Netscape Communications Corporation et al v. Federal Insurance Company et al

Doc. 101 Att. 1

Case 5:06-cv-00198-JW Document 101-2 Filed 03/02/2007 Page 1 of 20

## **EXHIBIT A**

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# San Francisco, CA 94111 14

275 Battery Street, Suite 2000 Embarcadero Center West

Gordon & Rees LLP

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#### SUPPLEMENTAL RESPONSE TO REQUESTS FOR ADMISSION

#### **REQUEST FOR ADMISSION NO. 4:**

Admit that the SMARTDOWNLOAD CLAIM does not involve "3rd party advertising."

#### SUPPLEMENTAL RESPONSE TO REQUEST FOR ADMISSION NO. 4:

Based upon the information provided to St. Paul at the time the class action suits and AG Investigation involving the SmartDownload product were tendered to St. Paul the response was: ADMIT.

St. Paul objects to the consideration of or admission of any information that was not provided to St. Paul at the time the class action suits and AG Investigation involving the SmartDownload product were tendered to St. Paul. Such information is irrelevant and contrary to Virginia and California law. Fed. Rule of Evid. 401, 402. See, e.g., Resource Bankshares Corp. v. St. Paul Mercury Ins. Co., 407 F.3d 631, 636 (4th Cir. 2005) (applying Va. Law); America Online, Inc. v. St. Paul Mercury Ins. Co 347 F.3d 89, 93 (4th Cir. 2003); Waller v. Truck Ins. Exchg., 44 Cal.Rptr.2d 370, 378 (Cal. 1995); Safeco Ins. Co. v. Parks, 19 Cal.Rptr.3d 17, 24-25, 27 (Cal.App. 2004); Haggerty v. Federal Ins. Co., 32 Fed.Appx. 845, 848 (9th Cir. 2002). St. Paul further objects to the term "involve" as vague and ambiguous such that Request for Admission No. 4 cannot be meaningfully answered.

Subject to these objections, St. Paul further responds as follows. Based upon the new information plaintiffs provided during discovery in this coverage lawsuit and in the arguments now being advanced in support of their motion for partial summary judgment, the response to the request is: DENY.

Dated: February 9, 2007

**GORDON & REES LLP** 

Attorneys for Defendant ST. PAUL MERCURY

INSURANCE COMPANY

Case No. C-06-090198 JW (PVT)

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Gordon & Rees LLP

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#### **VERIFICATION**

- ). Aaron Latto, declare:
- I am 2<sup>nd</sup> Vice President, Business Insurance Claim, employed by 1. Travelers Indemnity Company and authorized to make this Verification on behalf of St. Paul Mercury Insurance Company, defendant in this lawsuit ("St. Paul").
- I have read St. Paul's SUPPLEMENTAL RESPONSE TO PLAINTIFF 2. AMERICA ONLINE, INC.' S FIRST SET OF REQUESTS FOR ADMISSION and know the contents thereof. To the extent I have personal knowledge of the matters set forth therein, the same are true and correct. To the extent said matters are a composite of information from a number of individuals or documents or I do not have personal knowledge thereof, I am informed and believe that the information set forth therein for which I lack personal knowledge is true and correct.

I declare under penalty of perjury of the laws of the State of California that the foregoing is true and correct.

Executed this 9th day of February, 2007, in St. Paul, Minnesota.

