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United States District Court
Northern District of California

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

LAWANDA ANDERSON,
Plaintiff,
v.
CITY AND COUNTY OF SAN
FRANCISCO,
Defendant.

Case No. 4:13-cv-05555 DMR (EDL)

**NOTICE OF SETTLEMENT
CONFERENCE AND SETTLEMENT
CONFERENCE ORDER**

TO ALL PARTIES AND COUNSEL OF RECORD:

The above matter was referred to Magistrate Judge Elizabeth D. Laporte for settlement purposes. You are hereby notified that a settlement conference is scheduled for December 16, 2015, at 9:15 a.m., at the U.S. District Court, 450 Golden Gate Avenue, 15th floor, Courtroom E, San Francisco, CA 94102.

If the parties and counsel are not available on that date, or if they believe that a settlement conference would be more productive at a different time, counsel must confer on new potential date(s) and then contact courtroom deputy Stephen Ybarra **immediately** at 415-522-3694. The parties should be mindful of any time limits set by the district court.

It is the responsibility of counsel to ensure that whatever discovery is needed for all sides to evaluate the case for settlement purposes is completed by the date of the settlement conference. Counsel shall cooperate in providing discovery informally and expeditiously.

**A. Meet and Confer Requirement and Option to Request Pre-Settlement Conference
Call with Judge Laporte**

Before the settlement conference and prior to the preparation of their settlement conference documents, counsel for the parties shall endeavor to meet and confer (in person or by phone) to discuss matters pertinent to improving the prospects that the settlement negotiations will be

1 productive. To the extent the meet and confer is possible, counsel may address any subjects they
2 feel are appropriate, but they must discuss the following:

- 3 1. Who will attend the conference on behalf of each party, including counsel and
4 identification of the person(s) with full authority to make the final decision as to whether
5 any settlement offer is made, accepted, or rejected (e.g., the party, and another person(s) if
6 full authority does not rest with the party).
- 7 2. Which persons or entities must approve a proposed settlement agreement before it can be
8 executed, as well as the nature and duration of any such approval process.
- 9 3. Whether insurance is available to cover all or part of the claimed losses or to fund all or
10 part of any party's defense; whether tenders have been made to any insurance companies;
11 and if insurance is available, the name of and position held by each claims representative
12 who will be attending the settlement conference.
- 13 4. Whether there are particular documents or other tangible things that should be brought to
14 the conference (e.g., to educate the settlement judge or to support or explain significant
15 contentions).
- 16 5. Any unusual issues or factors that could come into play in the settlement negotiations or
17 any especially sensitive matters that other counsel or the Court should be alerted to before
18 the conference.
- 19 6. Whether a pre-settlement conference call with the attorneys and the settlement judge would
20 help make the process more productive (e.g., by ensuring that the appropriate client
21 representatives attend.) **Counsel may arrange a pre-settlement conference call with
22 Judge Laporte by calling Stephen Ybarra at 415-522-3694.**

23 **B. Exchange of Current Settlement Demand and Response**

24 If there is no current settlement demand, Plaintiff shall (to the extent possible) serve a
25 demand on Defendant in writing prior to the settlement conference, outlining its theories for
26 recovery, the supporting facts, and damages. Plaintiff must include its demand in its exchanged
27 settlement conference statement. Defendant must include its response to the demand in its
28 exchanged settlement conference statement.

1 **C. Lodged Settlement Conference Documents**

2 To the extent possible, each party shall endeavor to provide some or all of the following by
3 12:00 p.m. on December 15, 2015:

- 4 (1) an Exchanged Settlement Conference Statement; and
5 (2) a Confidential Settlement Letter.

6 **The parties shall deliver the Settlement Conference Documents directly to Magistrate Judge**
7 **Laporte's chambers on the 15th floor.**

8 **1. Exchanged Settlement Conference Statements**

9 Counsel **shall serve** a copy of the Settlement Conference Statement on all parties.

10 Furthermore, counsel are **strongly encouraged** prior to the settlement conference to share with
11 their clients the contents of the Settlement Conference Statement(s) received from opposing
12 counsel.

13 The Settlement Conference Statement shall not exceed ten (10) pages of text. Parties are
14 encouraged to include as exhibits any key documents and deposition excerpts, with highlighting as
15 appropriate. The Settlement Conference Statement shall include the following:

- 16 a. A brief statement of the facts of the case.
17 b. A brief statement of the principal claims and defenses.
18 c. A description of the key factual and legal issues that are in dispute and a plain
19 and concise statement of the specific evidence relevant to their determination.
20 Portions of any exhibits and transcripts relied upon by the parties shall be
21 referenced and highlighted.
22 d. A summary of the proceedings to date and a description of any pending
23 motions.
24 e. The bases for any damages calculations and a description of any nonmonetary
25 relief sought or non-monetary components of settlement offers or demands.
26 f. The history and current status of settlement discussions, and the party's **current**
27 demand/offer of settlement to the opposing party(ies).
28 g. For each party, a list of the names, titles, and positions of all persons who will

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be attending the conference.

