

1 UNITED STATES DISTRICT COURT
2 NORTHERN DISTRICT OF CALIFORNIA

3
4 DAVID G. VALLEY,
5 Plaintiff,

6 v.

7 ALISHA STOTTSBERRY,
8 Defendant.

Case No. 16-cv-02915-HSG (PR)

**ORDER GRANTING DEFENDANT'S
MOTION FOR SUMMARY JUDGMENT**

Re: Dkt. No. 20

9
10 **INTRODUCTION**

11 On May 31, 2016, Plaintiff filed this *pro se* civil rights action under 42 U.S.C. § 1983
12 against one defendant at Lake County Jail, where he was previously housed as a pretrial detainee.¹
13 Defendant is Alisha Stottsberry, a licensed registered nurse employed by the California Forensic
14 Medical Group, Inc. as the medical program manager at the Lake County Jail. On August 31,
15 2016, the Court screened the complaint and found that it stated a cognizable claim for deliberate
16 indifference to serious medical needs based on Plaintiff's allegations that Stottsberry refused to
17 authorize payment for Plaintiff's antidepressant medication.

18 Now before the Court is Defendant's motion for summary judgment. Plaintiff has filed an
19 opposition, and Defendant has filed a reply. For the reasons discussed below, the motion will be
20 granted.

21 **BACKGROUND**

22 Plaintiff alleges that, in December 2015 while incarcerated at Lake County Jail, Plaintiff
23 was treated for pain and depression by a jail psychiatrist. Dkt. No. 1 at 5.² The psychiatrist
24 prescribed 60mg of Cymbalta, an antidepressant medication. *Id.* Later in the month, the
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27 ¹ Plaintiff filed this action after he had been transferred to San Quentin State Prison. It appears
28 from Plaintiff's latest notice of change of address that he is no longer in custody. *See* Dkt. No. 24.
² All page numbers used herein refer to those affixed to the top of the page by the Court's
electronic filing program.

1 psychiatrist prescribed an additional 20mg dosage of Cymbalta. *Id.* at 6.³ According to Plaintiff,
2 Defendant refused to authorize payment for the new prescription. *Id.* Plaintiff alleges that, as a
3 result, he suffered from depression and neuropathic pain. *Id.* at 7. He further alleges that the
4 decision to deny his medication was based on cost-saving considerations in disregard of his health.
5 *Id.* at 6-7. Plaintiff admits in his opposition that he did eventually receive the new 80mg dose of
6 Cymbalta but asserts that it was delayed by “nearly one month.” *See* Dkt. No. 22-1 at 2.

7 DISCUSSION

8 I. Standard of Review

9 Summary judgment is proper where the pleadings, discovery and affidavits show there is
10 “no genuine dispute as to any material fact and the movant is entitled to judgment as a matter of
11 law.” *See* Fed. R. Civ. P. 56(a). Material facts are those that may affect the outcome of the case.
12 *See Anderson v. Liberty Lobby, Inc.*, 477 U.S. 242, 248 (1986). A dispute as to a material fact is
13 genuine if the evidence is such that a reasonable jury could return a verdict for the nonmoving
14 party. *See id.*

15 A court shall grant summary judgment “against a party who fails to make a showing
16 sufficient to establish the existence of an element essential to that party’s case, and on which that
17 party will bear the burden of proof at trial[,] . . . since a complete failure of proof concerning an
18 essential element of the nonmoving party’s case necessarily renders all other facts immaterial.”
19 *See Celotex Corp. v. Catrett*, 477 U.S. 317, 322-23 (1986). The moving party bears the initial
20 burden of identifying those portions of the record that demonstrate the absence of a genuine issue
21 of material fact. *Id.* The burden then shifts to the nonmoving party to “go beyond the pleadings
22 and by [his] own affidavits, or by the ‘depositions, answers to interrogatories, and admissions on
23 file,’ designate ‘specific facts showing that there is a genuine issue for trial.’” *See id.* at 324
24 (citing Fed. R. Civ. P. 56(e) (amended 2010)). The nonmoving party must show more than “the
25 mere existence of a scintilla of evidence.” *In re Oracle Corp. Sec. Litig.*, 627 F.3d 376, 387 (9th
26 Cir. 2010) (citing *Liberty Lobby*, 477 U.S. at 252). “In fact, the non-moving party must come

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³ The record shows the increase was authorized and faxed for approval on December 24, 2015.
See Dkt. No. 20-2 at 6-9.

1 forth with evidence from which a jury could reasonably render a verdict in the non-moving party’s
2 favor.” *Id.* (citing *Liberty Lobby*, 477 U.S. at 252). If the nonmoving party fails to make this
3 showing, “the moving party is entitled to judgment as a matter of law.” *Celotex Corp.*, 477 U.S. at
4 323.

5 For purposes of summary judgment, the court must view the evidence in the light most
6 favorable to the nonmoving party; if the evidence produced by the moving party conflicts with
7 evidence produced by the nonmoving party, the court must assume the truth of the evidence
8 submitted by the nonmoving party. *See Leslie v. Grupo ICA*, 198 F.3d 1152, 1158 (9th Cir. 1999).
9 The court’s function on a summary judgment motion is not to make credibility determinations or
10 weigh conflicting evidence with respect to a disputed material fact. *See T.W. Elec. Serv., Inc. v.*
11 *Pac. Elec. Contractors Ass’n*, 809 F.2d 626, 630 (9th Cir. 1987).

