

PATENT APPLICATION SERIAL NO. 09-120 452

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

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01 FC:101

790.00 OP

PTO-1556
(5/87)

UNITED STATES PATENT APPLICATION

OF

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AND

H. LEE BROWNE

FOR

AUDIO AND VIDEO TRANSMISSION AND RECEIVING SYSTEM

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viewer to choose his or her own viewing time. The system disclosed in Monslow also does not allow for the stop, pause, and multiple viewing functions of existing VCR technology.

U.S. Patent No. 4,590,516, issued to Abraham, discloses a system that uses a dedicated signal path, rather than multiple common carriers, to transmit audio/video programming. The receiver has no storage capability. The system provides for only display functions, which limits viewing to the time at which the material is ordered. Like Monslow, the Abraham system does not allow for the stop, pause, and multiple viewing functions of existing VCR technology.

U.S. Patent No. 4,963,995, issued to Lang, discloses an audio/video transceiver with the capability of editing and/or copying from one video tape to another using only a single tape deck. Lang does not disclose a system with one or more libraries wherein a plurality of system subscribers may access information stored in the film and tape library or libraries, and play back the selected information at a time and place selected by the subscriber.

It is therefore an object of the present invention to provide a user with the capability of accessing audio/video material by integrating both accessing and playback controls into a system that can use multiple existing communications channels.

It is a further object of the present invention to provide a picture and sound transmission system which allows the user to remotely select audio/video material from any location that has either telephone service or a computer.

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retrieving the information for the items from the source material library means and for assigning a unique identification code to the retrieved information; conversion means, coupled to identification encoding means, for placing the retrieved information into a predetermined format as formatted data; ordering means, coupled to the conversion means, for placing the formatted data into a sequence of addressable data blocks; compression means, coupled to the ordering means, for compressing the formatted and sequenced data; compressed data storing means, coupled to the compression means, for storing as a file the compressed sequenced data received from the compression means with the unique identification code assigned by the identification encoding means; and transmitter means, coupled to the compressed data storing means, for sending at least a portion of a specific file to a specific one of the remote locations.

The present invention further comprises a distribution method responsive to requests identifying information to be sent from a transmission system to a remote location, the method comprising the steps of storing audio and video information in a compressed data form; requesting transmission, by a user, of at least a part of the stored compressed information to the remote location; sending at least a portion of the stored compressed information to the remote location; receiving the sent information at the remote location; buffering the processed information at the remote location; and playing back the buffered information in real time at a time requested by the user.

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Fig. 1e shows a high level block diagram of the transmission and receiving system of the present invention including a transmission system 100 distributing to a plurality reception systems 200 and 200'. In the configuration shown in Fig. 1e, reception system 200 is a direct connection system wherein a user is directly connected to transmission system 100. Reception system 200' preferably includes a first cable television system 200a and a second cable television system 200b. Users of cable television ^{systems} ~~systems~~ 200a and 200b are indirectly connected to transmission system 100

Fig. 1f shows a high level block diagram of the transmission and receiving system of the present invention including transmission system 100 distributing via several channels to reception systems 200 and 200'. Reception system 200 is preferably non-buffering. In such a system, users are directly connected to transmission system 100, as in reception system 200 in Fig. 1e.

Reception system 200' shown in Fig. 1f is a cable television system, as shown in reception system 200' of Fig. 1e. In Fig. 1f, the reception system 200' is preferably buffering, which means that users may receive requested material at a delayed time. The material is buffered in intermediate storage device 200c in reception system 200'.

In the configuration of Fig. 1f, decompression of the requested material may preferably occur at the head end of a cable television reception system 200'. Thus, distribution may be provided to users via standard television encoding methods

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the reception system 200 to which the requested material is sent, and optionally selects the time playback of the requested material

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^{as} is desired. Accordingly, the user may remotely access the transmission system 100 from a location different than the

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location of reception system 200 where the material will be sent and/or played back. Thus, for example, a user may preferably call transmission system 100 from work and ^{have} send a movie ^{sent} to their house to be played back after dinner or at any later time of their choosing.

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In non-direct connection reception systems such as shown in reception system 200' of Fig. 1f, intermediate storage device 200c may preferably include, for example, sixteen hours of random access internal audio and video storage. A reception system with such storage is capable of storing several requested items ^{for} future playback. The user could then view and/or record a copy of the decompressed requested material in real time, or compressed in non-real time, at a time of their choosing. Accordingly, the user would not have to make a trip to the store to purchase or rent the requested material.

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In any of the transmission and receiving systems illustrated in Figs. 1a-1g, the requested material may be copy protected. To achieve copy protection, the requested material, as an item, is encoded as copy protected during storage encoding in transmission system 100. The user may then play back the item only one time. The user may also optionally review select portions of the item prior to its automatic erasure from the memory of the reception system 200. In this way, requested material may be distributed to

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"view only" users and also to "view and copy" users who wish to retain copies of the distributed items.

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Copy protected programs, when decompressed and played back, would have a copy protection technique applied to the analog and digital output signals. The analog video output is protected from copying through the use of irregular sync signals, which makes the signal viewable on a standard television but not recordable on a audio/video recorder. Digital output protection is effected through copy protect bit settings in the digital output signal, thus preventing a compatible digital recorder from recording the digital audio and/or video signal stream. A protected item will not be passed to the compressed data port of the digital recorder for off line storage.

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Figs. 2a and 2b illustrate detailed block diagrams of preferred implementations of the transmission system 100 of the present invention. Transmission system 100 may either be located in one facility or may be spread over a plurality of facilities. A preferred embodiment of transmission system 100 may preferably include only some of the elements shown in Figs. 2a and 2b.

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Transmission system 100 of a preferred embodiment of the present invention preferably includes source material library means for temporary storage of items prior to conversion and storage in a compressed data library means. The items of information may include analog and digital audio and video information as well as physical objects such as books and records which require conversion to a compatible media type before

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1 converting, compressing and storing their audio and video data in
the compressed data library means.

