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United States District Court
For the Northern District of California

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

No. C 13-01159 SBA

BILL GRAVES
Plaintiff,

**ORDER FOR PRETRIAL
PREPARATION**

v.

SOUTHWESTERN & PACIFIC
SPECIALTY FINANCE, INC.
Defendant.

Pursuant to Rule 16(e) of the Federal Rules of Civil Procedure ("FRCP"), IT IS
HEREBY ORDERED AS FOLLOWS:

**A. DEADLINE FOR JOINDER OF PARTIES/AMENDING THE
PLEADINGS**

The deadline for the joinder of other parties and to amend the pleadings is **3/21/2014**.

B. DISCOVERY CUT-OFF

All discovery, except for expert discovery, shall be completed and all
depositions taken on or before **1/27/2015**. The parties are responsible for scheduling
discovery so that motions to resolve discovery disputes can be heard before the above
discovery cut-off.

C. EXPERT DESIGNATION AND DISCOVERY

Plaintiff shall designate any experts by **1/27/2015**; defendant by **1/27/2015**;
rebuttal disclosure by **2/10/2015**. Any expert not so named may be disallowed as a witness.
No expert will be permitted to testify to any opinion, or basis or support for an opinion, that
has not been disclosed in response to an appropriate question or interrogatory from the
opposing party. Expert discovery shall be completed by **3/10/2015**.

D. MOTION CUT-OFF

1 All dispositive motions shall be *heard* on or before **3/31/2015**, at 1:00 p.m. *The*
2 *parties must meet and confer prior to filing any motion.* The movant shall certify to the
3 Court in its moving papers that it has complied with this requirement. Should the parties fail
4 to meet and confer, the Court may decline to entertain the motion.

5 **THE COURT DOES NOT RESERVE MOTION HEARING DATES.** The
6 parties are advised to check Judge Armstrong's calendar at www.cand.uscourts.gov/sba,
7 under Scheduling Information to determine the next available hearing date, particularly in the
8 case of a dispositive motion. The parties are advised *not* to wait until 35 days prior to the
9 law and motion cut-off date to file and serve their motion. As the Court's law and motion
10 calendar tends to fill quickly, there is *no* guarantee that a hearing date within the law and
11 motion cut-off date will be available. You **MUST** submit a hard copy of all motion papers
12 filed in E-FILED cases in order to be placed on calendar.

13 **Page Limits:** All noticed motions (other than motions for summary judgment)
14 and any opposition thereto, shall not exceed **fifteen (15) pages** in length, exclusive of the
15 table of contents, table of authorities, exhibits and declarations, if required. Reply briefs may
16 not exceed **ten (10) pages** in length. Motions for summary judgment are subject to the page
17 limits set forth in Civil Local Rule 7.

18 **Meet and Confer Requirement:** All parties are required to meet and confer
19 before filing any motion or any non-stipulated request with this court, and to certify that they
20 have complied with this requirement.

21 **Failure to Oppose:** The failure of the opposing party to file a memorandum of
22 points and authorities in opposition to any motion shall constitute a consent to the granting of
23 the motion.

24 **Summary Judgment:** Parties are limited to filing one motion for summary
25 judgment. Any party wishing to exceed this limit must request leave of Court. The parties
26 are not required to file statements of undisputed facts in connection with a motion for
27 summary judgment. However, if the parties desire to file a statement of undisputed facts,
28 only one joint statement of undisputed facts signed by all parties shall be filed. All separate
statements will be stricken. If the parties are unable to agree that a fact is undisputed, they
should assume that fact is in dispute.

Hearing on Motions: Note that pursuant to Civil L.R. 7-1(b), the Court may,
in its discretion, adjudicate motions *without* oral argument.