#### PROOF OF SERVICE

Netscape Communications Corp., v. Federal Ins. Co., et al. Case No. C 06 00198 JW

I am a resident of the State of California, over the age of eighteen years, and not a party to the within action. My business address is Embarcadero Center West, 275 Battery Street, Suite 2000, San Francisco, CA 94111. On the date noted below, I served the within document(s):

Defendant St. Paul Mercury Insurance Company's Supplemental Response To Plaintiff America Online Inc's First Set Of Requests For Admission

$\exists   $	by transmitting via facsimile the document(s forth below on this date before 5:00 p.m.				
×	processing of envelopes and packages for mailing with the United States Post Office, addressed as set forth below.				
]	by placing a true copy of the document(s) li postage thereon fully prepaid or provided for processing of envelopes and packages for addressed as set forth below.	mailing by overnight delivery by FedEx,			
X	by transmitting via the internet the document set forth below.				
	Attys for Plaintiffs: Michael Bruce Abelson, Esq. Leslie A. Pereira ABELSON HERRON LLP 333 South Grand Ave., Suite 650 Los Angeles, CA 90071-1559	Attys for Plaintiffs: Daniel J. Bergeson, Esq. Marc G. Van Niekerk BERGESON, LLP 303 Almaden Blvd., Suite 500 San Jose, CA 95110-2712			
	mabelson@abelsonherron.com lpereira@abelsonherron.com (213) 402-1900 ph (213) 402-1901 fax	dbergeson@be-law.com mvanniekerk@be-law.com (408) 291-6200 ph (408) 297-6000 fax			

I am readily familiar with the firm's practice of collection and processing correspondence for mailing. Under that practice it would be deposited with the U.S. Postal Service and Fed Ex on that same day with postage thereon fully prepaid in the ordinary course of business. I am aware that on motion of the party served, service is presumed invalid if postal cancellation date or postage meter date is more than one day after the date of deposit for mailing in affidavit.

I declare under penalty of perjury under the laws of the State of California that the above is true and correct. Executed on February 9, 2007, at San Francisco, California.

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Gordon & Rees LLP

San Francisco, CA 94111

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Case No. C-06-090198 JW (PVT)

Case 5:06-cv-00198-JW Document 101-2 Filed 03/02/2007 Page 6 of 20

## **EXHIBIT B**

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Plaintiffs Netscape Communications Corp. and America Online, Inc. ("Plaintiffs") hereby
make the following initial disclosures pursuant to Rule 26 of the Federal Rules of Civil
Procedure. These initial disclosures are made in good faith and after reasonable inquiry, but
without the benefit of having yet engaged in mutual reciprocal discovery. Plaintiffs reserve their
rights to modify, supplement, and/or correct these disclosures to the extent additional
information becomes available through the course of discovery and/or further investigation.
Nothing herein should be construed as a waiver of any objection, including an objection on the
basis of the attorney-client privilege and/or work-product doctrine. All such objections are
hereby reserved.

- Potential Witnesses: Excepting witnesses which may be used solely for 1. impeachment and/or experts as may be later designated in this matter, Plaintiffs are presently aware of the following witnesses likely to have discoverable information Plaintiffs may use to support their claims:
  - Charles Curran, Esq. America Online, 22000 AOL Way, Dulles, VA 20166 (703) 265-1000
  - Nancy Perkins America Online, 22000 AOL Way, Dulles, VA 20166 (703) 265-1000
  - David Goldberg, Esq. America Online, 22000 AOL Way, Dulles, VA 20166 (703) 265-1000
  - Glenn Spencer Lockton Companies, 444 W. 47<sup>th</sup> Street, Suite 900, Kansas City, MO 64112 (816) 960-9000
  - Patrick J. Carome, Esq. Wilmer Cutler Pickering Hale and Dorr, 2445 M Street NW, Wash. DC 20037 (202) 663-6610
  - Thomas Connell, Esq. Wilmer Cutler Pickering Hale and Dorr, 2445 M Street NW, Wash. DC 20037 (202) 663-6610