5 As shown in Fig. 2a, the source material library means
included in transmission system 100 preferably includes a source
material library 111. The source material library 111 may include
different types of materials including television programs,
10 movies, audio recordings, still pictures, files, books, computer
tapes, computer disks, documents of various sorts, musical
instruments, and other physical objects. These materials are
converted to or recorded on a media format compatible to the
digital and analog inputs of the system prior to being compressed
and stored in a compressed data library 118. The different media
formats preferably include digital or analog audio and video
tapes, laser disks, film images, optical disks, magnetic disks,
computer tapes, disks and, cartridges.

20 The source material library 111, according to a preferred
embodiment of the present invention, may preferably include a
single source material library or a plurality of source material
libraries. If there are a plurality of source material libraries,
they may be geographically located close together or may be
located far apart. The plurality of source material libraries may
communicate using methods and channels similar to the methods and
channel types which libraries may employ for communication with
the receiving system 200 of the user, or the source material
25 libraries may communicate via any available method.

Prior to being made accessible to a user of the transmission
and receiving system of the present invention, the item must be

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1 stored in at least one compressed data library 118, and given a
unique identification code by identification encoder 112. Storage
encoding, performed by identification encoder 112, aside from
giving the item a unique identification code, optionally involves
5 logging details about the item, called program notes, and
assigning the item a popularity code. Storage encoding may be
performed just prior to conversion of the item for transmission to
reception system 200, at any time after starting the conversion
process, or after storing the item in the compressed data library
10 118.

In a preferred embodiment of the present invention, the
method of encoding the information involves assigning a unique
identification code and a file address to the item, assigning a
popularity code, and inputting the program notes. This process is
15 identical for ~~the~~ any of ^{the} different media types stored in the
source material library 111.

The transmission system 100 of the present invention also
preferably includes conversion means 113 for placing the items
from source material library 111 into a predetermined format as
formatted data. In the preferred embodiment, after identification
20 encoding is performed by identification encoder 112, the retrieved
information is placed into a predetermined format as formatted
data by the converter 113. The items stored in source material
library 111 and encoded by identification encoder 112 may be in
either analog or digital form. Converter 113 therefore includes
analog input receiver 127 and digital input receiver 124. If

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1 digital audio input or analog audio input. When the retrieved
information contains both audio and video information, the audio
and video signals are passed simultaneously to the audio and video
converter inputs. Synchronization between the audio and video
5 data can be maintained in this way.

If, for example, the retrieved information to be converted
from the source material library 111 is a motion picture film, the
picture frames in the film are passed through a digital telecine
device to the digital input receiver 124. Format conversion is
then preferably performed by digital video formatter 125b.

10 Accompanying audio information is passed through an optical or
magnetic digital playback device. This device is connected to
digital audio formatter 125a.

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In some cases, such ^{as in} inter-library transfers, incoming
materials may be in a previously compressed form so that there is
no need to perform compression by precompression processor 115 and
compressors 128 and 129. In such a case, retrieved items are
passed directly from identification encoder 112 to the compressed
data formatter 117. The item database records, such as the
program notes ^{which} may also be input from another system, to the
compressed data formatting section 117, where this data, if
necessary, is reformatted to make it compatible with the material
stored in compressed data library 118. Such material may be
received in the form of digital tapes or via existing
communication channels and may preferably input directly to a
short term storage 117' in the compressed data formatting section
117.

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The transmission system 100 of the present invention also preferably includes ordering means for placing the formatted information into a sequence of addressable data blocks. As shown in Fig. 2a, the ordering means in the preferred embodiment includes time encoder 114. After the retrieved information^{is} converted and formatted by the converter 113, the information may be time encoded by the time encoder 114. Time encoder 114 places the blocks of converted formatted information from converter 113 into a group of addressable blocks. The preferred addressing scheme employs time encoding. Time encoding allows realignment of the audio and video information in the compressed data formatting section 117 after separate audio and video compression processing by precompression processor 115 and compressor 116.

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The converted formatted information of the requested material is then preferably in the form of a series of digital data bytes which represent frames of video data and samples of the audio data. A preferred relationship of the audio and video bytes to each other is shown in Fig. 8. Incoming signals are input and converted in sequence, starting with the first and ending with the last frame of the video data, and starting with the first and ending with the last sample of the audio data. Time encoding by time encoder 114 is achieved by assigning relative time markers to the audio and video data as it passes from the converter 113 through the time encoder 114 to the precompression processor 115. Realignment of audio and video data, system addressing of particular data bytes, and user addressing of particular portions of items are all made possible through time encoding.

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Through the use of the address of an item and its frame number it is possible to address any particular block of audio or video data desired. From here, further addressing down to the individual byte is possible. Frames and groups of frames may preferably be further broken down, as necessary to the individual bytes and bits, as required for certain processing within the system.

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User and system addressing requirements dictate the level of granularity available to any particular section of the system. Users are able to move through data in various modes, thus moving through frame addresses at various rates. For example, a user may desire to listen to a particular song. They may preferably enter the song number either when requesting the item from the compressed data library 118 and only have that song sent to their receiving system 200 or they may preferably select that particular song from the items buffered in their receiving system 200.

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Internal to the system, the song is associated with a starting frame number, which was indexed by the system operator via the storage encoding process. The system item database may contain information records for individual frames or groups of frames. These can represent still frames, chapters, songs, book pages, etc. The frames are a subset of, and are contained within, the items stored in the compressed data library 118. Time encoding by time encoder 114 makes items and subsets of items retrievable and addressable throughout the transmission system 100. Time encoding enables subsequent compression of the information to be improved

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different aspect ratio may have an inactive region where background information is contained, or may be converted into a best fit arrangement. Output from the video precompression processor 115b is stored in the frame buffer 131, which is dual ported and is directly addressable by video compressor 129.

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The incoming audio data is processed for sample rate and word length optimization and is then buffered in buffer 130 for compression processing by the audio precompression processor 115a. Audio precompression processor 115a may preferably transcode incoming audio information, as required, to create the optimum sample rate and word lengths for compression processing. The output of the audio precompression processor 115a is a constant sample rate signal of a fixed word length which is buffered in frame buffer 130. The frame buffer 130 is dual ported and is directly addressable by audio compressor 128. Blocking the audio data into frames at audio precompression processor 115a makes it possible to work with the audio data as addressable packets of information.