E. MANDATORY SETTLEMENT CONFERENCES

All parties are ordered to participate in a mandatory settlement conference
during the following time period: **4/6/2015-5/22/2015**

F. PRETRIAL CONFERENCE

All Counsel who will try the case shall appear for a pretrial conference in
Courtroom 1 on **6/30/2015** at 1:00 p.m.. All Counsel shall be fully prepared to discuss all
aspects of the trial. Failure to file the requisite pretrial documents in advance of the pretrial
conference may result in vacation of the pretrial conference and/or the imposition of
sanctions. **ALL PARTIES WITH SETTLEMENT AUTHORITY ARE REQUIRED TO
ATTEND THE PRETRIAL CONFERENCE.**

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G. PRETRIAL PREPARATION DUE: 5/26/2015

Prior to the date pretrial preparation is due, Counsel shall meet and confer in good faith in advance of complying with the following pretrial requirements in order to clarify and narrow the issues for trial, arrive at stipulations of facts, simplify and shorten the presentation of proof at trial, and explore possible settlement. In addition, Counsel shall meet and confer regarding anticipated motions in limine, objections to evidence, jury instructions, and any other matter which may require resolution by the Court. The following items 1 through 8 below shall be filed and served by the above-referenced date.

1. Joint Pretrial Statement

Counsel are required to file a pretrial conference statement containing

the following information:

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(a) **The Action**

(i) **Substance of the Action.** A brief description of the substance of claims and defenses which remain to be decided

(ii) **Relief Prayed.** A detailed statement of all the relief claimed, particularly itemizing all elements of damages claimed as well as witnesses, documents or other evidentiary material to be presented concerning the amount of those damages.

(b) **The Factual Basis of the Action**

(i) **Undisputed Facts.** A plain and concise statement of all relevant facts not reasonably disputable, as well as which facts parties will stipulate for incorporation into the trial record without the necessity of supporting testimony or exhibits.

(ii) **Disputed Factual Issues.** A plain and concise statement of all disputed factual issues which remain to be decided.

(iii) **Agreed Statement.** A statement assessing whether all or part of the action may be presented upon an agreed statement of facts.

(iv) **Stipulations.** A statement of stipulations requested or proposed for pretrial or trial purposes.

(c) **Disputed Legal Issues**

(i) **Points of Law.** Without extended legal argument, a concise statement of each disputed point of law concerning liability or relief, citing supporting statutes and decisions. Unless otherwise ordered, parties should cite to briefs served and lodged setting forth briefly the nature of each party's contentions concerning each disputed point of law, including procedural and evidentiary issues.

(ii) **Proposed Conclusions of law.** If the case is to be tried without jury, unless otherwise ordered, parties should briefly indicate objections to proposed conclusions of law lodged with this Court.

2. **Trial Briefs**

Each party shall serve and file a trial brief which shall briefly state their contentions, the relevant facts to be proven at trial, and the law on the issues material to the decision.

3. **Findings of Fact**

In non-jury cases, each party shall serve and lodge with the Court proposed findings of fact and conclusions of law on all material issues. Findings shall be brief, clear, written in plain English and free of pejorative language, and argument.

4. **Witnesses**

Each party shall serve and file with the Court a list of all persons who may be called as witnesses. The list shall include a summary of the substance of each witness' proposed testimony. See Civil L.R. 16-15(4)(A).

5. **Designation of Discovery Excerpts**

1 Each party expecting to use discovery excerpts as part of its case in
2 chief shall serve and lodge with the Court a statement identifying (1) by witness and page
3 and line, all deposition testimony and (2) by lodged excerpt, all interrogatory answers and
4 request for admissions to be used as part of its direct case. Each interrogatory answer
5 intended to be offered as an exhibit shall be copied separately and marked as an exhibit. The
6 original of any deposition to be used at trial must be produced at the time of trial, as well as a
7 copy for the Court. Counsel shall indicate any objections to the use of these materials and
8 advise the Court that counsel has conferred respecting such objections.

