1	Nicole Lavallee (SBN 165755)			
1 2	Kristin J. Moody (SBN 206326) BERMAN TABACCO			
3	44 Montgomery Street, Suite 650 San Francisco, CA 94104			
	Telephone: (415) 433-3200			
4 5	Facsimile: (415) 433-6382 Email: nlavallee@bermantabacco.com kmoody@bermantabacco.com			
6				
7	Shannon L. Hopkins Stephanie A. Bartone LEVI & KORSINSKY, LLP			
8	1111 Summer Street, Suite 304			
9	Stamford, CT 06901 Telephone: (203) 992-4523			
10	Facsimile: (212) 363-7171			
11	Email: shopkins@zlk.com sbartone@zlk.com			
12	Counsel for the Lead Plaintiff Plymouth County Group and Co-Lead Counsel for the Class [Additional Counsel on Signature Page]			
13				
14				
15	UNITED STATES	DISTRICT COURT		
16	NORTHERN DISTRI	ICT OF CALIFORNIA		
17	OAKLANI	D DIVISION		
18				
19	IN RE AQUA METALS, INC. SECURITIES	Case No.: 4:17-cv-07142-HSG		
20		CLASS ACTION		
21	)	JOINT STIPULATION AND		
22	)	ORDER RE EXTENSION OF TIME TO FILE MOTION FOR PRELIMINARY		
23	This document Relates to:	APPROVAL OF SETTLEMENT		
24		Ctrm: 2, 4 <sup>th</sup> Floor		
25	)	Judge: Hon. Haywood S. Gilliam, Jr.		
26				
27				
28	No.: 4:17-cv-07142-HSG] JOINT STIPULATION AND	ORDER RE EXTENSION OF TIME TO FILE		
	MOTION FOR PRELIMINARY APPROVAL OF SETTLEMENT			
		Dockets.Justia.com		

1	Court-appointed Lead Plaintiff, the Plymouth County Group (consisting of Plymouth County	
2	Retirement Association, Denis Taillefer and his private company, 1103371 Ontario Ltd.) ("Plaintiff"),	
3	and Defendants Aqua Metals, Inc., Thomas Murphy, Selwyn Mould and Stephen R. Clarke	
4	("Defendants," with Plaintiff, the "Parties"), hereby stipulate and agree as follows.	
5	WHEREAS, on May 27, 2021, the Parties filed a joint stipulation with the Court stating	
6	they reached an agreement in principle to resolve all issues and claims involved in this litigation	
7	and requested a stay of the action for Plaintiff to file a motion for preliminary approval of	
8	settlement (ECF No. 160);	
9	WHEREAS, on May 28, 2021, this Court entered an order vacating all dates currently set	
10	in this Action, staying all proceedings for forty-five (45) days, and setting a date for the motion	
11	for preliminary approval to be filed within thirty (30) days (ECF No.161);	
12	WHEREAS, pursuant to the Court's May 28, 2021 Order, the Plaintiff must file its motion	
13	for preliminary approval of settlement by June 28, 2021;	
14	WHEREAS, on June 2, 2021, Plaintiff circulated a draft Stipulation of Settlement to	
15	Defendants for review;	
16	WHEREAS, the parties have agreed to all substantive terms, however, Defendants believe	
17	they may need additional time to review and get final agreement on the Stipulation of Settlement;	
18	WHEREAS, Plaintiff is unable to file the motion for preliminary approval of settlement	
19	until the Stipulation of Settlement has been executed;	
20	WHEREAS, the parties have met and conferred and have agreed that Plaintiff shall have	
21	an additional week, to July 6, 2021 (July 5, 2021 is a holiday), to file its motion for preliminary	
22	approval of settlement.	
23	THEREFORE, IT IS HEREBY STIPULATED AND AGREED, by and among the parties	
24	hereto, that:	
25	1. Lead Plaintiff shall file its motion for preliminary approval no later than July 6, 2021.	
26	IT IS SO STIPULATED.	
27		
28	[No.: 4:17-cv-07142-HSG] JOINT STIPULATION AND ORDER RE EXTENSION OF TIME TO FILE MOTION FOR PRELIMINARY APPROVAL OF SETTLEMENT 1	

