. A30. Plaintiff was diagnosed with mild bunion
2 deformities in both great toes. Id. The x-ray results noted that
3 the appearance of the right and left feet were "otherwise
4 unremarkable". Id. There was no evidence of recent fracture,
5 dislocation or other osseous abnormality. Id.

6 On September 9, 2010, Dr. Kristal submitted a routine RFS for
7 Plaintiff to be seen by a specialist in order to obtain
8 "functional orthotics" for his feet. Opp'n Ex. A37. On May 26,
9 2011, Plaintiff was seen by prosthetic and orthotic consultant Dr.
10 Randy Furushiko, who found that Plaintiff had "significant
11 pronated feet" and poorly fitted shoes. Id. He recommended that
12 Plaintiff receive customized orthotics and "extra depth" shoes to
13 accommodate them. Id.

14 DISCUSSION

15 I. Non-Dispositive Motions

16 Plaintiff has filed three motions asking the Court to take
17 judicial notice of his having served Defendants with requests for
18 answers to interrogatories. Discovery matters are not a proper
19 subject for judicial notice. Accordingly, these motions are
20 DENIED.

21 After Plaintiff filed his amended complaint, Defendants moved
22 to file an amended answer thereto. The motion is GRANTED; the
23 amended answer was filed on May 7, 2012.

24 II. Legal Standard for Summary Judgment

25 Summary judgment is only proper where the pleadings,
26 discovery and affidavits show there is "no genuine issue as to any
27 material fact and that the moving party is entitled to judgment as
28 a matter of law." Fed. R. Civ. P. 56(c). Material facts are

1 those that may affect the outcome of the case. Anderson v.
2 Liberty Lobby, Inc., 477 U.S. 242, 248 (1986). A dispute as to a
3 material fact is genuine if the evidence is such that a reasonable
4 jury could return a verdict for the nonmoving party. Id.

5 The court will grant summary judgment "against a party who
6 fails to make a showing sufficient to establish the existence of
7 an element essential to that party's case, and on which that party
8 will bear the burden of proof at trial." Celotex Corp. v.
9 Catrett, 477 U.S. 317, 322-23 (1986); see also Anderson, 477 U.S.
10 at 248 (holding fact to be material if it might affect outcome of
11 suit under governing law). The moving party bears the initial
12 burden of identifying those portions of the record that
13 demonstrate the absence of a genuine issue of material fact. The
14 burden then shifts to the nonmoving party to "go beyond the
15 pleadings, and by his own affidavits, or by the 'depositions,
16 answers to interrogatories, or admissions on file,' designate
17 'specific facts showing that there is a genuine issue for trial.'" Celotex,
18 477 U.S. at 324 (citing Fed. R. Civ. P. 56(e)).

19 In considering a motion for summary judgment, the court must
20 view the evidence in the light most favorable to the nonmoving
21 party; if, as to any given fact, evidence produced by the moving
22 party conflicts with evidence produced by the nonmoving party, the
23 court must assume the truth of the evidence set forth by the
24 nonmoving party with respect to that fact. See Leslie v. Grupo
25 ICA, 198 F.3d 1152, 1158 (9th Cir. 1999). The court's function on
26 a summary judgment motion is not to make credibility
27 determinations or weigh conflicting evidence with respect to a
28

1 disputed material fact. See T.W. Elec. Serv. v. Pacific Elec.
2 Contractors Ass'n, 809 F.2d 626, 630 (9th Cir. 1987).

3 A district court may consider only admissible evidence in
4 ruling on a motion for summary judgment. See Fed. R. Civ. P.
5 56(e); Orr v. Bank of America, 285 F.3d 764, 773 (9th Cir. 2002).

6 A verified complaint may be used as an opposing affidavit under
7 Rule 56, as long as it is based on personal knowledge and sets
8 forth specific facts admissible in evidence. See Schroeder v.
9 McDonald, 55 F.3d 454, 460 & nn.10-11 (9th Cir. 1995).

