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IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF CALIFORNIA  
SAN JOSE DIVISION

Applied Materials, Inc.,

No. C 07-05248 JW

Plaintiff,

**PRELIMINARY PRETRIAL CONFERENCE  
SCHEDULING ORDER - (JURY TRIAL)**

v.

Advanced Micro-Fabrication Equipment  
(Shanghai) Co., et al.,

Defendants.

On June 15, 2009, the Court conducted a case management conference. Counsel for the respective parties were present. The Special Master was also present. In light of the discussion at the conference, the Court orders as follows:

- (1) The close of discovery in this case is extended to **October 19, 2009**. In light of this change, the last date for *hearing* dispositive motions is extended to **November 16, 2009 at 9 a.m.**
- (2) The parties shall confer with the Special Master to determine a final list of trade secrets and procedure for tendering that list to the parties' experts;
- (3) The Court adopts the following modified trial schedule:

**TRIAL SCHEDULE**

<b>Final Pretrial Conference (¶4 )</b>	<b>December 15, 2009 at 11 a.m.</b>
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<p><b>Joint Pretrial Conference Statement, <i>In Limine</i> Motions, and Proposed Jury Instructions Due</b> <i>(≈30 days before Final Pretrial Conference)</i></p> <p><b>Once the in limine binders are lodged with the Court (¶3(b)), the Court will determine whether a hearing is necessary for the motions in advance of the Final Pretrial Conference and set the hearing accordingly.</b></p>	<p><b>November 16, 2009</b></p>
<p><b>Jury Selection</b></p>	<p><b>January 19, 2010 at 9 a.m.</b></p>
<p><b>Jury Trial Date and Sessions<sup>1</sup></b>  <b>Sessions 1-3</b>  <b>Sessions 4-7</b>  <b>Sessions 8 - 11</b>  <b>Sessions 12 - 15</b>  <b>Sessions 16 -19</b>  <b>Sessions 20 - 23</b>  <b>Sessions 24 - 27</b></p> <p><b>Argue &amp; Submit</b></p> <p><b>Jury Deliberations</b></p>	<p><b>Jan. 20 - Jan. 22, 2010; 8 a.m. - 12 p.m.</b>  <b>Jan. 26 - Jan. 29, 2010; 8 a.m. - 12 p.m.</b>  <b>Feb. 2 - Feb. 5, 2010; 8 a.m. - 12 p.m.</b>  <b>Feb. 9 - Feb. 12, 2010; 8 a.m. - 12 p.m.</b>  <b>Feb. 16 - Feb. 19, 2010; 8 a.m. - 12 p.m.</b>  <b>Feb. 23 - Feb. 26, 2010; 8 a.m. - 12 p.m.</b>  <b>Mar. 2 - Mar. 5, 2010; 8 a.m. - 12 p.m.</b></p> <p><b>March 9, 2010 at 8 a.m.</b></p> <p><b>March 10 - 12, 2010</b></p>

**Meet and Confer Requirement**

1. No later than 30 days prior to the Final Pretrial Conference, the parties shall meet and confer with respect to the Joint Pretrial Conference Statement, submission of a joint list of witnesses, a joint list of trial exhibits and a joint list of discovery material which each party intends to offer in evidence as a part of its case-in-chief. Unless objections are made in accord with this Order, all witnesses, exhibits and discovery material contained on the joint submission shall be deemed admissible into evidence by stipulation.

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<sup>1</sup> Jury trials are held in afternoon sessions from 1:00pm to 4:00pm, Tuesdays through Fridays unless the Court otherwise specifies. At the Final Pretrial Conference, the Court reserves the right to fold the afternoon sessions into morning sessions (9:00 a.m. - 12:00 p.m.) thus creating full days for trial. The final trial schedule will be confirmed at the Final Pretrial Conference.