1 2	• Dennis Love Lockton Companies, 901 15 <sup>th</sup> Street NW, Suite 650, Washington DC 20005 (202) 414-2400
3	Keith San Felipe, former Netscape employee
4	Northern California
5	Plaintiffs also believe that other former Netscape employees, not yet identified, may have information that supports their claims.
6	James V. Bashian, Esq.
7	500 Fifth Ave., Suite 2700, New York, NY 10110 (212) 921-4110
8	Joshua N. Rubin, Esq.
9	212 East 39 <sup>th</sup> Street, New York, NY 10016 (212) 889-3700
10	
11	Michele Midwinter     St. Paul Fire & Marine Ins. Co., 385 Washington St., St. Paul, MN 55102
12	(551) 310-7911
13	Bob Ditmore     St. Paul Fire & Marine Ins. Co., 385 Washington St., St. Paul, MN 55102
14	(551) 310-7911
15	Dale J. Evensen
16	St. Paul Fire & Marine Ins. Co., 385 Washington St., St. Paul, MN 55102 (551) 310-7911
17	James Biondolillo
18	Chubb Group of Insurance Companies, 82 Hopmeadow St., Simsbury, CT 06070 (860) 408-2000
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20	James Hamilton     Chubb Group of Insurance Companies, 55 Water Street, New York, NY 10041
21	(212) 612-4000
22	Alburger Basso De Grosz Ins. Services     301 Island Parkway, Belmont, CA 94002
23	
24	<ul> <li>Jeffrey C. Leventry         American Alternative Ins. Group, 555 College Rd. East, Princeton, NJ 08543     </li> </ul>
25	Claire L. Winston
26	Employers Reinsurance Corp.
27	5200 Metcalf, P.O. Box 2991, Overland Park, KS 66201
28	
ron	USDS CASE NO. C-06-00198 JW (PVT)  PLAINTIFFS' INITIAL DISCLOSURES

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- 2. **Documents:** Excepting documents which may be used solely for impeachment, the following is a description by category and location of documents in Plaintiffs' possession, custody or control that they may use to support their claims: (1) Netscape's insurance files; (2) AOL's insurance files; (3) Litigation files re: SmartDownload litigations; (4) Litigation files re: the New York Attorney General investigation; and (5) Defense cost invoices for SmartDownload litigations and New York Attorney General investigation. All of these documents are in the possession of AOL and/or its attorneys or record. All relevant, non-privileged documents in these categories will be made available to Defendants for inspection and/or copying at a mutually-convenient time. Plaintiffs also believe the following categories of documents will support their claims: (1) St. Paul's underwriting files; (2) St. Paul's claims-handling documents; (3) St. Paul's claims files; (4) Federal's underwriting files; (5) Federal's claims-handling documents; (6) Federal's claims files; (7) Executive Risk's underwriting files; (8) Executive Risk's claims-handling documents; (9) Executive Risk's claims files. Plaintiffs believe these documents are in Defendants' possession.
- 3. Computation of Damages: The following is a computation of the compensatory damages claimed by Plaintiffs in this action: Approximately \$4,373,064. At a mutuallyconvenient time, Plaintiffs will make available for inspection and/or copying the non-privileged documents upon which this computation is based. Plaintiffs have not yet attempted to compute the non-compensatory aspects of the damages they are seeking but will do so when practicable.

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#### 4. **Insurance Policy**:

Other than the insurance policies at issue in this lawsuit, Plaintiffs are not aware of any insurance policies which may be liable to satisfy all or part of a judgment which may be entered in this action.

Dated: March 17, 2006

ABELSON | HERRON LLP Michael Bruce Abelson Leslie A. Pereira

Leslie A. Pereira

Attorneys for Plaintiffs

Netscape Communications Corporation and

America Online, Inc.

4. Insurance I oney.

USDS CASE NO. C-06-00198 JW (PVT)

PLAINTIFFS' INITIAL DISCLOSURES PURSUANT TO RULE 26

#### PROOF OF SERVICE

## UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA – SAN JOSE DIVISION

I am over the age of 18 and not a party to the within action; I am employed by Abelson | Herron, LLP in the County of Los Angeles at 333 South Grand Avenue, Suite 650, Los Angeles, California, 90071-1559.