10 III. Deliberate Indifference Standard

11 Deliberate indifference to serious medical needs violates the
12 Eighth Amendment's proscription against cruel and unusual
13 punishment. See Estelle v. Gamble, 429 U.S. 97, 104 (1976);
14 McGuckin v. Smith, 974 F.2d 1050, 1059 (9th Cir. 1992), overruled
15 on other grounds, WMX Technologies, Inc. v. Miller, 104 F.3d 1133,
16 1136 (9th Cir. 1997) (en banc). A determination of "deliberate
17 indifference" involves an examination of two elements: the
18 seriousness of the prisoner's medical need, and the nature of the
19 defendant's response to that need. See id., 974 F.2d at 1059.

20 A serious medical need exists if the failure to treat a
21 prisoner's condition could result in further significant injury or
22 the unnecessary and wanton infliction of pain. Id. The existence
23 of an injury that a reasonable doctor or patient would find
24 important and worthy of comment or treatment, the presence of a
25 medical condition that significantly affects an individual's daily
26 activities, or the existence of chronic and substantial pain are
27 examples of indications that a prisoner has a serious need for
28 medical treatment. Id. at 1059-60.

1 A prison official is deliberately indifferent if he knows
2 that a prisoner faces a substantial risk of serious harm and
3 disregards that risk by failing to take reasonable steps to abate
4 it. Farmer v. Brennan, 511 U.S. 825, 837 (1994). The prison
5 official must not only "be aware of facts from which the inference
6 could be drawn that a substantial risk of serious harm exists,"
7 but he "must also draw the inference." Id. In order for
8 deliberate indifference to be established, therefore, there must
9 be a purposeful act or failure to act on the part of the defendant
10 and resulting harm. See McGuckin, 974 F.2d at 1060.

11 Deliberate indifference may be shown when prison officials
12 deny, delay or intentionally interfere with medical treatment, or
13 it may be shown in the way in which they provide medical care.
14 See id. at 1062. But neither a difference of opinion between a
15 prisoner-patient and prison medical authorities regarding
16 treatment nor a showing of nothing more than a difference of
17 medical opinion as to the need to pursue one course of treatment
18 over another is sufficient to establish deliberate indifference.
19 See Toguchi v. Chung, 391 F.3d 1051, 1059-60 (9th Cir. 2004). In
20 order to prevail on a claim involving choices between alternative
21 courses of treatment, a plaintiff must show that the course of
22 treatment the doctors chose was medically unacceptable under the
23 circumstances, and that they chose this course in conscious
24 disregard of an excessive risk to the plaintiff's health. Id. at
25 1058. Further, individual defendants cannot be held liable for
26 acting with deliberate indifference when they are unable to render
27 or cause to be rendered medical treatment because of a lack of
28 resources that is not within their power to cure. Peralta v.

1 Dillard, 704 F.3d 1124, 1129 (9th Cir. 2013).

2 IV. Analysis

3 Plaintiff claims that Defendants acted with deliberate
4 indifference to his serious medical needs between August 20, 2009
5 and September 9, 2010, by failing to ensure that he see a
6 podiatrist for his symptoms of foot and leg swelling and pain.

7 A. Serious medical need

8 Defendants acknowledge that a triable issue exists as to
9 whether Plaintiff's chronic leg and foot pain constitute a serious
10 medical need. The Court, for purposes of the present motion,
11 assumes without deciding that Plaintiff has shown that he has a
12 serious medical need.

13 B. Deliberate Indifference

14 Defendants argue that Plaintiff cannot show that they acted
15 with deliberate indifference by not ensuring that he see a
16 podiatrist during the relevant time period because 1) no visit
17 with a podiatrist was ordered prior to July 8, 2010; 2) no
18 podiatrist was readily available for non-emergency podiatric
19 concerns; 3) when acting as CMO Defendants were not responsible
20 for ensuring that Plaintiff see a podiatrist; and 4) each
21 Defendant responded medically appropriately to Plaintiff's medical
22 appeals.

23 Plaintiff responds that Defendants, in their capacity as
24 CMO's and, therefore, reviewers of his medically-related
25 administrative appeals, had the authority and were required to
26 ensure that he was receiving constitutionally adequate medical
27 care.

