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10	Attorneys for Plaintiffs		
11			
12	IN THE UNITED STATES DISTRICT COURT		
13	FOR THE NORTHERN DISTRICT OF CALIFORNIA		
14	SAN FRANCISCO DIVISION		
15	CARL EVANS, DONALD SPENCER, VALERIE SPENCER, CINDY CARTER, individuals, on	Case No. 3:11-cv-01078-DMR	
16 17	Behalf of themselves and for the Benefit of all with the Common or General Interests, Any Persons Injured, and All Others Similarly Situated,	STIPULATED PROTECTIVE ORDER	
18	Plaintiffs,		
19	v.		
20	LINDEN RESEARCH, INC., a corporation, and PHILIP ROSEDALE, an individual,		
21			
22	Defendants.		
23			
24	STIPULATED PROTECTIVE ORDER		
25	1. PURPOSES AND LIMITATIONS		
26	Disclosure and discovery activity in this action are likely to involve production of confidential,		
27	proprietary, or private information for which special protection from public disclosure and from use for		
28	any purpose other than prosecuting this litigation ma	y be warranted. Accordingly, the parties hereby	
		OTECTIVE ORDER -cv-01078-DMR Dockets.Jus	

1 stipulate to and petition the court to enter the following Stipulated Protective Order. The parties 2 acknowledge that this Order does not confer blanket protections on all disclosures or responses to 3 discovery and that the protection it affords from public disclosure and use extends only to the limited 4 information or items that are entitled to confidential treatment under the applicable legal principles. The 5 parties further acknowledge, as set forth in Section 12.3, below, that this Stipulated Protective Order does not entitle them to file confidential information under seal; Civil Local Rule 79-5 sets forth the 6 7 procedures that must be followed and the standards that will be applied when a party seeks permission 8 from the court to file material under seal.

2. DEFINITIONS

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10 2.1 <u>Challenging Party</u>: a Party or Non-Party that challenges the designation of information or 11 items under this Order.

12 2.2 <u>"CONFIDENTIAL" Information or Items</u>: information (regardless of how it is
13 generated, stored or maintained) or tangible things that qualify for protection under Federal Rule of Civil
14 Procedure 26(c).

15 2.3 <u>Counsel (without qualifier):</u> Outside Counsel of Record and House Counsel (as well as
16 their support staff).

17 2.4 <u>Designating Party</u>: a Party or Non-Party that designates information or items that it
18 produces in disclosures or in responses to discovery as "CONFIDENTIAL."

19 2.5 <u>Disclosure or Discovery Material</u>: all items or information, regardless of the medium or
20 manner in which it is generated, stored, or maintained (including, among other things, testimony,
21 transcripts, and tangible things), that are produced or generated in disclosures or responses to discovery
22 in this matter.

23 2.6 <u>Expert</u>: a person with specialized knowledge or experience in a matter pertinent to the
24 litigation who has been retained by a Party or its counsel to serve as an expert witness or as a consultant
25 in this action.

26 2.7 <u>House Counsel</u>: attorneys who are employees of a party to this action. House
27 Counsel does not include Outside Counsel of Record or any other outside counsel.

2.8 <u>Non-Party:</u> any natural person, partnership, corporation, association, or other legal entity

1 not named as a Party to this action.

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2 2.9 <u>Outside Counsel of Record</u>: attorneys who are not employees of a party to this action but
3 are retained to represent or advise a party to this action and have appeared in this action on behalf of that
4 party or are affiliated with a law firm which has appeared on behalf of that party.

2.10 <u>Party</u>: any party to this action, including all of its officers, directors, employees, consultants, retained experts, and Outside Counsel of Record (and their support staffs).

7 2.11 <u>Producing Party</u>: a Party or Non-Party that produces Disclosure or Discovery Material in
8 this action.

2.12 <u>Professional Vendors</u>: persons or entities that provide litigation support services (*e.g.*, photocopying, videotaping, translating, preparing exhibits or demonstrations, and organizing, storing, or retrieving data in any form or medium) and their employees and subcontractors.

