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1 2 \*E-FILED 02-28-2011\* 3 4 5 6 7 NOT FOR CITATION 8 IN THE UNITED STATES DISTRICT COURT 9 FOR THE NORTHERN DISTRICT OF CALIFORNIA 10 SAN JOSE DIVISION 11 C&C JEWELRY MANUFACTURING, INC., No. C09-01303 JF (HRL) 12 Plaintiff, ORDER GRANTING IN PART AND DENYING IN PART PLAINTIFF'S 13 MOTION TO COMPEL RESPONSES TO v. REQUESTS FOR ADMISSION 14 TRENT WEST, 15 Defendant. [Re: Docket No. 112] 16 17 Plaintiff C&C Jewelry Manufacturing, Inc. (C&C) filed this patent action seeking 18 declaratory relief. Defendant Trent West has asserted counterclaims for alleged infringement. 19 C&C now moves for an order compelling West to respond to Requests for Admission 20 (RFAs) 1-69. In essence, each RFA asks West to admit that particular design and 21 manufacturing techniques or processes were known in certain fields of art. West has responded 22 to these RFAs only with objections, and he opposes the motion to compel. The matter is 23 deemed suitable for determination without oral argument, and the March 1, 2011 hearing is 24 vacated. Upon consideration of the moving and responding papers, this court grants the motion 25 in part and denies it in part.

Preliminarily, this court finds that C&C has sufficiently complied with Civil Local Rules 7 and 37-2. As such, the court declines to deny the motion on this basis.

### A. Relevance Objections

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West objects that the RFAs seek admissions about irrelevant "art." It appears that roughly half of the RFAs at issue ask about what was known in "the tungsten carbide manufacturing industry," and the other half ask about what was known in "the jewelry manufacturing industry." (Miller Decl. Ex A). C&C maintains that it is entitled to discovery of jewelry and non-jewelry applications. Here, plaintiff asserts, and there appears to be no dispute, that the scope and content of the prior art are questions of fact. See generally Monarch Knitting Machinery Corp. v. Sulzer Morat GMBH, 139 F.3d 877, 881 (Fed. Cir. 1998). But, West points out that the court's claim construction order concludes that the relevant art is not metal working or material science, but "jewelry finger rings." (Docket No. 87, July 6, 2010 Order at 4-5).

Accordingly, with respect to RFAs seeking admissions about "the tungsten carbide manufacturing industry" generally, plaintiff's motion to compel is denied.

As for RFAs seeking admissions about "the jewelry manufacturing industry," the RFAs shall be limited to "jewelry finger rings." The remainder of this order concerns only those RFAs.

### В. **Legal Conclusion Objections**

West objects to C&C's RFAs on the ground that they improperly require him to admit legal conclusions. As noted above, the RFAs essentially ask West to admit that "IT WAS KNOWN" in fields of art about certain design and manufacturing processes. The term "IT WAS KNOWN" is defined in the RFAs to mean:

that the subject matter of the Request was known or used by DEFENDANT Trent West, known or used by others in the United States or a foreign country, in public use or on sale in the United States, or patented or described in a printed publication in the United States or a foreign country, prior to September 8, 1996, as these terms are used in 35 U.S.C. §102.

(Miller Decl., Ex. A at 2) (emphasis added). This definition essentially tracks the statutory language of 35 U.S.C. § 102, which provides that a person shall be entitled to a patent, unless among other invalidating events, "the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for patent." 35 U.S.C. § 102(a). C&C contends that the

RFAs at issue seek admissions about underlying facts, or at worst, about mixed questions of law and fact.

An RFA properly may require the responding party to admit "the truth of any matters within the scope of [Fed. R. Civ. P.] Rule 26(b)(1) relating to facts, the application of law to fact, or opinions about either." FED. R. Civ. P. 36(a)(1)(A). Having considered C&C's RFAs, this court concludes that they reasonably can be construed as asking West to admit the truth of the underlying facts—e.g., whether the subject matter was known or used by others in the United States or a foreign country, in public use or on sale in the United States, or patented or described in a printed publication in the United States or a foreign country, prior to September 8, 1996—and not the legal conclusion to be drawn from those facts. Accordingly, plaintiff's motion to compel as to this issue is granted.

# C. Objections re Claimed Cumulative and Duplicative RFAs

West argues that the RFAs are cumulative and duplicative. However, he asserted no such objection in response to the RFAs, and the objections therefore are waived. <u>See</u> Fed. R. Civ. P. 36(a)(5) ("The grounds for objecting to a request must be stated."). <u>See generally Richmark Corp. v. Timber Falling Consultants</u>, 959 F.2d 1468, 1473 (9th Cir. 1992) ("It is well established that a failure to object to discovery requests within the time required constitutes a waiver of any objection.") (citing <u>Davis v. Fendler</u>, 650 F.2d 1154, 1160 (9th Cir. 1981)). Moreover, this court is unpersuaded that the RFAs are unreasonably cumulative or duplicative. As to this issue, plaintiff's motion to compel is granted.

## D. "Subjective Perspectives" Objection

West objected to a number of RFAs as vague and ambiguous because the requests use terms that "are undefined and their meanings depend on subjective perspectives of individuals which may differ." (Miller Decl., Ex. B, RFAs 1-71). A responding party cannot object to an RFA on the ground that the request is ambiguous, unless the request is so ambiguous that the responding party, in good faith, cannot provide a response. See Marchand v. Mercy Medical Ctr., 22 F.3d 933, 938 (9th Cir. 1994) (a responding party "should admit to the fullest extent possible, and explain in detail why other portions of a request may not be admitted"). Here,

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West simply asserts that reasonable minds could differ, and his objections are therefore improper. Moreover, the court concludes that these requests are not so unclear that defendant cannot provide an answer based upon his understanding. Plaintiff's motion as to this issue is granted.

### Objection re Compound RFAs E.

West objects that the RFAs at issue are compound because they are drawn to more than one proposition. This court is not convinced that they are. Plaintiff's motion as to this issue is granted.

### F. Objections re Defendant's Potential Inability to Answer

West objects to C&C's RFAs "[t]o the extent this request requires a response based on information presently unknown to West, and unavailable to him through reasonable inquiry . . .." (Miller Ex. B). West's objection is insufficient to excuse him from responding to the RFAs. "The answering party may assert lack of knowledge or information as a reason for failing to admit or deny *only if* the party states that it has made reasonable inquiry and that the information it knows or can readily obtain is insufficient to enable it to admit or deny." FED. R. CIV. P. 36(a)(4) (emphasis added). Plaintiff's motion as to this issue is granted.

Within 14 days from the date of this order, West shall serve responses to C&C's RFAs in compliance with the rulings set out above.

SO ORDERED.

Dated: February 28, 2011

TES MAGISTR ATE JUDGE

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