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Once precompression processing is finished, the frames are compressed by the data compressor 116. Compressor 116 preferably comprises an audio data compressor 128 and a video data compressor 129. The benefits of data compression performed by data compressor 116 are shortened transmission time, faster access time, greater storage capacity, and smaller storage space requirements. Compression processing performed by compressors 128 and 129 requires multiple samples of data to perform optimum compression. Audio and video information is preferably converted

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1 into blocks of data organized in groups for compression processing
by audio compressor 128 and video compressor 129, respectively.
These blocks are organized as frames, and a number of frames are
contained respectively in the buffers 130 and 131. By analyzing a
5 series of frames it is possible to optimize the compression
process.

10 Audio data is preferably compressed by audio compressor 128
by application^{of} an adaptive differential pulse code modulation
(ADPCM) process to the audio data. This compression process,
which may be implemented by the apt-x 100 digital audio
compression system, is manufactured by Audio Processing Technology
(APT). Audio compression ratios of 8X or greater are achieved
with the APT system.

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20 Compression by compressor 116 may be performed on a group of
24 video frames may preferably be passed in sequence to the frame
buffer 130 of the video precompression processor 115b where they
are analyzed by video compressor 129 which performs data reduction
processing on the video data. Video compression is preferably
performed by video compressor 129. Video compression is achieved
by the use of processors running algorithms designed to provide
the greatest amount of data compression possible. Video data
compression preferably involves applying two processes: a discrete
cosine transform, and motion compensation. This process is
described in "A Chip Set Core of Image Compression", by Artieri
and Colavin. Multiple frames of video data may preferably be
analyzed for patterns in the horizontal (H), vertical (V),
diagonal (zigzag) and time (Z) axis. By finding repetition in the

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video data, redundancy may be removed and the video data may be compressed with a minimal loss of information.

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In accordance with a preferred embodiment of the present invention, the transmission system 100 may further comprise compressed data storing means, coupled to the compression means, for storing as a file the compressed sequenced data with the unique identification code received from the data compression means. After compression processing by compressor 116, the compressed audio and video data is preferably formatted and placed into a single file by the compressed data storage means 117. The file may contain the compressed audio and/or video data, time markers, and the program notes. The file is addressable through the unique identification code assigned to the data by the identification encoder 112.

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Further, according to the present invention, the transmission system preferably includes compressed data library means for separately storing composite formatted data blocks for each of the files. The compressed data storage means preferably includes compressed data library 118, as shown in Fig. 2b. After the data is processed into a file by the compressed data storage means 117, it is preferably stored in a compressed data library 118. In a preferred embodiment, compressed data library 118 is a network of mass storage devices connected together via a high speed network. Access to any of the files stored in compressed data library 118 is available from multiple reception systems 200 connected to the transmission and receiving system.

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Stored items are preferably accessed in compressed data library 118 through a unique address code. The unique address code is a file address for uniquely identifying the compressed data items stored in the compressed data library section of a library system. This file address, combined with the frame number, and the library system address allow for complete addressability of all items stored in one or more compressed data libraries 118. Compressed data library addresses along with receiving system addresses are used to form a completely unique address for distribution system control.

The unique address code is an address assigned to the item by the system operator during storage encoding, which is preferably done prior to long term storage in the compressed data library 118. In a preferred embodiment, the unique address code is used for requesting and accessing information and items throughout the transmission and receiving system. The unique address code makes access to the requested data possible.

The storage encoding process performed by encoder 112 also allows entry of item notes and production credits. Production credits may include the title, names of the creators of the item such as the producer, director, actors, etc. Other details regarding the item which may be of interest and which may make the items more accessible are kept in an item database.

Item addresses are mapped to item names by identification encoder ¹¹² 122 and may preferably be used as an alternative method of accessing items. The item names are easier to remember, thus making user access more intuitive by using item names. The

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storage encoding entry process performed in identification encoder
 112 operates a program which updates a master item database
 containing facts regarding items in the compressed data library
 system. The storage encoding process may be run by the system
 operator whereby the system operator accesses the master item
 database to track and describe items stored in one or more
 compressed data libraries. The names and other facts in the item
 database may preferably be updated at any time via the storage
 encoding process. Changes made to the master item database may be
 periodically sent to the remote order processing and item database
 300.

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As described in more detail later, a user may preferably
 access an item via its unique identification code, via its title,
 or the user may use other known facts for accessing an item. The
 user may access items in the compressed data library 118 directly
 using the unique address code or the user may obtain access via
 the remote order processing and item database 300. Indirect
 access via the remote order processing and item database 300 is
 possible using, for example, a synthesized voice system, a query
 type of computer program interface, or customer assistance
 operators. In addition to providing interactive access to the
 remote order processing and item database 300, a catalog listing
 some or all available titles may also preferably be published.
 With a published catalog, users may obtain the unique address code
 for an item very easily thereby allowing for retrieval from the
 compressed data library 118 without any help from an interactive
 system.

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1 To achieve user access via an interactive system, facts about
the items may be kept in files as a part of the items or the facts
e may be kept separately, for example, by systems which only ~~to~~
inform users of the available items and take orders. For example,
5 in systems which have portions split in separate locations, the
facts about the items may be separated from the items themselves
and stored in separate files. A system of this type can
distribute user orders to other portions of the transmission and
receiving system for ultimate distribution to the requesting user.
10 Further, to support a plurality of users, multiple versions of the
item database may preferably reside either on multiple database
servers, in catalogs, or on other computer systems.

The item database master may reside in the system control
e computer 1123 where may be ~~is~~ updated and kept current to the
contents of the compressed data library 118. The data stored in
the item database master may be accessed by users via application
programs, running on the system control computer 1123, and on the
reception system 200 of the user. Users may connect to the item
database via any available telecommunication channels. Copies of
20 the item database master may be updated and informed of new
entries into compressed data library 118 at periodic intervals
determined by the system manager.

Other copies of the item database master may also be made
available to users from the remote order processing and item
database 300 which batch processes and downloads user requests to
the control computer 1123 of the compressed data library 118 via
standard telecommunications or high speed communication channels.

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Moreover, multiple remote order processing and item database 300 sites make it possible for more locations to process orders than there are library facilities, and thus make order processing more efficient.