- h. Where the party is a governmental entity, a description of which persons or entities must approve a proposed settlement agreement before it can be executed, as well as the nature and duration of that approval process.

2. Confidential Settlement Letters

The Confidential Settlement Letter **shall not be served** upon other parties.

The Confidential Settlement Letter shall not exceed five (5) pages of text and shall include the following:

- a. Separately for each principal claim and defense, a candid and forthright evaluation of the strengths and weaknesses and likelihood that the party submitting the Confidential Letter will prevail. Citations to any key legal authorities relied upon by the parties as part of this evaluation shall be provided.
- b. An estimate of the out-of-pocket expenses, attorneys' fees, and time: (a) **spent to date** and (b) **to be expended** for further discovery, pretrial, and trial. If plaintiff seeks attorneys' fees and costs, plaintiff's counsel shall be prepared at the conference to provide sufficient information to enable the fee claim to be evaluated for purposes of settlement.
- c. A description of the principal obstacles (factual, legal, or other) to reaching a settlement agreement, and the reason the parties' assessments of the settlement value of the case differ.
- d. A realistic settlement figure and/or terms (including and non-monetary terms) that, given all the circumstances, the party submitting the Confidential Letter would consider seriously.
- e. Where the party is insured or is a governmental entity, any foreseeable barriers to insurance coverage or approval of a proposed settlement, or special concerns that the insurer or governmental entity might want addressed.
- f. A brief discussion of any of the subjects identified in Section A of this Order

1 that might be significant in the settlement dynamic.

2 **D. Mandatory Personal Attendance**

3 **Lead trial counsel** shall appear at the settlement conference with the **parties and with the**
4 **person(s) having full authority** to make the final decision as to whether any settlement offer is
5 made, accepted, or rejected (if full authority does not rest with the party). A person who needs to
6 call another person not present before making, accepting, or rejecting any settlement offer does not
7 have such full authority. If a party is a **governmental entity**, its governing body shall designate
8 one of its members or a senior executive to appear at the settlement conference with authority to
9 participate in the settlement conference and, if a tentative settlement agreement is reached, to
10 recommend the agreement to the governmental entity for its approval. An **insured party** shall
11 appear with a representative of the carrier with **full authority to negotiate up to the limits of**
12 **coverage.**

13 Personal attendance is mandatory and will rarely be excused by the Court, and only upon
14 written authorization from the Court. To seek to excuse a party from personally attending a
15 settlement conference, counsel for the party shall meet and confer with counsel for all other parties
16 to determine if there are any objections to the moving party's absence. Counsel must then lodge a
17 letter with the Court, with copies to all parties, seeking to excuse the party's participation. The
18 letter shall recite the compelling reasons for seeking the party's absence, as well as whether the
19 other parties agree or object to the request and the reasons for any objection. The application to
20 excuse a party must be lodged no later than the lodging of the Settlement Conference Documents.
21 If the Court permits attendance by telephone, the person who is excused from personally
22 appearing must be available to participate by telephone throughout the entire conference.

23 **E. Duration and Content of Settlement Conference**

24 It is not unusual for settlement conferences to last three (3) or more hours, or at times all
25 day. Parties and their representatives should be prepared to devote the entire day to the conference
26 if necessary. Parties are encouraged to participate in the settlement conference and frankly discuss
27 their case. Statements they make during the conference will not be admissible in the event the
28 case does not settle. See ADR L.R. 7-5. The parties and their representatives should be prepared

1 to discuss such issues as their settlement objectives; and impediments to settlement that they
2 perceive; whether they have enough information to discuss settlement and if not, what additional
3 information is needed; and the possibility of a creative resolution of the dispute.

4 **F. Continuances**

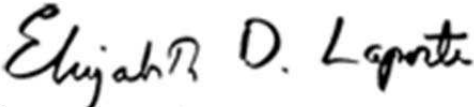
5 Any request to continue the settlement conference shall be submitted in writing as soon as
6 possible after consultation with the opposing party. **The request must demonstrate a**
7 **compelling reason for a continuance**, and state whether the opposing party(ies) agree or oppose
8 the request. Any party who objects to the continuance should submit a written response within 2
9 business days. Courtesy copies of any electronically filed documents must be delivered to
10 chambers as provided in Civil L.R. 5-1(e)(7).

11 The parties shall notify Magistrate Judge Laporte's Courtroom Deputy, Stephen Ybarra,
12 immediately at 415-522-3694 if this case settles prior to the date set for settlement conference.

13 Any failure to comply with the requirements of this Order may subject the parties and/or
14 counsel to sanctions.

15 **IT IS SO ORDERED.**

16 Dated: December 14, 2015



ELIZABETH D. LAPORTE
UNITED STATES MAGISTRATE JUDGE