2.13 <u>Protected Material</u>: any Disclosure or Discovery Material that is designated as "CONFIDENTIAL."

14 2.14 <u>Receiving Party:</u> a Party that receives Disclosure or Discovery Material from a Producing
15 Party.

3. SCOPE

17 The protections conferred by this Stipulation and Order cover not only Protected Material (as 18 defined above), but also (1) any information copied or extracted from Protected Material; (2) all copies, 19 excerpts, summaries, or compilations of Protected Material; and (3) any testimony, conversations, or 20 presentations by Parties or their Counsel that might reveal Protected Material. However, the protections 21 conferred by this Stipulation and Order do not cover the following information: (a) any information that 22 is in the public domain at the time of disclosure to a Receiving Party or becomes part of the public 23 domain after its disclosure to a Receiving Party as a result of publication not involving a violation of this 24 Order, including becoming part of the public record through trial or otherwise; and (b) any information 25 known to the Receiving Party prior to the disclosure or obtained by the Receiving Party after the 26 disclosure from a source who obtained the information lawfully and under no obligation of 27 confidentiality to the Designating Party. Any use of Protected Material at trial shall be governed by a separate agreement or order. 28

4. DURATION

Even after final disposition of this litigation, the confidentiality obligations imposed by this Order shall remain in effect until a Designating Party agrees otherwise in writing or a court order otherwise directs. Final disposition shall be deemed to be the later of (1) dismissal of all claims and defenses in this action, with or without prejudice; and (2) final judgment herein after the completion and exhaustion of all appeals, rehearings, remands, trials, or reviews of this action, including the time limits for filing any motions or applications for extension of time pursuant to applicable law.

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DESIGNATING PROTECTED MATERIAL

5.1 Exercise of Restraint and Care in Designating Material for Protection. Each Party or Non Party that designates information or items for protection under this Order must take care to limit any such
 designation to specific material that qualifies under the appropriate standards. The Designating Party
 must designate for protection only those parts of material, documents, items, or oral or written
 communications that qualify – so that other portions of the material, documents, items, or
 communications for which protection is not warranted are not swept unjustifiably within the ambit of this
 Order.

Mass, indiscriminate, or routinized designations are prohibited. Designations that are shown to
be clearly unjustified or that have been made for an improper purpose (*e.g.*, to unnecessarily encumber or
retard the case development process or to impose unnecessary expenses and burdens on other parties)
expose the Designating Party to sanctions.

If it comes to a Designating Party's attention that information or items that it designated for
protection do not qualify for protection, that Designating Party must promptly notify all other Parties that
it is withdrawing the mistaken designation.

5.2 <u>Manner and Timing of Designations</u>. Except as otherwise provided in this Order (see, *e.g.*, second paragraph of section 5.2(a) below), or as otherwise stipulated or ordered, Disclosure or
Discovery Material that qualifies for protection under this Order must be clearly so designated before the
material is disclosed or produced.

Designation in conformity with this Order requires:

(a) for information in documentary form (e.g., paper or electronic documents, but excluding

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transcripts of depositions or other pretrial or trial proceedings), that the Producing Party affix the legend 2 "CONFIDENTIAL" to each page that contains protected material.

3 A Party or Non-Party that makes original documents or materials available for inspection need 4 not designate them for protection until after the inspecting Party has indicated which material it would 5 like copied and produced. During the inspection and before the designation, all of the material made available for inspection shall be deemed "CONFIDENTIAL." After the inspecting Party has identified 6 7 the documents it wants copied and produced, the Producing Party must determine which documents, or 8 portions thereof, qualify for protection under this Order. Then, before producing the specified 9 documents, the Producing Party must affix the "CONFIDENTIAL" legend to each page that contains Protected Material. 10

11 (b) for testimony given in deposition or in other pretrial or trial proceedings, that the 12 Designating Party identify on the record, before the close of the deposition, hearing, or other proceeding, 13 all protected testimony.