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Preferably, access of a requested item via the remote order processing and item database 300 operates as follows. If the user does not know the title of the desired item, he or she may request the item by naming other unique facts related to the item. For example, a user would be able to access an item about Tibetan Medicine by asking for all items which include information about "Tibet" and include information about "Medicine." The remote order processing and item database 300 would then be searched for all records matching this request. If there is more than one item with a match, each of the names of the matching items are preferably indicated to the user. The user then selects the item or items that he or she desires. Upon selection and confirmation, by the user, a request for transmission of a particular item or items is sent to the distribution manager program of the system control computer 1123 . The request contains the address of the user, the address of the item, and optionally includes specific frame numbers, and a desired viewing time of the item.

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The storage encoding process performed by identification encoder 112 also allows entry of a popularity code. The popularity code is preferably assigned on the basis of how often the corresponding item is expected to be requested from the compressed data library 118. This popularity code can be used to determine the most appropriate form of media for storage of the

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1 compressed data in a mixed media system. Mixed media systems are
preferably employed as more cost effective storage in very large
compressed data libraries 118. Once assigned, the popularity code
5 may be dynamically updated, by factoring item usage against system
usage. Thus, stored items are dynamically moved to the most
appropriate media over their life in the compressed data library
118. If a particular item stored in compressed data library 118
is retrieved frequently by users, storage in compressed data
library 118 is preferably on higher speed, more reliable, and
probably more expensive media. Such media includes Winchester and
10 magneto-optical disks.

If an item stored in compressed data library 118 is retrieved
less frequently, it may be stored in the compressed data library
118 on a digital cassette tape. Examples of such cassette tapes
are a Honeywell RSS-600 (Honeywell Inc. Minneapolis Minnesota),
Summus JukeBoxFilm and tape library (Summus Computer Systems,
Houston, TX 800-255-9638), or equivalent cassette tapes. All
items stored in the compressed data library 118 are on line and
are connected to the high speed network. Thus, they may be
20 readily accessed.

Instead of using a remote order processing and item database
300, the compressed data library 118 may include the program notes
which were input by the system operator. The program notes may
preferably include the title of the item stored in the compressed
data library 118, chapter or song titles, running times, credits,
the producer of the item, acting and production credits, etc. The
program notes of an item stored in the compressed data library 118

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may be thus contained within the compressed data file formed in the compressed data formatter 117.

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In some cases, where multiple compressed data libraries 118 are organized, the popularity code may dictate distribution of a particular item to multiple distribution systems. In such cases, a copy of the compressed data is sent to another library and the other library can then distribute the compressed data to users concurrently with the original compressed data library 118.

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The compressed data library 118 is composed of a network of storage devices connected through a High Performance Parallel Interface (HPPI) Super Controller (available from Maximum Strategy Inc., San Jose, Ca.). Therefore, multiple communication controllers may preferably access the large quantity of data stored in compressed data library 118 at very high speeds for transfer to a reception system 200 of a user upon request. For more details on this configuration see Ohrenstein, "Supercomputers Seek High Throughput and Expandable Storage", Computer Technology Review, pp. 33-39 April 1990.

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The use of an HPPI controller allows file placement onto multiple mass storage devices of the compressed data library 118 with a minimum of overhead. Database management software controls the location and tracking of the compressed data library 118 which can be located across multiple clusters of file servers connected together by one or more high speed networks over multiple systems.

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The transmission system 100 of the present invention may also preferably include library access/interface means for receiving transmission requests to transmit items and for retrieving

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1 formatted data blocks stored in the compressed data library 118
corresponding to the requests from users. The compressed audio
and/or video data blocks, along with any of the information about
the item stored in the compressed data library 118 may be accessed
5 via library access interface 121. The library access interface
121 receives transmission requests either directly from the users
or indirectly by remote order processing and item database 300.
The transmission format means 119 receives the request and
retrieves the composite formatted data block of the requested item
10 stored in compressed data library 118 and converts the compressed
formatted data block into a format suitable for transmission. The
requested item is then sent to the user via the transmitter 122 or
directly via interface 121.

In a preferred embodiment of the present invention, customer
access of an item stored in compressed data library 118 via the
library access interface 121 may be performed in various ways.
The methods of requesting a stored item are analogous to making an
airline reservation or transferring funds between bank accounts.
Just as there are different methods available for these processes
20 it is desirable to have several ordering methods available to the
users of the system of the present invention. For example,
telephone tone decoders and voice response hardware may be
employed. Additionally, operator assisted service or user
terminal interfaces may be used.

Customer access via telephone tone decoders and voice
response hardware is completely electronic and may preferably be
performed between a system user and a computer order entry system.

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The user may obtain help in ordering an item from a computer synthesized voice. With such an access method, the user will normally be accessing a dynamic catalog to assist them.

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Confirmation of selections and pricing information may preferably be given to the user prior to completion of the transaction.

This process of access, performed by remote order processing and item database configuration 300, shown in Fig. 1c, preferably includes the following steps, shown in flowchart 3000 of Fig. 3.

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First, the user calls the system access number (step 3010). Upon successfully dialing the system access number, the user receives instructions from the system (step 3020). The instructions may preferably include steps the user must take in order to place an order. Preferably, the instructions may be bypassed by the experienced user who knows how to place an order.

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The user then enters a customer ID code by which the system accesses the user's account, and indicates to the system that the user is a subscriber of the system (step 3030). In response to the user entering his ID code in step 3030 the system confirms whether the user is in good standing (step 3040). If the user is in good standing, the system queues the user to input his request (step 3050).

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The user request may preferably be made from a catalog sent to each of the subscribers of the system. The user will preferably identify his choice and enter the corresponding identification code of the item (step 3060). The system then preferably confirms the selection that the user has made and informs the user of the price of the selection (step 3070).

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1 The user then indicates whether the confirmation performed in step 3070 is correct (step 3080). If the confirmation performed in step 3070 is correct, the user so indicates and then inputs a desired delivery time and delivery location (step 3090).

5 If the confirmation performed in step 3070 does not result in the selection desired by the user, the user re-inputs the item identification code in step 3060 and the confirmation steps 3070 and 3080 are repeated. Therefore, proper selection of the selected item is insured. Once there is confirmation, the user enters the playback time and destination in step 3090.

