2 3 4 5 6 7 8 9 10 <u>8</u>211 1-926 (917) 13 900 Front Street, Suite 300 San Francisco, CA 94111 4.14 0061-926 (514) ) House 17 18 19 20

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1 William I. Edlund, State Bar No. 25013 Robert H. Bunzel, State Bar No. 99395 Howard I. Miller, State Bar No. 251878 BARTKO, ZANKEL, TARRANT & MILLER A Professional Corporation 900 Front Street, Suite 300 San Francisco, California 94111 Telephone: (415) 956-1900 Facsimile: (415) 956-1152 Attorneys for Plaintiffs KEVIN MARTIN, DAVID NORRIS and FRANK STONE UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA OAKLAND DIVISION KEVIN MARTIN, DAVID NORRIS, FRANK Case No. 10-04020 CW STONE, individually and on behalf of all other similarly situated. STIPULATION AND PROPOSED ORDER FOR DISMISSAL WITH Plaintiffs, PREJUDICE OF DEFENDANT **BRIAN RILEY** v. MERRILL LYNCH, PIERCE, FENNER & SMITH, INCORPORATED, MERRILL Judge: Hon. Claudia Wilken LYNCH & CO., INC., BRIAN RILEY and DOES One through Twenty, Complaint Filed: Defendants. Trial Date:

## TO ALL PARTIES AND THEIR ATTORNEYS OF RECORD:

Plaintiffs Kevin Martin, David Norris and Frank Stone, through their counsel ("plaintiffs"), and defendant Brian Riley, through his counsel ("Riley"), stipulate as follows:

- 1. Riley was named as a defendant in this action, originally filed in state court and removed to this Court on September 8, 2010.
- 2. Riley and his counsel have requested that he be dismissed from this action with prejudice, and plaintiffs agree to dismiss Riley with prejudice, provided that (a) discovery directed to Riley and any required attendance at deposition, pretrial or trial and compliance with

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August 6, 2010

None Set

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the Federal Rules may be obtained through his counsel as if Riley remained a named party; (b) it
will not be relevant or referred to in further proceedings in this matter that Riley was initially
named as a party and later dismissed; (c) Riley through his authorized counsel hereby stipulates
that he has not been injured in any way by having been a named party in this case; and (d)
defendants Merrill Lynch, Pierce, Fenner & Smith, Incorporated and Merrill Lynch & Co., Inc.
agree that all acts of Riley relevant to this case were taken by Riley in the course and scope of his
employment by Merrill Lynch, Pierce, Fenner & Smith, Incorporated.

- 3. Dismissal is as to Riley only and not as to defendants Merrill Lynch, Pierce, Fenner & Smith, Incorporated or Merrill Lynch & Co., Inc.
- Riley shall bear his own attorney's fees and costs in connection with this 4. case and this Dismissal, and the remaining defendants will not attempt to reduce or segregate any of plaintiffs' attorney's fees and costs as related to prosecution of the action against Riley.
- 5. Defendants Merrill Lynch, Pierce, Fenner & Smith, Incorporated and Merrill Lynch & Co., Inc. agree to be bound by and join in this Stipulation. SO STIPULATED.

DATED: May 24, 2011

BARTKO, ZANKEL, TARRANT & MILLER A Professional Corporation

/s/Robert H. Bunzel Robert H. Bunzel Attorneys for Plaintiffs KEVIN MARTIN, DAVID NORRIS and FRANK **STONE** 

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1	DATED: May 24, 2011
2	MUNGER, TOLLES & OLSON
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4	By /s/Victoria L. Boesch Victoria L. Boesch
5	Attorneys for Defendants  MERRILL LYNCH, PIERCE, FENNER & SMITH,
6	INCORPORATED, MERRILL LYNCH & CO., INC., and BRIAN RILEY
7	INC., and DRIAN RIDE I
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10	Pursuant to the foregoing stipulation, the Court dismisses the claims against
112 12 12 12 13 13	defendant Brian Riley with prejudice.
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(415) ax (4115)	IT IS SO ORDERED.
Phone (415) 956-1900 • Fax	DATED:May 25, 2011
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e (415)	UNITED STATES DISTRICT JUDGE
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