On March 17, 2006, I served the foregoing document(s) described as:

#### PLAINTIFFS' INITIAL DISCLOSURES PURSUANT TO RULE 26

The document(s) was served by the following means:

П	<b>BY PERSONAL SERVICE</b> I personally delivered the documents to the persons at the
	addresses listed in the attached service list. (1) For a party represented by an attorney,
	delivery was made to the attorney or at the attorney's office by leaving the documents in an
	envelope or package clearly labeled to identify the attorney being served with a receptionist
	or an individual in charge of the office. (2) For a party, delivery was made to the party or by
	leaving the documents at the party's residence with some person not less than 18 years of
	age.

- **BY U.S. MAIL** I enclosed the document(s) in a sealed envelope or package addressed to the persons at the addresses in the attached service list and placed the sealed envelope or package for collection and mailing, following our ordinary business practices. I am readily familiar with this business's practice for collecting and processing correspondence for mailing. On the said date, it is deposited in the ordinary course of business with the United States Postal Service in a sealed envelope or package with postage fully prepaid.
- BY OVERNIGHT DELIVERY I enclosed the documents in an envelope or package provided by an overnight delivery carrier and addressed to the persons at the addresses in the attached service list. I placed the envelope or package for collection and overnight delivery at an office or a regularly utilized drop box of the overnight delivery carrier.
- BY MESSENGER SERVICE I enclosed the documents in an envelope or package addressed to the persons in the attached service list and provided them to a professional messenger service for service. (A declaration by the messenger is contained in the Declaration of Messenger below.)
- BY FACSIMILE TRANSMISSION Based on an agreement of the parties to accept service by fax transmission, I faxed the documents to the persons at the fax numbers listed in the attached service list. Our facsimile activity report indicated that all pages were transmitted successfully. No error was reported by the fax machine that I used.
- BY E-MAIL OR ELECTRONIC TRANSMISSION

  Based on the court order or an agreement of the parties to accept service by e-mail or electronic transmission, I caused the documents to be sent to the persons at the e-mail addresses listed on the attached service list. I did not receive, within a reasonable time after the transmission, any electronic message or other indication that the transmission was unsuccessful.

I declare under penalty of perjury under the laws of United States of America that the foregoing is true and correct.

Executed on March 17, 2006 at Los Angeles, California.

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Qase 5:06-cv-00198-JW Document 101-2 Filed 03/02/2007 Page 13 of 20

#### SERVICE LIST 1 Netscape Communications Corporation, et al. v. Federal Insurance Company, et al. 2 **USDC Case No.: C-06-00198 JW (PVT)** 3 Monique M. Fuentes, Esq. Attorney for Defendants 4 FEDERAL INSURANCE COMPANY Terrence R. McInnis, Esq. ROSS DIXON & BELL, LLP EXECUTIVE RISK INDEMNITY INC. 5 5 Park Plaza Suite 1200 6 Irvine, CA 92614 Tel: (949) 622 2700 7 Fax: (949) 622 2739 8 Jeffrey M. Ratinoff, Esq. Attorney for Defendant ST. PAUL MERCURY INSURANCE COMPANY Gordon I. Endow, Esq. 9 Sara M. Thorpe, Esq. GORDON & REES LLP 10 Embarcadero Center West 275 Battery Street, Suite 2000 11 San Francisco, CA 94111 Tel: (415) 986-5900 12 Fax: (415) 986-8054 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28

- Proof of Service -

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Case 5:06-cv-00198-JW Document 101-2 Filed 03/02/2007 Page 14 of 20

## **EXHIBIT C**

Filed 03/02/2007

Page 15 of 20

Case 5:06-cv-00198-JW Document 101-2

#### SUPPLEMENTAL RESPONSE TO SPECIAL INTERROGATORIES

ST. PAUL supplements its response to Interrogatories 13, 14 and 15 as they specifically relate to Request for Admission No. 4 as follows:

#### **REQUEST FOR ADMISSION NO. 4:**

Admit that the SMARTDOWNLOAD CLAIM does not involve "3rd party advertising."