10 The user then preferably confirms that the order is correct (step 3100). The confirmation performed in step 3100 includes confirmation of the entire transaction including the selected item, the selected time of playback, and the location of playback. The transaction is then completed and the request is placed on a transmission queue at the appropriate ^{compressed data library 118} ~~source material library 111~~ (step 3110).

20 Access by the users via operator assisted service includes telephone operators who answer calls from the users. The operators can sign up new customers, take orders, and help with any billing problems. The operators will preferably have computer terminals which give them access to account information and available program information. Operators can also assist a user who does not know a title by looking up information stored in files which may contain the program notes, as described above. Once the chosen program is identified, the operator informs the user of the price. After the user confirms the order, the user

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1 indicates the desired delivery time and destination. The operator
then enters the user request into the system. The request is
placed in the transmission queue.

5 Access by a user terminal interface method provides the user
with access from various terminals including personal computers,
and specialized interfaces built into the reception system 200 ^{see} _{of}
the user. Such access allows a user to do a search of available
programs from a computer screen. This process involves the steps
4000 shown in Fig. 4.

10 Fig. 4 is a flowchart of a preferred method of user request
via a user interface of the present invention. In the preferred
method of Fig. 4, the user first logs onto the user terminal
interface (step 4010). After the user logs on, the user may
preferably select a desired item by searching the database of
15 available titles in the library system control computer 1123 or
any remote order processing and item database 300 (step 4020).
The search may preferably be performed using the database
containing the program notes, described above with respect to
Figs. 2a and 2b. It is possible to process orders and operate a
20 database of available titles at multiple locations remote of the
source material library 111. Users and order processing operators
may preferably access such remote systems and may place
transmission requests from these systems. Orders placed on these
systems will be processed and distributed to the appropriate
libraries. After the desired item is found, the user selects the
item for transmission at a specific time and location (step 4030).

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1 To complete an order, the remote order processing and item
database 300 preferably connects to the compressed data library
118 of choice via the library access interface 121 and
communicates with the library system control computer 1123.
5 Preferably the user's account ID, identification of the item for
transmission and the chosen destination for the item are
communicated. Through employment of distributed order processing
systems of this type many orders may be processed with minimal
library overhead.

10 All transmission requests from the access methods are placed
into a transmission queue managed by the library system control
computer 1123. This queue is managed by a program that controls
the distribution of the requested items to the reception system
200 of the user. The queue manager program also operates in the
system control computer and keeps track of the user ID, the chosen
program and price, the user channel type, the number of requests
for a given program, the latest delivery time, and the compressed
data library media type (for example, high speed or low speed).
From this information, the queue manager program makes best use of
the available distribution channels and media for efficient
transmission and storage of the requested items.

25 The queue manager program also manages the file transmission
process for multiple requests for a single file, stored in the
compressed data library 118. During a given time period, the
queue manager program will optimize access to the compressed data
library 118, wherever possible it will place the data on multiple

1 outputs for simultaneous transmission to more than one requesting user.

5 The conversion performed by transmission data converter 119 encodes the data for the transmission channel. The transmission data converter transfers the desired segments of data from the compressed data library 118 onto the communication channel which is used to deliver the data to the reception system 200.

10 The transmission system 100 of the present invention preferably further includes transmitter means 122, coupled to the compressed data library 118, for sending at least a portion of a specific file to at least one remote location. The transmission and receiving system of the present invention preferably operates with any available communication channels. Each channel type is accessed through the use of a communications adaptor board or processor connecting the data ^{processed} stored in the transmission format converter 119 to the transmission channel.

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20 A preferred embodiment of the present invention also includes means by which to access users via common access lines. These may include standard telephone, ISDN or B-ISDN, microwave, DBS, cable television systems, MAN, high speed modems, or communication couplers. Metropolitan Area Networks (MANs) which are common carrier or private communication channels are designed to link sites in a region. MANs are described by Morreale and Campbell in "Metropolitan-area networks" (IEEE Spectrum, May 1990 pp. 40-42).
25 The communication lines are used to transmit the compressed data at rates up to, typically, 10 Mb/sec.

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In order to serve a multitude of channel types, a preferred embodiment of the present invention includes a multitude of output ports of each type connected to one or more computers on the transmission and receiving^{system} system. The management of transmission is then distributed. That is, the computer controlling the transmission queue tells the transmission encoding computer its task and then the task is executed by the transmission encoding computer, independent of the transmission queue computer. The transmission queue computer provides the data for transmission by the file server which also distributes to other transmitters located in the same or other transmission encoding computers.

Fig. 5 is a flowchart of a preferred method of implementing a queue manager program of the present invention. The queue manager program, in the distribution process, preferably confirms availability of an item from the compressed data library 118 and logically connects the item stored in compressed data library 118 to the communications controller, illustrated in Fig. 2a (step 5010). After availability is confirmed in step 5010, the data awaits transmission by the transmitter 122.

After availability is confirmed in step 5010, the communications controller preferably makes the physical connection to the reception system 200 of the user (step 5020). This is normally done by dialing the receiving device of the user. The reception system 200 preferably answers the incoming call and confirms the connection (step 5030).

Once connected to the reception system 200, in steps 5020 and 5030, the data stored in compressed data library 118 is preferably

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transferred in data blocks from the compressed data library 118 to the communications controller (step 5040). The data blocks are buffered by the communications controller. The buffered data is sent down the communications channel to the reception system 200 by transmitter 122 (step 5050).

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The transmitter 122 places the formatted data onto the communications channel. This is an electrical conversion section and the output depends upon the chosen communication path. The signal is sent to the reception system 200 in either a two way or a one way communication process. In a standard telephone connection, the transmitter 122 is preferably a modem. When using an ISDN, ^{channel} the transmitter 122 is preferably a data coupler.

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In a preferred embodiment of the present invention, many forms of communication channels may be employed. Distribution of information is by common carrier communication channels whenever possible. These channels include common telephone service, ISDN and Broadband ISDN, DBS, cable television systems, microwave, and MAN.

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In order that reception is performed efficiently, the reception system 200 confirms reception of the initial data block before receiving the remaining data blocks whenever possible (step 5060). After all data blocks have been received and reception is confirmed, the communications controller breaks the physical connection to the reception system 200 (step 5070). Then, confirmation of the transmission is sent to the queue manager (step 5080). Finally, the queue manager updates the list and

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sends the information to the billing program, which updates the account of the user (step 5090).