28

1 The Court finds that the question whether Defendants acted
2 with deliberate indifference to Plaintiff's serious medical needs
3 does not turn on whether they could have ensured Plaintiff's
4 access to a podiatric visit that had not been ordered yet or
5 whether they personally were responsible for making podiatric
6 referrals. Instead, the Court reviews the evidence to determine
7 if it raises a genuine issue of material fact as to whether
8 Defendants were aware of Plaintiff's serious medical need and
9 acted with deliberate indifference by failing to take reasonable
10 steps to abate harm. See Jett v. Penner, 439 F.3d 1091, 1098 (9th
11 Cir. 2006).

12 1. Dr. Bright

13 It is undisputed that, during the relevant time period, Dr.
14 Bright was a CTF staff physician and also acted as the Chief
15 Physician, responsible for reviewing physician requests to refer
16 prisoners to specialists. He acted as CTF CMO for one day, on
17 August 20, 2009.

18 It also is undisputed that Dr. Bright was involved in
19 Plaintiff's medical care on the following three occasions only:
20 1) On May 27, 2009, Dr. Bright, acting as a staff physician, saw
21 Plaintiff for an emergency complaint of leg swelling and, based on
22 the swelling and Plaintiff's past medical conditions of chest
23 pain, high blood pressure and high cholesterol, ordered an EKG,
24 chest x-rays and blood tests; 2) on August 20, 2009, acting as CMO
25 for that day only, Dr. Bright partially granted Plaintiff's July
26 602 request to receive appropriate medical care and be seen by a
27 doctor instead of a nurse; and 3) on August 25, 2009, acting as
28 Chief Physician, Dr. Bright denied NP Koziol's request that

1 Plaintiff be referred to a podiatrist.

2 Plaintiff does not object to Dr. Bright's actions on May 27,
3 2009. He maintains, however, that Dr. Bright acted with
4 deliberate indifference when he denied the RFS on August 25.
5 Specifically, Plaintiff maintains that Dr. Bright knew that
6 Plaintiff's foot swelling was not the result of a heart condition
7 and that he required a podiatric consult. Plaintiff bases his
8 argument on the following: 1) the test results that had been
9 ordered by Dr. Bright in May showed that Plaintiff did not have a
10 heart condition;
11 2) Dr. Bright's partial grant of the July 602 on August 20
12 indicated his approval of RN Hernandez's statement that, when
13 Plaintiff was evaluated by NP Koziol, "appropriate medication and
14 treatment was [sic] ordered (Request for Service/Podiatry
15 Consult);" 3) Plaintiff's July 602 states that foot pain was the
16 initial source of his leg swelling; and 4) on June 24, 2009, CTF
17 physician Dr. Lim-Javate wrote in his medical notes that Plaintiff
18 required further attention for his feet.

19 Dr. Bright maintains that the undisputed evidence shows that
20 he did not act with deliberate indifference to Plaintiff's serious
21 medical needs because his decision to deny the RFS was based on
22 his conclusion that Plaintiff's problems were due to his numerous
23 heart-related symptoms, as well as on the unavailability of the
24 podiatrist.

25 The Court, having reviewed the parties' evidence and
26 considered it in the light most favorable to Plaintiff, finds
27 Plaintiff's evidence of deliberate indifference insufficient for
28 the following reasons.

1 As an initial matter, the fact that the EKG, chest x-rays and
2 blood tests ordered by Dr. Bright in May 2009 all came back within
3 normal limits does not establish that Dr. Bright knew that
4 Plaintiff's leg swelling was not being caused by a heart-related
5 condition. Instead, the evidence shows that Plaintiff, at that
6 time, had complained of chest pain and breathing difficulty and
7 was taking certain medications, including beta blockers, to
8 control possible causes of heart problems, such as high blood
9 pressure. Consequently, even though the test results came back
10 within normal limits, it was reasonable for Dr. Bright to consider
11 whether Plaintiff's leg swelling and pain might be attributable to
12 the medications being used to keep possible heart-related problems
13 under control. Notably, after the tests came back without
14 negative implications, Dr. Bright and other CTF doctors, including
15 Dr. Chudy, surmised that the swelling might be a side-effect of
16 the beta blockers. As a result, those drugs were discontinued on
17 September 12, 2009. Additionally, based on Plaintiff's history of
18 a possible heart condition, CTF doctors ordered that he undergo a
19 stress echocardiogram on September 23, 2009. The report from that
20 test indicated that he had a history of all-night chest pain,
21 heart palpitations and skipped heartbeats. Bright Decl. ¶¶ 23-24.