#### **RESPONSE TO REQUEST FOR ADMISSION NO. 4:**

Admit.

#### SUPPLEMENTAL RESPONSE TO REQUEST FOR ADMISSION NO. 4:

Based upon the information provided to St. Paul at the time the class action suits and AG Investigation involving the SmartDownload product were tendered to St. Paul the response was: ADMIT.

St. Paul objects to the consideration of or admission of any information that was not provided to St. Paul at the time the class action suits and AG Investigation involving the SmartDownload product were tendered to St. Paul. Such information is irrelevant and contrary to Virginia and California law. Fed. Rule of Evid. 401, 402. See, e.g., Resource Bankshares Corp. v. St. Paul Mercury Ins. Co., 407 F.3d 631, 636 (4<sup>th</sup> Cir. 2005) (applyIng Va. Law); America Online, Inc. v. St. Paul Mercury Ins. Co 347 F.3d 89, 93 (4th Cir. 2003); Waller v. Truck Ins. Exchg., 44 Cal.Rptr.2d 370, 378 (Cal. 1995); Safeco Ins. Co. v. Parks, 19 Cal.Rptr.3d 17, 24-25, 27 (Cal.App. 2004); Haggerty v. Federal Ins. Co., 32 Fed.Appx. 845, 848 (9<sup>th</sup> Cir. 2002). St. Paul further objects to the term "involve" as vague and ambiguous such that Request for Admission No. 4 cannot be meaningfully answered.

Subject to these objections, St. Paul further responds as follows. Based upon the new information plaintiffs provided during discovery in this coverage lawsuit and in the arguments now being advanced in support of their motion for partial summary judgment, the response to the request is: DENY.

Embarcadero Center West 275 Battery Street, Suite 2000 San Francisco, CA 94111

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#### **SPECIAL INTERROGATORY NO. 13:**

As to each Request in AOL's Request for Admissions to St Paul that ST. PAUL fails to admit without qualification, identify and describe in detail the information upon which ST. PAUL relies for its response.

#### SUPPLEMENTAL RESPONSE TO SPECIAL INTERROGATORY NO. 13:

Subject to and without waiving the General Objections in response to the original request, and the Objections set forth above, the documents upon which St. Paul relies include the complaints in the underlying lawsuits, the AG investigation letters, ST. PAUL's claim files, the ST. PAUL policy, ST. PAUL's underwriting file, documents produced by Marsh and AOL, new information produced by plaintiffs during the course of this coverage litigation, and the declarations and exhibits upon which plaintiffs rely and arguments advanced by plaintiffs in support of plaintiffs' cross-motion for partial summary judgment (all of which plaintiffs' counsel already have in their possession).

#### **SPECIAL INTERROGATORY NO. 14:**

As to each Request in AOL's Request for Admissions to St Paul that ST. PAUL fails to admit without qualification, IDENTIFY the PERSONS with knowledge upon which ST. PAUL relies for its response.

#### SUPPLEMENTAL RESPONSE TO SPECIAL INTERROGATORY NO. 14:

Subject to the General Objections and above noted Objections, Dan Weiss.

#### SPECIAL INTERROGATORY NO. 15:

As to each Request in AOL's Request for Admissions to St. Paul that ST. PAUL fails to admit without qualification, IDENTIFY the DOCUMENTS upon which ST. PAUL relies for its response.

