

EXHIBIT D

IN THE UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN JOSE DIVISION

ELAN MICROELECTRONICS)
CORPORATION,)
)
) Plaintiff,)
and Counterclaim Defendant,)
)
) -vs-) CASE NO. C-09-01531 RS
)
APPLE, INC.,)
)
) Defendant,)
and Counterclaim Plaintiff,)
_____)

VIDEOTAPED DEPOSITION OF ROBERT DEZMELYK

DATE: April 9, 2010

TIME: 9:07 a.m.

LOCATION: WEIL, GOTSHAL & MANGES, LLP
201 Redwood Shores Parkway
Redwood Shores, California

REPORTED BY: Anne M. Torreano, CSR, RPR, CCRR
Certified Shorthand Reporter
License Number C-10520

1 A P P E A R A N C E S:
 2 For the Plaintiff, Counterclaim Defendant, ELAN
 MICROELECTRONICS CORPORATION:
 3
 4 ALSTON & BIRD, LLP
 BY: SEAN DeBRUINE
 Two Palo Alto Square
 5 3000 El Camino Real
 Suite 400
 6 Palo Alto, California 94306
 (650) 838-2000
 sean.debrune@alston.com
 7 ALSTON & BIRD, LLP
 BY: GEORGE D. MEDLOCK, JR.
 One Atlantic Center
 8 1201 West Peachtree Street
 Atlanta, Georgia 30309
 9 (404) 881-7765
 george.medlock@alston.com
 10
 11 For the Defendant, Counterclaim Plaintiff, APPLE, INC.:
 12
 13 WEIL, GOTSHAL & MANGES, LLP
 14 BY: JARED BOBROW
 JASON LANG
 15 201 Redwood Shores Parkway
 Redwood Shores, California 94065
 16 (650) 802-3000
 jared.bobrow@weil.com
 17 jason.lang@weil.com
 18
 19 The Videographer:
 20 McMAHON & ASSOCIATES, LLC
 BY: DAVID MANZO
 21 97 East St. James Street
 Suite 101
 22 San Jose, California 95113
 (408) 298-6686
 23
 24 Also Present:
 25 JAYNA WHITT, APPLE, INC.

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1 THE VIDEOGRAPHER: Good morning. We are going
 2 on the record. The time on the screen is 9:07 a.m.
 3 Today's date is April 9th, 2010. We are located at
 4 Weil, Gotshal & Manges, 201 Redwood Shores Parkway,
 5 Redwood Shores, California.
 6 This is tape No. 1 of the videotaped
 7 deposition of Robert Dezmelyk; case name, Elan
 8 Microelectronics, Incorporated versus Apple, venued in
 9 the U.S. District Court, Northern District of
 10 California, San Jose Division, Case No. C-09-01531-RS.
 11 My name is David Manzo, a legal video
 12 specialist representing McMahon & Associates, LLC, 97
 13 East Saint James Street, Suite 101, San Jose,
 14 California.
 15 The court reporting firm is Pulone &
 16 Stromberg. The court reporter is Anne Torrealano.
 17 Counsel, please state your name, your office
 18 and whom you represent in this action.
 19 MR. BOBROW: Good morning. This is Jared
 20 Bobrow of Weil, Gotshal & Manges, and I represent
 21 Apple, and with me is Jason Lang.
 22 MR. DeBRUINE: I'm Sean DeBrune of Alston &
 23 Bird. I'm representing the witness and the plaintiff,
 24 Elan Microelectronics, and with me is George Medlock.
 25 THE VIDEOGRAPHER: Would the court reporter

1 please swear in the witness?
 2 ROBERT DEZMELYK,
 3 called as a witness, after having been duly sworn by
 4 the Certified Shorthand Reporter to tell the truth, the
 5 whole truth, and nothing but the truth, testified as
 6 follows:
 7 EXAMINATION
 8 BY MR. BOBROW:
 9 Q. Good morning, sir.
 10 A. **Good morning.**
 11 Q. Can you please state your name for the record?
 12 A. **Certainly. My name is Robert Dezmelyk.**
 13 Q. Why don't you spell the last for us?
 14 A. **Sure. It's D-e-z-m-e-l-y-k.**
 15 Q. Where do you live?
 16 A. **I live in Newton, New Hampshire.**
 17 Q. Are you employed?
 18 A. **Yes, I am.**
 19 Q. By whom?
 20 A. **I work for Laboratory Computer Systems, Inc.,**
 21 **which does business as LCS Telegraphics.**
 22 Q. How long have you worked for LCS?
 23 A. **I actually started the company in 1980, so**
 24 **I've worked continuously there since 1980.**
 25 Q. How many employees does LCS have?

1 **A. At this time, just me.**
2 Q. Back when it was founded it was just you as
3 well?
4 **A. No. Actually, when I first started I had --**
5 **before that I had a sole proprietorship, and at that**
6 **time I think I had two or three employees, the date we**
7 **formally incorporated, and then it grew in scale over**
8 **time. I had at one point probably about fifteen**
9 **employees, and as situations change and my own desires**
10 **changed, we scaled back.**
11 Q. The largest number of LCS has had is about
12 fifteen employees?
13 **A. Right.**
14 Q. When was that?
15 **A. About 1995, mid 1990s. 1996. Somewhere in**
16 **there.**
17 Q. How long have you been the sole employee since
18 that time?
19 **A. Good question. I don't recall exactly. In**
20 **the order of four years or so. I'm not sure exactly.**
21 Q. So over the last approximately four years
22 what's been the business of LCS?
23 **A. A couple of things. First, I do design**
24 **consulting and development of software and firmware and**
25 **the development of designs, in other words, circuit**

6

1 designs, board designs and kind of overall product
2 designs for customers.
3 The company also sells chips that have a
4 particular specialized function for interfacing between
5 input devices, certain kinds of input devices and USB
6 systems. So I sell those chips.
7 And then I also do some consulting in matters
8 like the one we're talking about today that relate to
9 intellectual property.
10 Q. And over the last four years how much of your
11 time in your business has been spent on consulting on
12 intellectual property matters of the kind that bring us
13 here today?
14 **A. I don't -- you know, I don't know exactly. I**
15 **don't track the two. I'd say the majority of my time**
16 **is in product development, and it will vary from time**
17 **to time depending on what the mix of customers at a**
18 **point in time is.**
19 Q. Do you have an estimate of how much time you
20 spent on consulting as opposed to product design and
21 chip sales?
22 **A. By that you mean consulting of the**
23 **intellectual property sort?**
24 Q. Yes.
25 **A. No.**

7

1 Q. You mentioned that you did design consulting
2 and circuit designs, I think you said, for certain
3 customers.
4 What kind of designs do you do?
5 **A. Well, it varied, but they focus on a couple of**
6 **particular areas. One is input devices, and I have a**
7 **group of customers that are involved in making input**
8 **devices, and the other area kind of broadly**
9 **characterized is systems or devices that utilize USB**
10 **universal serial bus interfaces between a device and**
11 **the computer.**
12 Q. When you mentioned input devices, what types
13 of input devices are you designing?
14 **A. Well, I've designed a tremendous range, but**
15 **most recently the devices I've worked on have been a**
16 **touch screen or touchpad type of device, a -- well,**
17 **several of those, different technologies, a mouse, an**
18 **optical sensing mouse-type -- you know, mouse, a device**
19 **that's -- it's like a mouse, but it uses a rotating**
20 **cylinder that you rub your fingers across and then**
21 **slide back and forth, and I think they call it a roller**
22 **mouse, may be the trade name of the company that makes**
23 **it.**
24 There's other ones. It's hard for me to name,
25 you know, all the products you've worked on at any one

8

1 point in time, but those would be in the input area.
2 And then in the other area of the broader USB interface
3 area I have a bunch of customers that -- I mean, since
4 they share that common factor that it seems like the
5 rest of their activity is a little separate. But one
6 that I'm working on right now is a line of test
7 equipment that's used in characterization of the
8 behavior of like radio frequency devices. So there's
9 attenuators, frequency synthesizers and similar, you
10 know, waveform-generating devices.
11 I have another customer that I just completed
12 a project for recently that makes a kind of a
13 complicated piece of medical laboratory test equipment
14 that has within it a board that uses a USB interface,
15 and that particular product, that board has like a
16 variety of control functions. And so they vary over
17 time, and it's hard to characterize them other than
18 they have that commonality in a hardware interface.
19 Q. You've been retained by Elan in this case; is
20 that right?
21 **A. I've been retained by, I guess, their counsel.**
22 Q. All right. Alston & Bird?
23 **A. Right.**
24 Q. When were you retained?
25 **A. You know, I don't recall exactly. Sometime**

9

1 last year.
 2 Q. Approximately when last year?
 3 **A. I don't recall at the moment.**
 4 Q. You don't know whether it was spring, summer,
 5 winter?
 6 **A. No.**
 7 Q. Do you have a written agreement that documents
 8 your retention?
 9 **A. Yes, although I should note that pretty**
 10 **typically the work I do in this type of consulting**
 11 **is -- I am placed by an organization which does**
 12 **placement in that area. So my agreement is with them,**
 13 **the placement --**
 14 Q. What's the name of that organization?
 15 **A. IMS Expert Systems.**
 16 Q. Where are they based?
 17 **A. Florida.**
 18 Q. Who's your contact there?
 19 **A. There's a couple of different ones. Depends**
 20 **on the case. I'm not -- I mean --**
 21 Q. For this case.
 22 **A. I think it's Bill Heuter, but I'm not sure.**
 23 Q. Can you --
 24 **A. Oh, can I spell it? Well, I'll take a crack**
 25 **at it. It's H-e-u-t-e-r, I think.**

10

1 charging Elan more than \$200 per hour for your
 2 services?
 3 **A. Right. I would assume that's the case.**
 4 Q. Is \$200 an hour the typical rate at which
 5 you're compensated?
 6 Is that your normal consulting rate?
 7 **A. Well, my consulting rate varies on different**
 8 **cases, on different projects depending on market**
 9 **conditions and so forth.**
 10 Q. What's the -- so since 2009 what's the low end
 11 of your consulting rate? Your rate being the amount
 12 that your paid per hour for your services on litigation
 13 in intellectual property matters.
 14 **A. Probably that amount, \$200 or so.**
 15 Q. What's the high end?
 16 **A. Probably \$250.**
 17 Q. If you -- let me ask a little different
 18 question.
 19 When did you actually start working on this
 20 matter?
 21 **A. You know, I don't know exactly. I'd have to**
 22 **go back and look at something like my time sheets or**
 23 **something. I don't recall exactly when I first did**
 24 **something.**
 25 Q. Do you keep time sheets?

12

1 Q. And how is it you came to be retained on this
 2 matter?
 3 **A. I guess pretty much the same way that would**
 4 **happen in most instances, is that IMS contacts me and**
 5 **says there's a particular matter that they think might**
 6 **fit my range of expertise, and then normally they**
 7 **arrange some kind of discussion or conversation with**
 8 **the prospective client, and then they talk to me, and**
 9 **then I guess they reach a decision somehow whether or**
 10 **not they want to engage me for my services.**
 11 Q. So you have an agreement, as I understand it,
 12 with IMS pertaining to the Elan/Apple as a matter?
 13 **A. That's correct.**
 14 Q. At what rate are you being compensated for
 15 your time on the matter?
 16 **A. I don't recall exactly. I think it's probably**
 17 **\$200 an hour.**
 18 Q. And is that the rate that Elan is being
 19 charged?
 20 **A. I doubt it.**
 21 Q. You assume that there's some markup involved?
 22 **A. Right. There's typically in the nature of**
 23 **those services, they have some business arrangement to**
 24 **compensate themselves.**
 25 Q. Right. So your understanding is that IMS is

11

1 **A. Yes, I do.**
 2 Q. Do you then turn those time sheets into IMS?
 3 **A. I send them an invoice.**
 4 Q. Approximately how many hours have you spent on
 5 this retention for Elan?
 6 **A. I don't recall.**
 7 Q. Approximately?
 8 **A. I don't have an approximate number in my head.**
 9 Q. Now, I take it one of the things that you've
 10 been asked to do in this matter is to prepare a report,
 11 a summary of your expected testimony; is that right?
 12 **A. That's correct.**
 13 Q. What else have you been asked to do on the
 14 case besides that?
 15 **A. I'm not going to be able to say everything**
 16 **I've been asked to do. I'm not going to be able to**
 17 **memorize every single item, but I did -- I was asked at**
 18 **one point to locate some prior art or some references**
 19 **that may have been prior art to some of the patents at**
 20 **issue in the case.**
 21 Q. Anything else you've been asked to do?
 22 **A. I think that's pretty much it. I mean,**
 23 **there's been this work on claim construction and then**
 24 **locating those references. I think that's about it,**
 25 **the broad form.**

13

1 Q. And did you actually go ahead and locate the
2 prior art?
3 A. Well, I located some material I had which
4 could be -- I mean, potentially or could be or is prior
5 art and relevant to this case.
6 Q. I see. And what prior art is that?
7 A. Well, again, I can't recall all of it.
8 Q. Tell me what you can recall.
9 A. I'll try some of it.
10 There was a prior art patent to Schumer.
11 There was some documentation in manuals regarding touch
12 screens from a couple of vendors, some digitizing
13 tablet, you know, documentation. I can't remember
14 exactly what item was for each one, but related to some
15 digitizing tablets.
16 There's probably others, but I can't, you
17 know, recall the full set of them at the moment.
18 Q. I think you mentioned a patent with someone by
19 the last name of Schumer?
20 A. Right.
21 Q. Can you spell the last name?
22 A. Schumer, like I guess a normal. S-c-h-u-m-e-r
23 I think.
24 Q. Do you recall the person's first name?
25 A. I think it's Al or Albert or Alfred or

14

1 something like that.
2 Q. Do you refer to that patent in the report that
3 you prepared?
4 A. You know, I don't know. I can look.
5 Q. You don't recall doing so?
6 A. I don't think it's referenced in my report
7 because my report is really about claim construction,
8 not about, you know, prior art.
9 Q. Was the patent to Schumer a U.S. patent?
10 A. Yes.
11 Q. It was a patent about touchpads or touch
12 screens?
13 A. It's actually about in general for input
14 devices doing, you know, translations between device
15 coordinates and logical coordinates and mapping
16 sections of the surface of an input device like a touch
17 screen or a digitizing tablet into logical coordinates.
18 Q. What do you mean by that, mapping the -- I
19 think -- mapping into the logical coordinates?
20 What did you mean?
21 A. Well, I'm describing a patent, and I'm in
22 essence describing what Schumer was talking about, and
23 Schumer was talking about -- to the extent I can
24 accurately reflect what he's trying to describe in a
25 lengthy document, he's talking about a process whereby

15

1 the coordinates reported by a device are
2 subsequently -- or internally generated by a device are
3 subsequently processed, filtered and otherwise
4 manipulated to generate a new coordinate system that
5 is, I guess, co-terminus in some ways with the original
6 coordinate system but has a different scale or
7 orientation or range of coordinate values.
8 Q. And what about the materials about -- I think
9 you said the digitizing tablets? What tablet was that
10 or tablets were those?
11 A. Again, it's hard to recall exactly. I think
12 one of them was a Summagraphics tablet.
13 Q. How do you spell that?
14 A. Summagraphics is S-u-m-m-a and then the word
15 "graphics." But it's all one continuous string. It's
16 a trade name.
17 Q. All right. Have you ever been retained on any
18 other matters on behalf of Elan?
19 A. No.
20 Q. Have you been asked by them to work on the
21 matter now I guess instigated or instituted at the
22 International Trade Commission?
23 A. But no, but I'd like to correct my prior
24 answer, and I don't know if I've been formally retained
25 per se, but I did take a quick look at the behavior in

16

1 an integrated circuit in a notebook computer that I
2 was, I guess, manufactured by a competitor of Elan to
3 answer some questions about its behavior.
4 I don't know. I don't think there's a case or
5 anything related to that, but I was just given a
6 particular device to look at and make some measurements
7 and try to ascertain the nature of the function of that
8 device.
9 Q. Okay. So let me go back.
10 So first of all, have you been retained on the
11 International Trade Commission matter as between --
12 that was initiated by Elan in the last two weeks?
13 A. No.
14 Q. Have you been asked to work on that?
15 A. No.
16 Q. All right. Second matter you mentioned, you
17 talked about doing some work on an integrated circuit
18 in a notebook computer; is that right?
19 A. Right.
20 Q. And Elan asked to you do that?
21 A. Yes.
22 Q. Was it Elan or Elan's counsel?
23 A. Let me -- it was Elan's counsel.
24 Q. Alston & Bird?
25 A. Right.

17

1 Q. Did that work pertain to this matter, the Elan
 2 versus Apple matter?
 3 **A. No, no.**
 4 Q. The work that you were doing was on behalf of
 5 Elan, as you understood it?
 6 **A. That's correct.**
 7 Q. And which manufacturer of the notebook
 8 computer was that?
 9 MR. DeBRUINE: At this point I'm going to
 10 object. We're getting into attorney-client privilege
 11 work product area on a matter that has nothing to do
 12 with this case and nothing to do with why this witness
 13 is here, and I'm going to instruct him not to answer.
 14 BY MR. BOBROW:
 15 Q. Does it have anything to do with Apple, as you
 16 understand it?
 17 **A. No.**
 18 Q. How much time did you spend on the matter?
 19 **A. Oh, I don't know exactly. I'd have to look at**
 20 **my time records on it.**
 21 Q. Approximately how much?
 22 **A. A couple days. I don't know exactly.**
 23 Q. Did you bill your time?
 24 **A. Yes, I did.**
 25 Q. At what rate?

18

1 **A. I don't know. It's probably the same rate as**
 2 **the other work.**
 3 Q. Was it 200? Was it 250 or was it something
 4 else?
 5 **A. Probably 200.**
 6 Q. Have you done any other work for Elan besides,
 7 number one, your work on this case, and number two,
 8 your work on looking at an integrated circuit in a
 9 notebook computer on a consulting basis?
 10 **A. No.**
 11 Q. Have you done any other work with the Alston &
 12 Bird firm?
 13 **A. No.**
 14 Q. Did you spend any time preparing for your
 15 deposition today?
 16 **A. Yes.**
 17 Q. And approximately how much time did you spend
 18 in representation?
 19 **A. I guess I read documents on the plane ride**
 20 **out, which is probably four or five hours, kind of**
 21 **reading some of the material, and it kind of refreshed**
 22 **my memory a little bit.**
 23 Q. Anything besides that? Any other time you
 24 spent preparing besides the time on the plane?
 25 **A. I spoke with counsel regarding the matter**

19

1 **yesterday afternoon.**
 2 Q. Counsel being Mr. DeBruine?
 3 **A. Right.**
 4 Q. Anything else you did in preparation for the
 5 deposition?
 6 **A. No.**
 7 Q. I take it you've had your deposition taken
 8 before?
 9 **A. Yes.**
 10 Q. Approximately how many times?
 11 **A. Somewhere in the order of six or seven. I**
 12 **don't count those exactly.**
 13 Q. Were all of those intellectual property
 14 related matters?
 15 **A. In the broadest sense, yes. The first couple**
 16 **times I was deposed was actually in a case that was a**
 17 **litigation regarding some contract terms about some**
 18 **software. I wouldn't -- clearly it's intellectual**
 19 **property in the broadest sense, but it wasn't -- the**
 20 **issue at hand wasn't intellectual property the way**
 21 **we're talking about here. It was more, you know, were**
 22 **royalties getting paid at the right time and who owed**
 23 **who money and that kind of thing, like a contract case.**
 24 Q. The other ones were disputes over the validity
 25 or scope or infringement of intellectual property?

20

1 **A. Right, I think that would be -- would**
 2 **characterize all of them.**
 3 Q. Have you testified at trial before?
 4 **A. Yes, I have.**
 5 Q. How many times?
 6 **A. I think four.**
 7 Q. Have you testified at any hearings before, a
 8 Markman hearing or preliminary injunction hearing or
 9 that kind of thing?
 10 **A. I'll say yes, I've answered questions at a**
 11 **Markman hearing. I'm not so sure it would be**
 12 **characterized as, you know, kind of formal testimony in**
 13 **that I was at a Markman hearing where the judge asked**
 14 **all sides to have their experts present, and he**
 15 **periodically posted questions to the experts in the**
 16 **room. But it wasn't as if -- I don't know whether**
 17 **you'd characterize that as formal testimony or just**
 18 **kind of answering a question. But it was -- that was**
 19 **the nature of it.**
 20 Q. All right. In all events, you understand that
 21 for the proceeding here today in this conference room
 22 in your deposition, you are under oath and sworn to
 23 tell the truth?
 24 **A. Yes, I understand.**
 25 Q. And you also understand that there's a court

21

1 reporter here who is taking down what you say and what
 2 I say and the objections that Mr. DeBruine or others
 3 might make during the course of the day?
 4 Do you understand that?
 5 **A. Yes, I do.**
 6 Q. And do you understand that the transcript will
 7 be prepared, a written record of what is said, and
 8 you'll have an opportunity review that transcript?
 9 Do you understand that?
 10 **A. Yes, I do.**
 11 Q. And in fact, we ask that you do that under
 12 Rule 30 of the Federal Rules of Civil Procedure, but I
 13 would just mention that if you make changes to the
 14 transcript, we'd have an opportunity to comment upon
 15 those changes to the court.
 16 Do you understand that?
 17 **A. Yes.**
 18 Q. So you understand it's important to give your
 19 best testimony here today?
 20 **A. Yes.**
 21 Q. Now, I note that there's a package of Kleenex
 22 and some cough drops on the table, which might indicate
 23 that you have something of a cold.
 24 Is that right?
 25 **A. That would be an accurate assessment, and I**
 22

1 **would say that's correct. I'll do my best to sound as**
 2 **well as I can, and I would encourage the court reporter**
 3 **to wave at me if for some reason I'm hard to**
 4 **understand, and I will beg the indulgence of those**
 5 **present if I need to to either take a brief to use a**
 6 **tissue or to grab a cough drop, if necessary.**
 7 Q. Is your cold such that it's going to hinder
 8 your ability to understand questions or to testify
 9 truthfully?
 10 **A. No.**
 11 Q. All right. So is there any reason that you
 12 can't give full and truthful and accurate testimony
 13 here today?
 14 **A. No.**
 15 Q. All right. I wanted to ask a few questions
 16 about your background.
 17 Where did you go to college?
 18 **A. I went to Massachusetts Institute of**
 19 **Technology in Cambridge, Massachusetts.**
 20 Q. During what years did you attend MIT?
 21 **A. I started in 1974, and I graduated in January**
 22 **of 1979.**
 23 Q. Did you attend MIT continuously during that
 24 period?
 25 **A. No, I had the opportunity to work for one**
 23

1 **term, so I took a term off, which is why my graduation**
 2 **date, you know, is one semester later than it would**
 3 **have otherwise been.**
 4 Q. And did you graduate from MIT?
 5 **A. Yes, I did.**
 6 Q. What degree did you get from MIT?
 7 **A. I have a kind of an interesting degree. I**
 8 **have an interdisciplinary degree, which from MIT means**
 9 **you're granted a Bachelor of Arts as recommended by the**
 10 **department that sponsored the interdisciplinary**
 11 **activity. In my case, that's the Department of**
 12 **Mechanical Engineering. I took an interdisciplinary**
 13 **program in the application of digital computers to**
 14 **control systems.**
 15 Q. Sorry. The application of?
 16 **A. Digital computers to control systems.**
 17 Q. The department that sponsored that work was
 18 the mechanical engineering department at MIT?
 19 **A. That's correct.**
 20 Q. And so I take it that the bachelor degree that
 21 I forgot was -- I think you said a Bachelor of Arts; is
 22 that right?
 23 **A. Right, because at that time when MIT granted**
 24 **an interdisciplinary degree, in other words, a degree**
 25 **that spanned departments, this one would have spanned**
 24

1 **mechanical engineering and electrical engineering**
 2 **computer science. That degree is granted as the**
 3 **institute as a whole, not the department, and they**
 4 **grant a Bachelor of Arts.**
 5 Q. So if I were to look at your diploma it would
 6 say Bachelor of Arts in mechanical engineering?
 7 **A. No, it would say -- I don't have it in front**
 8 **of me, but it would say something in the form of**
 9 **Bachelor of Arts, you know, as granted by the institute**
 10 **as recommended or sponsored by the Department of**
 11 **Mechanical Engineering. It would have kind of a**
 12 **lengthy description.**
 13 Q. All right. So as I understand it then, you
 14 don't have a bachelor's degree per se in mechanical
 15 engineering; is that right?
 16 **A. Right.**
 17 Q. And you don't have a bachelor's degree per se
 18 in computer science?
 19 **A. Right.**
 20 Q. And you don't have a bachelor's degree per se
 21 in electrical engineering; is that right?
 22 **A. Right.**
 23 Q. And if I understand what you're saying, you
 24 had course work that was sponsored by the mechanical
 25 engineering department in those three disciplines; is
 25

1 that fair?
 2 **A. No.**
 3 Q. No?
 4 **A. When you're taking an interdisciplinary**
 5 **program of the sort I took, you have an advisor who's**
 6 **located -- by definition he's in one department because**
 7 **that's where his faculty appointment is. And in my**
 8 **case it was Forbes Dewey, who was in the mechanical**
 9 **engineering department.**
 10 **Professor Dewey was involved in**
 11 **instrumentation and control, that was his area, and**
 12 **also ran the fluid mechanics lab in the department.**
 13 **And you took courses -- a mix of courses from a set of**
 14 **different departments.**
 15 **So in any case, leaving out the humanities**
 16 **concentrations and that kind of thing, the courses I**
 17 **took were in the mechanical engineering department,**
 18 **things like control systems, various computer**
 19 **applications courses there, instrumentation design and**
 20 **some other core courses.**
 21 **And then in the electrical computer science**
 22 **department courses related to digital circuit design,**
 23 **computer programming, programming languages and that**
 24 **series. And then I also took a course courses in the**
 25 **math department in mathematics related to control**

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1 systems, and then I took also courses that were offered
 2 by other departments that were relevant to that.
 3 For instance, I took a course that was offered
 4 by the architecture department because most people
 5 don't know it, but the MIT architecture department is
 6 the home of what's now media lab. It originally was a
 7 part of the architecture department. And so I took a
 8 course that was under the group that Negraponte leads.
 9 Q. At the time you attended MIT, was there a
 10 department that was called the Electrical Engineering
 11 and Computer Science Department?
 12 **A. That's correct. In MIT parlance, Course VI.**
 13 Q. So that was a department that combined those
 14 disciplines together?
 15 **A. That's correct. It's taught in a single**
 16 **Course VI.**
 17 Q. Did you pursue any graduate degrees?
 18 **A. No.**
 19 Q. So I take it you'd have neither a master's
 20 degree or a Ph.D; is that true?
 21 **A. Right.**
 22 Q. Did you apply for any advanced degree programs?
 23 **A. No.**
 24 Q. In 1979 after you graduated, did you then
 25 start up LCS?