22 Further, the evidence does not support Plaintiff's contention
23 that Dr. Bright's approval of the August 20, 2009 second level
24 response to Plaintiff's July 602 shows that Dr. Bright knew that
25 Plaintiff needed to see a podiatrist and that NP Koziol's RFS
26 should be approved. Plaintiff assumes that the July 602 response
27 that NP Koziol acted appropriately by addressing Plaintiff's
28 concerns and submitting the RFS, was a determination on the merits

1 of the RFS. An RFS requires approval from the Chief Physician
2 before the referral can be implemented. Because there is no
3 evidence that the RFS had been forwarded to Dr. Bright to review
4 as Chief Physician before the second level response was issued,
5 the record does not support the conclusion that Dr. Bright should
6 have authorized the RFS when he reviewed the July 602 in his
7 capacity as Acting CMO.

8 It is undisputed that Dr. Bright based his denial of the RFS
9 in part on the fact that the podiatrist, Dr. Kristal, was not
10 readily available to see patients at CTF. Given that a podiatrist
11 was not immediately available, the alternatives were
12 hospitalization or treatment by Plaintiff's primary care
13 physician. The evidence shows that hospitalization was not
14 necessary and that Plaintiff was treated by his primary care
15 physician. Denial of immediate access to a specialist in a non-
16 emergency situation such as this does not amount to deliberate
17 indifference. See Peralta, 704 F.3d at 1129.

18 Finally, the Court finds unpersuasive Plaintiff's argument
19 that Dr. Bright acted with deliberate indifference by focusing on
20 the swelling in Plaintiff's legs rather than his foot pain.
21 According to Plaintiff, his medical grievances and Dr. Lim-
22 Javate's medical notes from June 24, 2009 make clear that he was
23 complaining about foot pain that he believed was the cause of his
24 leg swelling. The Court has reviewed the evidence and finds it
25 does not support Plaintiff's contention. Instead, Plaintiff's
26 June and July 602s and his visits with medical staff focus almost
27 exclusively on his concerns about leg swelling and pain, which he
28 deems an emergency because such symptoms might indicate poor

1 circulation that could lead to a heart attack or stroke. Opp'n
2 Exs. A10, A17, A22; Bright Decl. Ex. A19. Moreover, the notes
3 from Dr. Lim-Javate are inconsequential because they indicate only
4 that Plaintiff was to be seen for "athletes' feet" and that Dr.
5 Lim-Javate was unable to see Plaintiff that day because of time
6 constraints. Amen. Compl. Ex. A8, Opp'n Ex. A14.

7 Based on the above, the Court finds that, even when the facts
8 are considered in a light most favorable to Plaintiff, he has
9 failed to raise a triable issue with respect to whether Dr. Bright
10 acted with deliberate indifference to his serious medical needs.
11 Instead, the undisputed evidence shows that Dr. Bright had a
12 reasonable basis for his opinion that heart issues caused
13 Plaintiff's swelling and that a referral to a podiatrist was not
14 indicated. Even if Dr. Bright had believed that Plaintiff's
15 problems were podiatric, the delay in providing specialist
16 treatment did not amount to deliberate indifference in view of the
17 unavailability of a podiatrist at CTF because of staffing
18 constraints and the non-emergency nature of the condition.
19 Accordingly, summary judgment is GRANTED in favor of Dr. Bright.

20 2. Dr. Sepulveda

21 The undisputed evidence shows that Dr. Sepulveda was CMO at
22 CTF from July 2009 through September 2009, and that from October
23 2009 through December 2010 he was CMO at Salinas Valley State
24 Prison and had no affiliation with CTF. Sepulveda Decl. ¶ 2. Dr.
25 Sepulveda never personally treated Plaintiff. Sepulveda Decl.
26 ¶ 10. His interactions with Plaintiff concerning inadequate
27 medical care were limited to his first and second level responses
28 to Plaintiff's June 602, on July 27 and August 25, 2009,

1 respectively.