#### SUPPLEMENTAL RESPONSE TO SPECIAL INTERROGATORY NO. 15:

Subject to and without waiving the General Objections in response to the original request, and the Objections set forth above, the documents upon which St. Paul relies include the complaints in the underlying lawsuits, the AG investigation letters, ST. PAUL's claim files, the ST. PAUL policy, ST. PAUL's underwriting file, documents

Case No. C-06-090198 JW (PVT)

Gordon & Rees LLP Embarcadero Center West 275 Battery Street, Suite 2000 San Francisco, CA 94111

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**VERIFICATION** 

I, Aaron Latto, declare:

I am 2<sup>nd</sup> Vice President, Business Insurance Claim, employed by Travelers Indemnity Company and authorized to make this Verification on behalf of St. Paul Mercury Insurance Company, defendant in this lawsuit ("St. Paul").

I have read St. Paul's SUPPLEMENTAL RESPONSE TO PLAINTIFF 2. NETSCAPE COMMUNICATIONS CORPORATION'S FIRST SET OF SPECIALLY PREPARED INTERROGATORIES, and know the contents thereof. To the extent I have personal knowledge of the matters set forth therein, the same are true and correct. To the extent said matters are a composite of information from a number of individuals or documents or I do not have personal knowledge thereof, I am informed and believe that the information set forth therein for which I lack personal knowledge is true and correct.

I declare under penalty of perjury of the laws of the State of California that the foregoing is true and correct.

Executed this 15th day of February, 2007, in St. Paul, Minnesota.

AARON LAT

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Case No. C-06-090198 JW (PVT)

DEFENDANT ST. PAUL MERCURY INSURANCE COMPANY'S SUPPLEMENTAL RESPONSE TO NETSCAPE'S FIRST SET OF SPECIALLY PREPARED INTERROGATORIES

#### PROOF OF SERVICE

Netscape Communications Corp., v. Federal Ins. Co., et al. Case No. C 06 00198 JW

I am a resident of the State of California, over the age of eighteen years, and not a party to the within action. My business address is Embarcadero Center West, 275 Battery Street, Suite 2000, San Francisco, CA 94111. On the date noted below, I served the within document(s):

Defendant St. Paul Mercury Insurance Company's Supplemental Response To Plaintiff Netscape Communications Corporation's First Set of Specially Prepared Interrogatories

]	by transmitting via facsimile the document(s) listed above to the fax number(s) se forth below on this date before 5:00 p.m.
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by placing a true copy of the document(s) listed above in a sealed envelope with postage thereon fully prepaid or provided for, at a station designated for collection and processing of envelopes and packages for mailing with the United States Post Office, addressed as set forth below.

by placing a true copy of the document(s) listed above in a sealed envelope with postage thereon fully prepaid or provided for, at a station designated for collection and processing of envelopes and packages for mailing by overnight delivery by FedEx, addressed as set forth below.

by transmitting via the internet the document(s) listed above to the email address(es) set forth below.

Attys for Plaintiffs:

Michael Bruce Abelson, Esq.
Leslie A. Pereira

ABELSON HERRON LLP
333 South Grand Ave., Suite 650
Los Angeles, CA 90071-1559

Attys for Plaintiffs:
Daniel J. Bergeson, Esq.
Marc G. Van Niekerk
BERGESON, LLP
303 Almaden Blvd., Suite 500
San Jose, CA 95110-2712

mabelson@abelsonherron.comdbergeson@be-law.comlpereira@abelsonherron.commvanniekerk@be-law.com(213) 402-1900 ph(408) 291-6200 ph

(213) 402-1900 ph (408) 297-6200 ph (213) 402-1901 fax (408) 297-6000 fax

(Via FedEx) (Via U.S. Mail)

I am readily familiar with the firm's practice of collection and processing correspondence for mailing. Under that practice it would be deposited with the U.S. Postal Service and Fed Ex on that same day with postage thereon fully prepaid in the ordinary course of business. I am aware that on motion of the party served, service is presumed invalid if postal cancellation date or postage meter date is more than one day after the date of deposit for mailing in affidavit.

I declare under penalty of perjury under the laws of the State of California that the above is true and correct. Executed on February 15, 2007, at San Francisco, California.

Case No. C-06-090198 JW (PVT)

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