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1 **A. Well, I actually started LCS -- well, not LCS**
 2 **per se, but I started doing consulting work and**
 3 **engineering work prior to that, and I had a pretty full**
 4 **platter of customers even before I got out of school,**
 5 **and as soon as I was out of school, I was doing**
 6 **full-time software development and consulting and so**
 7 **forth.**
 8 **I did it at first as a proprietorship.**
 9 Q. All right. Have you applied for any patents
 10 during the course of your career?
 11 **A. No.**
 12 Q. I take it then you haven't gotten any patents
 13 either.
 14 Fair enough?
 15 **A. Yeah, it would be hard to get one without**
 16 **applying for one, so no.**
 17 Q. Indeed it would.
 18 Why is it that you haven't applied for any
 19 patents?
 20 **A. Well, there's a couple reasons. First one was**
 21 **in the point -- I have developed a lot of things over**
 22 **time that certainly could have been possibly subject to**
 23 **intellectual protection of that sort.**
 24 **In the beginning of LCS's activities it was**
 25 **generally believed that a lot of software could not be**

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1 protected subsequently by patents. Subsequently that's
 2 proven to be incorrect. The opinion of counsel we had
 3 at the time that copyright protection of certain
 4 software programs is a better form of protection, I
 5 think, historically has shown not to be particularly
 6 good advice, but that was the advice we had at the
 7 time.
 8 Subsequently -- and the company moved through
 9 several different phases, but subsequently a major part
 10 of the business success of LCS was based on being able
 11 to license technology to a variety of competitors in
 12 the field as a kind of a neutral or independent party,
 13 and in that circumstance I think it would have been
 14 counterproductive to try to file patents on particular
 15 technology because it put us in a different
 16 relationship to the customers, and it would have
 17 impeded our ability to license technology and generate
 18 revenue.
 19 Q. Do you routinely publish papers in the fields
 20 in which you work?
 21 **A. No.**
 22 Q. Have you ever published any peer-reviewed-type
 23 papers for any journals or conferences or the like?
 24 **A. No, but I have been involved in the writing**
 25 **and promulgation of industry standards, and in a way**

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1 that's a publication that probably has more impact than
 2 someone just writing some paper in a journal in that
 3 it's actually a document that then goes on to affect
 4 the flow of technology and what people are actually
 5 doing.
 6 Q. But in terms of research-oriented papers or
 7 papers that, you know, involving computer science or
 8 electrical engineering, that's not something that you
 9 do; is that right?
 10 A. That's correct.
 11 Q. And that's been true for the 30 years since
 12 your graduation?
 13 A. Right.
 14 Q. Have you ever given any keynote addresses at
 15 any computer science or electrical engineering-type
 16 conferences?
 17 A. Well, I don't think I've given any keynote
 18 addresses, but I've certainly spoken at conferences
 19 related to the technology I'm involved with.
 20 Q. No keynotes?
 21 A. No.
 22 Q. Turning to the -- I guess back to that subject
 23 of publications and the like, have you published
 24 anything in the area of touchpads?
 25 A. Well, I think the specifications that I've

30

1 worked on, two of them are relevant to touchpads.
 2 First one would be the win tab specification, which was
 3 a standard for an interface to pointing devices that
 4 certainly incorporated touchpads as one of the devices
 5 it would cover.
 6 And the second one would be the USB human
 7 interface device, typically said "hid," H-I-D, which
 8 covers input devices on personal computers, and clearly
 9 touchpads are in that category.
 10 I've also worked -- just to get the whole
 11 list, I was one of the contributors to a specification
 12 which deals with the interfacing of PS2 devices to
 13 keyboard controllers and notebooks. The primary
 14 applicability of that specification is to touchpads in
 15 notebooks, although of course it also applies to the
 16 kind of track point devices and externally-connected
 17 mice.
 18 Q. Now, you -- in the last answer you were
 19 describing touchpads.
 20 Can you just tell us generally what a touchpad
 21 is?
 22 A. Well, in a general sense, I mean, there's lots
 23 of -- without trying to define the term formally,
 24 there's -- in general the notion of a touchpad is a
 25 device that interacts with your fingers or some other,

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1 you know, part of your body that's touching it. It's
 2 responsive in the sense that it provides coordinate
 3 information.
 4 Usually those kinds of devices have additional
 5 function added to them which would incorporate
 6 detection of events. I'll characterize it that way.
 7 They may or may not have a display behind them
 8 depending on their context, and they may or may not
 9 have an ability to detect a device that's handheld,
 10 like a stylus, and act in the same way or be responsive
 11 in the same way or maybe in a slightly different way
 12 but in a similar way with a stylus with a finger.
 13 Q. Is a touch screen the same thing as a
 14 touchpad?
 15 A. Again, it's hard to give a definition out of
 16 context of a word like that, but there's a broad set of
 17 things it could be. Touch screens in general, there's
 18 a notion that there is a display associated with it.
 19 It doesn't have to be.
 20 You'll see cases of people using that term to
 21 refer to a touch-sensitive device which may or may not
 22 have a display behind it, but in the most general sense
 23 of the word, perhaps the way it would be commonly used
 24 in some circumstances, it would be a touch-sensitive
 25 input with a display associated with it.

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1 And usually that display -- there's usually a
 2 connotation but not a requirement that that display
 3 would be placed or viewed like from a back illumination
 4 as opposed to a projection. People use different
 5 terminology for a surface onto which a display is
 6 generated from a projection which is behind the
 7 viewpoint of the operator.
 8 Q. Now, in the field, let's say back in the 1990s
 9 time frame, in that time period did people working in
 10 the field like yourself consider there to be
 11 differences between touchpads on the one hand and touch
 12 screens on the other?
 13 A. Well, it's hard to say differences because
 14 people that are using terminology in the field use it
 15 in context, and they use it in ways that are particular
 16 to what they're talking about.
 17 And so clearly people recognize -- in a
 18 general sense if you said what are the differences,
 19 they would -- maybe, I mean, they would identify
 20 certain characteristics they might think were
 21 differences, but I'm not sure there's an exhaustive set
 22 that's unique.
 23 Q. But based upon your experience in the field,
 24 how did those in the field at that time talk about the
 25 two as being different one from the other?

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1 What do they identify as the differences?
 2 **A. Probably the greatest or the most consistent**
 3 **distinguishing characteristic between what then in the**
 4 **'90s people would have called a touch screen versus a**
 5 **touchpad would have been the orientation in which it**
 6 **was used, and that may seem kind of counterintuitive**
 7 **today, but there would have been more of a notion of a**
 8 **pad if it was in general co-planar with the table or**
 9 **more likely to be in the same planar orientation as the**
 10 **table and a screen if it was in a significant angular**
 11 **orientation with respect to the table, much the same**
 12 **way that a display screen typically is at some positive**
 13 **angle relative to the table.**
 14 **That would have been one way in which people**
 15 **would have tended to, but it's not a sort of guaranteed**
 16 **distinguishing factor, and it's not certainly a case**
 17 **where you can use to make an unambiguous choice between**
 18 **the two.**
 19 Q. What other distinctions did those in the field
 20 draw between touch screens on the one hand and
 21 touchpads on the other in the '90s?
 22 **A. There may have been some instances where**
 23 **people saw kind of a size differentiation, but again,**
 24 **it's not -- it's not dispositive.**
 25 Q. Okay. Others?

1 **A. You wouldn't use the term necessarily "touch**
 2 **screen" for a -- if you had a screen and a pointing**
 3 **device, the two were in close association, you normally**
 4 **wouldn't use the term "touch screen" for a device which**
 5 **was not part of the screen that was present.**
 6 Q. What do you mean by that?
 7 **A. If you had a display and a -- if you had**
 8 **two -- let's say we have a sensing surface and a**
 9 **display. If we had a sensing surface in close physical**
 10 **association but not on the display, and so one has a**
 11 **display and one doesn't, then you normally wouldn't**
 12 **refer to the one that was not on the display as a touch**
 13 **screen. But that's only in that particular context.**
 14 Q. Where you have both present? You have a
 15 screen present and you have a -- something that's not a
 16 display present, you're saying that the one that's not
 17 a display would not be a touch screen?
 18 **A. No, that's not quite how I'm characterizing**
 19 **it.**
 20 **You're asking me to kind of differentiate**
 21 **between the usage of the word "touch screen" and**
 22 **touchpad, and if you had a touch screen and a touchpad,**
 23 **let's say hypothetically, before we define what they**
 24 **are, in close proximity, you would be more likely to**
 25 **call the one that was the primary display the touch**

1 **screen. Because it would be related to the screen of**
 2 **the device.**
 3 Q. All right. Any other differences that those
 4 in the field recognized as between touchpads and touch
 5 screens in the '90s?
 6 **A. That's all I can think of at this second.**
 7 Q. When you said size as being a potential
 8 differentiator, did those in the field generally
 9 recognize in the '90s that the touch screens would be
 10 of a larger size, have a greater surface area than a
 11 touchpad or the other way around?
 12 **A. It would -- in general larger would be more**
 13 **applied to screen. That is, that -- but there's --**
 14 **it's kind of a large relative to where you are.**
 15 Q. All right. What about in the decade that we
 16 just finished up in the 2000s over the last ten years?
 17 Do those in the field continue to draw
 18 distinctions between touchpads on the one hand and
 19 touch screens on the other?
 20 **A. I think so, and probably in some ways that are**
 21 **similar and some ways that are different.**
 22 Q. Okay. Tell us, if you would, the differences
 23 in the last ten years that those in the field have
 24 drawn as between touchpads on the one hand and touch
 25 screens on the other?

1 **A. I think there would be more tendency to kind**
 2 **of refer to devices just as like a touch-sensitive**
 3 **interface and not necessarily either one or the other.**
 4 **That might be a more common terminology than it was**
 5 **before. But otherwise, some of that same**
 6 **characterization probably still applies.**
 7 Q. I see.
 8 The same differences that you described in
 9 terms of orientation and size and primary displays?
 10 **A. Yeah, to some extent. Yeah.**
 11 Q. Are there any other distinctions that those in
 12 the field draw between touchpads and touch screens in
 13 the last ten years?
 14 **A. I don't think so, except in particular**
 15 **context. And again, I have to reiterate that all of**
 16 **these discussions about what a term means, you can't**
 17 **really do it in the abstract without the context around**
 18 **it because people that are looking at a particular term**
 19 **and what it means look at it in context.**
 20 **And so if you just asked in a very broad sense**
 21 **what a term means, you'll get a different take on it**
 22 **than given an actual sort of fact circumstance or an**
 23 **actual context that that usage has omitted.**
 24 Q. Over the last ten years have you heard of a
 25 term called a touching mode monitor?

1 **A. I'm not familiar with that term, no.**
 2 Q. In preparation for the deposition today, did
 3 you review the -- what's called the joint claims
 4 construction statement that the parties, attorneys
 5 prepared in this action?
 6 **A. Yes, briefly.**
 7 Q. Did you look at that yesterday or on the plane
 8 on the way out?
 9 **A. I actually looked at it here, but yeah, I have**
 10 **looked at it.**
 11 Q. "Here" meaning at these offices?
 12 **A. No, here being in -- not on the plane, in --**
 13 **on the land in California, I guess.**
 14 Q. All right. And that was part of your
 15 preparation for the deposition here today?
 16 **A. Right. I wanted to review it because it's**
 17 **very closely related to my report.**
 18 Q. Did you go through the document in its
 19 entirety?
 20 **A. I did not read every sentence in it, but I**
 21 **generally looked it over.**
 22 Q. All right. And did you look at the Apple
 23 constructions and the Elan constructions that are being
 24 proposed?
 25 **A. Right. And the claim terms, of course.**

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1 Q. Did you review that document before the
 2 document was filed back in February?
 3 **A. You know, I don't recall. I've probably read**
 4 **it in that certainly there was references to some of**
 5 **the prior art things, and I may well have been asked to**
 6 **look it over and comment on it. I wouldn't be**
 7 **surprised if that's the case.**
 8 Q. Is that your best recollection, that you
 9 looked at the document --
 10 **A. I don't recall -- but it's likely I did.**
 11 Q. Let me finish my question. Because the court
 12 reporter, as you know from your prior testifying
 13 experience, can't take both of us when we're talking at
 14 the same time.
 15 So is it your best recollection that you
 16 reviewed a draft of the joint claim construction
 17 statement before it was filed with the Court providing
 18 comments and the like?
 19 **A. I don't think you're necessarily**
 20 **characterizing my recollection of what happened. It is**
 21 **likely that I looked at it. I'm not sure I would say I**
 22 **commented on it or that I looked at a draft of it. I**
 23 **may have seen the version that was filed before it was**
 24 **filed or I may have seen a draft, but I don't recall.**
 25 Q. So prior to the time that the joint claim

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1 construction statement was filed, had you already begun
 2 working on claim construction issues on this matter?
 3 **A. I don't know the dates. I'd have to look at**
 4 **the dates of the documents to see.**
 5 Q. The document was in February of this year.
 6 That's when it was filed. So had you already begun
 7 working on claim construction issues?
 8 **A. Well, it's, I guess, filed in -- when is the**
 9 **filing date on here? Do you know? It says 2/5. My**
 10 **report was done 2/22. Maybe. I mean, I was pretty**
 11 **busy in February, and I'm not sure exactly what time**
 12 **which particular event happened.**
 13 Q. All right. Now, as you read through the joint
 14 claim construction statement, were there any of Elan's
 15 claim constructions that you disagreed with?
 16 **A. Well, let me take a look if we're going to go**
 17 **through it.**
 18 MR. BOBROW: Actually, why don't we do this:
 19 Why don't we mark it as Exhibit 1, and that way we'll
 20 have a record of it.
 21 (DEPOSITION EXHIBIT 1 MARKED.)
 22 BY MR. BOBROW:
 23 Q. Sir, you've been handed Exhibit 1. I assume
 24 it's the same as the document that you brought with you
 25 to the deposition, but --

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1 **A. It appears to be.**
 2 Q. All right.
 3 **A. Well, I think to answer your previous pending**
 4 **question before you handed me the document, I think**
 5 **just from a quick look, in general my thoughts are**
 6 **consistent with the claim constructions that Elan has**
 7 **listed here probably with a bit of a clarification on**
 8 **one of the sections regarding claim construction**
 9 **dealing with the '352, and in particular there's a**
 10 **claim term that relates to identifying a second maxima**
 11 **and a signal corresponding to a second finger following**
 12 **said minima.**
 13 **I think that the construction Elan proposed**
 14 **there could be slightly clearer in that the terminology**
 15 **that's being used of "after" and so forth is really**
 16 **describing a spatial relationship, and perhaps a better**
 17 **choice of word might be "following," as opposed to --**
 18 **if you look at the original text, I believe it says,**
 19 **"in a signal corresponding to a second finger following**
 20 **said maxima."**
 21 **I think it would -- you know, a better**
 22 **construction might be to use that same terminology, the**
 23 **same terminology from the original claim.**
 24 Q. So you're talking about the construction of
 25 the element that begins "identify a second maxima"; is

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1 that right?
 2 **A. Right.**
 3 Q. That starts at the bottom of page 3 of
 4 Exhibit 1; correct?
 5 **A. That's correct.**
 6 Q. Goes over to page 4; right?
 7 **A. Right. That's the section I'm talking about.**
 8 Q. And you're saying that Elan's proposed
 9 construction could be modified how?
 10 **A. I think it would be clearer if you -- to**
 11 **describe in detail if you struck the word "after" and**
 12 **said -- or following the identification of it or to**
 13 **remove the temporal nature of it, because it's really**
 14 **the description of a spatial relationship not a**
 15 **temporal relationship.**
 16 Q. So how in your view should the construction be
 17 revised to do that?
 18 **A. I think that, as I just said, that it's**
 19 **clearer if you use the actual claim term which says**
 20 **"corresponding to a second finger following said**
 21 **minima." So it would be -- in other words, the -- it**
 22 **would identify a -- let's see if I can -- it's hard to**
 23 **construct the phrase editing the fragment that's there,**
 24 **but you want to remove the notion of after.**
 25 **It's like following or subsequent to or**

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1 following, not subsequent to in the temporal sense but
 2 following the -- the following term -- I guess -- let
 3 me back up and say it a little more clearly.
 4 **It would be that you want to identify a second**
 5 **peak following the minima. In other words, that you**
 6 **want to use it closer to the claim term because it's**
 7 **clearer than the after.**
 8 Q. And how is it clearer?
 9 **A. Well, because it more captures the spatial**
 10 **relationship, which is what the claim's really**
 11 **describing, and does not have an implication that some**
 12 **people could read the sort of after as a temporal after**
 13 **as opposed to an after the way we sometimes describe**
 14 **geometry or location using that terminology.**
 15 Q. All right. Anything else in your review of
 16 the joint claim construction statement where you
 17 disagreed with or wanted to correct, as it were, any of
 18 Elan's proposed constructions?
 19 **A. No, I don't think so. Although I'd have to --**
 20 **you know, I'm not at this moment reviewing the whole**
 21 **document and going through item by item, but I think in**
 22 **a broad sense there's nothing that jumps out at me.**
 23 Q. All right. In a number of the columns of this
 24 chart, and I suppose also in the rows, I should say,
 25 there's a reference by Elan that you, Mr. Dezmelyk, are

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1 expected to provide testimony regarding how one skilled
 2 in the field would understand certain terms.
 3 Do you see what I'm referring to there?
 4 **A. Right.**
 5 Q. Prior to the filing of this document on
 6 February 5th, 2010, were you consulted about those?
 7 **A. Right. Where it indicates that, you know, I**
 8 **may be providing testimony, then I've certainly -- I'm**
 9 **aware of that, that particular topic, and certainly**
 10 **there's a reason that says that there.**
 11 Q. So prior to February 5th you would have been
 12 consulted about those particular terms where your name
 13 appears as a person who may provide testimony about
 14 that subject?
 15 **A. Right.**
 16 Q. There also are a number of terms where your
 17 name in that fashion does not appear. For example, on
 18 page 4 at the bottom for the term "identify," on page 5
 19 for the term "in response to." There may be some
 20 others as well.
 21 And for those, I take it that as of February
 22 5th, 2010 you had not been asked to provide an opinion
 23 on what those terms mean; is that true?
 24 **A. No, I don't think that would necessarily be an**
 25 **accurate description. I think it may have just been a**

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1 **decision by the counsel who created this or something**
 2 **that it wasn't -- he may have had an impression that it**
 3 **wasn't going to be necessary to provide testimony or**
 4 **that the term was sufficiently well defined that it**
 5 **would be unnecessary to do so.**
 6 **I don't think that -- I wouldn't draw the**
 7 **conclusion from that that there was necessarily never**
 8 **any discussion of that topic or that I didn't have some**
 9 **opinion on it or something.**
 10 Q. All right. In terms of the report that you
 11 prepared for the terms where in the joint claim
 12 construction there's no indication that you may be
 13 providing testimony, you did not provide opinions on
 14 those terms or the meaning of those terms in your
 15 report; correct?
 16 **A. I don't know. I'd have to look term by term**
 17 **to see whether or not that's the case.**
 18 MR. BOBROW: Let's mark this Exhibit 2.
 19 (DEPOSITION EXHIBIT 2 MARKED.)
 20 BY MR. BOBROW:
 21 Q. Sir, you've been handed Exhibit 2 to your
 22 deposition. Can you first confirm for me that this,
 23 indeed, is a copy of your report?
 24 **A. Yes.**
 25 Q. All right. Now, please turn, if you would, to

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1 the section on the '352, which is on pages 11 and 12,
 2 and confirm for me that you did not offer an opinion
 3 there on the meaning of the claim term, quote,
 4 "identify," closed quote, identified at page 4, running
 5 over to page 5, original claim construction statement.
 6 **A. Well, in my first paragraph I set forth**
 7 **that -- I state, I expect to provide a description of**
 8 **the invention described and how that invention is an**
 9 **improvement over known touchpads and methods of using**
 10 **touchpads.**
 11 **I also expect to testify that the**
 12 **constructions proposed by Apple for the claim elements**
 13 **identify a first maximum, and then three dots, and**
 14 **identify a second maximum, three dots, and identify a**
 15 **minima, three dots, and properly add a limitation not**
 16 **present in the claims themselves and not required by**
 17 **any reading of the patent's written description of the**
 18 **file history.**
 19 **And to the extent that the word that we are**
 20 **calling out as "identify" and it appears in the**
 21 **beginning of those particular claim phrases, then it's**
 22 **likely that I'm -- I mean, I'm stating in my report**
 23 **that I'm going to -- I will be expected to testify on**
 24 **that topic.**
 25 Q. My question was, you did not offer an opinion

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1 specifically on the meaning of the word "identify" as
 2 set forth on pages 4 and 5 of the joint claim
 3 construction statement; correct?
 4 **A. I didn't set forth a separate definition of it**
 5 **or a separate paragraph about it. I mean, I referred**
 6 **to it in the phrases.**
 7 Q. And you did not respond to Apple's proposed
 8 construction of "identify" that's in the joint claim
 9 construction statement in your report; correct?
 10 **A. Well, I would say that -- I'm saying that I'm**
 11 **going to testify how I believe there's this improperly**
 12 **added limitation. I would take that as a response.**
 13 Q. So you're saying that that phrase is a
 14 specific response to Apple's proposed construction of
 15 what the word "identify" means?
 16 Is that your testimony?
 17 **A. My testimony is that if there's an adding of a**
 18 **limitation to that phrase of which maybe "identify" is**
 19 **a part of that or the construction of the word**
 20 **"identify" obviously affects the construction of the**
 21 **phrase, then yes, I have an opinion on that.**
 22 Q. But you didn't set that opinion forth, did
 23 you? Nothing in this report, Exhibit 2, tells me what
 24 you're going to say, if anything, on the subject of
 25 Apple's proposed construction of what the word, quote,

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1 "identify," closed quote, means; correct?
 2 **A. I'm giving my opinion in context of the**
 3 **phrase. You're trying to say that I did not give an**
 4 **opinion of the word separately.**
 5 Q. Correct.
 6 **A. I think that giving the opinion of it in the**
 7 **context of the phrase simultaneously gives it an**
 8 **opinion of it if you try to take it out of the phrase.**
 9 Q. Again, I think the question is a simple one.
 10 In your summary of testimony, you did not
 11 respond specifically to Apple's proposed construction
 12 of the word "identify" as set forth in pages 4 and 5 of
 13 the joint claim construction statement; true?
 14 **A. No, I think your characterization's false, and**
 15 **I'm going to explain it again, I guess.**
 16 **What I'm saying, that the phrase, which**
 17 **includes the word "identify" as an improper limitation,**
 18 **that is, that your construction is incorrect with the**
 19 **phrase with that word in it, then I think that**
 20 **inherently comments on the construction of that word by**
 21 **itself.**
 22 Q. All right. So point out to me where it is
 23 that you say why it is that Apple's proposed
 24 construction of "identify," which is "recognize a value
 25 to be," where is it that you specify what's wrong with

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1 that in your summary of testimony?
 2 **A. Well, I say it's improperly adding a**
 3 **limitation that's not present in the claim.**
 4 Q. That that specific word is adding that
 5 limitation? Is that your testimony?
 6 **A. It may be broader than that, but that's**
 7 **certainly true.**
 8 Q. All right. Now, where in your report do you
 9 provide your opinion on Apple's proposed construction
 10 of what the phrase "in response to" means?
 11 Where would I find that?
 12 **A. I don't see where I've particularly called out**
 13 **an issue with the word "response," and it states here**
 14 **that the plain meaning -- in the claim construction**
 15 **that proposes the plain meaning of the term.**
 16 Q. When you referred to in paragraph 25 of your
 17 report about -- that the Apple constructions of
 18 identify first maxima and identify second maxima and
 19 identify minima, that those improperly add a
 20 limitation.
 21 Is that the limitation about on an axis? Is
 22 that what you're referring to there?
 23 **A. Well, there are more than one -- it's possible**
 24 **to have more than one limitation added improperly, and**
 25 **I believe there are several limitations that should not**

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1 **be -- that are not present in the original claim**
 2 **language that are present in Apple's proposed claim**
 3 **construction.**
 4 Q. And where in your report do you say what those
 5 added limitations are?
 6 **A. Well, there's several places.**
 7 Q. Tell me.
 8 **A. If we look to paragraph 27 and I'll say 26,**
 9 **paragraph 26. Well, paragraph 25, 26, 27 and to a**
 10 **broader sense -- I mean, obviously the rest of them**
 11 **deal in a way with what you consider as improper**
 12 **limitations, but to address the particular item you**
 13 **just identified, it seems that that's dealt with in 26**
 14 **and 27, paragraphs 26 and 27.**
 15 Q. And does anything in paragraphs 26 and 27
 16 state that Apple's construction of "identify," which is
 17 to recognize a value to be, that that's adding a
 18 limitation?
 19 **A. No, paragraph 26 and 27 are particularly**
 20 **focused on other aspects of the improper limitation.**
 21 Q. So where in your report does it say that
 22 Apple's construction of "identify," which is "recognize
 23 a value to be," is adding a limitation? Where can I
 24 find that in your report?
 25 **A. Well, you're attempting to find something that**
 50

1 **you've kind of constructed a hypothetical "where can a**
 2 **find a," and I think that the idea is expressed in**
 3 **paragraph 25. You seem to be want to go find it in a**
 4 **only a certain way that you could see or that you would**
 5 **recognize, and I can't know what you would recognize or**
 6 **what you would see.**
 7 Q. Where do you expressly discuss Apple's
 8 proposed construction of "identify" as "recognize a
 9 value to be"? Where do you discuss that and identify
 10 that as being either A, wrong or B, an added
 11 limitation?
 12 **A. Well, again, back to paragraph 25.**
 13 **I don't know how you can read -- I also expect**
 14 **to testify that the constructions proposed by Apple for**
 15 **the claim elements identify a first maxima, identify a**
 16 **second maxima and identify a minimum, then it goes on**
 17 **to say "improperly add an limitation," I don't know how**
 18 **you can read that and say that the word "identify" is**
 19 **not somehow encompassed by that. I mean, it's in the**
 20 **sentence.**
 21 Q. So where is it in paragraph 25 then, now that
 22 you've narrowed it to that, where does it say there
 23 that your objection is to the word that Apple has
 24 proposed for construction "identify" itself as opposed
 25 to any remaining parts of the construction?
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1 In other words, how do I know that what you're
 2 commenting on in 25 is Apple's construction of the word
 3 "identify" as "recognize a value to be" as opposed to
 4 other parts of the constructions that have been
 5 proposed?
 6 **A. Well, I think the answer to that is very**
 7 **simple. I'm here to be deposed and to answer questions**
 8 **about my understanding and my construction of these**
 9 **claim terms of what would be appropriate meanings of**
 10 **those terms.**
 11 **If you're looking at the report and you're**
 12 **unable to identify what that means or you have then --**
 13 **I think you have an opportunity to ask questions about**
 14 **that. I mean, I think it's -- to me the report clearly**
 15 **says that that is one of the -- that word is**
 16 **encompassed by the -- the word "identify" as part of**
 17 **that phrase is encompassed along with the remainder of**
 18 **the phrase.**
 19 MR. DeBRUINE: Jared, we've been going over a
 20 little over an hour. Would you mind if we take just a
 21 quick break?
 22 MR. BOBROW: Let me just finish up on this
 23 topic, and then we'll take a break.
 24 MR. DeBRUINE: Sure.
 25 BY MR. BOBROW:
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1 Q. Did you review the deposition of Mr. Von
 2 Herzen?
 3 **A. I looked at it very briefly.**
 4 Q. When was that?
 5 **A. A few days ago. I don't know exactly.**
 6 Q. Before you came out to California?
 7 **A. Right.**
 8 Q. Was that in preparation for the deposition?
 9 **A. In the general sense it's work related to this**
 10 **client obviously.**
 11 Q. And when was it that -- when was the first
 12 time that you reviewed Elan's construction of "identify
 13 a second maxima" and determined in your mind that it
 14 can be clarified in the way that you described this
 15 morning?
 16 When was the first time that that happened?
 17 **A. I've always believed that the description was**
 18 **purely a term describing a spatial structure, and I**
 19 **mean, I think -- I think it could have been clearer**
 20 **from day one. And sometimes, you know, the point in**
 21 **time to express that thought changes or comes out, and**
 22 **when you brought the question up, it seemed like an**
 23 **appropriate time to put my point of view forward.**
 24 Q. My question was a little bit different, which
 25 is, when was the first time that you read the
 53

1 construction, thought that it might be ambiguous on the
 2 subject of time versus space and said to yourself it
 3 would be better to clarify this?
 4 **A. Well, I think it's the -- when I read the**
 5 **other gentleman's point of view, as I recall it, that**
 6 **it was somewhat temporal in nature, and I think that's**
 7 **a misreading of it. When I read it, it was spatial in**
 8 **nature always, and when it became apparent that maybe**
 9 **other people were interpreting that a different way,**
 10 **that it seems appropriate to clarify that that's not**
 11 **the correct way to look at it.**
 12 MR. BOBROW: Okay. Why don't we take a short
 13 break.
 14 THE VIDEOGRAPHER: We're going off the record
 15 at 10:14 a.m.
 16 (RECESS TAKEN.)
 17 THE VIDEOGRAPHER: We're back on the record at
 18 10:25 a.m.
 19 BY MR. BOBROW:
 20 Q. Couple of questions on some of the work that
 21 you've done on the case.
 22 Have you looked at the infringement
 23 contentions that Elan has prepared in this case?
 24 **A. Yes.**
 25 Q. When was that?