(c) for information produced in some form other than documentary and for any other tangible 14 15 items, that the Producing Party affix in a prominent place on the exterior of the container or containers in 16 which the information or item is stored the legend "CONFIDENTIAL."

17 (d) for source code, the Parties do not anticipate that production of source code will be necessary 18 in this case; however, if it becomes necessary, the Parties shall negotiate additional provisions to protect 19 the disclosure of such information and no Party shall be required to produce or make any source code 20 available for inspection until additional protections have been negotiated and approved by the Court.

21 5.3 Inadvertent Failures to Designate. If timely corrected, an inadvertent failure to designate 22 qualified information or items does not, standing alone, waive the Designating Party's right to secure 23 protection under this Order for such material. Upon timely correction of a designation, the Receiving 24 Party must make reasonable efforts to assure that the material is treated in accordance with the provisions 25 of this Order.

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6. CHALLENGING CONFIDENTIALITY DESIGNATIONS

27 6.1 Timing of Challenges. Any Party or Non-Party may challenge a designation of 28 confidentiality at any time. Unless a prompt challenge to a Designating Party's confidentiality designation is necessary to avoid foreseeable, substantial unfairness, unnecessary economic burdens, or a
 significant disruption or delay of the litigation, a Party does not waive its right to challenge a
 confidentiality designation by electing not to mount a challenge promptly after the original designation is
 disclosed.

5 6.2 Meet and Confer. The Challenging Party shall initiate the dispute resolution process by 6 providing written notice of each designation it is challenging and describing the basis for each challenge. 7 To avoid ambiguity as to whether a challenge has been made, the written notice must recite that the 8 challenge to confidentiality is being made in accordance with this specific paragraph of the Protective 9 Order. The parties shall attempt to resolve each challenge in good faith and must begin the process by 10 conferring directly in voice to voice dialogue—*i.e.*, in person or by telephone; letter, e-mail, or fax are 11 not sufficient—within 14 days of the date of service of notice. In conferring, the Challenging Party must 12 explain the basis for its belief that the confidentiality designation was not proper and must give the 13 Designating Party an opportunity to review the designated material, to reconsider the circumstances, and, if no change in designation is offered, to explain the basis for the chosen designation. A Challenging 14 15 Party may proceed to the next stage of the challenge process only if it has engaged in this meet and 16 confer process first or establishes that the Designating Party is unwilling to participate in the meet and 17 confer process in a timely manner.

18 6.3 Judicial Intervention. If the Parties cannot resolve a challenge without court intervention, 19 the Parties shall jointly file a letter with the Court outlining the dispute in accordance with Paragraph 10 20 of the Standing Order for Judge Ryu. (Doc. No. 62 at 3.) The burden of persuasion in any such 21 challenge proceeding shall be on the Designating Party. Frivolous challenges, and those made for an 22 improper purpose (e.g., to harass or impose unnecessary expenses and burdens on other parties) may 23 expose the Challenging Party to sanctions. All parties shall continue to afford the material in question 24 the level of protection to which it is entitled under the Producing Party's designation until the court rules 25 on the challenge.

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7. ACCESS TO AND USE OF PROTECTED MATERIAL

27 7.1 <u>Basic Principles</u>. A Receiving Party may use Protected Material that is disclosed or
28 produced by another Party or by a Non-Party in connection with this case only for prosecuting,

defending, or attempting to settle this litigation. Such Protected Material may be disclosed only to the
 categories of persons and under the conditions described in this Order. When the litigation has been
 terminated, a Receiving Party must comply with the provisions of section 13 below (FINAL
 DISPOSITION).

5 Protected Material must be stored and maintained by a Receiving Party at a location and in a
6 secure manner that ensures that access is limited to the persons authorized under this Order.