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**Objections and Lodging Disputed Material with the Court**

2. If a party objects to receipt into evidence of a witness, exhibits or discovery response, the party shall advise all opposing parties during the conference described in Paragraph 1, and attempt to resolve the dispute. If the parties are unsuccessful in resolving the dispute, any party wishing to object to receipt of the testimony of any witness, any exhibit or discovery response into evidence shall lodge with Chambers a copy of the disputed material at the commencement of trial. Attached to the submission shall be a brief statement of the objection and any response by the proffering party. The Court will indicate on the submitted copy whether the objection is overruled or sustained and return the material to counsel.

**Lodging Joint Pretrial Conference Statement, In Limine Motions  
and Proposed Jury Instructions**

3. Pursuant to the date set forth in the Case Schedule, the parties shall file and lodge with Chambers the following:

a. Joint Pretrial Conference Statement: The parties shall file a joint pretrial statement which shall contain the following information: (1) a brief description of the substance of claims and defenses which remain to be decided; (2) a detailed statement of all the relief claimed, particularly itemizing all elements of damages claimed as well as witnesses, documents or other evidentiary material to be presented concerning the amount of those damages; (3) a plain and concise statement of all relevant facts not reasonably disputable, as well as which facts the parties will stipulate for incorporation into the trial record without the necessity of supporting testimony or exhibits; (4) a plain and concise statement of all disputed factual issues which remain to be decided; (5) a statement assessing whether all or part of the action may be presented upon an agreed statement of facts; (6) a statement of stipulations requested or proposed for pretrial or trial purposes; (7) without extended legal argument, a concise statement of each disputed point of law concerning

1 liability or relief, citing supporting statutes and decisions; (8) a list of all  
2 witnesses likely to be called at trial; (9) a list of evidence the parties intend to  
3 present at trial through use of excerpts from depositions, from interrogatory  
4 answers, or from responses to requests for admission; and (10) any other  
5 subjects relevant to the trial of the action, or material to its just, speedy and  
6 inexpensive determination. **Counsel are directed to meet and confer in  
7 advance of the date for submission of pretrial material with respect to the  
8 pretrial conference statement.**

9 b. *In Limine Motions:* Any *In limine* motions shall be filed in writing and  
10 submitted along with the Joint Final Pretrial Conference Statement. Any  
11 opposition shall be filed in writing and served no later than ten (10) days after  
12 the opening briefs have been filed and served. **Fifteen (15)** days before the  
13 date of the Final Pretrial Conference, the parties shall organize their **in limine**  
14 motions as follows:

15 (1) Each side shall be responsible for producing a combined folder with  
16 all of *their* in limine motions, oppositions to their motions (if any), and  
17 replies (if any), respectively. The motion papers shall be clearly  
18 marked and separated in one single binder. The binder shall contain a  
19 table of contents, listing each motion with a short sentence regarding  
20 the grounds for in limine and a short sentence regarding the  
21 opposition; all statements must be supported by proper citations to the  
22 motions. **The table of contents shall also be saved on a 3½ floppy  
23 disk or a CD in MS Word or WordPerfect format to be attached  
24 inside the binder.**

25 (2) Please note that this binder is not a substitute for filing the motions  
26 and related papers via ECF; it is designed for the Court to evaluate the  
27 motions.  
28 Two copies of the binder shall be delivered to the Court (by way of the  
Clerk's Office) no later than **fifteen (15) days before the date of the  
Final Pretrial Conference by 3 PM.** The parties must also bring the  
binder to the Final Pretrial Conference for their own references.

(3) These motions will be deemed submitted without oral argument,  
unless the Court orders otherwise.

c. *Proposed Jury Instructions:* The parties shall filed their Proposed Jury  
Instructions thirty (30) days before the date of the Final Pretrial Conference.  
**The parties shall bring to the Final Pretrial Conference their Proposed**

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**Jury Instructions on a 3½ floppy disk or a CD in MS Word or  
WordPerfect format.**

**Final Pretrial Conference**

4. The Court will conduct a Final Pretrial Conference on the date set forth in the Case Schedule. The trial attorneys must attend the conference. At the final pretrial conference, the Court will consider issues raised in the Final Pretrial Conference Statement, motions *in limine* and discuss the procedures for trial of the case.