1 **A. Sometime ago. I don't recall exactly.**
 2 Q. Were you involved in preparing those?
 3 **A. You know, I don't really recall whether I**
 4 **was. I've had conversations back and forth with**
 5 **counsel. I'm not sure whether I was -- I don't know**
 6 **how you would characterize involved. I mean, I might**
 7 **have answered some questions that are relevant to that.**
 8 Q. Have you reviewed Apple's invalidity
 9 contentions?
 10 **A. Yes.**
 11 Q. Have you reviewed the prior art that was cited
 12 in those contentions?
 13 **A. Some of it. I think in a general sense. I**
 14 **don't recall much it at this point. I'd have to go**
 15 **back and look at it again.**
 16 Q. Can you recall any particular pieces of prior
 17 art that you reviewed?
 18 **A. From the Apple --**
 19 Q. Correct.
 20 **A. Not offhand, no.**
 21 Q. Were you involved in any way in the Elan
 22 versus Synaptics litigation?
 23 **A. No.**
 24 Q. Have you read materials from that proceeding?
 25 **A. Yes.**

1 Q. You've read Judge Breyer's claim construction
 2 order?
 3 **A. That's correct.**
 4 Q. What else have you read from that case?
 5 **A. I think there were perhaps an expert report or**
 6 **so that was associated with that.**
 7 Q. Anything else?
 8 **A. Not that I recall at the moment.**
 9 Q. What expert report did you review?
 10 **A. I don't remember the title or the author.**
 11 Q. Whose side was it?
 12 In other words, was it a --
 13 **A. Not sure.**
 14 Q. All right. Let me ask you to turn to, you
 15 still have it, Exhibit 2, which is your summary of
 16 testimony and opinions.
 17 First of all, in paragraph 3 you mention and
 18 describe your opinion on the level of skill of one of
 19 ordinary skill in the art.
 20 Do you see what I'm referring to there?
 21 **A. Paragraph 3, correct.**
 22 Q. And you provide a definition of one of
 23 ordinary skill both for the patents that are not the
 24 '929 and then one for one that is; correct?
 25 **A. Right.**

1 Q. And for the definition of a person of ordinary
 2 skill for the patents other than the '929 patent, you
 3 set forth the definition of "a bachelor's degree in
 4 electrical engineering, or computer science with course
 5 work in electronic circuits, with three years of
 6 experience in the design and operation of
 7 touch-sensitive input devices."
 8 Do you see that?
 9 **A. That's correct.**
 10 Q. All right. And do you continue to believe
 11 that that's an appropriate definition?
 12 **A. Well, that's a good representative way of**
 13 **discussing the type of person that does this work. I**
 14 **mean, you could finesse these definitions, and I think**
 15 **there's -- you know, you can always look at the case of**
 16 **maybe someone with higher education would have less**
 17 **experience, maybe someone that had particular work**
 18 **experience and a lesser or different education could**
 19 **meet that practitioner, but this is a good, clean sort**
 20 **of summary of the type of person that does this type of**
 21 **work.**
 22 Q. When you are referring in the next sentence to
 23 "one with a more advanced degree may have less
 24 practical experience," do you mean someone with a more
 25 advanced degree in computer science or electrical

1 engineering?
 2 **A. Right, or a technology that overlaps against**
 3 **that.**
 4 Q. All right. Take a look, if you would at
 5 paragraph 15.
 6 You mention that for capacitance-sensing
 7 technologies that you expect that you'll describe the
 8 physical components of a exemplary device and explain
 9 the theory of operation.
 10 Do you see what I'm referring to there?
 11 **A. Right.**
 12 Q. When you referred there to an exemplary
 13 device, do you have a particular device in mind that
 14 you intend to use in your discussion or in your
 15 testimony?
 16 **A. Not at this time, no.**
 17 Q. Please turn to paragraph 17. And also 18, and
 18 in those paragraphs you're describing in -- you're
 19 describing capacitive touch sensors.
 20 Do you see what I'm referring to?
 21 **A. Yes.**
 22 Q. One of the things that you do there is you say
 23 that these capacitive touch sensors can be formed in
 24 patterns.
 25 Do you see what I'm talking about?

1 **A. Yes.**
 2 Q. One of the things you do in paragraph 18 is
 3 you say that a variety of different electrode patterns
 4 may be used.
 5 Do you see that?
 6 **A. Yes.**
 7 Q. So a couple of questions about this.
 8 So first of all, when you're talking about the
 9 sensors there for a capacitive touchpad or capacitive
 10 touch sensor, what do you mean by "a sensor" there?
 11 **A. Well, in this particular context the sensor**
 12 **would be the physical object which generates some form**
 13 **of electrical signal in response to a change in the**
 14 **physical environment around it.**
 15 Q. So in the context of a capacitive sensor, what
 16 is that signal?
 17 **A. Well, depends on the type of sensing you're**
 18 **doing and the type of capacitive sensing you're doing,**
 19 **but that signal might be, you know, a modulating AC**
 20 **waveform. It might be a -- in essence you're measuring**
 21 **capacitance, so the underlying sensor is going to be**
 22 **something that has the ability of changing capacitance,**
 23 **coupling capacitance spatially in response to the**
 24 **physical environment and in particular in this case**
 25 **your finger entering into the near field of the plate.**

1 **So what would the signal be is dependent upon**
 2 **exactly how you're designing that electronic sensing**
 3 **against that sensor.**
 4 Q. Okay. But the output is related to the
 5 capacitance. In other words, either the capacitance
 6 itself or the change in the capacitance that occurs
 7 when I bring an object like a finger into proximity to
 8 the surface; is that right?
 9 **A. In a general sense. Maybe a better way to say**
 10 **that would be that the presence of a finger changes the**
 11 **near space capacitance between some parts of the**
 12 **sensor, and then you detect that. And whether --**
 13 **there's always some capacitance. Capacitance is a**
 14 **measured existing physical quantity. If you have a**
 15 **physical object, there's a capacitance between it and**
 16 **the world around it.**
 17 **So we often talk of the change because there**
 18 **is some capacitance between any one of these sensors**
 19 **and the outside world always, and you're looking often**
 20 **at the change when this finger becomes present in the**
 21 **field.**
 22 **You also in some instances are really looking**
 23 **at a coupling, not purely the capacitance itself, but**
 24 **you may be measuring in such a way that you're using**
 25 **the changing capacitance to affect the coupling between**

1 **two different signals.**
 2 Q. So in those contexts that you've just
 3 described, whether it's measuring the coupling or
 4 whether it's measuring the capacitance or the change in
 5 the capacitance, I'm trying to understand from you what
 6 is the signal that is generated by that sensor that
 7 goes off into the system and says, aha, this is what
 8 I've measured?
 9 What is the signal?
 10 **A. Okay. Well, the signal that you generate**
 11 **depends on the rest of the sensing technique. In other**
 12 **words, there's a change in capacitance, but you don't**
 13 **immediately have a way of measuring a change in**
 14 **capacitance unless you impress some kind of signal on**
 15 **that capacitor and see how it affects that signal.**
 16 **So typically you're either doing some kind of**
 17 **excitation or you're using -- you have a circuit of**
 18 **which that coupling capacitance is an element in the**
 19 **circuit. So as a simple example, you could have an**
 20 **oscillator circuit whose frequency depends upon an R**
 21 **and a C, and the C is the capacitance you're trying to**
 22 **measure here.**
 23 **So in that instance the frequency of the**
 24 **oscillator would vary depending on the amount of**
 25 **capacitance that was present, so as the finger came**

1 into close proximity to the particular part of the
 2 sensor that you were looking at at the moment, then the
 3 oscillating frequency of that circuit would change, and
 4 so in that particular example, what the signal would be
 5 would be the change -- the change in frequency output
 6 from that oscillator circuit.

7 But there's a variety of circuits that are
 8 connected to the actual sensor assembly that generate a
 9 signal.

10 Q. All right. And what I'm trying to understand
 11 is, in the context of this technology where we're
 12 talking about capacitive touch sensors, when those
 13 sensors generate some type of signal, I'm just trying
 14 to understand what it is at a basic level that that
 15 signal indicates.

16 Is it indicating capacitance? Is it
 17 indicating coupling? Is it indicating a change in
 18 capacitance or potentially all three of those?

19 A. Well, let me explain that again, is that the
 20 sensor by itself doesn't make any signal. The sensor
 21 combined with the rest of the circuitry that's
 22 associated with it then signals come into being. All
 23 right, that circuitry exists.

24 Q. Okay. So the sensors plus the associated
 25 circuitry are designed to indicate signals that

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1 indicate capacitance or change in capacitance or
 2 capacitive coupling; is that right?

3 A. You're measuring one of the things you named.
 4 In other words, you're measuring capacitance --
 5 you're -- intrinsically in many cases you're measuring
 6 capacitance. The change in capacitance is -- you're
 7 trying to avoid the stray capacitance, so you're
 8 interested in that aspect of it.

9 So what you're trying to measure, to actually
 10 get useful information, is often the change in
 11 capacitance, and in other circumstances you're
 12 measuring the capacitive coupling between one signal
 13 and another.

14 And so those phenomenon is what you're trying
 15 to measure with basically electronics, which is
 16 connected to the sensor.

17 Q. All right. So in the context of capacitive
 18 touch sensors, are these sensors then measuring and
 19 producing signals, along with the associated circuitry,
 20 that measure something other than and indicate
 21 something other than capacitance or change in
 22 capacitance or capacitive coupling?

23 A. Well, what you're fundamentally measuring is
 24 the capacitance, capacitive coupling or change in
 25 capacitance, but you're doing it at a location. And

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1 the reason it's useful information for a -- you know,
 2 multidimensional plane device or even a linear, you
 3 know, actuator kind of a device is that you're
 4 correlating that information with location.

5 You can make a capacitive sensor that only has
 6 a single point sensing, and they're used for switches.
 7 That is, they detect the presence of a finger, but it's
 8 either on the device or not on the device. I mean,
 9 that's kind of the single-bit version maybe or the
 10 nondimensional version of this type of sensor.

11 Q. All right. Let me go back then.

12 So if I just am looking at the sensors
 13 themselves that are in the touchpad and I'm trying to
 14 figure out what signal that sensor produces itself, all
 15 right, as opposed to whatever downstream circuitry or
 16 whatever, but what the sensor is signaling, what is
 17 that?

18 Is that an AC waveform? Is it amps? I mean,
 19 what is the output that's being signaled?

20 A. Well, again, none of the above.

21 Q. Okay.

22 A. As I've explained previously, the sensor by
 23 itself doesn't generate a signal. A sensor's only
 24 going to generate a signal in response to some kind of,
 25 you know, surrounding excitation or surrounding

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1 circuitry.

2 In other words, if we just took a, you know,
 3 hypothetical, you know, indium tin oxide grid and laid
 4 it on the table, nothing's going to come out of it. It
 5 will -- if we, you know, measure the voltage on it,
 6 it -- we'll see only the noise that we'd see on any
 7 conductor lying on the table.

8 Q. So if I look at paragraph 19, you mention that
 9 the capacitance that's measured at each sensor is
 10 converted from an analog signal into a digital value.

11 Do you see that?

12 A. Right.

13 Q. Okay. And so in these capacitive touch
 14 sensors, what is that analog signal?

15 What does it indicate?

16 A. Well, it normally indicates capacitance,
 17 change in capacitance or maybe the coupling.

18 In other words, you -- as a -- I gave one
 19 explanation how to do it, which is where you have a
 20 circuit whose property shifts as a result of the
 21 property that's present on the sensor.

22 So if we look again at an oscillator whose
 23 frequency depends on, say, a resistance in the
 24 capacitance, what would be called an RC oscillator, and
 25 the C, in fact, is the C that your finger forms between

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1 some elements on this sensing sheet, then the frequency
 2 of that oscillator would vary depending on the presence
 3 or absence of the finger.
 4 Similarly, you can determine the amount of
 5 that capacitance in other ways. You can see how long
 6 it takes to charge up or the amount of current it takes
 7 to bring it to a certain voltage, and in any instance,
 8 you'd have a signal there which ultimately you end up
 9 with analog signal of some sort whose either frequency,
 10 time, amplitude, phase, one of the above or all of the
 11 above combined in some sense tells you what is the
 12 effective capacitance at the point you're looking at in
 13 the sensor.
 14 Q. Then you mention that it gets converted into a
 15 digital value. That's an analog-to-digital converter
 16 that does that?
 17 A. Right, typically.
 18 Q. Going back to paragraph 18, you mentioned that
 19 there were a variety of different electrode patterns
 20 that can be used, and the shape of the electrodes is an
 21 important aspect of the overall design of the sensor.
 22 Do you see what I'm talking about?
 23 A. Right.
 24 Q. So if you can help me understand this, you're
 25 talking about different electrode patterns, and so the

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1 electrodes that you're referring to are the electrodes
 2 that are in the touchpad or in the touchpad sensor
 3 array?
 4 A. Right. The capacitance -- in order to detect
 5 the change in capacitance, in other words, to have a
 6 capacitor, you have to have plates, at least two
 7 plates, and you have to have some field in between or
 8 some field that's interacting between them.
 9 So the way that's normally done in the type of
 10 sensor we're talking about here is to generate some
 11 pattern of conductors which -- to which you can detect
 12 the capacitance between them or amongst groups of
 13 them. And the shape, the physical shape of those
 14 conductors matters, and so for kind of practical
 15 engineering reasons, you want to have some shape
 16 patterns work better than others.
 17 Q. You talk about different electrode patterns
 18 here.
 19 Are you referring to, for example, a grid of
 20 electrodes that may be part of this capacitive sensor
 21 array?
 22 A. Well, yes, but more than that, and as I
 23 explained and show in the diagram, figure 6, we
 24 conceptualize this, and let's -- for purposes of
 25 discussion here, let's stay with the simple case of

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1 what would be an X-Y sensor.
 2 We traditionally talk about that. It's an
 3 array of X and Y points that we're interested in, and
 4 I'm simplifying the X-Y case to exclude sensors for the
 5 moment that might have a radial sensing or some other
 6 arrangement of electrodes.
 7 But for simplicity's sake, we'll talk about
 8 for a minute the example given here, where there is a
 9 grid, in essence, of locations you're trying -- you're
 10 trying to determine an XY Cartesian coordinate, and so
 11 therefore, you have electrodes -- you want to have sets
 12 of electrodes, but you want to have electrodes which
 13 can be isolated into the two axes. And if you just
 14 made a simple set of horizontal and vertical traces,
 15 you would find that the actual capacitive coupling of a
 16 real finger on there may be kind of low and hard to
 17 measure.
 18 So by making different shapes of those
 19 electrodes, and this shows -- this diagram shows an
 20 array of kind of packed diamonds, that's one that
 21 works, you get a greater coupling and a better ability
 22 to measure it.
 23 Q. But one aspect of the pattern, if I understood
 24 what you're saying, the pattern of electrodes in the
 25 touchpad would be a pattern, for example, in an XY kind

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1 of domain where you have certain conductors going in
 2 one direction and then other conductors that are
 3 perpendicular to those; is that right?
 4 A. Right. That's one common type of approach.
 5 Not the only way to do it, but it's one way to do it.
 6 Q. So one pattern would be an XY grid of
 7 conductors; is that right?
 8 A. That's correct.
 9 Q. And another type of pattern I think you talked
 10 about might be what? A circular array where you have
 11 conductors going out in a radius but in circles one
 12 from the other, concentric circles?
 13 A. Yes, that's one.
 14 Q. Okay. Are there other patterns that you are
 15 aware of in the field in the 1990s, for example, that
 16 are patterns of these electrodes that are used for
 17 capacitive touch sensing?
 18 A. Well, that's a kind of broad question. I
 19 don't have in my mind all the patterns that people may
 20 have used during that period of time or even that I may
 21 have seen in that period of time.
 22 And I'll note that we're in particular talking
 23 about patterning of electrodes for this particular type
 24 of sensor. There's other patterning of electrodes
 25 that's used for other types of capacitive sensors that

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1 we're not really talking about here at the moment. But
 2 if you look at the sheet type, they also have electrode
 3 pattern on them in a different way.
 4 **But if we limit ourselves to the most common**
 5 **kind of XY sensing, then the most typical you'll see**
 6 **sensing arrays that are either an array of points or**
 7 **that the grid constitutes all the points. You'll see**
 8 **collections of discrete kind of islands. In other**
 9 **words, where someone may make what amounts to what**
 10 **looks like a series of pads in arrangements, and there**
 11 **are multiple arrangements, and you'll see this kind of**
 12 **XY grid but with variations in shape.**
 13 **So when you actually look at it, it doesn't**
 14 **necessarily look like a grid. It may look like a bunch**
 15 **of sawtooths or other patterns, but electronically it's**
 16 **a grid. And of course, we mention the radial ones.**
 17 **I'll bet there's some other ones.**
 18 Q. At all events, you mention in paragraph 18
 19 that there are a variety of different electrode
 20 patterns, and what you've just testified would support
 21 that view; correct?
 22 **A. Right.**
 23 Q. So when you have a different -- when you have
 24 a variety of different electrode patterns for the
 25 touchpad, then I take it that those different patterns,

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1 whether they're, for example, XY or maybe they're
 2 concentric circles or something else, the different
 3 patterns would map that touchpad differently, wouldn't
 4 they?
 5 **A. Yes. And we have to be careful because we're**
 6 **now using the pattern two ways. The terminology of --**
 7 **there's kind of an underlying geometry of the pattern,**
 8 **and then there's the pattern itself.**
 9 **So the mapping -- if the underlying geometry**
 10 **of the mapping were, you know, radial, then the**
 11 **underlying coordinate sensing information is radial in**
 12 **nature.**
 13 **If the underlying geometry is an XY Cartesian**
 14 **grid, then the underlying coordinate space or the**
 15 **resulting coordinate spacing from sensing would map to**
 16 **that, but the actual pattern when you look at it may be**
 17 **neither of the above.**
 18 Q. Are you familiar with a term in the field
 19 called self-capacitance?
 20 **A. In general, yeah.**
 21 Q. What's self-capacitance?
 22 **A. That's kind of a -- it's used a lot of**
 23 **different ways, but in general I would use it to refer**
 24 **to either the capacitance of an object, the environment**
 25 **around it, like body capacitance, or the capacitance of**

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1 **a single, like, electrical element to the space around**
 2 **it.**
 3 Q. Okay. And have you heard the term -- familiar
 4 with the term in the field mutual capacitance?
 5 **A. Yeah, that's typically applied to more -- what**
 6 **I was using as the terminology of coupling. That is,**
 7 **the capacitance between two elements or shared between**
 8 **two elements.**
 9 Q. All right. We were talking earlier -- you
 10 mentioned that in a touchpad a common way to configure
 11 the pattern would be in an XY array.
 12 Do you recall that?
 13 **A. Right, that the underlying grid geometry is an**
 14 **XY.**
 15 Q. Right. So I have conductors that would be
 16 laid out in the -- along an X axis and other conductors
 17 laid out along the Y axis; correct?
 18 **A. Right.**
 19 Q. And that's a common way to --
 20 **A. That's a one way to do it.**
 21 Q. Common; right?
 22 **A. Yes, that's a common -- very common in input**
 23 **devices, because we often work in Cartesian**
 24 **coordinates.**
 25 Q. Those conductors that are laid out in that

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1 way, are those conductors sometimes called electrodes?
 2 **A. Oh, the conductors in general are called**
 3 **electrodes, so calling those conductors electrodes**
 4 **would just be a common use of the word "electrodes."**
 5 Q. Are they sometime called traces?
 6 **A. Yes, and because they're often implemented or**
 7 **can be implemented as traces on a printed circuit**
 8 **card. That is, the conductive etched -- the remainder**
 9 **of an etching process on a printed circuit card which**
 10 **deals -- a thin copper element which we traditionally**
 11 **call a trace.**
 12 Q. In this context of touchpads that we're
 13 discussing, is it common to refer to those conductors
 14 that are laid out in the XY axes, is it common to call
 15 those traces?
 16 **A. It's hard to ascertain whether usage of a word**
 17 **is common, but certainly if you were -- you could refer**
 18 **to them as traces. It would be probably more likely to**
 19 **use that terminology in some circumstances than others,**
 20 **depending on whether you were -- you know, how you were**
 21 **using it, I guess, the context.**
 22 Q. So in the context of your summary of testimony
 23 and opinions, what do you typically call those
 24 conductors that are laid out in that XY array?
 25 **A. Well --**

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1 Q. What do you call them? Traces or electrodes
 2 or -- I just want to find a word that I can use to talk
 3 about them.
 4 **A. Traces is fine. Electrodes is fine. Either**
 5 **one of those is suitable.**
 6 Q. Okay. So let's talk about -- I'll just talk
 7 about them as traces then.
 8 So if we turn to page 9, figure 6, you have
 9 laid out there -- you show a diagram that lays out
 10 there traces in the X and Y directions; correct?
 11 **A. That's correct.**
 12 Q. Now, in the context of that term I asked you
 13 about earlier, self-capacitance, if I'm looking at any
 14 one of these traces, let's say the leftmost trace
 15 that's going up and down and I guess is in a red or
 16 orange color, okay, if I'm in a -- if the capacitive
 17 touch sensor is designed with -- as a self-capacitance
 18 touch sensor, and I bring an object near that trace,
 19 then the idea of that is I would get essentially one
 20 value that represents capacitance along that entire
 21 trace, correct, or a change in capacitance along that
 22 entire trace?
 23 **A. If you look -- if you connected, for instance,**
 24 **the end of the trace, using that terminology, to a**
 25 **capacitance-measuring circuit element and you brought**
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1 **your finger in proximity with it, you would see -- your**
 2 **goal would be to measure the capacitance of that -- one**
 3 **way to do it would be to measure the capacitance**
 4 **present along that trace there.**
 5 Q. I would get essentially one value for that as
 6 opposed to different values at different points along
 7 that trace; correct?
 8 **A. Well, this gets into the design of the**
 9 **actual -- what you're trying to achieve in the design**
 10 **of the remainder of the electronics around the sensor.**
 11 **If you simply measured, you know, bulk body**
 12 **capacitance as your reference with the person's kind of**
 13 **effective or virtual ground, then you would see a**
 14 **single capacitance measurement along there.**
 15 Q. All right. And that would be true for the
 16 remaining traces that are along that X axis.
 17 I would get a single value for those if it
 18 were a self-capacitance touchpad sensor; correct?
 19 **A. You would get a value -- if we just plopped a**
 20 **finger down in the middle of this exemplary, you know,**
 21 **kind of diagram here, you would see values on each of**
 22 **the grid lines -- you could measure capacitance changes**
 23 **on each of the grid lines in both directions.**
 24 Q. And there are -- first of all, on the X axis
 25 I've got six traces; correct?
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1 **A. Sure.**
 2 Q. All right. And so what I'm asking simply is
 3 that for each trace I would get one capacitance value
 4 as opposed to a series of capacitance values for --
 5 along each of the traces; is that true?
 6 **A. As long as you're taking, in essence, the**
 7 **virtual ground point the other side of that capacitor**
 8 **as being not an element in the sensing array.**
 9 Q. But when you said the other element, what did
 10 you mean? Did you mean along the Y direction or what?
 11 **A. Well, along anywhere in the sensing array.**
 12 **In other words, if you're -- the model that**
 13 **you just described is the model where you're looking at**
 14 **a kind of a body bulk capacitance, would be a way to**
 15 **describe that, and so you're measuring the capacitance**
 16 **that is created.**
 17 **But that's a little different than what's**
 18 **often done. You often are looking at the coupling**
 19 **between that finger and the adjacent electrode, and**
 20 **maybe you're holding them at ground temporarily to**
 21 **measure that coupling. And you would see the summation**
 22 **down a single scan line or trace, to use that**
 23 **terminology, you would see the summation of the**
 24 **capacitance along that line because you have a single**
 25 **conductor active at that point in time.**
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1 Q. So what I'm trying to understand is if I had
 2 that self-capacitance technology and I was using that
 3 and I brought a finger near the touchpad that was a
 4 self-capacitance design, then for each of the traces in
 5 the X direction typically I would get one value, not a
 6 series of values for each of those traces?
 7 **A. Right, but I think -- to make it clear, I'm**
 8 **not sure I would use the terminology "self-capacitance**
 9 **design" to necessarily have that distinguishing**
 10 **characteristic.**
 11 Q. What term would you use to describe the kind
 12 of sensor that is used where you bring an object near
 13 the touch sensor and you get one value per trace?
 14 **A. Well, I think that description you just made**
 15 **is just about the best description of it.**
 16 **In other words, there's a number of sensing**
 17 **techniques where you measure the analog summation of**
 18 **the capacitance along a trace and work from that value,**
 19 **as opposed to a more complex kind of sensing or**
 20 **modulation technique where you control the drive of the**
 21 **remainder of the electrodes in such a way that you can**
 22 **isolate capacitance in the other axis.**
 23 Q. My question is, what word do you use to
 24 describe a touchpad sensor that works in the way such
 25 that when I bring an object near it, then for each of
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1 the traces I'm essentially reading out one value as
 2 opposed to a multiplicity of values?
 3 What do you call that? Self-capacitance?
 4 **A. No, I don't use that particular term. I would**
 5 **just say the term that you're doing -- we could say**
 6 **analog summation of capacitance along a trace.**
 7 Q. Okay. So in the -- I think in paragraph 19
 8 you mention that in the simplest design the single line
 9 with the greatest capacitance change is used as the
 10 coordinate in each direction X or Y. "Such a sensor
 11 would only provide a very limited set of locations,
 12 e.g., no more," I think you meant to say "than the
 13 number of grid lines for each axis."
 14 Do you see what I'm referring to there?
 15 **A. I do see the sentence, and I am characterizing**
 16 **there for purposes of understanding, I guess, the --**
 17 **understanding this technology that you could construct**
 18 **such a device, that you really just pick the one of**
 19 **these traces that had the greatest value of capacitance**
 20 **and say, okay, that's where I am, or I'm close to**
 21 **there.**
 22 Q. In that technique that you describe in
 23 paragraph 19 that I just read into the record, would
 24 you call that an analog summation technique?
 25 Is that the term that was used in the art to