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When item distribution occurs through a broadcasting method such as a communications satellite, the process is one way, with ongoing reception not being confirmed by the reception system 200. In these situations, some further redundancy is included by transmission formatter 122 with the data blocks for error correction processing to be performed in the reception system 200. In such one way communication situations, the queue manager program running in library system control computer 1123 confirms reception, via telephone line connection for example, to the reception system 200 after distribution. This should occur prior to updating the user's account and the dispatch lists.

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The real time output signals are output to a playback system such as an audio amplifier and/or television. This output may also be sent to an audio/video recorder for more permanent storage. Moreover, in the preferred embodiment only non-copy protected data can be recorded on an audio/video recorder. Any material which is copy protected will be scrambled at the video output in a way which makes it viewable on a standard audio/video receiver but does not allow for recording of the material.

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The reception system 200 has playback controls similar to the controls available on a standard audio/video recorder. These include: play, fast forward, rewind, stop, pause, and play slow. Since items are preferably stored on random access media, the fast forward and rewinding functions are simulations of the actual events which occur on a standard audio/video recorder. Frames do

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not tear as on an audio/video recorder, but in fast play modes they go by very quickly.

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The library access interface 121 in the reception system 200 preferably includes a title window where a list of available titles are alphabetically listed. This window has two modes: local listing of material contained within the library system control computer 1123, and library listing for all available titles which may be received from the available, remotely accessible libraries. The titles listed in this window are sent from the database on the library system control computer 1123 or the remote order processing and item database 300.

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The system may also preferably include dispatching control software which receives input from the remote order processing and item database 300 and sends distribution requests to the distribution systems. In instances where not all items are contained in each of the compressed data libraries 118, the dispatching software will keep a list of the available titles in a particular compressed data library 118. The dispatch software may also preferably coordinate network traffic, source material library 111 utilization, source material library 111 contents, and connection costs. By proper factoring of these variables, efficient use of the available distribution channels may be achieved.

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Fig. 6 illustrates a block diagram of a preferred implementation of the reception system 200 according to the present invention. The reception system 200 is responsive to user requests for information stored in source material library 111.

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The reception system 200 includes transceiver 201 which receives the audio and/or video information transmitted by transmitter 122 of the transmission system 100. The transceiver 201 automatically receives the information from the transmitter 122 as compressed formatted data blocks.

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The transceiver 201 is preferably connected to receiver format converter 202. The receiver format converter 202 converts the compressed formatted data blocks into a format suitable for playback by the user in real time.

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In the reception system 200 of the present invention, the user may want to play back the requested item from the source material library 111 at a time later than when initially requested. If that is the case, the compressed formatted data blocks from receiver format converter 202 are stored in storage 203. Storage 203 allows for temporary storage of the requested item until playback is requested.

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When playback is requested, the compressed formatted data blocks are sent to data formatter 204. Data formatter 204 processes the compressed formatted data blocks and distinguishes audio information from video information.

The separated audio and video information are respectively decompressed by audio decompressor 209 and video decompressor 208.

e The decompressed video data is then sent simultaneously to digital video output converter 211 and analog video output converter 213. The decompressed audio data is sent simultaneously to digital audio output converter 212 and analog audio output converter 214. The outputs from converters 211-214 are produced in real time.

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The real time output signals are output to a playback system such as a TV or audio amplifier. They may also be sent to an audio/video recorder of the user. By using the reception system 200 of the present invention, the user may utilize the stop, pause, and multiple viewing functions of the receiving device. Moreover, in a preferred embodiment of the present invention, the output format converters may be connected to a recorder which enables the user to record the requested item for future multiple playbacks.

Fig. 7 is a flow chart 400 of a preferred method of distribution of the present invention. The distribution method is preferably responsive to requests identifying information to be sent from the transmission system 100 to remote locations. Method 400 assumes that the items have already been stored in compressed data library 118.

As illustrated in Fig. 7, the first step of the distribution method 400 involves retrieving the information for selected items in the source material library 111, upon a request by a user of the distribution system (step 412). This is analogous to taking books off of a shelf at the local public library after the person has decided that he or she would like to read them.

After the information for the selected items is retrieved in step 412, the distribution method 400 of the present invention further comprises the step of processing the information for efficient transfer (step 413). The processing performed in step 413 preferably includes assigning a unique identification code to the retrieved information performed by identification encoder 112,

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1 shown and described with respect to Fig. 2a (step 413a). The
processing also preferably includes placing the retrieved
information into a predetermined format as formatted data by
converter 113 (step 413b), and placing the formatted data into a
5 sequence of addressable data blocks by ordering means 114 (step
413c).

10 Processing step 413 also includes compressing the formatted
and sequenced data performed by data compressor 116 (step 413d),
and storing as a file the compressed sequenced data received from
the data compression means with the unique identification assigned
by the identification encoding means (step 413e).

After the information is processed for efficient transfer, in
substeps 413a-e of step 413, the distribution method 400 of the
present invention preferably includes the step of storing the
processed information is stored in a compressed data library
(step 414). Preferably, the compressed data library is analogous
to compressed data library 118, described with respect to Fig. 2a.

After the information is stored in a compressed data library
118, the transmission and receiving system preferably waits to
20 receive a transmission request (step 415). Upon receiving a
transmission request, from transmission system 100, the compressed
formatted data is preferably converted for output to a reception
system 200, selected by the user. The information is preferably
transmitted over an existing communication channel to a reception
system 200, and is received by that system (step 417). When the
information is received in step 417, it is preferably formatted

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for the particular type of reception system 200 to which the information is sent.

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The received information is preferably buffered (step 418) by a storage means analogous to element 203 shown in Fig. 3. The information is preferably buffered so that it may be stored by the user for possible future viewings. The requested information is then ^{played} ~~payed~~ back to the reception system 200 of the user at the time requested by the user (step 419).

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Figs. 8a-8e are block diagrams of preferred implementations of data structures and data blocking for items in the audio and video distribution system. Fig. 8a shows the block structure of video data where a video frame 812 is composed of a plurality of video samples 811, and a second of video 813 is composed of a plurality of video frames 812.