2 Plaintiff claims Dr. Sepulveda acted with deliberate
3 indifference to his serious medical needs when, in the second
4 level response to Plaintiff's June 602 on August 25, 2009, Dr.
5 Sepulveda failed to address the fact that, on that same date, Dr.
6 Bright had denied the RFS referral to a podiatrist. Plaintiff
7 maintains this shows Dr. Sepulveda intentionally disregarded
8 Plaintiff's need to see a podiatrist. Additionally, Plaintiff
9 argues that Dr. Sepulveda should have addressed the issue and
10 ensured that Plaintiff see a podiatrist because Dr. Sepulveda
11 knew, from Plaintiff's medical records, that the leg swelling was
12 not related to a heart condition.

13 The evidence does not support Plaintiff's contentions. The
14 record shows that on August 25, 2009, Dr. Sepulveda partially
15 granted Plaintiff's June 602 requesting adequate medical care for
16 his leg pain and swelling because Dr. Sepulveda determined that
17 Plaintiff was receiving adequate care. Further, in the response,
18 Dr. Sepulveda noted that, on August 20, 2009, "an RFS for stress
19 test and podiatry consult were ordered" and that "[a]ll RFS
20 requests will be reviewed by the Chief Physician & Surgeon for
21 InterQual criteria and approval/denial." Sepulveda Decl. Ex. A9.

22 Contrary to Plaintiff's assertion that this response shows
23 that Dr. Sepulveda intentionally disregarded Dr. Bright's denial
24 of the RFS, it shows only that Dr. Sepulveda was not aware of the
25 denial, which Dr. Bright issued the same day that Dr. Sepulveda
26 issued the second level response. Dr. Sepulveda cannot be found
27 to have acted with deliberate indifference simply because he
28 responded to Plaintiff's 602 without being aware of Dr. Bright's

1 denial of the RFS. See Mann v. Adams, 855 F.2d 639, 640 (9th Cir.
2 1988) (finding no constitutional right to the proper functioning
3 of a prison administrative appeal system).

4 Plaintiff also argues Dr. Sepulveda should have ensured that
5 he be seen by a podiatrist because he knew, based on Plaintiff's
6 medical records, that Plaintiff's complaints of leg swelling and
7 pain were not related to a heart condition. However, based on
8 Plaintiff's medical records showing complaints of chest pain and
9 heart palpitations as well as his medication for high cholesterol
10 and blood pressure, it was not unreasonable for Dr. Sepulveda to
11 conclude that the swelling and pain might be related to heart
12 issues. Sepulveda Decl. ¶¶ 5-8. Further, Dr. Sepulveda surmised
13 that, in addition to a possible heart condition, Plaintiff's
14 swelling could have been the result of the beta blockers he took
15 as blood pressure medication. Consequently, as noted above, CTF
16 doctors stopped Plaintiff's beta blockers on September 12, 2009,
17 but continued to monitor his heart issues. Sepulveda Decl. ¶¶ 9-
18 10.

19 Based on the above, the Court finds Plaintiff has failed to
20 raise a triable issue with respect to whether Dr. Sepulveda acted
21 with deliberate indifference to his serious medical needs.
22 Instead, the evidence shows a difference of opinion which was
23 neither medically unacceptable nor held "in conscious disregard of
24 an excessive risk" to Plaintiff's health. Toguchi, 391 F.3d at
25 1058. Accordingly, summary judgment is GRANTED in favor of Dr.
26 Sepulveda.

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1 3. Dr. Chudy

2 Plaintiff alleges that Dr. Chudy acted with deliberate
3 indifference to his serious medical needs by failing to ensure
4 that he see a podiatrist between August 20, 2009 -- when NP Koziol
5 submitted the RFS -- and September 9, 2010. The evidence is
6 undisputed that Dr. Chudy served as CMO at CTF from July 2006
7 through November 2010 and was on medical leave from July 2009
8 through September 2009. As noted above, during that three month
9 period Dr. Sepulveda was acting CMO.

10 It also is undisputed that Dr. Chudy never personally treated
11 Plaintiff. Instead, the evidence shows that Dr. Chudy's
12 involvement in Plaintiff's medical care was limited to the
13 following.