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1 describe that?
 2 **A. No, I'm not saying that's the term that was**
 3 **used in the art, and I think you're trying to apply**
 4 **that to a different case.**
 5 **I'm not sure I can name -- and I don't know**
 6 **what people would have called that, that kind of --**
 7 **that sort of simplest approach there, because I'm more**
 8 **describing the concept to try to explain the concept**
 9 **here than to try to characterize some existing base of**
 10 **products.**
 11 Q. What term was used back in the 1990s to
 12 describe a sensor which worked in a way such that when
 13 I brought an object near it, I would get one value for
 14 each trace?
 15 **A. I don't -- I don't have a particular term in**
 16 **my mind that would be used for that exact**
 17 **characterization of it.**
 18 Q. All right. So if I call that
 19 self-capacitance, would you object to that?
 20 Is that a problem?
 21 **A. For purposes of our discussion today, if that**
 22 **makes it easier for you, I don't have an objection to**
 23 **it. But I'm not taking the position that that term**
 24 **necessarily is limited to that or, you know,**
 25 **necessarily that has that meaning.**

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1 Q. Okay.
 2 MR. DeBRUINE: Jared?
 3 MR. BOBROW: Yeah?
 4 MR. DeBRUINE: It's about a minute to 11:00.
 5 MR. BOBROW: Okay. So you need to take a
 6 break now?
 7 MR. DeBRUINE: Yeah, my phone's going to ring.
 8 MR. BOBROW: What do you think? Fifteen
 9 minutes?
 10 MR. DeBRUINE: Yeah, we'll be done in 15
 11 minutes.
 12 THE VIDEOGRAPHER: We're going off the record
 13 at 10:59 a.m. This marks the end of tape No. 1.
 14 (RECESS TAKEN.)
 15 THE VIDEOGRAPHER: We're back on the record at
 16 11:32 a.m. This marks the start of tape No. 2 in the
 17 deposition of Robert Dezmelyk.
 18 BY MR. BOBROW:
 19 Q. Before our break I was asking you some
 20 questions about a touchpad sensor in which the traces
 21 were designed so that they would essentially measure
 22 one value rather than a multiplicity of values along
 23 the -- along each of the traces.
 24 Do you recall that?
 25 **A. Yes.**

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1 Q. And we agreed, at least for these purposes, we
 2 would call that a self-capacitance design.
 3 Do you recall that we talked about that
 4 terminology?
 5 **A. Right.**
 6 Q. All right. Now, let me ask you a different
 7 question.
 8 Let's suppose that in instead of that design I
 9 have an array of traces and the touchpad is designed so
 10 that I can measure capacitance at the intersections of
 11 those traces in the XY direction.
 12 Okay?
 13 **A. Sure.**
 14 Q. Do you have that in mind?
 15 **A. I have, certainly.**
 16 Q. What do you call that kind of touchpad
 17 sensor? Does that have a name?
 18 **A. You know, I don't have a specific name to that**
 19 **call. I mean, there's different ways to describe**
 20 **that. You could talk about that being a kind of a**
 21 **capacitive coupling between the traces that are going**
 22 **in different directions. I mean, I don't personally**
 23 **have a specific term that I would apply, but I**
 24 **understand the distinction there's two different**
 25 **designs there.**

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1 Q. All right. Have you heard the type of design
 2 that I've just described where you are looking at
 3 capacitance at points along each of the traces, those
 4 intersections of the traces as being a mutual
 5 capacitance design?
 6 **A. Right. That's one way to talk about it,**
 7 **because you're looking at the capacitance between the**
 8 **two electrodes at the intersection.**
 9 Q. Right. Again, so would you have any objection
 10 if for these purposes for your deposition I call that
 11 design mutual capacitance design?
 12 **A. That's fine. We can use that term to describe**
 13 **that particular topology.**
 14 Q. All right.
 15 MR. BOBROW: Let's mark this as Exhibit 3.
 16 (DEPOSITION EXHIBIT 3 MARKED.)
 17 BY MR. BOBROW:
 18 Q. Sir, I've handed you Exhibit 3, which is
 19 obviously a schematic, and what I have tried to
 20 illustrate here is a touchpad bounded in that dark
 21 black line with three traces in each direction. In
 22 the -- along the X axis we have X1, X2 and X3, and
 23 along the Y axis Y1, Y2, Y3.
 24 Okay? Do you understand what's depicted
 25 here?

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1 **A. I understand what's depicted. I wouldn't**
 2 **characterize that as a schematic. Maybe a diagram**
 3 **might be a better word.**
 4 Q. Better word. A diagram. All right.
 5 For Exhibit 3 I wanted to ask you a question
 6 first about a self-capacitance design.
 7 All right?
 8 **A. Sure.**
 9 Q. Now, if the diagram of a touchpad shown in
 10 Exhibit 3 were a self-capacitance design, if I
 11 understood what you said earlier, the maximum number of
 12 data values that I would get from such a design using
 13 this touchpad on Exhibit 3 would be essentially six
 14 values, three along the X axis and three along the Y
 15 axis; correct?
 16 **A. Right. Assuming you didn't do any kind of**
 17 **special driving or you didn't otherwise attempt to**
 18 **determine locations other than those caused by a**
 19 **summation along a trace, you would have three values in**
 20 **Y and three values in X.**
 21 Q. Now, if instead I used what we were talking
 22 about as a mutual capacitance design for the touchpad
 23 shown in diagram in Exhibit 3, instead of getting six
 24 values, I would get instead nine values, one at each of
 25 the -- one at each of the XY intersections; correct?

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1 **A. That's correct.**
 2 Q. All right. Now, taking a look at -- back at
 3 your report at what you've called figure 6 on page 9,
 4 can I tell just from looking at this whether this is a
 5 self-capacitance design as depicted here or a mutual
 6 capacitance design?
 7 **A. No.**
 8 Q. From which product or which specification was
 9 figure 6 on page 9 of your report taken?
 10 **A. Let me see where that -- I think that's a -- I**
 11 **think that's an example from a Cypress Semiconductor**
 12 **documentation of a particular example they're talking**
 13 **about.**
 14 Q. And do you know offhand whether it's a mutual
 15 capacitance design or instead whether it's a
 16 self-capacitance design?
 17 **A. Oh, their design?**
 18 Q. Yeah.
 19 **A. Not offhand. Probably -- no, I mean, but**
 20 **gauging by the fact that they're calling it a kind of,**
 21 **you know, sensing 101, it's probably the simple -- the**
 22 **simple case design, where you're summing the**
 23 **capacitance down into each of the trays in analog.**
 24 Q. And getting one value for each trace?
 25 **A. Right. That's probably the example, but the**

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1 **actual grid pattern doesn't matter. It's more about**
 2 **the electronics that get connected to it.**
 3 MR. BOBROW: Let's mark this as Exhibit 4.
 4 (DEPOSITION EXHIBIT 4 MARKED.)
 5 BY MR. BOBROW:
 6 Q. Is this the -- is Exhibit 4 the Capacitance
 7 Sensing 101 reference that you describe at the back of
 8 your summary of testimony?
 9 **A. Right. It appears to be the same document.**
 10 Q. All right. Now, in looking through this I may
 11 have missed this, but I didn't see what you've
 12 described as figure 6 on page 9 in here. Maybe you can
 13 help me with that.
 14 **A. Then this is probably not the same one.**
 15 **You're right. When I look through it, I don't see that**
 16 **figure, so it's probably not that one.**
 17 Q. Although when I look at page 8 of your report,
 18 I see that, indeed, there are figures that came
 19 straight from this.
 20 **A. Right.**
 21 Q. So do you have any idea then where you got the
 22 figure 6 that's at the top of page 9 of your report?
 23 **A. Looks like I got the wrong citation on it. Is**
 24 **it cited on the back side here? I'm not sure.**
 25 **Looks like it's a mis -- it's a miscitation.**

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1 **I don't know off the top of my head where it's from. I**
 2 **just used it as an illustrative example to illustrate,**
 3 **you know, an example of a pattern.**
 4 Q. Take a look now, if you would, at paragraph 22
 5 of your report in figure 7.
 6 Do you see what I'm referring to?
 7 **A. Right.**
 8 Q. And this is something that you say is showing
 9 three simultaneous touches, and it looks like there are
 10 some hills or mounds, as it were, on a piece of graph
 11 paper.
 12 Do you see what I'm referring to there?
 13 **A. Right. I see the picture.**
 14 Q. And I take it that from what you said earlier,
 15 that this graph figure 7 would have been generated
 16 using some type of what we were calling mutual
 17 capacitance technology, where you're looking at values
 18 of capacitance or change in capacitance at
 19 intersections along this XY grid?
 20 **A. Well, this particular illustration I found**
 21 **is -- I'm not trying to characterize how it was sensed,**
 22 **only that would be what would occur.**
 23 **In other words, that would be the change in**
 24 **capacitance over each of the unit areas shown in the**
 25 **grid on the surface if you had three touches on the**

1 **surface. Not necessarily what you would measure or**
 2 **process for signals but what actually is happening in**
 3 **the capacitance space.**
 4 Q. But --
 5 **A. But in other words, the chart says change in**
 6 **capacitance. It doesn't -- it's not talking about a**
 7 **physical device that may be connected to that.**
 8 Q. But given what's shown here, I would not be
 9 able to generate this graphic if I were only generating
 10 one value for each trace in the X direction and one
 11 value for each trace in the Y direction, would I?
 12 I couldn't generate what's depicted here?
 13 **A. No, because you have an overlap in one axis**
 14 **between the two touches. You would see -- well, you**
 15 **might be able to. I'd have to kind of think that one**
 16 **through carefully, because you're -- there's a little**
 17 **corner, I think, where they overlap.**
 18 **So you're not going to be able to see one**
 19 **corner of one of the --**
 20 Q. Right.
 21 **A. So in general you're going to be able to**
 22 **ascertain here, I believe, if I'm -- you're going to**
 23 **see -- depending on how you sense this, you're going to**
 24 **see kind of different views of it, and again, this**
 25 **diagram's showing what three touches would generate in**

1 **the capacitive field change.**
 2 Q. But my question is simply that this graph
 3 shown in paragraph 22, figure 7 of your report, you
 4 would expect that this graph would have been generated
 5 using the mutual capacitance approach whereby you're
 6 looking at capacitance or changes therein at
 7 intersections of these XY electrodes.
 8 That's what you'd expect; right?
 9 MR. MEDLOCK: Object. Form.
 10 THE WITNESS: If this -- this chart is not
 11 indicated to necessarily reflect a measurement, but if
 12 you were trying to make that measurement, then would
 13 you sense the capacitance at each of the grid locations
 14 or, you know, call them intersections, if you want,
 15 over the full array.
 16 MR. BOBROW: Let's mark this next Exhibit 5.
 17 (DEPOSITION EXHIBIT 5 MARKED.)
 18 BY MR. BOBROW:
 19 Q. Sir, you've been handed Exhibit 5 for
 20 identification. It's titled "Projected Capacitance
 21 Touch Screen Technology."
 22 Is Exhibit 5 the document from which you got
 23 figure 7 in your report?
 24 **A. It appears to be, yes.**
 25 Q. All right. Now, Exhibit 5 is a document that

1 was generated in or after 2008 you think; right?
 2 **A. I don't see dating immediately. If there's a**
 3 **location in the document that has it, you could save us**
 4 **time by directing me to it.**
 5 Q. In the first paragraph there's the -- the
 6 second sentence says that, "in 2008 the market research
 7 firm iSupply forecast that the worldwide market," and
 8 then it talks about sometime in the future.
 9 **A. Sure.**
 10 Q. You see what I'm saying?
 11 **A. It appears that this document -- yeah, that**
 12 **makes sense.**
 13 Q. Okay. So your expectation and understanding
 14 is that Exhibit 5 would have been generated in or after
 15 2008; right?
 16 **A. Right. I mean, that's likely to be the case,**
 17 **given that what's -- they're citing something in 2008.**
 18 Q. Now, were you aware of any touchpad
 19 technologies -- let me ask it a little bit differently.
 20 Were you aware of any capacitive touchpads in
 21 existence in 1996 or earlier that worked in the way
 22 that we just talked about for measuring capacitance?
 23 That is, a mutual capacitance approach which looks at
 24 the capacitance or change therein at each intersection
 25 at the X and Y electrodes.

1 MR. MEDLOCK: Objection. Form.
 2 THE WITNESS: Well, you're asking kind of a
 3 complicated question, which boils down to what I can
 4 remember of a broad field of technology in the mid
 5 1900s -- 1990s.
 6 MR. BOBROW: Correct.
 7 THE WITNESS: So the answer is, I haven't
 8 looked at that. I don't recall at the moment. I
 9 haven't been asked in this matter to go research what
 10 technology was present at that point in time, so I
 11 can't give you an answer about that particular issue at
 12 this point in time.
 13 BY MR. BOBROW:
 14 Q. Now, from the standpoint of somebody trying to
 15 design a touchpad, is it more difficult to design a
 16 capacitive touchpad that would allow for the reporting
 17 of data as shown in figure 7 of your report whereby you
 18 have essentially a grid of traces and you're looking at
 19 each XY intersection, would that be more difficult to
 20 design than a touchpad design that worked in the
 21 single -- or the self-capacitance way that we talked
 22 about earlier, where you're getting essentially one
 23 value from each trace?
 24 MR. MEDLOCK: Objection to form.
 25 THE WITNESS: It's not more difficult to

1 design. That wouldn't be true. And there are examples
 2 of full XY sensing -- and I think there's a -- your
 3 description of it there kind of jogged my memory.
 4 There's a mid '90s patent, I think, that's
 5 cited in one of these cases, the Boies, assigned to
 6 AT&T. It's B-o-i-e, no "S." I said "Boies," but
 7 it's -- I don't know how he says it. It's sort of
 8 "boy" or something.
 9 That is an example of a full sensing on a full
 10 grid, and I think that the technique there is a form of
 11 coupling. You just lay out an interdigitated pattern
 12 of traces and you've got the data.
 13 So I wouldn't characterize it as more
 14 difficult per se to make one or the other. They both
 15 have some engineering challenges, but I don't think
 16 there's a --
 17 BY MR. BOBROW:
 18 Q. Well, in paragraph 19 you mention that the
 19 simplest possible design would essentially involve
 20 getting one value per trace; right?
 21 **A. Right, but I'm giving an example here to try**
 22 **to -- a sort of tutorial or explanatory sense, and**
 23 **practitioner's, certainly by the point in time of the**
 24 **'90s, have dealt with all kinds of complicated cases**
 25 **even in the earlier sheet designs.**

1 **And so the notion that, you know, you're**
 2 **interpolating between scan lines or traces or you're**
 3 **sensing across a grid, that's pretty well-known across**
 4 **the breadth of XY input device technology.**
 5 Q. All right. So in your view, it would have
 6 been just as easy for a person of ordinary skill in
 7 1995 to design a self-capacitance touchpad as it would
 8 be to design the mutual capacitance touchpad, where
 9 you're looking at the intersections and processing the
 10 data accordingly?
 11 **A. Right, right. I think that both are within**
 12 **the knowledge of a person of ordinary skill in the art**
 13 **at the time.**
 14 Q. And would it also have been just as easy at
 15 the time to identify in 1995 the number of fingers that
 16 a person was putting on the touchpad away at that time?
 17 In other words, is it harder or easier
 18 depending on whether the case is self-capacitance or
 19 mutual capacitance?
 20 MR. DeBRUINE: Objection to form.
 21 THE WITNESS: I think they're different. I
 22 mean, I can't really give you an opinion on harder or
 23 easier, and at that point in time I'd have to kind of,
 24 you know, sit down and thinking about that.
 25 Because a lot of these things there's no

1 simple metric of harder versus easier, and harder
 2 versus easier doesn't -- it's a variable that doesn't
 3 necessarily -- that's hard to -- you know, you can't
 4 really say what's harder versus easier in some cases.
 5 BY MR. BOBROW:
 6 Q. Take a look at page 4 of Exhibit 5, which is
 7 describing figure -- what's called there figure 3, the
 8 figure that you put into your report. And it talks
 9 about multi-touch and gesturing and those being
 10 accomplished in software, et cetera, and then it says
 11 that those are very math-intensive and are
 12 time-consuming.
 13 Do you see what I'm referring to there?
 14 **A. Right.**
 15 Q. Would you agree with that statement that those
 16 sorts of features and processing those sorts of
 17 features are math-intensive and time-consuming?
 18 **A. Not necessarily, and in particular in light of**
 19 **what this guy's saying in 2008, I think that you've got**
 20 **to do a bunch of calculation in any of these cases.**
 21 **And I think that, you know, he's kind of**
 22 **pushing it -- maybe he's selling a controller. I have**
 23 **to look at what the purpose is behind the document, but**
 24 **I don't see that that's necessarily, I mean,**
 25 **math-intensive again, do you have to do math? Sure.**

1 **Is that computation time-consuming in the context of a**
 2 **modern processor as of 2008? No.**
 3 Q. All right. But back in 1995 your testimony is
 4 that the processing and the math and the design for the
 5 mutual capacitance touchpad would be just as simple as
 6 the design and the math of the processing for the
 7 self-capacitance design?
 8 MR. MEDLOCK: Objection to form.
 9 THE WITNESS: That's not what I said.
 10 BY MR. BOBROW:
 11 Q. I'm asking you now.
 12 **A. Right. No. I think that there's a change**
 13 **across in processor throughput, of course, and in cost**
 14 **per amount of processor throughput that's happened**
 15 **between those two points in time.**
 16 **I don't know that it's necessarily**
 17 **determinative of anything, but just taking those two**
 18 **statements as statements at that point in time and this**
 19 **point in time, processing's cheaper in 2008 than it was**
 20 **in 1998 or 1994 or 1993.**
 21 **So there's been a kind of steady, you know,**
 22 **decrease in the costs of mbPs per second, in**
 23 **microcontrollers, that is, in the type of controllers**
 24 **that might be present in the device, and on host**
 25 **systems.**

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1 **So, you know, there's no simple comparison,**
 2 **and, you know, I'd have to kind of think about it or**
 3 **dive into some particular fact cases to see how it**
 4 **worked out in the two points in time.**
 5 Q. Now, take a look again at Exhibit 5, which is
 6 again, the exhibit where you got the figure in your
 7 report, and you -- the paper points to these three --
 8 what did you call them? Hills?
 9 **A. They descriptively could be called hills or**
 10 **bumps. They're -- it's hard to describe words for the**
 11 **written record, but they're extending upwards from the**
 12 **base, and so in a way it would be analogous to if we**
 13 **had three little hills or mountains standing out in a**
 14 **plain, that could be a way of describing that.**
 15 Q. So if we call them hills, that would be okay,
 16 as you did in your report?
 17 **A. Hills is fine. Right. I mean, that's a word**
 18 **that's a way to describe them.**
 19 Q. Now, is there anything in this paper, Exhibit
 20 45, that describes those hills as finger profiles?
 21 **A. Well, I don't think so. I mean, I'd have to**
 22 **re-read it to see if he says anything about it, but I**
 23 **don't expect so.**
 24 **I don't see him using -- he or she, the author**
 25 **of this particular document, using that terminology.**

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1 Q. Earlier you mentioned a kind of material. I
 2 think you said indium tin oxide?
 3 Did I hear that correctly?
 4 **A. That's correct.**
 5 Q. Sometimes that's abbreviated ITO?
 6 **A. That's correct.**
 7 Q. Is that how it's abbreviated at least in the
 8 field of capacitive touch sensor?
 9 **A. Yeah, right. It's frequently just said ITO.**
 10 Q. And is ITO, or indium tin oxide, is that a
 11 common material from which to make the traces that
 12 we've been talking about in capacitive touch sensors?
 13 MR. MEDLOCK: Objection to form.
 14 THE WITNESS: Well, first off, I'm not a
 15 chemist, so in terms of its usage, if you are using
 16 transparent sensors, if you're trying to build a sensor
 17 which is transparent, then to the best of my knowledge,
 18 indium tin oxide is one of the -- sort of top preferred
 19 choice if not effectively the only choice for
 20 commercial reasons.
 21 If you're building a nontransparent sensor,
 22 then you have a much wider choice of materials, of
 23 course, because the reason indium tin oxide's popular
 24 is because it's conductive and transparent, and it can
 25 be sputter-coated, I guess, or evaporation coated onto

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1 the -- you know, in a vacuum onto glass or other
 2 materials.
 3 But if you're making a -- you know, a
 4 touchpad, then the conductors could be, you know,
 5 etched copper traces on a printed circuit card, and
 6 that's probably way preferable than indium tin oxide.
 7 So which is more common kind of depends on which
 8 application area.
 9 BY MR. BOBROW:
 10 Q. All right. Just a couple questions about
 11 that.
 12 Was it known in the art to use indium tin
 13 oxide as a -- to make these traces in capacitive
 14 touchpads in the mid 1990s?
 15 **A. Indium tin oxide was used in sheets way before**
 16 **that and, I believe, would be patterned also in that**
 17 **time frame. But again, I'm not -- I'd have to go look**
 18 **at who did exactly what. Nobody's asked me the**
 19 **question of go research the history of patterned indium**
 20 **tin oxide, ITO, layering, but it certainly exists in --**
 21 **prior to that in -- you know, in sheet film for sure.**
 22 Q. But I'm asking now about the traces. So let
 23 me change the time frame.
 24 What about the year 2000? Was it known by
 25 those skilled in this field that you could use indium

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1 tin oxide to make traces of the type we've been
 2 discussing in capacitive touchpads?
 3 **A. I don't know off the top of my head what the**
 4 **historic evolution of use of ITO in pattern form is. I**
 5 **don't know.**
 6 Q. You mentioned that ITO was transparent.
 7 **A. In general.**
 8 Q. Was that something that was known to those of
 9 skill in the art in the -- say, in the mid 1990s?
 10 **A. Oh, sure.**
 11 Q. And by "transparent," that means I can see
 12 through it with the naked eye or something else?
 13 **A. Well, in general, transparent means light goes**
 14 **through it.**
 15 Q. And is the idea that with indium tin oxide a
 16 human being who is trying to interact with a touch
 17 surface can then essentially see through the conductors?
 18 **A. Right.**
 19 Q. Is that right?
 20 **A. Right. That's the idea of a transparent**
 21 **conductor, that you can place it in front of a display**
 22 **and see through it.**
 23 Q. Right. So if I have the indium tin oxide
 24 traces because indium tin oxide is transparent, I can
 25 see what's behind it?

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1 **A. Right.**
 2 Q. And the idea is that I could either have a
 3 display behind it or I could have print graphics behind
 4 it or I could have other things that are behind it, and
 5 the presence of those traces will still allow me to
 6 view visually what's behind it; is that right?
 7 **A. Well, the material the traces are made of**
 8 **needs to be transparent if you're going to see things**
 9 **that are behind it.**
 10 Q. And I'm simply saying that indium tin oxide is
 11 a material that you could make traces from that allows
 12 you to see either printed patterns or displays or other
 13 things that are behind those conductors; is that right?
 14 **A. Yes, because it's conductive -- I mean, it's**
 15 **conductive and transparent.**
 16 MR. BOBROW: Believe it or not, why don't we
 17 take another break. Why don't we take our lunch break
 18 now.
 19 MR. DeBRUINE: Okay.
 20 THE VIDEOGRAPHER: We're going off the record
 21 at 11:58 a.m.
 22 (LUNCHEON RECESS TAKEN.)
 23 A F T E R N O O N S E S S I O N
 24 THE VIDEOGRAPHER: We're back on the record at
 25 12:48 p.m.

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1 MR. BOBROW: Let's mark this next in order,
 2 please.
 3 (DEPOSITION EXHIBIT 6 MARKED.)
 4 BY MR. BOBROW:
 5 Q. You've been handed Exhibit 6 for
 6 identification, which is just an enlarged view of
 7 figure 7-F1 from the '352.
 8 You're familiar with that patent, I take it?
 9 **A. Right.**
 10 Q. And you have even this figure before, albeit
 11 not as marked up; correct?
 12 **A. That's correct.**
 13 Q. And --
 14 MR. DeBRUINE: Just so the record's clear, it
 15 appears to be a blown-up copy of figure 7-F1 with a
 16 number of additional markings on it.
 17 MR. BOBROW: I'm getting there.
 18 MR. DeBRUINE: Okay.
 19 BY MR. BOBROW:
 20 Q. So as you can see on Exhibit 6, there are
 21 various letters that have been put onto the figure.
 22 Do you see that?
 23 **A. Yes, I do.**
 24 Q. All right.
 25 **A. They're circled -- written in red and circled.**

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1 Q. Indeed.
 2 **A. For the most part.**
 3 Q. Okay. So I wanted to ask you some questions
 4 about 7-F1 and add in some of these letters. In case
 5 we wanted to talk about particular features, we'd have
 6 a way to refer to them.
 7 Is that all right with you?
 8 **A. Sure.**
 9 Q. Okay. So why don't we start with what's
 10 called the X profile on the patent.
 11 Do you see that?
 12 **A. Yes, I do.**
 13 Q. All right. Now, in this X profile, this X
 14 profile is showing capacitance measurements along
 15 conductors arrayed along the X axis; is that right?
 16 **A. The conductors that would be represented by**
 17 **the X profile, I don't think they necessarily have to**
 18 **be conductors, but the measurements that are being**
 19 **represented are actual as seen from the X direction,**
 20 **but those -- if hypothetically they were, you know,**
 21 **kind of grid conductors exactly, they would be in the Y**
 22 **direction.**
 23 Q. Yes, they would be --
 24 **A. Perpendicular to the --**
 25 Q. To the X axis?