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Fig. 8b shows the block structure of audio data where an audio data frame 822 is composed of a plurality of audio samples 821, and a second of audio 823 is composed of a plurality of audio data frames 822. Fig. 8c shows the block structure of a data

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frame 832 composed of a plurality of data bytes 831. The combination of the audio frames 812, video frames 822, and data frames 832 comprise the elements of a single item. Fig. 8d shows a block representation of for three illustrative items which may be stored in the source material library 111. Each of items 1-3 contains its own arrangement of video frames 812, audio frames 822, and data frames 832.

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Fig. 8e shows methods of distribution to reception systems 200 with both multiplexed and non-multiplexed signal paths, both

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addressed and non-addressed blocks of items. A block of an item
may be an entire item or, alternatively, may be only a portion of
an item, as selected by a user. Further, the blocks may be ~~may be~~
composed of either compressed, partially compressed, or fully
decompressed data, as required by the configuration of the
reception system 200.

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As shown in Fig. 8e, the same block, for example, block 1,
may be simultaneously transmitted over different distribution
channels. The blocks when transmitted over one of the
distribution channels may have receiver addresses appended to the
blocks or the reception system 200 may have been preconfigured to
receive the blocks comprising data frames for particular items
from the active distribution channel.

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Other embodiments of the invention will be apparent to those
skilled in the art from consideration of the specification and
practice of the invention disclosed herein. It is intended that
the specification and examples be considered as exemplary only,
with the true scope and spirit of the invention being indicated by
the following claims.

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CM What is claimed is:

~~1. A transmission system for providing information to remote locations, the transmission system comprising:~~

- ~~library means for storing items;~~
- ~~identification encoding means for retrieving the information for the items from the library means and for assigning a unique identification code to the retrieved information;~~
- ~~conversion means, coupled to the identification encoding means, for placing the retrieved information into a predetermined format as formatted data;~~
- ~~ordering means, coupled to the conversion means, for placing the formatted data into a sequence of addressable data blocks;~~
- ~~compression means, coupled to the ordering means, for compressing the formatted and sequenced data;~~
- ~~compressed data storing means, coupled to the data compression means, for storing as a file the compressed, sequenced data received from the data compression means with the unique identification code assigned by the identification encoding means;~~
- ~~and~~
- ~~transmitter means, coupled to the compressed data storing means, for sending at least a portion of a file to one of the remote locations.~~

2. A transmission system as recited in claim 1, wherein the transmitter means includes:

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transmission format means for placing the composite formatted data block onto a communication path.

3. A transmission system as recited in claim 1, wherein the information in the items includes analog signals, and wherein the conversion means further comprises:

converting means, coupled to the identification encoding means, for A/D converting the analog data of the retrieved information into a series of digital data bytes; and

formatting means, coupled to the converting means, for converting the digital data bytes into formatted data with a predetermined format.

4. A transmission system as recited in claim 1, wherein the information in the items includes digital signals, and wherein the conversion means further comprises:

digital input receiver means, coupled to the identification encoding means, for converting the digital data of the retrieved information into predetermined voltage levels; and

formatting means, coupled to the digital input receiver means, for converting the predetermined voltage levels into formatted data with a predetermined format.

5. A transmission system as recited in claim 3, wherein the information in the items includes digital signals, and wherein the conversion means further comprises:

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digital input receiver means, coupled to the identification encoding means, for converting the digital data of the retrieved information into predetermined voltage levels; and

formatting means, coupled to the digital input receiver means, for converting the predetermined voltage levels into formatted data with the predetermined format.

6. A transmission system as recited in claim 2, wherein the compressed data storing means further comprises:

compressed data library means for separately storing composite formatted data blocks for each of the files converted and stored.

7. A transmission system as recited in claim 6, further comprising:

system control interface means, coupled to the transmission format means, for generating a visual listing of available items; and

library access interface means, coupled to the transmission format means, for receiving transmission requests to transmit items, and for retrieving formatted data blocks stored in the compressed data library means corresponding to the requests from subscribers.

8. A transmission system as recited in claim 1, further comprising:

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~~precompression data processing means, coupled to the ordering means, for storing the formatted data.~~

9. A transmission system as recited in claim 1, wherein the information in the items includes analog audio information, and wherein the conversion means further comprises:

audio converting means, coupled to the identification encoding means, for converting the analog audio signals into streams of digital audio data.

~~10. A transmission system as recited in one of claims 1 and 9, wherein the information in the items includes analog video information, and wherein the conversion means further comprises:~~

~~video converting means, coupled to the identification encoding means, for converting the analog video signals into streams of digital video data.~~

11. A transmission system as recited in one of claims 1 and 9, wherein the information in the items includes partly encoded information, and wherein the conversion means further comprises:

digital input means, coupled to the identification encoding means, for receiving partial encoded information in the items.

12. A transmission system as recited in claim 1, wherein the data compression means comprises:

means for performing a multi-dimensional analysis of the formatted data for inclusion in a predetermined algorithm; and

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compression processors for running the predetermined algorithm and for compressing the formatted data.

13. A transmission system as recited in claim 1, wherein the compression means comprises:

means for identifying repeating patterns in the formatted data for inclusion in a predetermined algorithm; and
compression processors for running the predetermined algorithm and for compressing the formatted data.

14. A transmission system as recited in claim 12, wherein the multi-dimensional analysis means includes means for performing the multi-dimensional analysis in the horizontal dimension.

15. A transmission system as recited in claim 12, wherein the multi-dimensional analysis means includes means for performing the multi-dimensional analysis in the vertical dimension.

16. A transmission system as recited in claim 12, wherein the multi-dimensional analysis means includes means for performing the multi-dimensional analysis in the time dimension.

17. A transmission system as recited in claim 12, wherein the multi-dimensional analysis means includes means for performing the multi-dimensional analysis in the zig-zag dimension.

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18. A distribution method responsive to requests identifying information to be sent from a transmission system to remote locations, the method comprising the steps of:

storing audio and video information in a compressed data form;

requesting transmission, by a user, of at least a part of the stored compressed data to a remote location selected by the user;

sending at least a portion of the stored compressed information to the remote location;

receiving the sent information at the remote location;

buffering the received information at the remote location;

and

playing back the buffered information in real time at a time requested by the user.