14 On November 19, 2009, Dr. Chudy spoke with the PLO regarding
15 Plaintiff's leg swelling. He advised the PLO that the swelling
16 was a side effect of the beta blockers that were stopped on
17 September 9, 2009. He also discussed the CTF medical team's
18 treatment of Plaintiff's leg swelling as a symptom of underlying
19 heart issues. Chudy Decl. ¶ 13a.

20 On January 7, 2010, Dr. Chudy spoke a second time with the
21 PLO regarding Plaintiff's complaints of chest pains and leg pain
22 and swelling. Although he previously told the PLO that
23 Plaintiff's records did not suggest serious heart disease, he also
24 noted that the combination of chest pain and leg swelling made it
25 difficult to rule out the possibility. Chudy Decl. ¶ 13b.

26 Thereafter, on November 23, 2009, Plaintiff requested new
27 orthopedic shoes because his arch supports were not working.
28 Chudy Decl. ¶ 7 & Ex. A26. On December 3, 2009, Plaintiff was

1 seen by his treating physician, Dr. Jamari, who approved his
2 request for new orthopedic inserts. Id. & Ex. A 22. Plaintiff
3 received the inserts from the specialty clinic on December 18,
4 2009. Id. & Ex. A28. Plaintiff did not file another medical
5 appeal concerning his foot pain until June 10, 2010, when he
6 complained that the arch supports were not alleviating his
7 symptoms. Chudy Decl. ¶ 8 & Ex. A31. On June 21, he saw his
8 primary care physician, who recommended that he be seen by a
9 podiatrist. Id. & Ex. A32. On July 7, 2010, Dr. Chudy approved
10 the referral to a podiatrist, and on July 8 he partially granted
11 Plaintiff's most recent medical appeal to see a podiatrist. At
12 that point in time, Plaintiff's medical records showed a decreased
13 likelihood that his swelling was a symptom of heart disease. Id.
14 & Ex. A19.

15 Plaintiff was seen by the podiatrist, Dr. Kristal, on July
16 22, 2010, and x-rays of his feet were ordered. Chudy Decl.
17 ¶ 13c & Ex. A35. The x-rays were taken on July 27, 2010 and
18 showed bunions. Chudy Decl. ¶ 9 & Ex. A36. On September 9, 2010,
19 Dr. Kristal submitted a referral for Plaintiff to be seen by a
20 specialist in order to obtain "functional orthotics" for his feet.
21 Amen. Compl. Ex. A20. On March 29, 2011, Dr. Kristal saw
22 Plaintiff concerning his request for a permanent lower bunk chrono
23 because of his foot condition. Dr. Kristal assessed Plaintiff as
24 having bilateral subtalar joint coalition with chronic foot pain,
25 and determined that he required orthotics and a lower bunk chrono.
26 Opp'n Ex. A38. On May 26, 2011, Plaintiff was seen by prosthetic
27 and orthotic consultant Dr. Furushiko, who found that Plaintiff
28 had "significant pronated feet" and poorly fitted shoes; he

1 recommended that Plaintiff receive customized orthotics and "extra
2 depth" shoes to accommodate them. Opp'n Ex. A37.

3 Plaintiff argues Dr. Chudy acted with deliberate indifference
4 to his serious medical needs because he had a duty to ensure that
5 Plaintiff saw a podiatrist. He claims that Dr. Chudy's
6 communications with the PLO and his decision to issue arch
7 supports show that he knew Plaintiff's swelling was not caused by
8 heart issues. The evidence, however, shows that at the time Dr.
9 Chudy communicated with the PLO he was of the opinion that
10 Plaintiff's complaints of leg swelling and pain were heart-
11 related. This opinion was reasonable based on Plaintiff's
12 documented history of high blood pressure, high cholesterol, heart
13 palpitations and chest pains. Dr. Chudy also was aware that the
14 podiatrist was not readily available for routine visits and that
15 staff physicians were required to evaluate and treat prisoners for
16 non-emergency foot-related complaints. Moreover, when Plaintiff,
17 after having received orthopedic arch supports on December 18,
18 2009, complained, six months later, on June 10, 2010, that they
19 weren't working, Dr. Chudy, on July 7, 2010, approved the request
20 for Plaintiff to see a podiatrist, which visit took place on July
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1 22, 2010.³