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1 **A. To the X axis.**
 2 Q. And so what this is showing is essentially the
 3 capacitance measurements on the traces or conductors or
 4 whatever the sensors are that are arrayed along the X
 5 axes and perpendicular to the X axis; is that correct?
 6 **A. That's correct, or the summation of values, if**
 7 **you had a full array of capacitance data, full array in**
 8 **X and Y, that would be the summation of one path with a**
 9 **constant X and a varying Y.**
 10 Q. Now, the patent for figure 7-F1 doesn't
 11 describe the X profile that way, does it, as being this
 12 summation along different points on the X axis?
 13 **A. I don't think so, but I'd have to read the**
 14 **text to look for -- you know, if you want me to go look**
 15 **for an exact description of it, I'd have to go look for**
 16 **it.**
 17 Q. Your understanding of what's shown in figure
 18 7-F1 was essentially what we were calling earlier the
 19 self-capacitance case where you had essentially one
 20 value that was being read per trace along the X
 21 profile; isn't that right?
 22 **A. I don't see it that way. I think you're**
 23 **mischaracterizing my view of what they're describing**
 24 **here.**
 25 **When we look at this picture, they're showing**

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1 **that the profile in X has that character, but that**
 2 **doesn't necessarily mean to me as a practitioner that**
 3 **that particular profile could only be created or is**
 4 **solely representative of a particular way of**
 5 **determining. That's a given as an example in the**
 6 **patent, but it could also be done, as I said, just by**
 7 **summing over the values along a given thing to**
 8 **establish what that profile was.**
 9 Q. Let me make sure I understand.
 10 For what is shown as the X profile in figure
 11 7-F1, will you agree that what is shown here represents
 12 one value per trace along the X axis?
 13 **A. No.**
 14 Q. So you're saying that what is shown of the X
 15 profile portion of figure 7-F1 could either be that or
 16 it could be a summation of discrete different values
 17 taken along the -- one of the -- one more of the X
 18 traces; is that right?
 19 **A. It would be a summation of one or more values**
 20 **along -- when you're saying "X traces," it's hard to**
 21 **know whether you're trying to say the traces that are**
 22 **parallel to X or the traces that are perpendicular**
 23 **to X.**
 24 Q. The ones that are being -- the ones that are
 25 perpendicular as we discussed before. The ones that

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1 are perpendicular to X and arrayed along X.
 2 **A. Right, but there's also not traces in the**
 3 **diagram. In other words, what this diagram is teaching**
 4 **or showing is not necessarily related to traces.**
 5 **Okay? We've talked about traces as a way of**
 6 **understanding these devices, but there's no traces in**
 7 **the picture, and when I -- just interpreting this**
 8 **profile, the profile is telling you more like what you**
 9 **would see if you took -- using the notion of when we**
 10 **say a profile of a person, if we took this kind of**
 11 **two-dimensional map -- so in other words, as a**
 12 **practitioner, I know this touchpad represents a**
 13 **two-dimensional map of hills, and the profile is**
 14 **representative of what it would look like looking up at**
 15 **those hills from one direction.**
 16 Q. Is the X profile shown in 7-F1 of the '352, is
 17 that a one-dimensional profile or a two-dimensional
 18 profile?
 19 **A. Well, looking at this diagram in front of me,**
 20 **and absent reading the whole patent to know if there's**
 21 **some comment made about this diagram, looking at the**
 22 **diagram you've presented to me that's on the table in**
 23 **front of us, the profile is a -- I mean, each -- there**
 24 **are two profiles, each of which represents capacitance**
 25 **along, you know, X and in one case in X in the others.**

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1 Q. So I'm asking about the X profile that
 2 represents a one-dimensional view of capacitance along
 3 the X axis, doesn't it?
 4 **A. Well, the amplitude of capacitance along a**
 5 **single axis.**
 6 Q. And you would agree that that's -- that what's
 7 shown there is one-dimensional. In other words, the
 8 amplitude isn't being shown on a Y axis. This is a
 9 one-dimensional X profile; correct?
 10 **A. I'm not sure your terminology "dimensional" is**
 11 **entirely accurate. You have an X axis and you have for**
 12 **each -- or for a subset of elements along there you**
 13 **have a value. So in other words, we have a function or**
 14 **a relationship between the amplitude of capacitance and**
 15 **the position in X which is shown in this profile.**
 16 Q. And for any of these points in the X profile,
 17 let's take D, for example, do you see that one?
 18 **A. Mm-hmm, yes, I do.**
 19 Q. All right. Now, that is shown -- D is shown
 20 along this X profile with respect to its position along
 21 the X axis; correct?
 22 **A. That's correct.**
 23 Q. And the -- it is not shown with respect to its
 24 position along the Y axis of the touchpad; is that
 25 right?

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1 **A. Right. The X profile is the profile looking**
 2 **from the X axis upwards in Y.**
 3 Q. Well, so are you saying then -- let me make
 4 sure I understand.
 5 For point D are you saying that the height of
 6 that is showing the height of a measurement along the Y
 7 axis of the touchpad? Is that what your testimony is?
 8 **A. It is showing -- what it's actually showing is**
 9 **the profile of the hills that are created by each of**
 10 **the fingers from the view of the X axis. So if we**
 11 **imagine for a second that these were real hills,**
 12 **there's a wave understanding what this graphics's**
 13 **representing, and we look -- the way they look on the**
 14 **horizon there through the window, their profile is what**
 15 **you would see as the line of the hill.**
 16 **So in this particular case that's what that --**
 17 **the X profile is the X profile. It's the view from X**
 18 **looking up across that array or two-dimensional set of**
 19 **data. There's no question data is two-dimensional. I**
 20 **mean, the fingers are two-dimensional intrinsically,**
 21 **and the touchpad clearly is a rectangular, you know,**
 22 **planar surface, so it has two dimensions.**
 23 Q. I don't think that answers my question, so
 24 please answer the question.
 25 My question is, is the height of the data

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1 shown in the X profile, is that a function of the Y
 2 axis or is the height a function of the capacitance
 3 being measured?
 4 **A. The height is the representation of the**
 5 **capacitance being measured.**
 6 Q. Okay. So the height of it, its position
 7 vertically in the X profile, is not a function of
 8 sensors or electrodes that are arrayed along the Y
 9 profile; correct?
 10 **A. It might be.**
 11 Q. Doesn't state --
 12 **A. This diagram doesn't tell you one way or the**
 13 **other about that.**
 14 Q. This diagram doesn't; is that true?
 15 **A. This diagram's not about that. I mean, this**
 16 **diagram doesn't show the particular sensing mechanism,**
 17 **and it doesn't show the arrangement of the electrodes**
 18 **per se.**
 19 Q. Let me ask --
 20 **A. Or how they're being driven.**
 21 Q. In your understanding, is the height of the
 22 data points, pick data point D in the X profile, is the
 23 height of it a function of the capacitance at that
 24 location along the X profile?
 25 MR. DeBRUINE: Objection. Asked and answered.

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1 THE WITNESS: Yes.
 2 BY MR. BOBROW:
 3 Q. Now, looking at point -- well, let me ask it a
 4 little bit differently.
 5 Looking at 7-F1, what is the first maxima, as
 6 that term is used in claim 1 of the '352 patent?
 7 MR. DeBRUINE: Object. Incomplete
 8 hypothetical.
 9 THE WITNESS: Well, there's --
 10 MR. DeBRUINE: Calling for a legal conclusion.
 11 THE WITNESS: There's -- the claim is talking
 12 about maxima, and there could be -- depending on how
 13 you look at it, which one you identify as the first
 14 one, there could be, you know, four different ones
 15 could be the first one. The different things noted on
 16 here could be D, F, A or C.
 17 BY MR. BOBROW:
 18 Q. Okay. So looking at the X profile, you're
 19 saying that the first maxima could be D or F; is that
 20 right?
 21 **A. Right.**
 22 Q. And that's simply a function whether you
 23 choose to read from left to right or right to left; is
 24 that right?
 25 **A. Which of D or F would be characterized as the**

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1 **first is which one, in essence, you choose to name as**
 2 **first.**
 3 Q. So if I choose to call D the first maxima --
 4 **A. Okay.**
 5 Q. -- then if I label it as that, then what is
 6 the minima following that first maxima according to the
 7 claim of the '352, claim 1?
 8 **A. Well, I don't think that the claim specifies**
 9 **what the minima is. The minima is what the minima is,**
 10 **which is if we look at this particular diagram you've**
 11 **notated, the minima following D would be E in that**
 12 **profile.**
 13 Q. Now, G is a -- represents a value that is
 14 lower than the value of E in terms of capacitance;
 15 correct?
 16 **A. Yes.**
 17 Q. And would you agree that D -- I'm sorry, that
 18 G follows D or does G not follow D?
 19 **A. G is -- again, depending on how we're**
 20 **describing this profile and where we start from --**
 21 Q. Well, we already started with D being the
 22 first minima, so with that in mind, would you please
 23 answer the question whether G is the minima following D
 24 or not?
 25 MR. DeBRUINE: Objection. Argumentative,

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1 incomplete hypothetical.
 2 THE WITNESS: If we're using that terminology,
 3 G is not the minima following it, but if we started
 4 from D searching for minima, the first one we would
 5 find would be E, and then the second one we would find
 6 would be the beginning of G.
 7 BY MR. BOBROW:
 8 Q. All right. The beginning of G is -- has a
 9 lower value than E, does it not?
 10 A. Yes, it does, but a minima doesn't have a
 11 connotation or a requirement that it be a global
 12 minimum.
 13 Q. Well, let me ask you.
 14 In this X profile, would you agree that G, the
 15 beginning of G, is the lowest value in the finger
 16 profile that occurs after D?
 17 A. It appears to be.
 18 Q. And doesn't -- isn't it true then that that
 19 makes it the minima following the first maxima?
 20 A. No.
 21 Q. Why not?
 22 A. Because as I said, the notion of the word
 23 "minima" does not -- in a dataset doesn't mean it's the
 24 absolute minimum.
 25 Q. So it needn't be the minimum? Is that your

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1 testimony?
 2 A. Right. It doesn't have to be a global minimum
 3 of a dataset.
 4 Q. So why is it that your -- it seems as though
 5 you're describing it as a global dataset?
 6 What do you mean by that?
 7 A. Well, if you take -- the use of the word
 8 "global" there is over the entire dataset. So if we
 9 have a dataset of points and we're -- and the
 10 terminology people who do this type of work write
 11 software or write code that crawls through collections
 12 of data, there's a difference between finding the
 13 minimum from the whole set of data or the maximum from
 14 the whole set of data and finding minima and maxima
 15 within that set. And I would suggest that one way of
 16 appreciating that is we did the same thing in ordinary
 17 language when we talk about topography.
 18 I mean, certainly we've all said "it's at the
 19 top of the hill," but there's only one top of the
 20 hill. Using the notion of a global, you know, maxima,
 21 if we're going to use it that way, there's only one top
 22 of the hill in the whole world.
 23 Q. Let me ask it a little bit differently.
 24 What is your basis for saying, if you are,
 25 that the beginning of G is not the local minimum with

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1 respect to D being the first maximum?
 2 A. Because when you're finding a minima in a
 3 dataset, you're looking for a place where there's a
 4 transition from decreasing data to increasing data. So
 5 by that test, if you look -- if I'm moving --
 6 increasing X from D towards E, I see that each
 7 successive step I take I get a decrease in value of my
 8 dataset.
 9 When I reach E, it looks like it stays
 10 constant perhaps for a little while, and then I now see
 11 an increase in value, and that algorithmically tells me
 12 I have passed the minima. The same way if you were
 13 driving or walking and you got to the bottom of a hill
 14 and then you started climbing back up the far side, you
 15 would know you reached the -- a valley or a minima
 16 point.
 17 Q. So to be a minimum, as you understand it for
 18 purposes of the claim, there not only has to be a
 19 transition from sort of -- from higher to lower, but
 20 then it has to go from lower to higher again; is that
 21 right?
 22 A. A minima in general in a dataset can have a
 23 transition -- it can remain constant. It can be from
 24 higher to -- you could have a dataset of which there
 25 was solely one value. Right? If we had a dataset that

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1 only appoints a value of 50, then clearly there's no
 2 separate minima or maxima, or alternatively, the minima
 3 equals the maxima to achieve points globally.
 4 However, if we have different combinations of
 5 profiles like we see here, or different sets of data,
 6 then you can identify a minima in my way, and again, I
 7 don't think this is part of the claim limitation per
 8 se, but just asking me to define a minima in a general
 9 sense as a practitioner would be when you're
 10 identifying in a dataset that you have either higher
 11 values proceeding and following you using this
 12 description of, you know, from left moving from left to
 13 right, or you might reach a minima like the situation
 14 as I said at the beginning of this section you've
 15 labeled G, where you see a decreasing set of data
 16 values and then you see a lower value that stays
 17 constant.
 18 Q. So that latter that you just described, where
 19 it's low and then it stays constant to the end, that
 20 can also be a minima?
 21 A. Right. That's analogous to a valley here and
 22 I'm looking out the window and enjoying the view of the
 23 flatlands around the bay, where if we came off the
 24 hills to the west, there's a lot flat section before it
 25 comes up back up again.

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1 Q. Well, given that understanding of a minima,
 2 why is it that the beginning of point G can't be the
 3 minima as described in the claim? That is, a minima
 4 following the first maxima?
 5 MR. DeBRUINE: Object. Mischaracterizes
 6 testimony, incomplete hypothetical.
 7 THE WITNESS: Well, first off, I don't have
 8 the claim in my head, so you're asking me to kind of
 9 say in comparison to the claim, so if we're going to
 10 get into like the claim as a whole it probably would be
 11 helpful to look at the claim in front manufacture me
 12 because I don't memorize claims.
 13 So if you want to ask me a question against
 14 the claim as a whole so it would be probably be a good
 15 idea that I could look at the claim.
 16 Q. This was marked as Exhibit 4 to the Von Herzen
 17 deposition.
 18 So you now have in front of you and you're
 19 leafing through the joint claim construction
 20 statement. The term from claim 1 that I'm interested
 21 in is the term identify a minima following the first
 22 maxima. And with figure 7-F1, please tell me whether G
 23 in the X profile shown in 7-F1, whether G is the minima
 24 following the first maxima.
 25 **A. In this particular diagram?**

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1 Q. Yes.
 2 **A. Okay.**
 3 MR. DeBRUINE: Objection. Incomplete
 4 hypothetical.
 5 THE WITNESS: Based on my understanding of the
 6 claim language and the idea of following and the
 7 purpose and understanding of -- the overall purpose of
 8 this patent as a segmentation, you are looking at this
 9 dataset in relation to its spatial orientation. So you
 10 would take the first maxima, and then you are looking
 11 for the maxima following that.
 12 So -- or the minima, pardon me. The minima
 13 following that. So G would not meet the requirement of
 14 following -- the minima following D because you would
 15 locate that minima. It would be the second minima, if
 16 you were following -- using that terminology following
 17 from the maxima at D.
 18 BY MR. BOBROW:
 19 Q. So based upon what you just said, E then is
 20 the minima that would correspond to the claimed minima
 21 following the first maxima; is that right?
 22 **A. In this particular example we're talking**
 23 **about, right.**
 24 Q. And that's because it's the one that you come
 25 to first, assuming that D is the first maxima?

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1 **A. Right. Since we're using the sort of order to**
 2 **describe the spatial relationship to it, then the**
 3 **first -- that is, we've identified D as the first**
 4 **maxima, and then continuing we would find that E is**
 5 **following that.**
 6 Q. Now, for --
 7 **A. As the minimum.**
 8 Q. Now for 7-F1, Exhibit 6, what's shown in the
 9 middle of the page are two fingers on the touchpad.
 10 Do you see that?
 11 **A. Yes.**
 12 Q. The finger on the left is higher than the
 13 finger on the right; correct?
 14 **A. Higher in the sense that it's located further**
 15 **up in Y if the XY origin's in the lower left, right.**
 16 Q. Now, let's assume that I reverse the position
 17 of the fingers.
 18 Okay?
 19 So instead of the left finger being higher
 20 than the right, I literally just switch them so that
 21 now the right is higher than the left.
 22 Okay? Do you have that in mind?
 23 **A. So for purposes of this kind of hypothetical**
 24 **we're saying that this -- do you mind if I mark it on**
 25 **here, your new hypothetical?**

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1 Q. Well, before we do that, let me see if you
 2 need to. So let me try to do through it again.
 3 I've got two fingers on the touchpad; right?
 4 **A. Well, you don't. The pictures shows two**
 5 **diagrammatic fingers on the touchpad.**
 6 Q. The left one is higher than the right one;
 7 correct?
 8 **A. Yes.**
 9 Q. I'm simply asking you to assume that the
 10 position of those fingers is reversed so that the
 11 finger on the left is moved down --
 12 **A. Okay.**
 13 Q. -- and is now at the height of the finger on
 14 the right and then the finger on the right is moved up
 15 to the former position of the finger on the left.
 16 **A. Right.**
 17 Q. Do you understand that?
 18 **A. Right. And they've stayed otherwise in the**
 19 **same location, so in essence, if I was -- I have to use**
 20 **two hands because my fingers are not that**
 21 **geometrically, but -- and I don't know if you can see**
 22 **that in the video, but -- so we're saying that we're**
 23 **making this change, and maybe you can capture that in**
 24 **the video, that we're changing from this particular**
 25 **finger orientation to this finger orientation.**

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1 Q. Exactly.
 2 **A. We haven't changed anything else.**
 3 Q. That's correct. That's the only thing that
 4 we've changed?
 5 **A. All right.**
 6 Q. Now, in that case, based upon how 7-F1 is
 7 described in the patent, would you agree that the
 8 reading on the X profile and the reading on the Y
 9 profile would look the same in that case?
 10 **A. Well, I don't have in mind how 7-F1 is**
 11 **designed -- you know, described in detail in the**
 12 **patent, so I can't -- as to that part of your question,**
 13 **I'd have to go read the patent and see how they**
 14 **described it. But if you want me to characterize it,**
 15 **I'd be happy to do so, but if you want me to**
 16 **characterize what's in the patent, then we've got to go**
 17 **look at the patent.**
 18 Q. Well, I want you to answer the question based
 19 upon your understanding of the -- of what's described
 20 in the '352, and all I'm simply asking is if I reverse
 21 the position of the fingers, left one is lower, right
 22 one's higher, all else equal, the X profile will look
 23 the same as it does and the Y profile will look the
 24 same as it does.
 25 Would you agree with that?

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1 **A. The X profile's unchanged because the X**
 2 **position of the fingers is unchanged. The Y profile,**
 3 **assuming that I then put them in the identical**
 4 **locations in Y but transposed, then the Y profile would**
 5 **remain essentially the same, too, at the first order of**
 6 **what we're talking about here.**
 7 Q. Now, if instead -- if instead I had a touchpad
 8 of the type shown in Exhibit 5, which you have in front
 9 of you, this was the article that was referenced in
 10 your report, if I were to generate something that looks
 11 like figure 3 of that article with these hills that we
 12 described, if I move the fingers, the hills would
 13 actually move as well, wouldn't they?
 14 **A. Well, the hills move here. It's just that the**
 15 **particular profiles of the hills in question, it**
 16 **appears from this kind of particular hypothetical,**
 17 **happen to be the same.**
 18 Q. My question is, looking at figure 3 of Exhibit
 19 5, if I were to move the fingers where the touchpad is
 20 being touched, the three hills would move in figure 3
 21 of Exhibit 5; correct?
 22 **A. Yes, in general.**
 23 Q. All right.
 24 MR. BOBROW: Let's mark this next.
 25 (DEPOSITION EXHIBIT 7 MARKED.)

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1 BY MR. BOBROW:
 2 Q. All right, sir. I have handed you Exhibit 7
 3 for identification. Sticking with the nomenclature we
 4 used earlier, I'll call this a diagram of a touchpad
 5 with the black thick line around the edge representing
 6 the edges of the touchpad. And you can see down in the
 7 lower left what has been marked in terms of the Y axis
 8 and the X axis.
 9 Okay?
 10 **A. Yeah.**
 11 Q. And what I'm asking you to assume here is that
 12 I have traces laid out along the X axis and traces laid
 13 out along the Y axis.
 14 Okay?
 15 **A. Okay.**
 16 Q. And there appear to be nine traces laid out
 17 along the X axis and six traces laid out along the Y
 18 axis.
 19 Do you have that understanding --
 20 **A. Yes.**
 21 Q. -- looking -- at this?
 22 **A. Yes.**
 23 Q. So if my math is any good, and of course it's
 24 not, that would suggest then, at least if I'm measuring
 25 the intersections of those, I'm going to get, nine

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1 times six, 54 data points; right?
 2 **A. That's correct.**
 3 Q. All right. Now, what we have done here is at
 4 each of those intersections we have put a numerical
 5 value.
 6 Do you see those numerical values at the
 7 intersections?
 8 **A. Yes, I do.**
 9 Q. And they range, it appears, from zero at the
 10 low end to 4 at the high end.
 11 Do you see that?
 12 **A. Right.**
 13 Q. Okay. So to begin then, now that we're
 14 oriented with that, do you see the point labeled A and
 15 the point labeled C?
 16 **A. Well, there's an A and a C, and it's not**
 17 **exactly clear which one they're meant to identify,**
 18 **but --**
 19 Q. All right. Let me ask you to assume that the
 20 A is meant to identify the point that it's a little
 21 closer to, I think, which is that point labeled 4, with
 22 slightly larger red circle than the ones adjacent to
 23 it.
 24 Do you see that?
 25 **A. For purposes of clarity, should we just draw**

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1 **an arrow?**
 2 Q. Okay.
 3 **A. So A you're representing points to 4?**
 4 Q. Yes, and the record should reflect that you've
 5 drawn an arrow from the capital A to the dot that has
 6 the 4 next to it; correct?
 7 **A. Right, because that's the point that you're**
 8 **telling me -- yes, I'm drawing it in response to your**
 9 **identifying the point.**
 10 Q. And so there's -- to make sure that there's no
 11 confusion on your part, why don't you then draw an
 12 arrow from the C to the dot next to the 4 that's by
 13 it.
 14 **A. All right. So C refers to this point that has**
 15 **a value of 4 that's now indicated here it.**
 16 Q. Okay. So now, again, back to the '352 patent
 17 claim 1 and Elan's and, as I understand it, your
 18 constructions of claim 1, as between the values at A
 19 and C, which one of those is the first maximum?
 20 MR. DeBRUINE: I'm going to object here on a
 21 number of grounds. It's incomplete hypothetical. It's
 22 calling for legal conclusion. This is well beyond any
 23 claim construction issue he's here to testify about.
 24 You're now asking him to apply the claim to a
 25 hypothetical infringement situation on, as I said, an

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1 incomplete hypothetical and something he has not seen,
 2 and frankly, I don't believe that that's what he's here
 3 to testify to. He's here to testify on what he was
 4 going to say in connection with claim construction, not
 5 some hypothetical infringement analysis.
 6 And you know, quite frankly, if you want to
 7 ask him some questions on topic, he's happy to stay
 8 here, but I'm not going to sit here and have the
 9 witness continue to go on and make hypothetical
 10 infringement analysis without more of an opportunity --
 11 well, I'm not going to have him do that at all because
 12 that's not what he's here to do.
 13 MR. BOBROW: Well, it's certainly not what I'm
 14 doing. What I'm doing is I'm trying to understand what
 15 his opinions are, and I think I can do that
 16 graphically, and that's what I'm going to do.
 17 BY MR. BOBROW:
 18 Q. So I wanted to ask you then, looking at
 19 Exhibit 7 and what is laid out there, you have offered
 20 opinions on what these terms mean, including "identify
 21 a first maxima in a signal corresponding to a first
 22 finger."
 23 As between A and C, which is that, according
 24 to your opinion and your understanding of that claim
 25 language?

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1 MR. DeBRUINE: I raise the same objections.
 2 It's an incomplete hypothetical. You're asking him to
 3 do an infringement analysis here. You're not asking
 4 him a claim construction question, and quite frankly,
 5 again, if you want to ask him questions about what his
 6 opinion is and the basis, that's fine.
 7 We're not here to, you know, take some
 8 abstract diagram that was created by counsel and
 9 decide, you know, whether or not without any of the
 10 necessary information that it would infringe the claim.
 11 BY MR. BOBROW:
 12 Q. Go ahead and answer the question, please.
 13 **A. Well, I think -- let's try reading the**
 14 **question back because there's a long break. So why**
 15 **don't we hear your question back.**
 16 **If you can read the question back.**
 17 MR. BOBROW: I'll just --
 18 THE WITNESS: Reask it?
 19 MR. BOBROW: I'll reask it. Counsel's
 20 objections are preserved, too, so we can speed this up
 21 a little bit.
 22 BY MR. BOBROW:
 23 Q. I'm simply asking, as between point A and
 24 point C, according to your opinions on the meaning of
 25 claim 1 of the '352, which of those is the first

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1 maxima?
 2 **A. Well, I don't think the claim language in any**
 3 **way relates really to that question directly. And let**
 4 **me explain my answer.**
 5 **Point A or point C, or when we look at this**
 6 **entire dataset, they are two equal maxima in that**
 7 **dataset, but that's something we're inferring just by**
 8 **looking at it, and whether one or the other is the**
 9 **first maxima at some level doesn't matter.**
 10 Q. All right. Well, let me then ask you about
 11 your opinions on the second term then, which is
 12 identifying a minima following the first maxima, and I
 13 believe your testimony is that your opinion is that
 14 means identify the lowest value in the finger profile
 15 that occurs after the first peak value.
 16 Okay?
 17 **A. Well, I'm not sure you're correctly**
 18 **characterizing my testimony, but go ahead.**
 19 Q. Well, that's the Elan proposed construction.
 20 Do you agree with that construction?
 21 **A. I agree with the construction, but again,**
 22 **you're making a kind of a complicated hypothetical**
 23 **question. Maybe you're losing me on your**
 24 **hypothetical.**
 25 Q. No, my -- I'm not even asking hypothetical.