7.2 <u>Disclosure of "CONFIDENTIAL" Information or Items</u>. Unless otherwise ordered by the court or permitted in writing by the Designating Party, a Receiving Party may disclose any information or item designated "CONFIDENTIAL" only to:

(a) the Receiving Party's Outside Counsel of Record in this action, as well as employees of said
Outside Counsel of Record to whom it is reasonably necessary to disclose the information for this
litigation;

(b) the officers, directors, and employees (including House Counsel) of the Receiving Party to
whom disclosure is reasonably necessary for this litigation and who have signed the "Acknowledgment
and Agreement to Be Bound" (Exhibit A);

(c) Experts (as defined in this Order) of the Receiving Party to whom disclosure is reasonably
necessary for this litigation and who have signed the "Acknowledgment and Agreement to Be Bound"
(Exhibit A);

(d) the court and its personnel;

(e) court reporters and their staff, professional jury or trial consultants, mock jurors, and
 Professional Vendors to whom disclosure is reasonably necessary for this litigation and who have signed
 the "Acknowledgment and Agreement to Be Bound" (Exhibit A);

(f) during their depositions, witnesses in the action to whom disclosure is reasonably necessary
and who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A), unless otherwise
agreed by the Designating Party or ordered by the court. Pages of transcribed deposition testimony or
exhibits to depositions that reveal Protected Material must be separately bound by the court reporter and
may not be disclosed to anyone except as permitted under this Stipulated Protective Order.

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(g) the author or recipient of a document containing the information or a custodian or other

1 person who otherwise possessed or knew the information.

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2 8. PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN OTHER 3 LITIGATION

If a Party is served with a subpoena or a court order issued in other litigation that compels disclosure of any information or items designated in this action as "CONFIDENTIAL," that Party must:

6 (a) promptly notify in writing the Designating Party. Such notification shall include a copy of
7 the subpoena or court order;

(b) promptly notify in writing the party who caused the subpoena or order to issue in the otherlitigation that some or all of the material covered by the subpoena or order is subject to this ProtectiveOrder. Such notification shall include a copy of this Stipulated Protective Order; and

(c) cooperate with respect to all reasonable procedures sought to be pursued by the Designating
Party whose Protected Material may be affected.

If the Designating Party timely seeks a protective order, the Party served with the subpoena or court order shall not produce any information designated in this action as "CONFIDENTIAL" before a determination by the court from which the subpoena or order issued, unless the Party has obtained the Designating Party's permission. The Designating Party shall bear the burden and expense of seeking protection in that court of its confidential material – and nothing in these provisions should be construed as authorizing or encouraging a Receiving Party in this action to disobey a lawful directive from another court.

209. A NON-PARTY'S PROTECTED MATERIAL SOUGHT TO BE PRODUCED IN THIS21212121

(a) The terms of this Order are applicable to information produced by a Non-Party in this action
and designated as "CONFIDENTIAL." Such information produced by Non-Parties in connection with
this litigation is protected by the remedies and relief provided by this Order. Nothing in these provisions
should be construed as prohibiting a Non-Party from seeking additional protections.

(b) In the event that a Party is required, by a valid discovery request, to produce a Non-Party's
confidential information in its possession, and the Party is subject to an agreement with the Non-Party
not to produce the Non-Party's confidential information, then the

Party shall:

1. promptly notify in writing the Requesting Party and the Non-Party that some or all of the information requested is subject to a confidentiality agreement with a Non-Party;

2. promptly provide the Non-Party with a copy of the Stipulated Protective Order in this litigation, the relevant discovery request(s), and a reasonably specific description of the information requested; and

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3. make the information requested available for inspection by the Non-Party.

(c) If the Non-Party fails to object or seek a protective order from this court within 14 days of receiving the notice and accompanying information, the Receiving Party may produce the Non-Party's confidential information responsive to the discovery request. If the Non-Party timely seeks a protective order, the Receiving Party shall not produce any information in its possession or control that is subject to the confidentiality agreement with the Non-Party before a determination by the court.¹ Absent a court order to the contrary, the Non-Party shall bear the burden and expense of seeking protection in this court of its Protected Material.