**Lodging Witness Lists and Exhibit Lists**

5. At the Final Pretrial Conference, the parties shall lodge with Chambers the joint list of witnesses, and a joint list of exhibits. Unless otherwise ordered, all exhibits shall be in a format compatible with either the video or digital evidence presentation system utilized by the Court. The proffering party shall retain custody of all exhibits, schedules, summaries, diagrams or charts to be used at the trial.

6. To accommodate consideration of electronic exhibits, as the trial progresses, the parties will load images of the admitted exhibits onto a stand alone hard drive or CD or DVD in “pdf” format. The parties shall ensure that, apart from whatever technical material is necessary to operate the system, the CD, DVD or hard drive contains only the items specified in this order and nothing else. The images will be placed in a folder labeled “Admitted Exhibits” that shall contain all trial exhibits received into evidence. In addition to the exhibits, themselves, the folder shall contain a file labeled “List of Admitted Exhibits by Exhibit Number.” The “List” shall list in numerical order by Trial Exhibit Number, each admitted exhibit, along with the date of the document (where available), and a brief description of the exhibit. To the extend practicable, the “List” shall be text searchable and hyperlinked to the Exhibits, themselves. The folder shall contain a second file labeled “List of Admitted Exhibits by Date.” This second “List” shall contain the same information, except that the exhibits will be listed in chronological order, according to the date the document was prepared. The external stand alone hard drive or CD or DVD and a printed copy of the exhibit lists will be marked as exhibits and placed in evidence as demonstrative exhibits.

1           7.       Before the case is submitted for decision, each party will be deemed to have had an  
2 opportunity to review the material described in the previous paragraph to ensure their accuracy.  
3 Unless a party objects before the case is submitted for decision to the judge or jury, the party will be  
4 deemed to have waived any and all objections to the submitted materials.

5           8.       To accommodate review of electronic exhibits, the parties will jointly provide the  
6 jury with the following equipment: a laptop computer, the external stand alone hard drive, CD or  
7 DVD, a printer (and, if practicable, an LCD projector).

8           9.       During its closing instructions, the Court will advise the jury of the form in which  
9 exhibits will be submitted to them. The jury will also be instructed that, unless impracticable, upon  
10 a juror's written request, a printed copy of any exhibit received in evidence will be provided to the  
11 jury. Before the jury retires to deliberate, the parties will provide a tutorial to the jurors on how to  
12 operate the equipment, how to view the exhibits, and how to print the exhibits if the jury wishes to  
13 do so. A written set of instructions on operations of the equipment will be sent into the jury room.

14          10.      When the jury is deliberating, at least one lawyer for each side shall remain in close  
15 proximity to the courtroom. In the event of any technical problem, or if the jurors have questions  
16 about how to operate the equipment, the jurors shall pass a note to the clerk, just as with any other  
17 questions they may have. The Court will read the note to the lawyers. Those lawyers shall consult  
18 with each other and with their respective technicians, and propose to the Court how they wish to  
19 address the technical problem.

20          11.      The parties shall maintain a backup laptop computer and a backup hard drive with  
21 identical images and data at the courthouse such that, in the event of a technical problem that cannot  
22 be quickly and easily remedied, the equipment can be replaced.

23          12.      Unless the Court orders otherwise for good cause shown, the expense of the  
24 equipment and the costs of preparation of the Lists and Exhibits will be shared equally among the  
25 parties.

26          13.      This Standing Order also applies to cases tried to the Court sitting without a jury,  
27 except that paragraphs 8-11 do not apply.

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1           21.     In examining a witness, counsel shall not repeat or echo the answer given by the  
2 witness.

3           22.     Counsel must stand when making an objection.

4           23.     Conferences at the bench or sidebar are not favored by the Court. Any sidebar  
5 conferences which are allowed will be off the record. If any counsel wishes to place matters on the  
6 record, he or she may so request, and the Court will make a responsive order.

7           24.     Counsel have full responsibility to arrange for the appearance of witnesses during the  
8 presentation of their case so as to eliminate delay caused by waiting for witnesses who have been  
9 placed on call by counsel.