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1 I'm asking is your opinion on the meaning of
 2 "identify the minima following the first maxima," is it
 3 your opinion that that means identify the lowest value
 4 in the finger profile that occurs after the first peak
 5 value?
 6 **A. Yeah, again, I don't -- now you're asking**
 7 **me -- if it is what it is in the joint claim**
 8 **construction, then yes, I agree with that phrase, and**
 9 **I'm going to check to see that you read the phrase.**
 10 **Right. "Identify the lowest value in the**
 11 **finger profile that occurs after the first peak value."**
 12 Q. Okay. So if we assume that A is the first
 13 maxima, what is the -- what is the minima following the
 14 first maxima according to your opinion on the proper
 15 construction of that term?
 16 MR. DeBRUINE: Same objections.
 17 THE WITNESS: Well, I think that part of the
 18 problem I have -- I have a problem with your
 19 hypothetical in that your hypothetical is trying to
 20 suggest that claim language is somehow applicable to
 21 data in the abstract. And let me try to explain my
 22 idea more fully first, because in a way, I don't think
 23 your question's answerable per se because I don't think
 24 the question is a fully logical question.
 25 If you're writing a claim which is describing

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1 an algorithm, that's not the same as the algorithm.
 2 The claim doesn't cause the behavior. The question is
 3 if there is an algorithm, does the algorithm meet the
 4 claim limitations. And again, we're a little far
 5 afield from construing the words in a claim, but even
 6 if we have a claim, say it's construed fully, the
 7 question isn't what does the claim do but does the --
 8 an action taken by, say, a piece of software firm or
 9 hardware meet the limitations of the claim.
 10 So you're trying to apply claim language to a
 11 set of data, so the answer has to be -- in other words,
 12 if -- the two don't go together. If you ask me to
 13 design an algorithm which searches through this data,
 14 it may or may not meet those claim limitations.
 15 Probably does. Let's say I choose to make one that
 16 meets that claim limitation.
 17 But the test isn't -- the claim is not an
 18 action per se but a description of an invention.
 19 BY MR. BOBROW:
 20 Q. Well, the claim is a method; correct?
 21 **A. Yeah.**
 22 Q. And that's a series of steps; correct?
 23 **A. In general.**
 24 Q. And one of those steps is identifying a first
 25 maxima in a signal corresponding to a first finger;

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1 correct?
 2 **A. No. And that's where your failure -- the**
 3 **failure of the logic of the questioning is, in that --**
 4 **in an actual algorithm with real data there's many**
 5 **cases where you could imagine where you might not meet**
 6 **the limitations of a claim. Right? Because there**
 7 **might be not be any maximas. There might be any**
 8 **minimas.**
 9 There could be a completely empty touch
 10 screen, and you execute the method that -- which would
 11 be one which is as set forth in the claim, but you do
 12 not, for one reason or another, meet the conditions of
 13 the claim because of the instantaneous character of the
 14 data, but that doesn't mean you don't meet the claim
 15 limitation at another time or in another execution of
 16 that.
 17 **And so that's why the construction doesn't --**
 18 **you're kind of putting the cart before the horse in**
 19 **your question.**
 20 Q. Let me ask it a little bit differently.
 21 You have in front of you Exhibit 7. I've
 22 asked you to assume that this is a touchpad that is
 23 designed to detect the operative coupling of multiple
 24 fingers to it, and you can see from the readings and
 25 I've asked you to assume those that those readings are

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1 essentially capacitance values, and you have the
 2 dataset in front of you.
 3 Assuming that point A is a first maxima in a
 4 signal corresponding to a first finger, what is the
 5 minima following the first maxima?
 6 Can you answer that question?
 7 MR. DeBRUINE: Objection. Asked and
 8 answered. Same objections.
 9 THE WITNESS: Well, again, taking -- this is a
 10 hypothetical set of data, and now I'm going to instruct
 11 construct a hypothetical algorithm that's similar to
 12 one disclosed in the patent. So the first thing I'm
 13 going to do is I'm going to sum over each column to
 14 generate a profile, just hypothetically.
 15 And those profiles, when I get them, will have
 16 different values across the column. So I'm going to
 17 just -- for my own benefit, the first column would be 3
 18 in this particular profile, 6, 9. 10 is the second
 19 column, and then 2, 3 is 5 plus 4 is 9 in the third
 20 column. And if someone sees a math error, please
 21 correct me.
 22 1, 2, 3, 4 in this column. And then in this
 23 column we've got a 2 and a 1. And this doesn't have to
 24 be done this way, but I'm just -- I've made an
 25 algorithm.

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1 And then 10 in this next one. 6 plus 5 would
 2 be 11 in this one. 8 in this one. 5 in this one.
 3 And then we'll do the other way, which would
 4 be 5 in this one, 6, 9, 10, 11 in this one. 4, 6, 7,
 5 9, 10, 11, 12, 13 in this one. 3, 4 and 2 is -- 6, 9,
 6 13, 19, I think, in that one, if I'm right. 11 in this
 7 one, and 4 in this last one.
 8 So -- and this is not an exhaustive means. In
 9 other words, we could have looked in angular directions
 10 or other directions, but I'm, for purposes of my own
 11 mental math, making it easy. And this is not
 12 necessarily a full explanation of it but an attempt to
 13 answer your question.
 14 So if we look at this particular profile and
 15 we hypothesize that the maximum point is the 10, then
 16 the first minima you encounter after this would be this
 17 4, which is in the -- I'll just mark it there as the
 18 indication. That's where the first minima would be or
 19 could be.
 20 Q. Well, is it the first minima or is it not the
 21 first minima?
 22 A. Well --
 23 MR. DeBRUINE: I'm going to object again.
 24 We're way off on the hypothetical. You're
 25 mischaracterizing his testimony. You're again trying

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1 to apply claim language to an incomplete dataset. And
 2 I'm giving you some leeway here, but I am -- I'm just
 3 going to stop this line of questioning if we can't get
 4 to his opinions on -- as expressed in his summary of
 5 testimony --
 6 MR. BOBROW: Mr. DeBruine, I'm --
 7 MR. DeBRUINE: -- of what he means the claims
 8 mean. I'm not here to waste everybody's time.
 9 MR. BOBROW: But you are.
 10 MR. DeBRUINE: Well, I am not.
 11 MR. BOBROW: So let's -- hold on. You've been
 12 going off -- hold on, hold on, hold on, hold on.
 13 You've been putting long, lengthy speaking
 14 objections on the record. Okay? That's not permitted,
 15 number one. So please stop. Please stop.
 16 Number two, I'm asking him questions designed
 17 so that I can get testimony on the record to understand
 18 the basis for his opinions which he's made here. I am
 19 not tied to the four corners of his report, period.
 20 And so I am asking him questions that are designed to
 21 get at his understanding of the claims on which he's
 22 opining, and I can do that through a graph. I can do
 23 it through any number of ways.
 24 Now, I'm not going to sit here and have you
 25 burden the record with long, lengthy speaking

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1 objections. If you have an objection to this line of
 2 questions, you can make it, but that's it, and
 3 otherwise, we're going to have to go to the magistrate
 4 judge and get some help on this, because it just can't
 5 keep up this way.
 6 MR. DeBRUINE: Well, we might have to
 7 because -- let's just -- let's do that. Let's just
 8 stop this hypothetical. It's well beyond what we're
 9 here. This is not -- you're having him apply -- you're
 10 having him do an infringement analysis, not claim
 11 construction. And let's -- you know, let's get to what
 12 we're here to talk about.
 13 MR. BOBROW: Well, I am doing that.
 14 MR. DeBRUINE: When it comes time for him to
 15 opine on infringement, he will give that report and you
 16 can take his deposition on that.
 17 MR. BOBROW: Well, that's -- I am asking him
 18 questions that are designed to understand the basis for
 19 his opinions and what he means by the words that he's
 20 chosen, and I think, therefore, that I'm certainly free
 21 to ask questions in this way and want to continue to do
 22 so.
 23 So either -- let's either proceed -- and I'd
 24 like to ask some questions in that regard. If you've
 25 got some issue with it and you want to shut this

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1 deposition down and allow us to then -- we'll move for
 2 sanctions for that, then we can do that. But I think
 3 you should permit me to go ahead and ask my questions
 4 on this exhibit, and if you have a problem with that at
 5 some later time and you don't think it's relevant, you
 6 can make those arguments. But right now this is an
 7 expert witness, and I'm asking for the basis for his
 8 opinions, and I'm allowed do that.
 9 MR. DeBRUINE: No, you're asking him something
 10 completely unrelated to any of the bases he's stated
 11 anything to do with -- you're giving him an incomplete
 12 hypothetical and asking him to do infringement
 13 analysis.
 14 MR. BOBROW: But an incomplete hypothetical --
 15 MR. DeBRUINE: He didn't do any of this sort
 16 of analysis. There's no indication that this was the
 17 basis for anything that he's stated with regard to how
 18 the -- what the claims are.
 19 MR. BOBROW: But that doesn't limit my ability
 20 to ask questions about it. I can ask him whatever
 21 questions that I want that are designed to lead to
 22 admissible evidence and that are related to his report.
 23 This is related to his report.
 24 MR. DeBRUINE: It's not related to his
 25 report. This is something you've created from whole

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1 cloth that you're asking him here to come up with
 2 brand-new opinions on something he's never seen before.
 3 MR. BOBROW: Regardless, that's something that
 4 I'm allowed to do. I don't need to simply put his
 5 report in front of him and ask him to parrot it back to
 6 me. I can ask him questions that are related to it,
 7 and this is. I'm trying to get his testimony on his
 8 opinion about what it means to identify a minima
 9 following the first maxima.
 10 All right?
 11 BY MR. BOBROW:
 12 Q. So I've asked you to assume, as an expert,
 13 someone who's been proposed as an expert in this case,
 14 that there's a first maxima that's defined by that
 15 point A, that's defined by the intersection of those
 16 traces that define that point which has the 4 and the A
 17 next to it.
 18 Okay? That's the first maxima.
 19 Do you understand that?
 20 **A. Yes.**
 21 Q. Okay. And it's defined by -- and I've
 22 measured that according to the intersection of those
 23 traces?
 24 Okay? In the X trace and the Y trace. Okay?
 25 Do you have that?

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1 **A. You're asking me okay to what?**
 2 Q. I'm asking if you understand that.
 3 Do you understand it?
 4 **A. I understand you perfectly well, sir. You**
 5 **don't need to be obnoxious.**
 6 Q. I'm not trying to be, and certainly you asking
 7 me that question is going in the wrong direction in
 8 obnoxiousness as well, so let's continue.
 9 MR. DeBRUINE: Tell you what. Let's just take
 10 a break and cool down.
 11 MR. BOBROW: No, no, no.
 12 MR. DeBRUINE: Yes, because --
 13 MR. MEDLOCK: No, the tempers are flaring.
 14 MR. BOBROW: Please. You're not of record
 15 here, sir.
 16 The question that I have is simply this: I
 17 wanted to know whether this witness understood what I'm
 18 saying by the number 4 as being a value that's being
 19 measured and sensed at that intersection of that X
 20 trace and Y trace. That's all I'm asking.
 21 THE WITNESS: Well, the diagram shows in this
 22 hypothetical, and I think there's no question about
 23 that, that you've noted that it has a value or a red
 24 circle with a magnitude of 4, and for our hypothetical
 25 we're assuming that that reflects a capacitance

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1 measurement taken at that location in this grid which
 2 somehow has a value of 4 equivalent hypothetical value.
 3 BY MR. BOBROW:
 4 Q. After all these objections, I was just trying
 5 to make sure that you and I are back on the same page.
 6 Okay?
 7 **A. That value -- and we've designated that value,**
 8 **just to make it clear, A.**
 9 Q. Fine.
 10 **A. Letter A associated with it.**
 11 Q. Good. Thank you.
 12 Now, what I'm trying to do is understand your
 13 opinion that this claim language in claim 1, the
 14 language about "identify a minima following a first
 15 maxima," means identify the lowest value in the finger
 16 profile that occurs after the first peak value.
 17 So my question to you is, in this array I'd
 18 like you to do that for me and identify the lowest
 19 value in the finger profile that occurs after the first
 20 peak value.
 21 **A. Okay. And I think we're going back to my**
 22 **previous response that -- as I noted before, the claims**
 23 **per se don't specify an algorithm. So I made an**
 24 **algorithm, which I then executed as if I was the**
 25 **computer, which computed from this array of data**

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1 profiles. I made a profile. I chose to do that. It's
 2 not inherent in the claim necessarily, but I chose to
 3 make a profile a particular way, which was aligned with
 4 the axes.
 5 And so I calculated a profile for X and I
 6 calculated a profile for Y, and hopefully my profile
 7 numbers are correct and I didn't make a math error, but
 8 assuming that I made the correct calculation and
 9 assuming the assignment or choice of the point A as the
 10 first maxima, then -- and assuming my algorithm works a
 11 certain way, a particular, you know, one of many ways
 12 it could work, then the following minima after the
 13 maxima, is my profile reads 3, 10, 9, 4, 5, 10, 11, 8,
 14 5 and increasing X.
 15 So if I start at the second value, which is
 16 10, which corresponds to the point A, then the minima,
 17 the following minima is 4, which is -- the 9 is
 18 decreasing, the 4 is the minima, and then it starts
 19 increasing again.
 20 Q. And why is that a minima? Why is the 4 the
 21 minima there?
 22 **A. Because as I described earlier, a minima means**
 23 **a value which is locally smaller than the values around**
 24 **it. So for this particular case, if we look at the**
 25 **value for this element that has a value of 4, the value**

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1 **to the one side of it is 9 and to the other side of it**
 2 **is 5. So it's less than -- 4 is obviously less than 9,**
 3 **and it's obviously simultaneously less than 5.**
 4 **So it would be a minima.**
 5 Q. All right. I think I understand. Thank you.
 6 Now, let me ask you to -- let me ask a little
 7 bit differently then. Let me ask you to assume instead
 8 of doing an algorithm where you sum all the values,
 9 that you don't sum them, that you simply look at these
 10 different values and you read them out.
 11 And so in that case, if I have 4 as my first
 12 maxima, what is the minima following the first maxima?
 13 MR. DeBRUINE: Objection. Incomplete
 14 hypothetical, calls for a legal conclusion.
 15 Again, you're sitting here asking the man to
 16 take your dataset and -- well, that's my objection, and
 17 if this continues longer, we are going to have to call
 18 the magistrate, because this is just a waste of
 19 everybody's time.
 20 THE WITNESS: In order to answer your
 21 question, again, the claim language per se doesn't
 22 specify the algorithm, and there's lots of ways you can
 23 generate the profiles and you can generate a set of
 24 profiles. I mean, a practitioner would know how to do
 25 that.

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1 So again, looking at this hypothetical, I'm
 2 not sure I can just come up with what would be the one,
 3 because I'd have to evaluate some algorithms, think
 4 about it and come up with a way to do that and then see
 5 if the claim met it.
 6 I mean, it's not the -- claim language doesn't
 7 make algorithms. You compare algorithms to claim
 8 language.
 9 BY MR. BOBROW:
 10 Q. All right. So let me ask you to assume then
 11 that the algorithm consists of two parts. One part is
 12 that I'm not going to sum the traces, but I'm just
 13 going to look at the intersection of points. So I'm
 14 not going to do what you did by summing up those values
 15 that you've written along the different axes.
 16 And the other part of it is that I'm going to
 17 identify the lowest value in the finger profile that
 18 occurs after the first peak value, which for purposes
 19 of the algorithm is point A.
 20 So with that in mind, what would be the minima
 21 following the first maxima?
 22 MR. DeBRUINE: Objection. Asked and answered,
 23 incomplete hypothetical.
 24 THE WITNESS: It depends which way you go. It
 25 could be -- I mean, it could be a lot of ways,

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1 depending which way you -- in other words, once you've
 2 taken out the specification of one particular way of
 3 doing it, then there would always be one, but in this
 4 example there would be a -- I mean, there could be a
 5 variety of them.
 6 BY MR. BOBROW:
 7 Q. All right. Let's take a look at the '352
 8 patent.
 9 Now, you have seen in the patent that there
 10 are any number of what the patent calls finger
 11 profiles; correct?
 12 A. Yes.
 13 Q. Including figures 3 and 4; correct?
 14 A. Yes.
 15 Q. All right. And then there are additional
 16 profiles in figure 7A, B, et cetera.
 17 Do you see what I'm referring to there?
 18 A. **The finger profiles are -- yeah, there's 7 --**
 19 **I don't know. I'm not sure there's one in 7A, but --**
 20 **7A just looks like fingers mostly, but 7B has some**
 21 **profiles. 7C has profiles. 7D has profiles. 7E has**
 22 **profiles. 7F-1 has profiles, and 7F-2 has profiles.**
 23 Q. All right. And would you agree with me that
 24 the profiles that are shown in the '352 are profiles
 25 that are taken along an axis, either the X axis or the

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1 X axis of the touchpad?
 2 A. **Yes, the example profiles that are shown in**
 3 **the drawings are on the X and Y axis.**
 4 Q. And are there any profiles that are shown in
 5 the '352 patent that are shown in a sort of
 6 two-dimensional XY matrix of the type that we saw in
 7 Exhibit 5 to your deposition, which has figure 3 in it
 8 from your report?
 9 A. **Well, a profile is -- a profile is a profile.**
 10 **I think I've said that before. A profile is a -- in**
 11 **essence, a view of data from one -- like a slice almost**
 12 **through it or from one direction.**
 13 **So the drawing we're referring to here, which**
 14 **is from --**
 15 Q. Exhibit 5.
 16 A. **Exhibit 5, is it? That has a two-dimensional**
 17 **diagram showing capacitance against a plane. That's**
 18 **not a profile.**
 19 Q. I see. Okay.
 20 So the figure you have in front of you, this
 21 figure 3 with the hills, that's not a profile?
 22 A. **No, that's a prospective view of a kind of**
 23 **two-dimensional set of data. It's not a profile.**
 24 Q. I see. All right.
 25 And so then if I've got this right, then the

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1 figures in the '352 patent show finger profiles that
 2 are taken on an axis, correct, either the X or the Y
 3 axis?
 4 **A. The pictures that we've just discussed in the**
 5 **patent show a particular way of profiles that happen to**
 6 **be made with perpendicularity to the two axes of X**
 7 **and Y.**
 8 Q. And the patent says that you can also take
 9 those profiles along other axes or other angular
 10 directions; correct?
 11 **A. Right. Let me -- I should probably try to**
 12 **find the exact wording what it says.**
 13 Q. It's at column 11.
 14 **A. Thank you.**
 15 Q. At lines 11 to 15.
 16 Do you see what I'm referring to there?
 17 **A. I do, but forgive me for a second here. I'll**
 18 **grab my glasses.**
 19 **Right.**
 20 Q. And so that paragraph, column 11, lines 11 to
 21 15 is saying that in the foregoing examples, we've
 22 identified maxes and mins in the X and Y directions.
 23 You could also do it along a diagonal or some
 24 other angular direction; correct?
 25 **A. Correct.**

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1 Q. So I could take a profile, for example,
 2 instead of in the -- along an X axis or Y axis, perhaps
 3 I could do it along a diagonal -- or diagonals through
 4 the pad as well; is that right?
 5 **A. Right, or really any arbitrary angular**
 6 **orientation through the dataset.**
 7 Q. Now, you -- we were talking earlier, looking
 8 at Exhibit 6, which is figure 7-F1, and we talked
 9 about, for example, the X profile there.
 10 Do you recall that?
 11 **A. Yes.**
 12 Q. Okay.
 13 **A. I was just trying to locate that exhibit**
 14 **here. It's somewhere in the --**
 15 Q. Looks like it's right here.
 16 **A. Ah. Thank you.**
 17 Q. And one of the things that I'm trying to
 18 understand in terms of your opinions in the case is
 19 what is being represented in these profiles.
 20 Okay? Such as the one shown here in the X
 21 profile? Okay? So that's the topic I want to come
 22 back to.
 23 All right?
 24 MR. DeBRUINE: Could I have that question
 25 back?

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1 MR. BOBROW: I'm just simply trying to orient
 2 the witness.
 3 BY MR. BOBROW:
 4 Q. I want to ask you some questions about what is
 5 being shown in the X profiles and the Y profiles,
 6 what's going on there.
 7 And if I understand what you're saying, that
 8 in the X profile there are shown various capacitance
 9 values; is that right?
 10 **A. We're back to figure 7 and the other profiles,**
 11 **and these profiles are representative or describing the**
 12 **capacitance value along the axis of the profile, which**
 13 **in this particular case happens to be aligned with the**
 14 **coordinate axis of the Cartesian coordinate system that**
 15 **we're talking about.**
 16 Q. And that Cartesian coordinate system that
 17 we're talking about, that would include an array -- for
 18 the X profile that would include electrodes or traces,
 19 I think we were calling them, arrayed along the X axis;
 20 correct?
 21 **A. Well, they're arrayed perpendicular -- the X**
 22 **profile would be constructed from traces that were**
 23 **perpendicular to the X axis and potentially,**
 24 **potentially they're coupling to traces on the Y axis.**
 25 Q. So is there anything in the patent that

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1 describes the values there being coupled to the ones on
 2 the Y axis, that that's what's being measured and shown
 3 here in the X profile?
 4 **A. I think the practitioners at the time were**
 5 **certainly aware that you could have that data as part**
 6 **of the dataset.**
 7 Q. That's not my question. My question is, is
 8 the patent describing the values in the X profile as
 9 being values that are dependent in some way on the Y
 10 values?
 11 **A. I didn't say dependent on the Y values, and**
 12 **you changed the question. So make sure --**
 13 Q. I'm asking -- I'm asking a new question.
 14 **A. Okay.**
 15 Q. I'm asking you whether there's anything in the
 16 patent that describes the values in the X profiles that
 17 are being shown as being in any way a function of or
 18 dependent upon the values of any of the traces in the Y
 19 axis.
 20 **A. I'd have to look.**
 21 Q. All right. Why don't you take a minute to
 22 look for that.
 23 **A. Okay. I'm not sure I can do it in a minute,**
 24 **but I'll do it as quick as I can.**
 25 Q. Let me ask you before you look.

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1 Sitting here now after the preparation that
 2 you went through for the deposition and after preparing
 3 your report, do you recall any instances in the patent
 4 where the patent describes the values in the X profile
 5 as being in any way dependent upon or a function of any
 6 of the values on the traces in the Y profile?
 7 Do you recall that?
 8 **A. No, but it may not be phrased the way you just**
 9 **phrased, and the -- I mean, the -- it's impossible when**
 10 **you're preparing for a depo to know every question the**
 11 **guy might ask. So you're not necessarily looking for**
 12 **the answer to that question.**
 13 Q. So let me ask another question, and then we'll
 14 see if you still need to look.
 15 Would you agree that when the patent is
 16 describing the profiles being generated from the X
 17 direction conductors in the sensor array, that it
 18 describes one value per trace and not multiple values
 19 per trace?
 20 **A. Again, you're asking me a very specific**
 21 **question about what's in the contents in detail of the**
 22 **specification of this patent, and it runs for multiple**
 23 **pages, and I don't have it memorized. So the only way**
 24 **I can accurately answer that question is go look.**
 25 Q. So as you sit here now, do you recall anywhere

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1 in the patent where it describes the values shown in
 2 the traces as being anything more than a single value
 3 from that trace? Do you recall that?
 4 **A. Again, you're asking me, you know, do I recall**
 5 **looking -- I don't recall the patents line by line, and**
 6 **if you want me to give you an accurate technical answer**
 7 **does the patent talk about that, then I'm going to**
 8 **look.**
 9 **If the question is do -- off the top of my**
 10 **head do I think it talked about that, you know, that's**
 11 **kind of wild speculation, and I can't really give you a**
 12 **do I -- you know, I don't know. I wasn't thinking**
 13 **about that at the moment, you know, I looked at it.**
 14 Q. All right. Are you familiar generally with
 15 the algorithms in the '352 patent?
 16 **A. Yes.**
 17 Q. Okay. And would you agree with me that in the
 18 algorithms that are shown in the '352 patent for
 19 detecting fingers, that the algorithm begins with an
 20 algorithm that determines the first maxima?
 21 Would you agree with that?
 22 **A. Again, you're asking me a line of, you know,**
 23 **questions about what exactly it says. You know, I'd be**
 24 **happy to look.**
 25 Q. But you can't answer that without looking; is

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1 that true?
 2 **A. I imagine it does, but again, you're trying to**
 3 **ask me, you know, when it describes the algorithm, and**
 4 **I can locate here in minute where it starts describing**
 5 **the algorithm and how they talk about it in the**
 6 **description.**
 7 Q. All right. Why don't you take a moment and --
 8 to see if you can answer that question based upon your
 9 review. And the question is whether the algorithm
 10 described as the first step identifying a first maximum
 11 before a minimum is being identified.
 12 **A. Okay. In the exemplary case, if we look a**
 13 **little bit about the algorithm in X, for instance,**
 14 **then -- in this example, then in fact, it starts**
 15 **looping an X, and if we turn to the flow chart, it**
 16 **tries to determine if the state is at a peak. That is,**
 17 **if we found a peak. And if so, then we set the state,**
 18 **and then we set the state next to looking for a valley.**
 19 **And if you reference figure 9-1, which is the**
 20 **description of this kind of X compute process, the**
 21 **first state you go into is you're looking for a peak,**
 22 **and then the next state you go into is you're looking**
 23 **for a valley, and then if you found that, you go, "Are**
 24 **we looking for a peak again?"**
 25 Q. Are there any algorithms described in the '352

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1 patent that do it other than looking for a peak and
 2 then a valley and then a peak?
 3 **A. There's -- I don't think so. I mean, I don't**
 4 **think there's a -- I mean, there may be a kind of a**
 5 **caveat that says then you can do it another way, but**
 6 **the actual set-forth algorithm is -- the example**
 7 **they're describing is that way of doing it.**
 8 Q. And is the idea behind peak, valley, peak,
 9 that sequence, to look for the first maximum and then
 10 the minimum and then the second maximum?
 11 **A. Right. That's the example they're giving.**
 12 **That's the way it's being done in that example.**
 13 Q. All right. Back to my other question.
 14 Can you tell me whether there's any
 15 description in the patent of identifying multiple
 16 values along any single trace along the X axis?
 17 Is there any description in there that that's
 18 what's being done in the patent?
 19 **A. Well, again, you're asking me anywhere, any**
 20 **way, and, you know, I haven't been asked to really look**
 21 **for that in a sense. So to the extent that, you know,**
 22 **somebody brought the question before me and said, you**
 23 **know, can I identify that, you know, if it was an**
 24 **enablement question or something, then I would go do**
 25 **analysis in a correct way.**

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1 **I don't think it's productive to sit here and**
 2 **spend the time to read through the whole thing to ask**
 3 **me to form an opinion of whether or not there's a**
 4 **disclosure of that or whether or not there's a**
 5 **discussion of it. I mean, I can if you want.**
 6 Q. All right. Well, I guess we've been through
 7 this before, but let me just confirm.
 8 So without looking at every word of the
 9 patent, you do not recall anywhere in the patent where
 10 it says that for any given X trace, multiple values are
 11 being derived from that trace?
 12 A. Well, it's in the scope of the knowledge of a
 13 practitioner, so, you know, it's something that the
 14 people knew how to do at the time. And so to the
 15 extent that practitioners know about it, in other
 16 words, the guy doesn't have to explicitly say it's
 17 something that people already know how to do.
 18 Q. Not my question, though. My question is
 19 whether it was said, and what I'm trying to understand
 20 is whether you'd need to read the entire patent to know
 21 that.
 22 A. I don't recall offhand whether the guy says,
 23 you know, you can sum down a trace. I just don't
 24 recall.
 25 Q. All right. Let me go back to the algorithms

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1 again then.
 2 Are there any algorithms that are described in
 3 the '352 patent that describe identifying two maxima
 4 and then after that identifying a minima?
 5 A. Well, you can identify -- I think one of the
 6 things they say is you can do it simultaneously. That
 7 is, you can identify -- it notes that you can do
 8 this -- this process can be performed sequentially in
 9 either order or concurrently.
 10 Again, I mean, the example they give is one
 11 particular example, and I haven't looked at this to
 12 think is there support for other ways of doing it. I
 13 mean, I think practitioners would know other ways to do
 14 at the time, but do they explicitly set an algorithm
 15 and say you can do it this way and do it that way? I
 16 don't know. I have to go looking.
 17 Q. So my understanding --
 18 A. We're not setting out an example like
 19 example -- first example, second example, where the
 20 second example is big, long description of that kind of
 21 scenario.
 22 Q. My question simply is, is there a description
 23 in the patent that you're aware of that describes an
 24 algorithm whereby you identify a maximum and then you
 25 identify a maximum and then you identify a minimum?