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10. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL

If a Receiving Party learns that, by inadvertence or otherwise, it has disclosed Protected Material to any person or in any circumstance not authorized under this Stipulated Protective Order, the Receiving Party must immediately (a) notify in writing the Designating Party of the unauthorized disclosures, (b) use its best efforts to retrieve all unauthorized copies of the Protected Material, (c) inform the person or persons to whom unauthorized disclosures were made of all the terms of this Order, and (d) request such person or persons to execute the "Acknowledgment and Agreement to Be Bound" that is attached hereto as Exhibit A.

11. INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE PROTECTED MATERIAL

Inadvertent production of information subject to the attorney-client privilege and attorney-workproduct doctrine ("privileges") shall not constitute a waiver of any claim for protection under the

¹ The purpose of this provision is to alert the interested parties to the existence of confidentiality rights of a Non-Party and to afford the Non-Party an opportunity to protect its confidentiality interests in this court.

1 privileges, provided that the provisions of this paragraph are satisfied.

2 (a) If the Producing Party discovers that information produced in discovery should have been 3 withheld on the basis of privilege (the "Clawed-Back Materials"), the Producing Party must notify the 4 Receiving Party as soon as is practicable. The Producing Party of the Clawed-Back Materials does not, 5 however, need to show that the information qualifies as privileged information, or that the production was inadvertent. In such event, the Receiving Party must immediately-and regardless of any argument 6 7 as to waiver—return the Clawed-Back Materials along with all duplicates, and shall destroy any the 8 portion of any notes, summaries, extracts or abstracts that rely upon or refer to such Clawed-Back 9 Materials. Once notified of the production of privileged information, the Receiving Party shall not 10 duplicate the Clawed-Back Materials, or distribute the privileged information by any means other than 11 returning it to the Producing Party. Within five business days of notifying the Receiving Party, the 12 Producing Party must provide a privilege log that lists the Clawed-Back Materials. The Receiving Party 13 shall have no liability, under this Order or otherwise, for any disclosure or use of the Clawed-Back Materials occurring before the Receiving Party was placed on notice of the Producing Party's claims of 14 15 privilege, except to the extent that the Clawed-Back Materials should have been returned to the 16 Producing Party subject to subparagraph (b) below. Insofar as the Receiving Party wishes to challenge 17 the claim of privilege or work product with respect to the Clawed-Back Materials, it must do so based on 18 the privilege log and without relying on the information it learned from the Clawed-Back Materials.

19 (b) If the Receiving Party discovers materials that clearly appear to be inadvertently produced privileged materials (the "Obviously Privileged Materials"), the Receiving Party will refrain from 20 21 examining the Obviously Privileged Materials any more than is essential to ascertain if the materials are 22 privileged and shall immediately notify the Producing Party that they possess material that appears to be 23 privileged. Within five business days, the Producing Party must confirm or deny that the materials are 24 privileged. If they are confirmed to be inadvertently produced and privileged, then the Receiving Party 25 must immediately return or destroy them and the Producing Party must provide a privilege log that lists 26 the Obviously Privileged Materials within five business days.

Nothing within this Order will prejudice the right of any Party to object to the production of any
discovery material on the grounds that the material is protected as privileged or as attorney work product.

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12.1 <u>Right to Further Relief</u>. Nothing in this Order abridges the right of any person to seek its modification by the court in the future.

12.2 <u>Right to Assert Other Objections</u>. By stipulating to the entry of this Protective Order no Party waives any right it otherwise would have to object to disclosing or producing any information or item on any ground not addressed in this Stipulated Protective Order. Similarly, no Party waives any right to object on any ground to use in evidence of any of the material covered by this Protective Order.