12 A verified complaint may be used as an opposing affidavit under Rule 56, as long as it is
13 based on personal knowledge and sets forth specific facts admissible in evidence. *See Schroeder*
14 *v. McDonald*, 55 F.3d 454, 460 & nn.10-11 (9th Cir. 1995) (treating plaintiff’s verified complaint
15 as opposing affidavit where, even though verification not in conformity with 28 U.S.C. § 1746,
16 plaintiff stated under penalty of perjury that contents were true and correct, and allegations were
17 not based purely on his belief but on his personal knowledge). Here, Plaintiff’s verified complaint
18 (Dkt. No. 1) and Plaintiff’s declaration in support of his opposition to summary judgment (Dkt.
19 No. 22-1) are considered in evaluating the motion for summary judgment.

20 **II. Deliberate Indifference to Serious Medical Needs**

21 Deliberate indifference to a serious medical need violates the Eighth Amendment’s
22 proscription against cruel and unusual punishment. *See Estelle v. Gamble*, 429 U.S. 97, 104
23 (1976); *McGuckin v. Smith*, 974 F.2d 1050, 1059 (9th Cir. 1992), *overruled on other grounds*,
24 *WMX Techs, Inc. v. Miller*, 104 F.3d 1133, 1136 (9th Cir. 1997) (en banc). A determination of
25 “deliberate indifference” involves an examination of two elements: the seriousness of the
26 prisoner’s medical need and the nature of the defendant’s response to that need. *See McGuckin*,
27 974 F.2d at 1059.

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1 Plaintiff's claims arise under the Due Process Clause of the Fourteenth Amendment rather
2 than under the Cruel and Unusual Punishment Clause of the Eighth Amendment because he was
3 an arrestee or detainee at the relevant time; however, the deliberate indifference standard still
4 applies to his medical care claim. *See Carnell v. Grimm*, 74 F.3d 977, 979 (9th Cir. 1996)
5 (standard of deliberate indifference applicable to pretrial detainees' medical claims).

6 A "serious" medical need exists if the failure to treat a prisoner's condition could result in
7 further significant injury or the "unnecessary and wanton infliction of pain." *McGuckin*, 974 F.2d
8 at 1059 (citing *Estelle*, 429 U.S. at 104). Serious medical needs may include mental health care.
9 *See Doty v. County of Lassen*, 37 F.3d 540, 546 (9th Cir. 1994) (mentally ill prisoner may
10 establish unconstitutional treatment by showing that officials have been deliberately indifferent to
11 his serious medical needs).

12 A prison official is deliberately indifferent if he knows that a prisoner faces a substantial
13 risk of serious harm and disregards that risk by failing to take reasonable steps to abate it. *Farmer*
14 *v. Brennan*, 511 U.S. 825, 837 (1994). The prison official must not only "be aware of facts from
15 which the inference could be drawn that a substantial risk of serious harm exists," but he "must
16 also draw the inference." *Id.* If a prison official should have been aware of the risk but was not,
17 then the official has not violated the Eighth Amendment, no matter how severe the risk. *Gibson v.*
18 *County of Washoe*, 290 F.3d 1175, 1188 (9th Cir. 2002), *overruled on other grounds, Castro v.*
19 *County of Los Angeles*, 833 F.3d 1060, 1076 (9th Cir. 2016). Mere negligence, or even gross
20 negligence, is not enough. *Farmer*, 511 U.S. at 835–36 & n.4.⁴

21 A claim of medical malpractice or negligence is insufficient to make out a violation of the
22 Eighth Amendment. *McGuckin*, 974 F.2d at 1059. Nor does "a difference of opinion between a
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24 ⁴ In *Castro v. County of Los Angeles*, 833 F.3d 1060 (9th Cir. 2016), the Ninth Circuit announced
25 an objective standard in a deliberate-indifference-to-safety claim from a pretrial detainee, but the
26 Ninth Circuit has not yet decided whether the same objective (as opposed to subjective) standard
27 of deliberate indifference applies to a medical care claim from a pretrial detainee. The Fourteenth
28 Amendment objective standard requires that a pretrial detainee show that a defendant "did not take
reasonable available measures to abate [a] risk, even though a reasonable officer in the
circumstances would have appreciated the high degree of risk involved—making the
consequences of the defendant's conduct obvious." *Castro*, 833 F.3d at 1071. In any event, the
result would be the same in this case whether an objective or subjective standard applies.