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1 Max, max, min.
 2 A. Again, I haven't looked at it in that way to
 3 see is that being disclosed. So I'd have to go do
 4 that.
 5 Q. All right. Well, why don't you review the
 6 algorithms and tell me whether or not based upon that
 7 review there's an algorithm described that -- for
 8 identifying a maximum and then identifying a maximum
 9 and then identifying a minimum.
 10 A. Okay. In the case where -- there's a
 11 description in numerous places of multiple fingers,
 12 including three. Clearly in the case when you have
 13 three fingers down you're going to have a different
 14 pattern of maxima and minima. You're going to have
 15 three maxima and two minima. And so it's likely that
 16 you will have found the answer to your question the
 17 second maxima before you find the third minima.
 18 Q. My question was whether or not there is an
 19 algorithm that describes identifying two maxima and
 20 then finding the minima. Max, max, min.
 21 A. I don't think it's set forth, but I mean, I
 22 don't see that as a -- that it's necessary to set that
 23 forth.
 24 Q. In the review that you did over the last few
 25 minutes, you didn't see that algorithm; is that true?

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1 A. I didn't see them describing explicitly
 2 saying, look, you can go out and identify all the peaks
 3 and then -- except to the extent they're saying you can
 4 do it concurrently or in parallel, where of course you
 5 inherently identify all the peaks and all the minima in
 6 the single action.
 7 Q. And where does it -- let's turn to that topic.
 8 Where does it say that you can essentially
 9 concurrently identify all the maxes and all the mins at
 10 the same time?
 11 A. Back up. Well, if you sense all the available
 12 points at once, then you know inherently you've
 13 detected all the maxima and minima at the same time.
 14 Q. And by doing that, have you identified them
 15 all at the same time?
 16 A. You could.
 17 Q. No, my question is, is the mirror detection of
 18 all those values at the same time, is that the same
 19 thing as identifying some of those values as maxima and
 20 other of those values as minima at the same time?
 21 A. Yeah, you could threshold them and do that,
 22 yeah, sure.
 23 Q. What does that mean?
 24 A. If you had a threshold level of the sort they
 25 talk about where they're looking at peaks and valleys,

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1 again, you're asking me could you or does -- part of
 2 what a specification discloses to a practitioner is
 3 different maybe than what it states on the surface
 4 because you come to with a body of knowledge in your
 5 head about how these things work.
 6 So clearly you could -- when you do the
 7 thresholding step, you could mark everybody above
 8 threshold and just call them peaks. Right? And
 9 anybody under is not a peak. I mean, you can certainly
 10 do that, and you'd have a sort of a flash of who all
 11 the peaks were.
 12 Q. And by doing that, does that identify the
 13 areas of the peaks that are the maxima and the areas of
 14 the peaks that are the minima when you do that?
 15 A. Well, it identifies the maxima. I think your
 16 question was on the -- and you could do the same thing
 17 for the minima. You could have knowledge
 18 instantaneously of all the maxima and all the minima.
 19 Q. So where was it in the patent, by the way,
 20 that you said that it was describing identifying all
 21 the maxima and all the minima simultaneously?
 22 A. Well, actually, what I --
 23 MR. DeBRUINE: Objection. Mischaracterizes
 24 his testimony.
 25 THE WITNESS: Yeah, I didn't say that.

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1 What I was noting was that -- several things.
 2 First, a practitioner would know you could sense
 3 simultaneously, which means you would have the profiles
 4 for the purposes of the discussion instantaneously.
 5 That is, they're not necessarily a process
 6 whereby you're doing that as a sequentially temporal
 7 process but just doing it.
 8 And I think they note here that you can do
 9 the -- in this particular sort of algorithm example
 10 even, that you could do it sequentially in either order
 11 or concurrent. The Y compute loop is performed
 12 similarly as noted above. Depending on the particular
 13 arrangement desired and the associated --
 14 THE REPORTER: Slow down.
 15 THE WITNESS: Sorry.
 16 -- and the associated hardware, the X and
 17 Y compute processes may be performed sequentially in
 18 either order or concurrently.
 19 BY MR. BOBROW:
 20 Q. All right. So you were reading at column 11,
 21 line 6 to 10?
 22 A. That's correct.
 23 Q. So is that paragraph that you just read,
 24 column 11, lines 6 to 10, is that saying that you
 25 identify the maxima and the minima all at the same

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1 time?
 2 A. Well, it's saying that you can perform them
 3 concurrently, and again, a practitioner when you're
 4 describing an algorithm, you're describing it for
 5 purposes of the concept. The practitioner knows
 6 there's lots of ways to code that thing.
 7 Q. And so is the answer yes, that that language
 8 in column 11, line 6 to 10 is saying that you can
 9 identify all of the maxima and all of the minima at the
 10 same instant in time?
 11 A. Well, again, it says the X and Y compute
 12 processes may be performed sequentially in either order
 13 or concurrently, and so if we look at these two
 14 processes that, in essence, you're taking I could do it
 15 sequentially or concurrently, and I think that to a
 16 person who's writing one of these algorithms, they
 17 don't necessarily -- there's no necessary constraint.
 18 I mean, you describe it one way, but don't have to
 19 necessarily compute it in the same way that the
 20 description of it is.
 21 Q. But the description of the X compute and
 22 Y compute processes that are being described in that
 23 sentence at column 11, lines 6 through 10, those
 24 processes describe a sequence whereby you first
 25 identify a max and then you identify a min and then

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1 you'd identify a max; right?
 2 A. Right, but again, I think that the algorithm
 3 is explaining a relationship between the parts of this
 4 dataset, and it's not intended in the way it's
 5 described -- we often describe even as algorithms in a
 6 temporal sense, but you don't have to do it in the same
 7 order that it's being described there.
 8 Q. But my question is, is that it in the patent
 9 it's described in the order I said; right? Max and
 10 then min and then max; correct?
 11 A. Right, because the example they've chosen,
 12 that makes sense for the example they've chosen.
 13 Q. And that's true for both the X compute and for
 14 the Y compute; right?
 15 A. Right.
 16 Q. So when it then says here that you can do the
 17 X compute and the Y compute, compute sequentially in
 18 either order or concurrently, that's still saying that
 19 you're going to first compute the max and then followed
 20 by the min and then followed by the next maximum;
 21 correct?
 22 A. It's giving you an example of that's one way
 23 to do it, right.
 24 See, I don't think that -- I think there's
 25 kind of a suggestion in the question that the algorithm

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1 described in the patent only informs the practitioner,
 2 gee, I've got to do it the same way. But I think to a
 3 software engineer reading that, it's more like
 4 describing the result, not the fact that I got to
 5 execute it in the same way.
 6 Q. So you're saying one of ordinary skill back in
 7 1996 when this patent was filed would read that
 8 paragraph in the context of the rest of the patent and
 9 read that as being a disclosure that you could
 10 instantaneously at the same time identify the maxes and
 11 the mins; is that right?
 12 A. No, my statement earlier was that a
 13 practitioner at the time would just flat know that
 14 already. He doesn't need to be taught anything by this
 15 patent to know that you could do that.
 16 Q. And is it your testimony that this paragraph
 17 in column 6, lines -- sorry. Let me start again.
 18 Is it your testimony that in column 11, lines
 19 6 to 10, that that paragraph is a suggestion or a
 20 description of doing that?
 21 In other words, is that saying to the
 22 practitioner, you should go ahead and calculate all the
 23 maxes and all the mins at the same time, at the same
 24 instant in time?
 25 A. Again, I think the practitioner already knows

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1 that he can do that, and so trying to characterize what
 2 this particular phrase meant, you know, this guy is
 3 just sort of describing another aspect of it, that you
 4 could do it in any order, could do it concurrently, you
 5 could do it in different orientations.
 6 I mean, he's kind of giving you the -- I don't
 7 think he's saying it's the only way you could do it.
 8 He's just saying you could do it other ways, too.
 9 Q. You mentioned a while ago that the patent was
 10 discussing how you could sense the values on the X
 11 traces and the Y traces simultaneously.
 12 Did I get that right?
 13 A. Well, I think my statement was that a
 14 practitioner would know you could and there's no
 15 inherent concept that you've got to, you know,
 16 sequentially read a set of data points off the surface,
 17 and I think there's a -- there's an example here that
 18 suggests if we have a multiplexor, that in fact you're
 19 sequentially reading them and selecting and reading
 20 them in a one-at-a-time kind of a fashion.
 21 Q. You're referring to figure 2?
 22 A. Figure 2 shows a multiplexor. But again, in
 23 the scope of what people know how to do this, there's
 24 no inherent reason you can't read this stuff in
 25 parallel.

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1 Q. All right. So let me try to break this down
 2 into smaller bits.
 3 So as you look at figure 2, you understand
 4 that to be a description of a situation where you would
 5 first do your sensing in the X direction and then do
 6 your sensing in the Y direction on -- is that right?
 7 A. No, not necessarily.
 8 Q. I thought you were saying that the presence of
 9 the multiplexor suggest that to you.
 10 Did I misunderstand you?
 11 A. Yes, you misunderstood me. A multiplexor
 12 suggests a -- a multiplexor is a device that selects
 13 from a variety of inputs to a single output. And so
 14 it's sort of schematically shown here by the fact that
 15 there's multiple lines coming in and one going out.
 16 And the idea being here that you have a single
 17 digital-to-analog converter and that you're, you know,
 18 converting one signal at a time.
 19 So in this particular case the multiplexor is
 20 going to read either one of the Y direction conductors
 21 or one of the X direction conductors at a time.
 22 Right? So you're -- and whether you go XYXYXY or YYY,
 23 XXX, or some arbitrary pattern is not relevant.
 24 Q. Is there some description in the patent which
 25 says that you would, as you were just saying, read

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1 these conductors at the same time and, you know, sense
 2 at the same time?
 3 A. Well, again, I mean, I'd have to go looking in
 4 detail to see if they're kind of saying you could do it
 5 that way or there's another -- the particular exemplary
 6 case they're showing, at least in this diagram if we
 7 look at it that way, is a case where you've got a
 8 multiplexor. And that was kind of a common choice
 9 because it cuts down on the number of circuit
 10 components you're having to do the conversion.
 11 So it's not an uncommon idea to multiplex, and
 12 you might multiplex over some subset of the traces
 13 because you might identify the region that was of
 14 interest.
 15 Q. But the presence of the multiplexor is then
 16 suggesting to you that you're reading these things out
 17 in sequence as opposed to doing them all at one time?
 18 A. Well, this drawing is showing a multiplexor,
 19 so the design you're seeing, kind of this example
 20 sitting in this picture, is where you're reading them
 21 sequentially over time.
 22 Q. And the question I have, and if you tell me
 23 that you need to read the whole patent to answer it,
 24 just tell me that, but the question I have for you is,
 25 is there a description in the '352 patent of sensing

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1 all of the X conductors and all of the Y conductors at
 2 the same time?
 3 **A. No, I'm going to give you the same answer I**
 4 **said a couple of times, which is I'll go look if you**
 5 **want, but I don't memorize the details of every**
 6 **particular query you might make about a particular**
 7 **patent.**
 8 Q. Okay.
 9 MR. DeBRUINE: Mind if we take a break? We've
 10 been going about an hour and a half.
 11 MR. BOBROW: Sure. Let's just finish up on
 12 this one topic, if we may, and then we'll take a short
 13 break.
 14 BY MR. BOBROW:
 15 Q. You had earlier talked about the concept of
 16 sensing these various conductors simultaneously.
 17 Do you recall a few minutes ago you talked
 18 about that subject?
 19 **A. No, but keep going.**
 20 Q. All right. Well, let's go at it this way
 21 then: Is sensing a conductor, is that the same thing
 22 as identifying whether there's a max or a min that
 23 corresponds to that conductor?
 24 **A. Not necessarily. Could be.**
 25 Q. And when you say "not necessarily," why do

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1 you -- what do you mean?
 2 **A. Because you could -- there's lots of different**
 3 **ways you could implement this type of device, and you**
 4 **could, for instance -- for each of the conductors you**
 5 **could detect the capacitance, you know, was coupled to**
 6 **it, the multiplexor or whatever, measure this**
 7 **capacitance that's present on it and then put them in**
 8 **an array and then compute based computationally on that**
 9 **data.**
 10 Or you could, if you wanted to, build yourself
 11 a circuit where some number of inputs were processed in
 12 parallel, and you could, for instance, have a row of
 13 comparators that if the capacitance crossed the
 14 threshold on that trace, you say, okay, I'm below the
 15 threshold. And in that hypothetical design you'd have
 16 kind of an instantaneous detection of, you know, things
 17 that cross the peak threshold, say.
 18 So there's different ways to do it. I guess
 19 I'm not -- we're kind of crossing between discussion of
 20 what ways could be done, what a practitioner might know
 21 looking at this, right, because a practitioner has a
 22 body of knowledge which is much greater than this
 23 patent. So they might look at that and say, "Oh, yeah,
 24 I can do it that way," or they would know a variety of
 25 design choices.

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1 Q. Is there -- let's finish up on this topic, and
 2 then we can take our break.
 3 Is there any description in the '352 patent of
 4 that type of technique that you described, where you're
 5 doing these things in parallel and you have these
 6 comparators and then you essentially at the same
 7 instant in time both measure the capacitance values and
 8 at that same time determine whether they're maxes or
 9 mins?
 10 **A. Again, he's not talking about that particular**
 11 **type of thing in his example here, so I doubt there's a**
 12 **direct kind of, you know, two paragraphs are saying,**
 13 **oh, you can do that way.**
 14 Q. "He" being --
 15 **A. "He" being the inventor -- "he" being Bissett**
 16 **or --**
 17 MR. BOBROW: Okay. Fair enough. Why don't
 18 take our break.
 19 THE WITNESS: Or Kasser.
 20 THE VIDEOGRAPHER: We're going off the record
 21 at 2:17 p.m. This marks the end of tape No. 2.
 22 (RECESS TAKEN.)
 23 THE VIDEOGRAPHER: We're back on the record at
 24 2:33 p.m. This marks the start of tape No. 3 in the
 25 deposition of Robert Dezmelyk.

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1 BY MR. BOBROW:
 2 Q. Sir, in the summary of your testimony and
 3 opinions, Exhibit 2, you make reference in there in the
 4 context of the '352 patent to something called a data
 5 structure.
 6 Do you recall that?
 7 **A. Right. Let me find it. If you know the**
 8 **location we're talking about here, that would probably**
 9 **be helpful.**
 10 Q. Paragraph 27 as it runs over to page 12. And
 11 you talked about having X values and Y values as sort
 12 of a data structure.
 13 Do you see what I'm referring to there?
 14 **A. Okay.**
 15 Q. So can you tell us just in general terms what
 16 you mean by a data structure?
 17 **A. Well, the general ideas of a data structure,**
 18 **as software engineers we frequently have to organize**
 19 **data and memory. So we term that organized group of**
 20 **data a data structure. So for instance, an array is**
 21 **data structure, where you have a set of**
 22 **one-dimensional, two-dimensional, three-dimensional**
 23 **array.**
 24 Sometimes a data structure has a more complex
 25 form, but it's, you know, like a combination of --

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1 might be a data structure could be the X value, the Y
 2 value, you know, the time you gathered that information
 3 and two other variables which are held and kind of
 4 considered together. That's the terminology behind it.
 5 Q. Now, in the '352 patent, is there a data
 6 structure that stores the X values, the values
 7 associated with the traces along the X axis?
 8 A. Yeah, I believe so. I think they actually
 9 describe it here. Forgive me. I need my glasses, but
 10 they actually name an array X, capital X, and
 11 there's -- I mean, there's a lot of data structures,
 12 but that's probably the one you're referring to.
 13 Q. So tell me, you looked like you were looking
 14 at the column of bottom 8.
 15 Is that right?
 16 A. That's correct. Yeah, bottom of column 8
 17 there's a little table that explains some of the
 18 variables they're using in this kind of example
 19 algorithm.
 20 Q. And what there are you calling the data
 21 structure?
 22 A. Well, the term "data structure" would apply
 23 to, you know, various combinations of this data, but as
 24 an example of what a data structure could be, it would
 25 be -- you know, it could be an array like this array of

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1 X information there or it could be, you know, some
 2 other kind of data structure. I mean, collection of
 3 data elements.
 4 Q. When you're referring to the array of X
 5 information, are you referring to the one labeled X,
 6 parentheses N, closed parentheses, which stores values
 7 in memory of the finger-induced portion of capacitance
 8 that's measured on each conductor?
 9 A. Right. That's an example of a data structure.
 10 Q. Are there described anywhere in the '352
 11 patent a data structure that stores values for the X
 12 conductors and values of the Y conductors in the same
 13 data structure?
 14 A. Well, again, I'd have to look all through the
 15 thing to see if there's some description of that, and I
 16 don't think there's necessarily -- you may have an
 17 array named X, but the data structure could just as
 18 well encompass the X array and the Y array.
 19 Q. I'm asking is there any such data structure
 20 that encompasses values from the X conductors and the Y
 21 conductors at the same time?
 22 A. Well, I think I just said that, but I'll say
 23 it again. There's no inherent reason that the array of
 24 Xs that's listed here and the obvious arrays of Ys that
 25 correspond to them wouldn't together form a data

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1 structure.
 2 Q. That's not my question. My question is, is
 3 there a description in the '352 patent of a data
 4 structure that stores X values and Y values in the same
 5 data structure? That's my question.
 6 A. Oh, okay. But then again, we're going to be
 7 looking through, and I'll be happy to do so.
 8 Q. Please do.
 9 A. Well, if you direct your attention to figure
 10 5, sheet 4 of the patent, if you look at the start of
 11 the algorithm, the first step 410 says, "scan
 12 conductors store in RAM," and at that point presumably
 13 the result of that scan is stored in a single data
 14 structure, and it says "store in RAM."
 15 And then when it describes that, if we turn to
 16 the section of the patent which talks about that, it
 17 says, "Referring still to figure 5" --
 18 Q. Where are you reading, please?
 19 A. This would be column 7, line 34.
 20 "Referring still to figure 5, the cyclical
 21 process begins at step 400 and continues at step 410 by
 22 scanning the conductor sensors. The sensors may be
 23 scanned sequentially or concurrently depending on the
 24 hardware implementation. The scan process measures the
 25 values of finger-induced capacitance for each of the

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1 conductors and stores the values in RAM at step 420."
 2 And then it goes on to do the X and Y compute
 3 loop on that data in RAM. And then, you know,
 4 subsequently the elements in there, of course, are
 5 identified. You've got the X portion of that data, and
 6 you've got the X portion, but to the extent that you
 7 would call that a common data structure, you know, the
 8 flow chart identifies it. It says go grab them and put
 9 them in RAM.
 10 And the notion of a data structure and, you
 11 know, how connected they are is kind of a, you know,
 12 fluid and perhaps tenuous scope of discussion. You
 13 could say that, you know, they're next to each other,
 14 so they're together. They're part of the same data
 15 structure.
 16 You might store them, you know, lots of
 17 different ways, but when you're talking about them or
 18 when you're describing them, it's probably not material
 19 to this whether or not they're stored in one array, two
 20 arrays, one-, two-dimensional array. They're all
 21 stored in memory together.
 22 Q. Is there -- thank you.
 23 And is there a description of the '352 patent
 24 of taking the X values and taking the Y values and then
 25 operating on those at the same time together to

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1 identify maxes and mins?
 2 **A. Well, I guess that section that would touch on**
 3 **that is the part that we discussed previously. 11,**
 4 **starting at line 6, after a big description of the sort**
 5 **of X variation of this algorithm, the X part of it, it**
 6 **says, "The Y compute loop is performed similarly as**
 7 **noted above, and then depending on the particular**
 8 **arrangement desired and the associated hardware, the X**
 9 **and Y compute processes may be performed sequentially**
 10 **in either order or concurrently."**
 11 **So you could then presumably operate in X and**
 12 **Y concurrently.**
 13 Q. But in the X compute that's described, that
 14 algorithm is only being performed -- that processing is
 15 only being performed on X values as described in the
 16 patent; correct?
 17 **A. Right. It's described first for one profile**
 18 **then the other.**
 19 Q. Right.
 20 **A. And it explains you can do them kind of in any**
 21 **order or concurrently.**
 22 Q. Right. And by "concurrently," that means I
 23 can run those -- I can run the X compute algorithm and
 24 the Y compute algorithm at the same time; right?
 25 **A. Well, that would be one form of concurrency.**

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1 **You could also algorithmically execute it by --**
 2 **concurrently. I mean, that is, you could have an**
 3 **algorithm that looped in X and Y. I mean, there's no**
 4 **inherent reason that you -- concurrency can mean --**
 5 **maybe I'm making too fine of point of it.**
 6 **Concurrency can mean concurrency in execution**
 7 **instruction, meaning I have a dual-core processor, for**
 8 **instance. Or it could mean concurrency in the sense of**
 9 **a software algorithm which does some process which**
 10 **intermixes the both of them.**
 11 Q. And does the X compute algorithm that's
 12 described in the patent, does that intermix the X and Y
 13 values or instead just using the X values?
 14 **A. No, the example given for X does X.**
 15 Q. I understand.
 16 And when you read that part that says that the
 17 Y compute works similarly, did you understand that to
 18 mean that for that algorithm that that's an algorithm
 19 that's operating on the Y values and not on the X
 20 values?
 21 **A. Right. In other words, that once you've**
 22 **described the nature of this algorithm, you can clearly**
 23 **apply it to the other profile, and you can understand**
 24 **also that you could arrange your profiles differently**
 25 **and then operate in a similar fashion on other**

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1 **profiles.**
 2 Q. And are you then saying that that phrase that
 3 says that you can -- that the X and Y compute processes
 4 may be performed sequentially in either order or
 5 concurrently, where it says "concurrently" there, that
 6 one of ordinary skill in the art in 1996 would
 7 understand that to mean that you can put these X and Y
 8 values essentially together and operate on them
 9 together to identify maximums and minimums?
 10 **A. Well, putting it together is a kind of a vague**
 11 **term, but you have the X values and the Y values, and**
 12 **whether you had -- let's take the case of the examples**
 13 **here of the profiles.**
 14 **You could, kind of using the software**
 15 **terminology, chew through both datasets simultaneously**
 16 **if you wanted to, but there would be no inherent reason**
 17 **not to do that. Or you could intermix them, or there**
 18 **might be reasons why you wanted to do that.**
 19 **So, I mean, I don't see -- and now applying,**
 20 **you know, a 1996 mind-set, there is no reason that you**
 21 **could not do them in some kind of intermixed concurrent**
 22 **fashion.**
 23 Q. And in that intermixed concurrent fashion,
 24 would you be operating on values -- we were looking at
 25 grids before -- where you'd have, you know, X1, Y1, X1,

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1 Y2, X1, Y3, as it were, are you saying that that would
 2 be the kind of concurrent operation that you do such
 3 that you would take X values and Y values and operate
 4 on them so that you would find values at the
 5 intersections of those?
 6 **A. Well, that's kind of a difficult question to**
 7 **answer in that you're asking me to sort of characterize**
 8 **a hypothetical algorithm from the past, which -- I**
 9 **mean, there could be a lot of them, and it's certainly**
 10 **possible that a programmer seeing development at that**
 11 **point in time would say, okay, I want to go through,**
 12 **and assuming we're going to stay with this kind of**
 13 **profile case, that I'm going to work on one profile at**
 14 **a time or I'm going to work on both profiles at once so**
 15 **I come out with an answer in one pass-through as**
 16 **opposed to, you know one pass at X and one pass at Y.**
 17 **But how you do this or -- it's hard to**
 18 **characterize that as mixing them or combining them**
 19 **because you might be doing it in a lot of different**
 20 **ways. You might -- depending on what you're starting**
 21 **with.**
 22 **If you were starting with a full set of**
 23 **points, that is, an XY array, there would be a lot of**
 24 **ways that you could slice profiles through there, and**
 25 **you'd be more likely, given that dataset, to look at a**

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1 broader set of, you know, profiling choices and maybe
 2 more likely to do them together.
 3 Q. And is that described in here, or are you
 4 saying that one of ordinary skill might know that you'd
 5 be able to do that?
 6 A. Well, what I was saying is that one of
 7 ordinary skill in the art would know that you could do
 8 a variety of algorithms of that sort.
 9 This particular one doesn't go into those
 10 particular algorithms. It only sets forth a kind of
 11 simplistic case that's sufficient, I think, to explain
 12 the idea but doesn't try to say all the possible
 13 variations of how you could implement it.
 14 Q. When you said "this one" in your last answer,
 15 you meant the '352 patent?
 16 A. I think -- I'm sorry. I don't recall my exact
 17 sentence, but I think that the "this" I was referring
 18 to would be the particular example algorithm that was
 19 used in the '352 patent.
 20 Q. Let me ask you to turn back to figure 2. You
 21 testified briefly about this before. There is a box
 22 there that's labeled "analog multiplexor," and it's
 23 labeled 45.
 24 Can you tell me what the function is of that
 25 analog multiplexor 45 in this patent?

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1 A. Well, yeah, let me find where they cite to it
 2 first and see what the context of how they're talking
 3 about it is.
 4 Okay. I would direct you to -- probably the
 5 best place to explain it would be column 5. Let's
 6 see. It goes to, like, maybe line 27 after the
 7 business about the other patent with the simultaneous
 8 sensing, and it says the rows and columns are connected
 9 to an analog multiplexor 45 through a plurality of X
 10 direction conductors and a plurality of Y column
 11 direction conductors 55, one conductor for each row and
 12 each column.
 13 "Under the control of a microcontroller 60,
 14 the analog multiplexor selects which traces of the
 15 matrix will be sampled, and the output of those traces
 16 is then provided to a capacitance measuring circuit."
 17 And then they go on to describe some other
 18 ways in which people, you know, measure capacitance or
 19 cite to, I guess, a patent which describes that.
 20 So the analog multiplexor's role here is to
 21 select which of the conductors you're measuring the
 22 capacitance along that trace in this particular
 23 implementation.
 24 Q. All right. Any other functions that that
 25 multiplexor 45 performs besides that one?