10          25.     Unless an agreement to the contrary is entered into by opposing counsel, all nonparty  
11 or nonexpert witnesses will be excluded from the courtroom when not testifying. When a witness  
12 has completed his or her testimony, the witness is excused unless counsel indicates that he or she  
13 wishes the witness to remain subject to being recalled. Any witness who has been excused from  
14 further testimony may remain as a spectator. It will be the responsibility of counsel to make an  
15 announcement at the time the witness steps down that he or she should remain in the environs of the  
16 Court for a reasonable time to permit such counsel an opportunity to secure and serve a subpoena  
17 upon the witness and assume responsibility for his or her per diem and other expenses as provided  
18 by governing rule or statute.

19          26.     Counsel shall admonish all persons at counsel table that gestures, facial expressions,  
20 audible comments, or the like, as manifestations of approval or disapproval during the testimony of  
21 witnesses, or at any other time, are prohibited.

22          27.     Witness examination shall consist of direct examination, cross-examination and re-  
23 direct examination. No recross-examination will be allowed without prior approval of the Court  
24 specifically indicating the area for recross-examination. When there are multiple defense counsel,  
25 they are directed to confer and designate to the Court the sequence in which they wish to be  
26 recognized during trial for purposes of presenting opening statements and conducting cross-  
27 examination of witnesses. If one counsel conducts the direct examination of a witness, that same



1 counsel must make objections when the witness is cross-examined. Counsel who will conduct the  
2 cross-examination should object while the witness is on direct.

3 28. After the commencement of trial testimony, upon request of co-parties, any objection,  
4 motion or other application for relief made by any co-party, orally or in writing, shall be deemed to  
5 be adopted and joined in by every other co-party, without announcement by counsel to that effect,  
6 and the rulings of the Court shall be deemed applicable to each party unless otherwise stated at the  
7 time the ruling is made. Accordingly, it shall be regarded as unnecessary and improper for counsel  
8 to rise to "join in" an objection or motion. Rather, counsel should rise to be heard only for the  
9 purpose of expressly opting out of an objection or motion if that is his or her position.

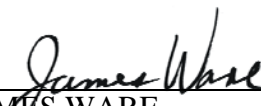
10 29. Counsel are directed to deliver to opposing counsel at the end of each trial day (if not  
11 earlier) a list of witnesses counsel anticipates calling the next trial day.

12 30. Counsel calling a non-client witness to testify should have no further discussions with  
13 that witness concerning the case or any aspect of his or her testimony after the witness has been  
14 tendered for cross-examination and until such time as the witness has been tendered back for redirect  
15 examination. At all other times, within the bounds of governing ethics and the law, counsel may  
16 engage in discussions with witnesses during trial.

17 31. Any time taken away from presentation of evidence to the trier of fact due to, for  
18 example, lengthy legal motions made during the time allocated to the presentation of evidence, or  
19 delays in the commencement of proceedings due to circumstances within the control of counsel, will  
20 be deducted from the time allocated for the presentation of evidence. Upon timely application based  
21 upon good cause, the Court may relieve a party of its stipulation to the agreed schedule.

22 32. It is the practice of the Court periodically to inform counsel of the Court's record of  
23 how much time each side has remaining for the presentation of evidence based upon the stipulated  
24 trial schedule.

25  
26 Dated: June 16, 2009

  
\_\_\_\_\_  
JAMES WARE  
United States District Judge

1 **THIS IS TO CERTIFY THAT COPIES OF THIS ORDER HAVE BEEN DELIVERED TO:**

- 2 Brian Paul Gearing [bgearing@mofoc.com](mailto:bgearing@mofoc.com)  
3 Colette R. Verkuil [cverkuil@mofoc.com](mailto:cverkuil@mofoc.com)  
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15 Thomas H R Denver [tdenver@mediationmasters.com](mailto:tdenver@mediationmasters.com)

12 **Dated: June 16, 2009**

**Richard W. Wieking, Clerk**

By:           /s/ JW Chambers            
**Elizabeth Garcia**  
**Courtroom Deputy**