1	DATED: June 25, 2021	Respectfully submitted,
2		BERMAN TABACCO
3		By: <u>/s/ Kristin J. Moody</u>
4		Nicole Lavallee Kristin J. Moody
5		44 Montgomery Street, Suite 650
6		San Francisco, CA 94104 Telephone: (415) 433-3200
7		Facsimile: (415) 433-6382 Email: nlavallee@bermantabacco.com
8		kmoody@bermantabacco.com
9		LEVI & KORSINSKY, LLP
10		Shannon L. Hopkins Stephanie A. Bartone
11		1111 Summer Street, Suite 403 Stamford, CT 06905
12		Telephone: (203) 992-4523
13		Facsimile: (212) 363-7171 Email: shopkins@zlk.com
14		sbartone@zlk.com
15		Counsel for the Lead Plaintiff Plymouth County
16		Group and Co-Lead Counsel for the Class
17		
18		
19		
20		
21		
22		
23		
24		
25		
26		
27		
28	[No.: 4:17-cv-07142-HSG] JOINT STIPULATION MOTION FOR PRELIMINARY APPROVAL OF SET	AND ORDER RE EXTENSION OF TIME TO FILE TLEMENT 2

1	DATED: June 25, 2021	GREENBERG TRAURIG, LLP
2		
3		By: <u>/s/ Robert A. Horowitz</u>
4		Michael R. Hogue
5		4 Embarcadero Center, Suite 3000 San Francisco, CA 94111
6		Telephone: (415) 655-1300
7		Facsimile: (415) 707-2010 Email: hoguem@gtlaw.com
8		Robert A. Horowitz
9		200 Park Avenue New York, NY 10166
10		Telephone: (212) 801-2194
11		Email: horowitzr@gtlaw.com
12		Counsel for Defendants Aqua Metals, Inc., Selwyn Mould, and Thomas Murphy
13		WILSON SONSINI GOODRICH & ROSATI
14		By: <u>/s/ Dylan Grace Savage</u>
15		Steven M. Schatz
16		Dylan Grace Savage
17		650 Page Mill Road Palo Alto, CA 94304-1050
18		Telephone: (650) 493-9300 Facsimile: (650) 565-5100
19		Email: sschatz@wsgr.com
20		dsavage@wsgr.com
21		Counsel for Defendant Stephen R. Clarke
22		
23		
24		
25		
26		
27		
28	[No.: 4:17-cv-07142-HSG] JOINT STIPULATION MOTION FOR PRELIMINARY APPROVAL OF SI	N AND ORDER RE EXTENSION OF TIME TO FILE ETTLEMENT 3

1	<b>E-FILING ATTESTATION</b>
2	I, Kristin J. Moody, am the ECF User whose ID and password are being used to file this
3	document. In compliance with Civil Local Rule 5-1(i)(3), I hereby attest that Robert A. Horowitz
4	and Dylan Grace Savage have concurred in this filing.
5	
6	
7	/s/ Kristin J. Moody
8	Kristin J. Moody
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	[No.: 4:17-cv-07142-HSG] JOINT STIPULATION AND ORDER RE EXTENSION OF TIME TO FILE MOTION FOR PRELIMINARY APPROVAL OF SETTLEMENT 4

1	
2	ORDER
2	GOOD CAUSE HAVING BEEN SHOWN, it is hereby ordered that:
4	1. Lead Plaintiff shall file its motion for preliminary approval of settlement no later than
5	July 6, 2021.
6	
7	IT IS SO ORDERED.
8	
9	DATED: 6/28/2021 Haywood S. Gull A.
10	HON. HAYWOOD S. GILLIAM, JR. UNITED STATES DISTRICT JUDGE
11	UNITED STATES DISTRICT JUDGE
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	[No.: 4:17-cv-07142-HSG] JOINT STIPULATION AND ORDER RE EXTENSION OF TIME TO FILE MOTION FOR PRELIMINARY APPROVAL OF SETTLEMENT 5