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1 KEKER & VAN NEST, LLP
 ELLIOT R. PETERS - #158708
 2 epeters@kvn.com
 R. JAMES SLAUGHTER - #192813
 3 rslaughter@kvn.com
 R. ADAM LAURIDSEN - #243780
 4 alauridsen@kvn.com
 710 Sansome Street
 5 San Francisco, CA 94111-1704
 Telephone: (415) 391-5400
 6 Facsimile: (415) 397-7188

7 SHIRLI F. WEISS
shirli.weiss@dlapiper.com
 8 DAVID A. PRIEBE
david.priebe@dlapiper.com
 9 JEFF COOPERSMITH
jeff.coopersmith@dlapiper.com
 10 DLA PIPER LLP (US)
 2000 University Avenue
 11 East Palo Alto, CA 94303
 Telephone: (619) 699-2700
 12 Facsimile: (619) 699-2701

13 Attorneys for Defendant
 KENNETH L. SCHROEDER
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17 UNITED STATES DISTRICT COURT
 18 NORTHERN DISTRICT OF CALIFORNIA
 19 SAN JOSE DIVISION

20 SECURITIES AND EXCHANGE
 COMMISSION,
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 Plaintiff,
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 v.
 23 KENNETH L. SCHROEDER,
 24 Defendant.
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Case No. C-07-3798-JW (HRL)
**JOINT STIPULATION AND
~~PROPOSED~~ ORDER CONCERNING
 EXPERT DISCOVERY PROTOCOLS**
 Courtroom: 2
 Judge: The Hon. Howard Lloyd

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1 WHEREAS, the parties anticipate retaining various expert witnesses to testify in the
2 above-captioned action (the “Expert” or “Experts”); and

3 WHEREAS, the period in which to conduct discovery in this action has commenced, and
4 the parties desire to establish the following protocol to govern expert witness discovery in this
5 action pursuant to the Federal Rules of Civil Procedure, Local Rules of this Court and any other
6 applicable rules.

7 IT IS HEREBY STIPULATED AS FOLLOWS:

8 1. The parties’ Experts will identify in any final expert report disclosed pursuant to
9 Rule 26(b) of the Federal Rules of Civil Procedure, or any expert declaration or expert
10 submission, the data or other information considered by the Experts in forming their opinions
11 (collectively, “Expert Materials”), except as provided herein.

12 2. Each party shall provide a copy of all Expert Materials to all other parties,
13 provided, however, that copies of (a) those Expert Materials produced in the course of fact
14 discovery shall be identified by Bates number or deposition exhibit number (if a document) or
15 deponent name (if a deposition transcript), and (b) readily accessible treatises and other source
16 materials need not be provided, unless specifically requested by a party. The parties also shall
17 produce documents sufficient to show the compensation for the Expert’s report or testimony.
18 The deadline for a party to comply with the obligations of this paragraph and to produce copies
19 of Expert Materials is five business days after production of the pertinent report of the party’s
20 Expert.

21 3. To the extent that the Expert Materials contain exhibits, information or data
22 processed or modeled by computer at the direction of an Expert in the course of forming the
23 Expert’s opinions, machine readable copies of the data along with the appropriate computer
24 programs and instructions shall be produced, provided that the following need not be produced:
25 (a) computer programs that are reasonably commercially available; and (b) databases and
26 computer programs that (i) are used in the ordinary course of the expert’s or a party’s business
27 and (ii) are not practicable to copy, or are copyright protected, so long as reasonable access is
28 timely offered for purposes of, among other things, replication and analysis of disclosed results.

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a. The parties agree that the Experts shall not be required to produce, or to identify among their Expert Materials, any drafts of the Experts' reports, declarations or other similar submissions.

b. The parties also agree that the Experts are not required to produce, or identify among their Expert Materials, any notes, correspondence or communications between the expert and the designating party's counsel made after the production of their respective reports, nor any such notes, correspondence or communications with counsel regarding any draft report.

5. Documents required to be produced may be redacted to exclude all but the materials required to be disclosed.

IT IS SO STIPULATED.

Schroeder's undersigned counsel of record, R. James Slaughter, hereby attests that Susan F. LaMarca concurs in the filing of this stipulation, in accordance with General Order No. 45, *Electronic Case Filing*, section 10(b).

Dated: April 13, 2010

KEKER & VAN NEST LLP

By: /s/ R. James Slaughter
R. JAMES SLAUGHTER
Attorneys for Defendant
KENNETH L. SCHROEDER

Dated: April 13, 2010

By: /s/ Susan F. LaMarca
SUSAN F. LaMARCA
Attorneys for Plaintiff
SECURITIES AND EXCHANGE
COMMISSION

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~~PROPOSED~~ ORDER

Pursuant to stipulation, IT IS SO ORDERED.

Dated: April 21, 2010



HON. HOWARD R. LLOYD
UNITED STATES MAGISTRATE
JUDGE