6. **Jury Instructions**

7 The parties shall file a joint set of proposed jury instructions as to those
8 instructions on which the parties have reached agreement. As to any disputed instructions,
9 each party shall separately submit its "proposed" instruction(s) supported by a memorandum
10 setting forth the authority for its use. Responses or objections to any "proposed" jury
11 instruction shall be filed no later than the date of the pretrial conference. All instructions
12 shall be written in plain English which is comprehensible to jurors, concise and free of
13 argument, and shall be organized in a logical fashion so as to aid jury comprehension, and
14 are also to be provided on a CD in a word format. The Court's practice is to utilize,
15 whenever possible, instructions found in the Ninth Circuit Manual of Model Jury
16 Instructions.

7. **Jury Voir Dire and Verdict Forms**

13 Each party shall submit proposed questions for jury voir dire and a
14 proposed form of verdict.

8. **Exhibits**

15 Each party shall provide every other party one set of all exhibits, charts,
16 schedules, summaries and diagrams and other similar documentary materials to be used at the
17 trial together with a complete list of all such exhibits. The Court requires one original
18 version of exhibits (as described above) for the Clerk and two copies (one for the Bench and
19 one for the witness stand). All such versions of the exhibits, including the originals, should
20 be indexed into a binder for easy and quick reference by all parties. The first page of each
21 binder should have a copy of the exhibit list (see attached) appropriately completed with each
22 exhibit description and its designated number. Plaintiffs shall refer to their exhibits
23 numerically and Defendants shall label theirs alphabetically. Exhibit labels are also attached
24 for your convenience. Exhibits should be brought to Court on the first day of trial.

9. **Motions in Limine and Objections to Evidence**

22 Each party anticipating making motion(s) in limine and/or objection(s)
23 to any testimony or exhibits expected to be offered shall file and serve a statement briefly
24 identifying each item objected to and the grounds for the objection. The parties must meet
25 and confer prior to filing any motion in limine and objection to evidence. The movant shall
26 certify to the Court in its moving papers that it has complied with this requirement.

26 **NOTE:** All motions in limine submitted by each party shall be set forth
27 *in a single memorandum*, not to exceed **ten (10) pages** in length. Responses to the motions
28 in limine shall be set forth in a single memorandum, not to exceed **ten (10) pages** in length.
Reply briefs shall not exceed **six (6) pages**. No motions in limine will be considered unless
the parties certify that they met and conferred prior to the filing of such motion. Any request
to exceed the page limit must be submitted prior to the deadline for these briefs and must be

supported by a showing of good cause, along with a certification that the applicant has met and conferred with the opposing party.

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(a) **Motions/Objections due: 6/2/2015**

(b) **Opposition due: 6/9/2015**

(c) **Reply due: 6/16/2015**

H. TRIAL DATE

Trial before the **Jury** will begin on **7/13/2015**, at 8:30 a.m., for an estimated **5** trial days, or as soon thereafter as the Court may designate. The parties are advised that they must be prepared to go to trial on a trailing basis. The trial will take place in Courtroom 1 of the United States Courthouse, 1301 Clay Street, 4th Floor, Oakland, California, 94612. The Court's trial hours are from 8:30 a.m. to 2:00 p.m., with two fifteen-minute breaks, on Monday, Wednesday, Thursday and Friday. *On the first day of trial all parties are required to have someone in Court with full Settlement Authority.*

I. TRANSCRIPTS

If transcripts will be requested during or immediately after the trial, arrangements must be made with the Court Reporter Coordinator (Telephone No. 510-637-3534) at least one week prior to the commencement of trial commences.

J. STATUS AND DISCOVERY CONFERENCES

Any party desiring to confer with the Court may, upon notice to all other parties, arrange a conference through the courtroom deputy (Telephone No. 510-637-3541). Conferences may be conducted telephonically, upon request (preferably in writing).

K. SANCTIONS

Failure to comply with this order may result in the imposition of sanctions pursuant to FRCP 16(f).

IT IS SO ORDERED.

Dated: 2/19/2014


SAUNDRA BROWN ARMSTRONG
United States District Judge