1 prisoner patient and prison medical authorities regarding treatment” amount to deliberate
2 indifference. *Franklin v. Oregon*, 662 F.2d 1337, 1344 (9th Cir. 1981). Consequently, a
3 plaintiff’s opinion that medical treatment was unduly delayed does not, without more, state a
4 claim of deliberate indifference. *Shapley v. Nev. Bd. of State Prison Comm’rs*, 766 F.2d 404, 407
5 (9th Cir. 1985). In order to prevail on a claim involving choices between alternative courses of
6 treatment, a plaintiff must show that the course of treatment the defendant chose was medically
7 unacceptable under the circumstances and that he or she chose this course in conscious disregard
8 of an excessive risk to plaintiff’s health. *Toguchi v. Chung*, 391 F.3d 1051, 1058 (9th Cir. 2004);
9 *Jackson v. McIntosh*, 90 F.3d 330, 332 (9th Cir. 1996) (citing *Farmer*, 511 U.S. at 837).

10 **III. Analysis**

11 Plaintiff has failed to show a genuine issue for trial in support of his claim that Defendant
12 was deliberately indifferent to his need for a higher dose of antidepressant medication. He fails as
13 to both the objective and subjective requirements for a Fourteenth Amendment claim.

14 The claim fails on the first prong because Plaintiff has not presented evidence that his
15 complaints of depression and/or pain amounted to a serious medical need. Depression and pain
16 can come in a wide range of severities, and Plaintiff admits in his complaint that his pain and
17 depression were “due to holidays and conditions of confinement.” Dkt. No. 1 at 5. Further
18 Plaintiff’s medical record show that his Cymbalta dosage was increased because he was “getting
19 into fights” and “worried about his wife going through chemo.” Dkt. No. 20-2 at 6. Though
20 Plaintiff’s circumstances were certainly unfortunate, on the barren record now before the Court, no
21 reasonable trier of fact could conclude that Plaintiff’s complaints of depression and pain amounted
22 to a serious medical need.

23 Even if his complaints of pain amounted to a serious medical need, the claim fails on the
24 mental-state prong because there is no evidence that Defendant was deliberately indifferent. The
25 undisputed evidence shows that as medical program manager at the Lake County Jail, Defendant
26 plays no part in the decision by a physician or physician’s assistant to prescribe any medication for
27 an inmate. Stottsberry Decl. ¶ 2. If a physician or physician’s assistant decides to order
28 medication that is not on the jail’s formulary, the provider—without Defendant’s involvement—

1 submits a request for approval and, upon approval, the medication is provided by jail staff. *Id.* ¶ 3.
2 Defendant played no role in the decision of the jail psychiatrist to prescribe Cymbalta for
3 Plaintiff—in either the initial dose of 60mg or the later-ordered increase. *Id.* ¶ 2. Indeed, she was
4 not even aware that there was a request for approval of the increased dose, and the request was
5 sent without any required or actual review by Defendant. *Id.* ¶¶ 4-5.

6 Defendant’s only involvement with Plaintiff concerning the Cymbalta was her review of
7 his grievance, dated January 5, 2016, in which Plaintiff complained that he had not received the
8 increased dosage as ordered. Stottsberry Decl. ¶ 6, Dkt. No. 20-2 at 10. Defendant reviewed
9 Plaintiff’s Medication Administration Record (“MAR”) and interpreted the notes of the nursing
10 staff to indicate that the increased dosage was being provided as of December 25, 2015. *Id.* In a
11 later review of Plaintiff’s MAR, it became clear that Plaintiff did not receive the new 80mg dose
12 until January 19, 2016 and that he had continued to receive the 60mg dose up to that time. *Id.*

13 On this record, there is simply no evidence that Defendant acted with the state of mind
14 necessary to prove a deliberate indifference claim. At most this shows a 26-day delay in Plaintiff
15 receiving the increased dose, which may not have even been attributable to Defendant and, in any
16 event, does not establish deliberate indifference. *See Shapley*, 766 F.2d at 407.

17 In his opposition, Plaintiff contends that Defendant’s coworker, licensed vocational nurse
18 Monique Quillen, informed Plaintiff that he would not receive the 80mg dose until he ran out of
19 the 60mg dose because Defendant refused to pay for the new dose. Dkt. No. 22-1 at 2. The
20 alleged statement is inadmissible hearsay and will not be considered by the Court. *See Fed. R.*
21 *Evid.* 801, 802. Even were the Court to consider the alleged statement, however, it does not on its
22 own raise a triable issue of fact as to the required mental state for deliberate indifference. There is
23 no context for the alleged statement, let alone a showing of what knowledge Ms. Quillen
24 possessed with regard to Defendant’s awareness of risk to Plaintiff.

25 Considering the evidence in the light most favorable to Plaintiff, the Court finds Plaintiff
26 fails to raise a triable issue of material fact as to whether Plaintiff had a serious medical need and
27 as to whether Defendant was deliberately indifferent to any such need. Accordingly, Defendant is
28 entitled to summary judgment.

1 **CONCLUSION**

2 For the foregoing reasons, Defendant’s motion for summary judgment is GRANTED. The
3 Clerk shall enter judgment for Defendant and close the file.

4 The Clerk is further directed to correct the spelling of Defendant’s name on the court
5 docket by substituting “Alisha” for “Alicia.”

6 This order terminates Docket No. 20.

7 **IT IS SO ORDERED.**

8 Dated: 11/30/2017

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11 HAYWOOD S. GILLIAM, JR.
12 United States District Judge

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United States District Court
Northern District of California