2 Based on the above, the Court finds that, even when viewed in
3 the light most favorable to Plaintiff, the evidence fails to raise
4 a triable issue of fact with respect to whether Dr. Chudy acted
5 with deliberate indifference to Plaintiff's serious medical needs.
6 Instead, the evidence shows that Dr. Chudy's decisions pertaining
7 to Plaintiff's medical care were reasonable and not made in
8 conscious disregard of an excessive risk to Plaintiff's health.
9 Toguchi, 391 F.3d at 1058. Accordingly, summary judgment is
10 GRANTED in favor of Dr. Chudy.

11 V. Qualified Immunity

12 All Defendants argue that they are entitled to qualified
13 immunity. The defense of qualified immunity protects "government

14 _____
15 ³ Plaintiff's evidence that Dr. Kristal, in September 2010,
16 assessed him as having subtalar joint coalition does not change
17 the result. Dr. Chudy's concern that Plaintiff's leg swelling and
18 pain might be heart-related was reasonable, even if some other
19 foot condition later was diagnosed. Moreover, according to Dr.
20 Chudy, subtalar joint coalition -- a bridge between the bones in
21 the rear foot -- is an extremely rare genetic condition that
22 typically presents as mild pain and discomfort, inflammation,
23 recurrent sprains in the foot, or other foot abnormalities, and
24 does not cause leg swelling. Chudy Decl. ¶¶ 9-10. Because of
25 the statistical infrequency of subtalar joint coalition and the
26 fact that the "bone bridge" did not appear in the x-rays of
27 Plaintiff's feet taken on July 27, 2010, Dr. Chudy maintains that
28 it is unlikely that he suffers from this condition. But, even if
he does, many people live with the condition for their entire
lives without receiving treatment other than over-the-counter
orthotic inserts. Id. Therefore, Dr. Chudy opines that Plaintiff
received appropriate treatment by being provided with arch
supports in December 2009, a podiatrist visit in July 2010, and a
referral to an orthotics specialists in September 2010. Id.
Plaintiff presents no medical evidence that calls Dr. Chudy's
opinion in this regard into question.

1 officials . . . from liability for civil damages insofar as their
2 conduct does not violate clearly established statutory or
3 constitutional rights of which a reasonable person would have
4 known." Harlow v. Fitzgerald, 457 U.S. 800, 818 (1982). The
5 threshold question in qualified immunity analysis is: "Taken in
6 the light most favorable to the party asserting the injury, do the
7 facts alleged show the officer's conduct violated a constitutional
8 right?" Saucier v. Katz, 533 U.S. 194, 201 (2001). The relevant,
9 dispositive inquiry in determining whether a right is clearly
10 established is whether it would be clear to a reasonable defendant
11 that his conduct was unlawful in the situation he confronted. Id.
12 at 202.

13 On the facts presented herein, viewed in the light most
14 favorable to Plaintiff, Defendants prevail as a matter of law on
15 their qualified immunity defense because the record establishes no
16 constitutional violation. Even if a constitutional violation did
17 occur, however, Defendants reasonably could have believed their
18 conduct was lawful. Specifically, it would not have been clear to
19 Defendants that they failed to take reasonable steps to abate a
20 substantial risk of harm to Plaintiff by providing him with the
21 above-described care and treatment for his complaints of leg
22 swelling and pain.

23 Accordingly, Defendants are entitled to qualified immunity,
24 and their motion for summary judgment is GRANTED for this reason
25 as well.

26 CONCLUSION

27 For the foregoing reasons, the Court orders as follows:
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1. Summary judgment is GRANTED in favor of all Defendants.
(Docket no. 26.)

2. Plaintiff's motions for judicial notice are DENIED.
(Docket nos. 17, 18, 19.)

3. Defendants' motion to file an amended answer to the
amended complaint is GRANTED. (Docket no. 23.)

The Clerk of the Court shall enter judgment in favor of
Defendants and close the file. All parties shall bear their own
costs.

This Order terminates Docket nos. 17, 18, 19, 23 and 26.

IT IS SO ORDERED.

Dated: 3/31/2013



CLAUDIA WILKEN
United States District Judge