19. The distribution method as recited in claim 18, wherein the information in the items includes analog and digital signals, and wherein the step of processing further comprises the steps of:

converting analog signals of the information to digital components;

formatting the digital data signals of the information;

ordering the converted analog data and the formatted digital data in a predetermined sequence and;

compressing the ordered information.

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~~20. The method of claim 18 wherein the step of storing the items includes the substep of storing the items in a plurality of compressed picture and sound information.~~

~~21. The method of claim 18 further comprising the steps of:
storing a list of items available to the user from at least one compressed data library; and
providing the user with the list so that the user may remotely select a particular item for transmission.~~

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~~22. A receiving system responsive to a user input
identifying a choice of an item stored in a source material
library to be played back to the subscriber at a location remote
from the source material library, the item containing information
to be sent from a transmitter to the receiving system, the
receiving system comprising:
transceiver means, for automatically receiving the requested
information from the transmitter as compressed formatted data
blocks;
receiver format conversion means, coupled to the transceiver
means, for converting the compressed formatted data blocks into a
format suitable for storage and processing for playback in real
time;
storage means, coupled to the receiver format conversion
means, for storing the compressed formatted data;
decompressing means, coupled to the receiver format
conversion means, for decompressing the compressed formatted
information; and
output data conversion means, coupled to the decompressing
means, for playing back the decompressed information in real time
at a time specified by the user.~~

~~23. A receiving system as recited in claim 22, further
comprising:~~

~~user interface means for translating the input into a request for sending the requested information from the transmitter to the receiving system.~~

24. A receiving system as recited in claim 22, wherein the output data conversion means includes recording means which controls the playback.

25. A receiving system as recited in claim 22, wherein the storage means stores the formatted information until playback is requested by an operator.

26. A receiving system as recited in claim 22, wherein the decompression means further comprises:

video signal decompression means for decompressing video information contained in the compressed formatted information.

27. A receiving system as recited in claim 26, wherein the output data conversion means further comprises:

digital video output means, connected to the video signal decompression means, for outputting a digital video signal contained in the video information; and

analog video output means, connected to the video signal decompression means, for outputting an analog video signal contained in the video information.

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28. A receiving system as recited in claim 27, wherein the video output means further comprises:

copy protection means for preventing copying by the user of protected information.

29. A receiving system as recited in claim 22, wherein the decompression means further comprises:

audio signal decompression means for decompressing audio information contained in the compressed formatted information.

30. A receiving system as recited in claim 29, wherein the output data conversion means further comprises:

digital audio output means, connected to the audio signal decompression means, for outputting a digital audio signal contained in the audio information; and

analog audio output means, connected to the audio signal decompression means, for outputting an analog audio signal contained in the audio information.

31. A receiving system as recited in claim 22, wherein the decompression means further comprises:

video signal decompression means for decompressing video information contained in the compressed formatted information; and

audio signal decompression means for decompressing audio information contained in the compressed formatted information.

~~32. A receiving system as recited in claim 22, wherein the transceiver means receives the information via any one of telephone, ISDN, broadband ISDN, satellite, common carrier, computer channels, cable television systems, MAN, and microwave.~~

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ABSTRACT

A system of distributing video and/or audio information employs digital signal processing to achieve high rates of data compression. The compressed and encoded audio and/or video information is sent over standard telephone, cable or satellite broadcast channels to a receiver specified by a subscriber of the service, preferably in less than real time, for later playback and optional recording on standard audio and/or video tape.

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DECLARATION AND POWER OF ATTORNEY NO. 02473-0001-00000
RNEY

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **AUDIO AND VIDEO TRANSMISSION AND RECEIVING SYSTEM** the specification of which is attached and/or was filed on January 7, 1991 as Application Serial No. 07/637,562 and amended on

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Country	Application Number	Date of Filing	Priority Claimed Under 35 U.S.C. 119

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Number	Date of Filing	Status (patented, pending, abandoned)

I hereby appoint the following attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Finnegan, Henderson, Farabow, Garrett and Dunner, Reg. No. 22,540; Douglas B. Henderson, Reg. No. 20,291; Ford F. Farabow, Jr., Reg. No. 20,630; Arthur S. Garrett, Reg. No. 20,338; Donald R. Dunner, Reg. No. 19,073; Brian G. Brunsvold, Reg. No. 22,593; Tipton D. Jennings, IV, Reg. No. 20,645; Jerry D. Voight, Reg. No. 23,020; Laurence R. Hefter, Reg. No. 20,827; Kenneth E. Payne, Reg. No. 23,098; Herbert H. Mintz, Reg. No. 26,691; C. Larry O'Rourke, Reg. No. 26,014; Albert J. Santorelli, Reg. No. 22,610; Michael C. Elmer, Reg. No. 25,857; Richard H. Smith, Reg. No. 20,609; Stephen L. Peterson, Reg. No. 26,325; John M. Romary, Reg. No. 26,331; Bruce C. Zotter, Reg. No. 27,680; Dennis P. O'Reilly, Reg. No. 27,932; Allen M. Sokal, Reg. No. 26,695; Robert D. Bajcsky, Reg. No. 25,387; Richard L. Stroup, Reg. No. 28,478; David W. Hill, Reg. No. 28,220; Thomas L. Irving, Reg. No. 28,619; Charles E. Lipsy, Reg. No. 28,165; and Thomas W. Winland, Reg. No. 27,605; Basil J. Lewis, Reg. No. 28,818; Robert J. Gaybrick, Reg. No. 27,890; Martin I. Fuchs, Reg. No. 28,508; Barry W. Graham, Reg. No. 29,924; Stephen J. Roseman, Reg. No. 29,209; and Thomas H. Jenkins, Reg. No. 30,857; E. Robert Yoches, Reg. No. 30,120; Susan H. Griffen, Reg. No. 30,907; Richard B. Racine, 30,415; Robert E. Converse, Jr., Reg. No. 27,432; Christopher P. Folev, Reg. No. 31,354. Please address all correspondence to FINNEGAN, HENDERSON, FARABOW, GARRETT AND DUNNER, 1300 I Street, N.W., Suite 700, Washington, D.C. 20005, Telephone No. (202) 408-4000.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fines or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Listing of Inventors Continued on Page 2 hereof. Yes No

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