8 12.3 Filing Protected Material. Without written permission from the Designating Party or a 9 court order secured after appropriate notice to all interested persons, a Party may not file in the public 10 record in this action any Protected Material. A Party that seeks to file under seal any Protected Material 11 must comply with Civil Local Rule 79-5. Protected Material may only be filed under seal pursuant to a court order authorizing the sealing of the specific Protected Material at issue. Pursuant to Civil Local 12 13 Rule 79-5, a sealing order will issue only upon a request establishing that the Protected Material at issue is privileged, protectable as a trade secret, or otherwise entitled to protection under the law. If a 14 15 Receiving Party's request to file Protected Material under seal pursuant to Civil Local Rule 79-5(d) is 16 denied by the court, then the Receiving Party may file the information in the public record pursuant to 17 Civil Local Rule 79-5(e) unless otherwise instructed by the court.

18 13. FINAL DISPOSITION. Within 60 days after the final disposition of this action, as 19 defined in paragraph 4, each Receiving Party must return all Protected Material to the Producing Party or 20 destroy such material. As used in this subdivision, "all Protected Material" includes all copies, abstracts, 21 compilations, summaries, and any other format reproducing or capturing any of the Protected Material. 22 Whether the Protected Material is returned or destroyed, the Receiving Party must submit a written 23 certification to the Producing Party (and, if not the same person or entity, to the Designating Party) by the 24 60 day deadline that (1) identifies (by category, where appropriate) all the Protected Material that was 25 returned or destroyed and (2) affirms that the Receiving Party has not retained any copies, abstracts, 26 compilations, summaries or any other format reproducing or capturing any of the Protected Material.

Notwithstanding this provision, Counsel are entitled to retain an archival copy of all pleadings,
motion papers, trial, deposition, and hearing transcripts, legal memoranda, correspondence, deposition

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1	and trial exhibits, expert reports, attorney work product, and consultant and expert work product, even if		
2	such materials contain Protected Material. Any such archival copies that contain or constitute Protected		
3	Material remain subject to this Protective Order as set forth in Section 4 (DURATION).		
4			
5	IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.		
6	DATED: October 21, 2011 /s/ Jason A. Archinaco (by permission)		
7	DATED: October 21, 2011/s/ Jason A. Archinaco (by permission)Attorney for Plaintiffs		
8	DATED: October 21, 2011 /s/ Jesse Geraci		
9	Attorney for Defendants		
10	PURSUANT TO STIPULATION, IT IS SO ORDERED.		
11	STATES DISTRICT CO		
12	Dated: <u>10/25/2011</u>		
13	Z Judge Donna M. Ryu DISTRICT OF CONT DONNA M. RYU		
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16	United States Magistrate Judge		
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	STIPULATED PROTECTIVE ORDER CASE NO. 3:11-cv-01078-DMR		
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2 3	Respectfully submitted,	Respectfully submitted,
4	By: /s/ Jason A. Archinaco (by permission)	By: <u>/s/ Jesse Geraci</u>
5	Paul W. Chandler	Michael H. Page
6	Law Offices of Paul W. Chandler 6080 Center Drive, 6th Floor	Johanna Calabria Jesse Geraci
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13	Counsel for Plaintiffs	
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		1 D PROTECTIVE ORDER
	CASE NO	0. 3:11-cv-01078-DMR

SIGNATURE ATTESTATION PURSUANT TO GENERAL ORDER 45

I hereby attest that that concurrence in the filing of the forgoing document has been obtained from each of the other signatories which shall serve in lieu of their signature(s) on the document. I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct. Executed on October 25, 2011 in San Francisco, California.

> <u>/s/ Jesse Geraci</u> JESSE GERACI

1	CERTIFICATE OF SERVICE		
2	I certify that all counsel of record are being served on October 25, 2011, with a copy of this		
3	document via the Court's CM/ECF system.		
4	I declare under penalty of perjury under the laws of the United States of America that the		
5	foregoing is true and correct. Executed on October 25, 2011 in San Francisco, California.		
6	/s/ Jesse Geraci		
7	JESSE GERACI		
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