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5 UNITED STATES DISTRICT COURT
6 FOR THE NORTHERN DISTRICT OF CALIFORNIA
7 OAKLAND DIVISION

8 NDX ADVISORS, INC., NDX CAPITAL
9 MANAGEMENT, LLC, NDX HOLDINGS,
10 INC., NDX TRADING, INC., and ST.
CROIX CAPITAL MANAGEMENT, LLC,

11 Plaintiffs,

12 vs.

13 ADVISORY FINANCIAL CONSULTANTS,
14 INC. and RUTHE P. GOMEZ,

15 Defendants.

Case No: C 11-3234 SBA

**ORDER (1) DENYING MOTION TO
COMPEL ARBITRATION AND (2)
DENYING STIPULATION TO
MODIFY PRETRIAL SCHEDULE**

Dkt. 65, 74

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17 I.

18 Defendants Ruthe P. Gomez and Advisory Financial Consultants, Inc. (collectively
19 “Defendants”) have filed a motion to compel arbitration. Dkt. 65. The motion is supported
20 by: a request for judicial notice, attached to which are several hundred pages of exhibits,
21 Dkt. 65-2; a declaration by Ms. Gomez, which appends five exhibits, Dkt. 66; and a
22 declaration by Patrick Baldwin, which also appends five exhibits, Dkt. 68. In their
23 memorandum of points and authorities filed in support of the motion to compel, Defendants
24 neglect to provide *any* specific citations to the aforementioned documents. Instead,
25 Defendants offer only vague and non-specific citations such as, “*See Gomez Declaration*”
26 and “*See Request for Judicial Notice.*” *See Defs.’ Mem.* at 3-5, Dkt. 65-1. As the Court
27 warned Defendants in its prior Order, “[t]he Court is not obligated to consider matters not
28 specifically brought to its attention.” *Order Denying Defs.’ Mot. to Dismiss* at 6 (citation

1 omitted), Dkt. 48. Therefore, the Court declines to consider Defendants’ deficiently
2 prepared motion at this juncture and denies the motion without prejudice. Should
3 Defendants refile their motion to compel arbitration, said motion must include specific
4 pinpoint citations to the record. For example, if the evidentiary support for a factual
5 assertion is set forth in paragraph 5 of the declaration of Ms. Gomez, the motion shall cite
6 to the record as “Gomez Decl. ¶ 5, Dkt. ___.”¹ The Court directs Defendants’ attention to
7 The Bluebook for proper cite form.

8 II.

9 Also before the Court is a document styled as “Agreed Stipulation and [Proposed]
10 Order Vacating & Resetting Oral Discovery & Dispositive Motion Deadlines.” Dkt. 74. In
11 this stipulation, the parties indicate that they each have served discovery to the other, but
12 have not responded to the pending discovery requests. They claim that it “makes little
13 sense” to proceed with discovery until such time as the Court rules on the motion to compel
14 arbitration. Id. As such, the parties request that the Court modify its scheduling order
15 issued on March 8, 2012, by extending the “oral discovery” deadline from August 31, 2012,
16 to November 15, 2012, and the law and motion cut-off date from December 4, 2012 to
17 December 17, 2012. Id.²

18 Federal Rule of Civil Procedure 16 provides that deadlines established in a case
19 management order may “be modified only for good cause[.]” Fed. R. Civ. P. 16(b)(4).
20 “Good cause” exists when a deadline “cannot reasonably be met despite the diligence of the
21 party seeking the extension.” Johnson v. Mammoth Recreations, Inc., 975 F.2d 604, 609
22 (9th Cir. 1992) (citation omitted). Thus, “Rule 16(b)’s ‘good cause’ standard primarily

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24 ¹ Defendants are not alone in their transgressions of the rules of this Court. The
25 Court’s Standing Orders require the parties to meet and confer prior to the filing of any
26 motion or request. Dkt. 43 at 2. Defendants sought to meet and confer with Plaintiff on
27 July 17, 2012, but Plaintiffs never responded to Defendants’ request. See Defs.’ Mot. at 1.
The parties should be aware that the failure to meet and confer in good faith and/or the
violation of any other procedural rule of this Court may result in the imposition of sanctions
against counsel and/or their respective clients.

28 ² The Court did not schedule an “oral discovery” deadline. Thus, the Court
presumes that the parties are referring to the fact discovery cut-off.

1 considers the diligence of the party seeking the amendment.” Id.; see also Coleman v.
2 Quaker Oats Co., 232 F.3d 1271, 1294 (9th Cir. 2000). “If the party seeking the
3 modification ‘was not diligent, the inquiry should end’ and the motion to modify should not
4 be granted.” Zivkovic v. S. Cal. Edison Co., 302 F.3d 1080, 1087 (9th Cir. 2002) (quoting
5 Johnson, 975 F.2d at 609). Here, the parties have made no showing of diligence to justify
6 the enlargement of the deadline for completing fact discovery and the law and motion cut-
7 off. Indeed, this case has been pending since January 24, 2011, thereby affording the
8 parties ample time to complete discovery and to prepare any dispositive motions. The
9 parties’ request to modify the pretrial schedule is therefore denied.

10 III.


11 For the reasons set forth above,

12 IT IS HEREBY ORDERED THAT:

- 13 1. Defendants’ motion to compel arbitration is DENIED without prejudice.
- 14 2. The parties’ stipulated request to modify the Court’s pretrial scheduling order
15 is DENIED.
- 16 3. This Order terminates Docket 65 and 74.

17 IT IS SO ORDERED.

18 Dated: October 18, 2012


19 SAUNDRA BROWN ARMSTRONG
20 United States District Judge
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