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1 A. I have to take a quick scan.
 2 I don't see any at the moment. I mean, that's
 3 its principal role certainly.
 4 Q. Can you think of any other function that it
 5 performs besides that principal function?
 6 A. That is set forth here?
 7 Q. In the patent, yeah.
 8 A. I mean, I don't see one.
 9 Q. There's another part of figure 2. It's
 10 labeled 70. It's called "Circuit to measure changes in
 11 capacitance of sensor conductors."
 12 Do you see that?
 13 A. Right.
 14 Q. What is the function of that circuit, circuit
 15 70 in figure 2?
 16 A. Well, 70 is basically, as it's set forth --
 17 again, I direct you to column 5 and about 45. It
 18 converts capacitance values from a circuit 70 -- well,
 19 the output of 70 is the input -- 70's basically giving
 20 you, you know, kind of capacitance to voltage. In this
 21 case it looks from A to D it's capacitance to voltage.
 22 And as we talked about before, there's
 23 circuits -- there's a variety of circuits which will
 24 give you a measured signal based on the amount of
 25 capacitance that's presented on a conductor connected

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1 to that.
 2 This particular one, I was using the RC
 3 oscillator example before. Since this is, you know,
 4 being connected to an A to D converter, more likely
 5 it's some circuit which gives you an analog voltage
 6 level output that's proportional to the capacitance
 7 present on its input conductor.
 8 Q. And are there any other functions that
 9 measuring circuit performs besides that one?
 10 A. Well, it's -- I mean, in the broad sense all
 11 of these components are part of the total functionality
 12 of the device. In other words, their presence and
 13 their operation is how you determine if you have
 14 contact at all. Ultimately they give the data that
 15 lets you determine location of the fingers, you know,
 16 how many you have, whether they're touching.
 17 So in the broadest description of their
 18 function, they're necessary for the operation of the
 19 device. In particular definition of what does it do,
 20 that's -- it serves the purpose. As it says, it
 21 measures the changes in capacitance in the sensor
 22 converters.
 23 Q. And what about the analog-to-digital converter
 24 box 80? What's the function of that?
 25 A. Well, again, in the narrow sense it does what

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1 **it says it does. It takes an analog signal and**
 2 **converts it to a digital value so you can then process**
 3 **that in firmware in the microcontroller.**
 4 Q. What values are those that it's converting
 5 from analog to digital?
 6 **A. It's converting, in this example here, the**
 7 **value of capacitance of the selected conductor -- the**
 8 **value generated -- the analog value generated by 70,**
 9 **this capacitance measuring circuit, for the particular**
 10 **selected conductor or trace that you've selected with**
 11 **analog multiplexor at that point in time, and it's**
 12 **converting that value into a digital representation.**
 13 Q. And then --
 14 **A. In the broad sense, again, it's part of the**
 15 **whole functionality of the sensing chain. Without it**
 16 **you're not going to have a functional device.**
 17 Q. Take a look, please, at column 11. Around
 18 line 16 there's a paragraph that begins by saying in
 19 effect that the preceding part of the patent was
 20 describing ways of detecting a plurality of fingers, et
 21 cetera.
 22 Do you see what I'm referring to there?
 23 **A. I see the paragraph, yes.**
 24 Q. All right. And then it then says that there's
 25 a second portion of the invention.

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1 Do you see what I'm referring to there?
 2 **A. In the second half of the paragraph.**
 3 Q. Yeah. And then you've read the remainder of
 4 the patent, have you not?
 5 **A. Yeah, I've read the entire patent. I mean,**
 6 **obviously I don't memorize it, so let me see what this**
 7 **paragraph's about.**
 8 **Okay. I understand what the second half --**
 9 **the second half, just to make it clear, says, "A second**
 10 **portion of the invention involves using the**
 11 **previously" -- there's a typo in the patent. It really**
 12 **means using the previously described or previous**
 13 **detection methodology. It says "previously detection**
 14 **methodology to perform various cursor moment and**
 15 **control functions similar to those well known to users**
 16 **of electronic mice and trackballs."**
 17 Q. And then the remainder of the patent there are
 18 discussions of things like dragging and tapping and two
 19 finger taps and three finger taps and that sort of
 20 thing; correct?
 21 **A. Right. That's not -- probably not the soul of**
 22 **it, but there's a significant discussion of that type**
 23 **of thing.**
 24 Q. Right. And so what that part of the patent is
 25 focused on, the remainder of column 11 and column 12

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1 and 13 and the like, I mean, that's focusing on various
 2 kinds of cursor control functions like tapping and
 3 dragging, and there was one called "ink."
 4 Do you understand that?
 5 MR. DeBRUINE: I object to the fact you're
 6 using claim language from another patent to describe
 7 what's in this patent, but ...
 8 BY MR. BOBROW:
 9 Q. Go ahead.
 10 **A. Well, I guess it's not simple to try to**
 11 **characterize the remaining body of the patent. I mean,**
 12 **obviously the claims are at the end of it, but apart**
 13 **from the claims, which are also part of the**
 14 **specification, there is -- there's a whole section of**
 15 **text in here, and I don't think I can give you a**
 16 **two-sentence summary of what this section's about.**
 17 **It's part of the whole description of the invention,**
 18 **and it may -- you have to read the whole thing in kind**
 19 **of totality.**
 20 Q. Sure. But you've read it in totality?
 21 **A. Yes.**
 22 Q. And I'm simply asking you whether described in
 23 this part of the patent are various kinds of cursor
 24 control operations like tapping and dragging and double
 25 tapping and three-finger tapping --

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1 MR. DeBRUINE: Same objection.
 2 BY MR. BOBROW:
 3 Q. -- as part of what's described.
 4 **A. Well, again, if you want me to go through the**
 5 **list of every single one of those and check that it's**
 6 **there, I can. In general, one of the things that's**
 7 **covered in this section of the patent is gesture**
 8 **mapping and things of the sort of drag or**
 9 **multiple-finger gestures.**
 10 **But that's not what -- probably the sole**
 11 **amount of what's in there.**
 12 MR. BOBROW: Let's mark this next.
 13 (DEPOSITION EXHIBIT 8 MARKED.)
 14 BY MR. BOBROW:
 15 Q. Sir, you've been handed an article from
 16 Robotics Research. We've marked that as Exhibit 8, and
 17 first of all, if you can take a quick look at this just
 18 to let me know whether you've ever seen this article by
 19 R.S. Fearing in Robotics Research from June of 1990
 20 before.
 21 **A. I've seen it. I only took a kind of cursory**
 22 **look at it.**
 23 Q. Did you take a look at it in connection with
 24 your work on this matter?
 25 **A. Yes.**

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1 Q. I'd like you to take a look at -- it's
 2 internal page 9, which is -- has a production number on
 3 it, APEL0007549.
 4 Do you see what I'm referring to there?
 5 There's a figure that's called "Figure 9 superposition
 6 of two loads on finger."
 7 **A. Yes, I see the figure.**
 8 Q. All right. And as part of your review you saw
 9 this figure before; is that true?
 10 **A. Yes, but I'm going to have to kind of look at**
 11 **it a bit to see what it's referring to at this point.**
 12 Q. All right. Why don't you take a moment. I
 13 think that there's a discussion of it that begins on
 14 page 8 under 3.2, "Superposition," and goes on to --
 15 goes on from there, onto page 9.
 16 **A. Okay. I've had a chance to look it over**
 17 **quickly.**
 18 Q. All right. Thanks.
 19 So in this graph on page 9 there appear to be
 20 two axes, one called deflection and one called tactel
 21 position.
 22 Do you see that?
 23 **A. Yes, I do.**
 24 Q. And there appear to be -- from this figure
 25 there appear to be two probes, is that right, probe No. **182**

1 1 and probe No. 2?
 2 **A. That's correct.**
 3 Q. All right. And in the figure there appears to
 4 be a graph here, a -- two hills like we talked about
 5 before, or two peaks. There's a first peak on the
 6 left, and then moving toward the right there looks like
 7 there's a valley and then another peak.
 8 Do you see what I'm referring to?
 9 **A. Yes, I do. There's actually three -- there's**
 10 **three different sets of data graphed together there.**
 11 Q. And one set of data is looking at both the
 12 probes; correct?
 13 **A. Right. I believe it's the -- it's a little**
 14 **hard to tell, but it looks like kind of a dashed line**
 15 **represents the combined data that you get when you push**
 16 **both probes down against the sensor.**
 17 Q. And moving left to right, that combined line
 18 that looks like it's somewhat dashed appears to have a
 19 first maximum, and then there's a trough or a valley at
 20 the low point, and then there's another maximum at the
 21 second peak; correct?
 22 **A. That's correct.**
 23 Q. All right. Now, when you look at this figure,
 24 now, by virtue of the fact that I've graphed this, the
 25 data here in this way in this article, by doing this **183**

1 graphing and plotting it in this way, is that
 2 identifying a first maximum followed by a minimum
 3 followed by a second maximum?
 4 MR. DeBRUINE: I'm going to object that it
 5 speaks for itself. Again, you're asking him to apply
 6 claim language to a hypothetical device, one he's said
 7 he's not familiar with, one that has not formed any
 8 basis of his claim construction position. And again,
 9 we're getting well off the reservation as far as what
 10 we're here to talk about, and it is getting late on a
 11 Friday afternoon.
 12 MR. BOBROW: Go ahead.
 13 THE WITNESS: Well, and assuming I could --
 14 assuming I fully understand your question, let me try
 15 to explain first a little bit what this is.
 16 This is a graph that shows deflection. It's a
 17 force sensor. It's a device that detects the pressure
 18 on a set of locations along it. It's got a deformable
 19 material, and underneath that -- and it's measuring the
 20 deformation of, in essence, a spring when a force is
 21 applied to it.
 22 Due to the nature of this compressible
 23 material, there's going to be a spreading of the force
 24 in that it's not like we're pressing down on a row of
 25 pins next to each other, but we're pressing down on **184**

1 like the table top. So we get a force spreading.
 2 So what they're graphing is deflection versus
 3 position. When they poke into the top -- and sorry for
 4 gesturing, but there's no other way to indicate the
 5 structure.
 6 If this is the top of the sensor and we poke
 7 it here and we poke it here, we poke both, then there's
 8 an expectation that the summation of the forces would
 9 appear in the both case if the force sensor is working
 10 correctly.
 11 And this graph shows, to some extent at least,
 12 it's a little hard to characterize it in a mathematical
 13 or accurate sense, but in a general sense at least it
 14 shows the summation of the two forces. And like any
 15 line that has -- you know, that moves from left to
 16 right that has a certain shape to it, the mountains in
 17 the background have that, the papers on the desk
 18 probably have that, you can look at it as a human and
 19 say, okay, you know, I see a couple peaks, and I see a
 20 valley in between.
 21 And so this particular graph does have two
 22 peaks and a valley in between them.
 23 BY MR. BOBROW:
 24 Q. And the peaks in terms of the Y direction,
 25 that's measuring the deflection; correct? **185**

1 **A. Right, it's measuring the -- it seems to be**
 2 **some kind of percentage deflection. It's probably**
 3 **normalized against the original or something, but in a**
 4 **general sense it's the amount of deflection underneath.**
 5 Q. So the higher the peak, the more the
 6 deflection?
 7 **A. Right, right.**
 8 Q. And so by virtue of plotting deflection versus
 9 position in this way, is doing that identifying the
 10 maximum deflection and then the minimum deflection and
 11 then the maximum deflection?
 12 **A. Well, the act of plotting it per se doesn't.**
 13 Q. Well, does the act of generating the data that
 14 is then going to be put onto a plot, is that an
 15 identification -- or does that identify the maximum and
 16 then the minimum that follows and then the maximum that
 17 follows that?
 18 **A. No. I mean, you could do that as a part of**
 19 **the collecting of data or you could not do that. I**
 20 **mean, the act of collecting the data alone doesn't**
 21 **necessarily constitute a step of identification or --**
 22 **it's kind of almost a metaphysical question, but you**
 23 **probably could not identify the peak without gathering**
 24 **the data. I think it would be logical to assume that**
 25 **without the data there's no dataset.**

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1 **So if we're trying to ascertain the**
 2 **contribution of kind of each step towards a final**
 3 **result, then before you have some information to**
 4 **process or otherwise search through or try to identify**
 5 **features in, you have to have the data.**
 6 Q. Well, looking at this figure 9 on page 9 of
 7 Exhibit 8, in looking at this plot, can you explain why
 8 it is that the graph of deflection versus position here
 9 with the peaks and valleys that are shown, why is it
 10 that that does not identify a first maximum followed by
 11 a minimum followed by a second maximum?
 12 Why isn't this an identification of the
 13 points?
 14 **A. Again, that's kind of a metaphysical**
 15 **question. The graph itself -- let's make it very**
 16 **clear.**
 17 **The graph itself was an image on paper, and in**
 18 **and of itself without us looking at it, of course, it's**
 19 **meaningless. But when we look at it as humans and**
 20 **particularly technically-trained humans with a certain**
 21 **terminology in mind, then we can, using our mental**
 22 **processing power, say, look, that's a -- you know,**
 23 **that's a peak, that's a valley and that's a peak.**
 24 **The same thing is true in -- you know, now**
 25 **we're going to sound like our questioning is going off**

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1 **into philosophy or metaphysics or something, but the**
 2 **same thing is true with topology in general.**
 3 **I mean, you know, it sounds silly to even say**
 4 **this, but, you know, does a hill or a valley exist if**
 5 **you're not observing it? Right? And clearly in these**
 6 **cases you have to gather the data, and then you have to**
 7 **make some analysis of the data. It just so happens we**
 8 **as humans can look at this picture and say, "Oh, yeah,**
 9 **I see a peak and I see a valley."**
 10 **Human vision's exceptionally well skilled at**
 11 **detecting features.**
 12 Q. In the context of the '352 patent, which has a
 13 method whereby you identify a first maximum and then
 14 you identify a minimum and then you identify a second
 15 maximum, and obviously I'm paraphrasing there, but in
 16 the context of identifying those three things, would
 17 you agree with me that this figure 9 on page 9 of
 18 Exhibit 8, by virtue of having measured, collected the
 19 data and then graphed the data in the way that it does
 20 identifies a first maximum point of deflection, and
 21 then it's followed by a minimum point of deflection and
 22 then a maximum point of deflection thereafter?
 23 **A. No.**
 24 Q. Why not?
 25 **A. Because again, the graph doesn't identify**

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1 **anything. The graph sets forth a set of data, and that**
 2 **identification process is something that we can do**
 3 **looking at it or a software algorithm could do faced**
 4 **with the set of data. If it was given that set of data**
 5 **and applying a signal processing procedure on it, you**
 6 **could, in fact, make that type of determination, but**
 7 **the graph in and of itself doesn't do anything of the**
 8 **sort.**
 9 Q. So what would you need to do to the data that
 10 has been graphed in figure 9 of Exhibit 8 in order to
 11 identify the first maximum and the minimum thereafter
 12 and the next maximum as you've interpreted the words of
 13 claim 1 of the '352 patent?
 14 **A. Okay. That kind of a complicated**
 15 **hypothetical.**
 16 **If we -- the best way for me to answer that is**
 17 **say that this profile from a completely different type**
 18 **of sensor has an amplitude corresponding to position,**
 19 **and as such, it has the same general character as a**
 20 **function as the X profile that we talked about**
 21 **earlier. And so you could apply the disclosures of the**
 22 **'352 patent, and you could apply the same type of**
 23 **algorithm disclosed there to any series of data, and**
 24 **you would then locate -- you would have a result of**
 25 **finding the peaks and the minimums.**

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1 **You would -- you could -- if you used the same**
 2 **algorithm that's disclosed in the patent and fed it**
 3 **data from other sources, then like most algorithms, it**
 4 **would be expected to have similar behavior. In this**
 5 **case, this particular example data is very similar to**
 6 **the profile data we talked about earlier.**
 7 **So if we fed in the same numerical quantities**
 8 **into the algorithm, we would get a very similar result,**
 9 **probably an identical result if the numerical values**
 10 **were identical to the ones fed in the example case.**
 11 Q. When you say "the example case," you mean, for
 12 example, figure 7-F1 where -- looking at the X profile
 13 with the two peaks and the valley in between?
 14 **A. Right, right. But that's simply true with any**
 15 **set of numerical data which has the same shape,**
 16 **although, of course, what it meant would be completely**
 17 **different, but -- or perhaps it would be completely**
 18 **different.**
 19 Q. Well, back to the '352 patent then.
 20 For the X profile case where you've got shown
 21 graphically along the X profile a first peak and then
 22 there's a valley thereafter and then another peak,
 23 isn't it true that the patent tells us that very peak
 24 structure by itself is identifying and indicating the
 25 presence of two fingers?
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1 **A. Well, I think the patent is telling us how we**
 2 **can apply techniques or a set of techniques or a**
 3 **technique to ascertain how many fingers are present**
 4 **given that nature of type data. In other words, a**
 5 **method for doing so.**
 6 **I mean, the exemplary example is a particular**
 7 **example of how to determine in this instance if you**
 8 **have two fingers being present.**
 9 Q. So if I have the data that's shown in figure 9
 10 of Exhibit 8, why is it that I need to run some sort of
 11 algorithm on that to determine the first maximum
 12 followed by the second minimum followed by the second
 13 maximum to identify those maxes and mins?
 14 Why do I need to do that --
 15 **A. Well --**
 16 Q. -- for purposes of claim 1?
 17 MR. DeBRUINE: Vague, incomplete hypothetical.
 18 THE WITNESS: Yeah, I'm not sure that question
 19 makes an awful lot of sense, but --
 20 BY MR. BOBROW:
 21 Q. Well, let me try again.
 22 We have figure 9 of claim 1. It has a
 23 particular shape. It's plotted on certain axes. As
 24 you described, it has a shape that's similar to, say,
 25 profile X -- X profile in figure 1 of the '352 patent.
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1 Is it your testimony that I need to put this
 2 data through some sort of algorithm and process it
 3 further in order to, quote/unquote, identify the first
 4 maximum, identify the minimum and identify the maximum
 5 in order to meet claim 1 of the '352 patent?
 6 MR. DeBRUINE: I've got to go back to the
 7 objection that we got into earlier. You're now asking
 8 him to take some incomplete hypothetical, some research
 9 paper and say whether or not it meets the claim
 10 limitations of the '352 patent.
 11 Again, that is not why we're here. All
 12 right? He did not rely on this particular article in
 13 arriving at any of the opinions that he's expressed.
 14 Whether or not you can read the '352 patent on
 15 this or that you can use the '352 patent in some way in
 16 this data is completely irrelevant to his understanding
 17 of what the claim terms mean, and if we continue down
 18 this path, we are going to have to talk to the
 19 magistrate. Because again, I've given you a lot of
 20 latitude here, but if you have questions about his
 21 claim construction opinions, you've got three more
 22 patents we haven't even talked about, and it's getting
 23 late.
 24 BY MR. BOBROW:
 25 Q. Go ahead.
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1 **A. Well, I'm going to try to summarize the**
 2 **question as I understand it, because it was kind of**
 3 **complicated question and I think it's -- if I'm wrong,**
 4 **then I'm sure I'll get corrected.**
 5 **But you're asking me in a way to apply claim 1**
 6 **of the '352 patent to the scenario described in page 9**
 7 **of Fearing, this superimposition of loads diagram. And**
 8 **I guess I've got to say for starters that Fearing is**
 9 **not a touch sensor of the same sort. This is kind of a**
 10 **completely different contraption.**
 11 **What it is is a robot gripper, and here the**
 12 **sensors on the fingers and the loads are external**
 13 **physical objects. So we're kind of in a completely**
 14 **different world where claim 1 has nothing do with this**
 15 **graph, because when we start here, we've got -- I mean,**
 16 **the claim says, "a method for detecting the operative**
 17 **coupling of multiple fingers to a touch sensor," but in**
 18 **this case the sensor, this pressure sensor contraption,**
 19 **is part of the finger, and the finger belongs to the**
 20 **robot.**
 21 Q. But that isn't my question.
 22 **A. Well, no, you asked me to apply the claim to**
 23 **it, so I guess what I --**
 24 Q. No, I didn't. No, I didn't.
 25 What I'm trying to do is understand what your
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1 interpretation of the word "identify" means in the '352
 2 patent, and I'm trying to understand your testimony
 3 about why it is that a graph of the type shown on page
 4 9 of Exhibit 8, figure 9 on page 9 of Exhibit 8, why
 5 that doesn't identify maxima and minima. That's what
 6 I'm trying to understand.
 7 **A. Okay.**
 8 Q. So earlier you had talked about processing
 9 algorithms and things like that, and I'm trying to
 10 explore that.
 11 So what I'm trying to understand is, when
 12 you're talking about what it means to identify a first
 13 maxima, for example, in the '352 patent, what specific
 14 things do I need to do to identify something as a first
 15 maxima?
 16 And related to that is, why isn't the graph
 17 and the data shown in figure 9 of Exhibit 8, why
 18 haven't I identified a first maxima by graphing the
 19 plot in that way? That's what I'm trying to
 20 understand.
 21 So with all of that, my question to you, sir,
 22 is, what do I need to do beyond collecting and graphing
 23 touch data in order to, quote/unquote, identify a first
 24 maxima?
 25 What more do I need to do?

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1 **A. Okay.**
 2 MR. DeBRUINE: Objection. Incomplete
 3 hypothetical, hopelessly compound, and I also would ask
 4 you not to cut the witness off. Let him finish. Your
 5 last speech started before his answer was complete.
 6 THE WITNESS: Okay. So hypothetically you
 7 have a graph, and to put it in more particular terms,
 8 there's a drawing on a piece of paper. That drawing by
 9 itself does not identify anything. As a matter of
 10 fact, that drawing is meaningless until someone or
 11 something perceives it.
 12 So if we -- this drawing had never been shown
 13 to a human being, then it probably serves no purpose
 14 whatsoever, and I would suggest if we hold the graph up
 15 in front of my pet dog, it has no effect whatsoever.
 16 He certainly doesn't know where the peaks are. Or if
 17 he does, he cannot communicate it to the rest of us.
 18 BY MR. BOBROW:
 19 Q. Okay. But I assume your dog doesn't have
 20 ordinary skill in the art.
 21 **A. May I continue my --**
 22 Q. Yeah, but I don't want us to get sidetracked
 23 on dogs and showing things to dogs and trees falling in
 24 the forest.
 25 I want to understand if a person of ordinary

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1 skill is looking at graphed data, and there's a
 2 description of what the data is and a description of
 3 what is being graphed and there's a peak and there's a
 4 valley, why doesn't that identify, in your
 5 understanding, for purposes of claim 1?
 6 Why isn't that an identification?
 7 MR. DeBRUINE: Jared, one more time. Please
 8 don't stop the witness from answering. Please don't
 9 criticize in the middle of his answer. If he says
 10 something that you don't agree with or would like more
 11 information, let him finish his answer and ask a
 12 follow-up question.
 13 MR. BOBROW: Well, I'm not going to do that
 14 because --
 15 MR. DeBRUINE: Don't tell him how to answer.
 16 MR. BOBROW: Stop. No, I'm going to, because
 17 the problem is we're wasting time. You've already said
 18 it's late. I'm trying to get an answer to a question
 19 about what this claim means in your understanding, and
 20 I'm told about a dog and showing something to a dog.
 21 MR. DeBRUINE: That --
 22 BY MR. BOBROW:
 23 Q. I'm trying to understand the question that
 24 I've asked now several times.
 25 If I have data, the data's described, it's

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1 described how it's been captured, it's plotted and it's
 2 shown, and it shows graphically a peak and a valley and
 3 another peak, why is that not identifying a first max
 4 followed by a minimum, followed by a second maximum?
 5 That's what I'm asking.
 6 **A. Okay. And I'll try again.**
 7 MR. DeBRUINE: And I will object that that's
 8 asked and answered. This is probably about the third
 9 time he's explained it to you. You may like his answer
 10 or not, but at some point we have to stop asking the
 11 same question.
 12 And the other thing, the witness is here to
 13 give testimony. I'm not sure how he's supposed to
 14 answer a question that takes several minutes to ask.
 15 But if you understand the question, one more
 16 time, please try and answer it.
 17 THE WITNESS: Identifying is an act. It's
 18 something that has to happen. That is, a static
 19 presentation of information does not meet the act of
 20 identifying it. When I look at the graph, I can
 21 identify the peaks, but I'm in my head executing an
 22 algorithm, just as you are and everyone else in this
 23 room does.
 24 And so we may look at the graph
 25 instantaneously go, "I know where the peaks are." The

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1 same way when I look out the windows and see the
 2 mountains I don't have to sit here for ten minutes and
 3 figure out where the top of the mountain is, because my
 4 brain instantaneously executes to my perception an
 5 algorithm which finds that peak.
 6 In the case of data, whether it's data from
 7 some kind of robot contraption or from the type of
 8 touchpads we're talking about, that process of
 9 identifying the peaks is normally done by hardware or
 10 software or a machine, certainly the same way we can
 11 look at these charts that show a drawing or a diagram
 12 of hills where fingers are, and those of us in the room
 13 can say, "Ah, look, there's three peaks there." That's
 14 because, again, we're processing that information
 15 ourselves.
 16 So the reason that there's a step that has to
 17 be done to identify it is because we're doing it when
 18 we look at the graph. But in the type of systems we're
 19 talking about, there's a machine doing it, and that
 20 machine that has to actively take steps to process that
 21 data to determine where are the maximum and minimum.
 22 Q. Okay. Thank you.
 23 So in your opinion then, when the patent says
 24 that something -- that I identify a first max, I
 25 identify a min, I identify a second max, that what that

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1 means is that there's some hardware or software or
 2 machine that is making a determination that that is the
 3 case?
 4 MR. DeBRUINE: Objection. Compound.
 5 THE WITNESS: Right. There has to be -- any
 6 of these systems it's talking about a machine of some
 7 sort or a set of steps executing. It's not a person
 8 necessarily looking at a graph or something.
 9 So that process, let's use the term generally
 10 process, or sequence of steps being executed by this
 11 machine, is going to make a determination or
 12 identification. So it is the one who's doing this,
 13 who's actually doing something.
 14 BY MR. BOBROW:
 15 Q. And can a human being perform that step of
 16 doing the identification of the first maximum and the
 17 minimum that follows it and the second maximum?
 18 Can that be accomplished even by observation?
 19 **A. Humans can, yeah, sure, do similar things by**
 20 **their observation powers and thinking powers.**
 21 Q. All right. Let me ask you to turn to claim 19
 22 of the '352 patent, and you'll see that's a claim
 23 that's dependent on claim 18; correct?
 24 **A. Right.**
 25 Q. And one of the things it talks about in that

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1 claim, it says that there's a means for selecting an
 2 appropriate control function based upon a combination
 3 of a number of fingers detected, number of times that
 4 fingers are detected and any movement of said fingers.
 5 Do you see what I'm referring to there?
 6 **A. Right, I do.**
 7 Q. Now, when it's referring to that function of
 8 selecting an appropriate control function, is that a
 9 function that is implemented by a computer?
 10 **A. Well, it could be implemented by a computer or**
 11 **a microcontroller, I believe, or potentially in**
 12 **hardware.**
 13 **I think if we look back on the structure set**
 14 **forth -- I mean, I'd have to go look it up exactly, but**
 15 **I believe the structure that's set forth for that is**
 16 **this kind of sensing chain, the microcontroller and the**
 17 **firmware in it. There may be -- and forgive me for not**
 18 **having this thing memorized, but there may be also a**
 19 **disclosure to the extent that you might be able to do**
 20 **it on the other side. That is, there's -- where you**
 21 **perform that process is not necessarily limited to**
 22 **the -- this microcontroller.**
 23 Q. Is that function of selecting an appropriate
 24 control function that's performed by, in your view,
 25 software with hardware, hardware by itself or something

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1 else?
 2 **A. Well, as I said, it's kind of a mix. You**
 3 **can't do the things like the number of fingers detected**
 4 **or the amount of time the fingers are detected or**
 5 **movement without hardware. The rest of that sensing**
 6 **chain has to work and has to be a part of that process.**
 7 **And I don't think it's really -- and the claim**
 8 **language per se I don't think necessarily has an**
 9 **indication of whether or not -- if you could do**
 10 **something in a software process, how much of that**
 11 **software could be hardware and software is kind of a**
 12 **flexible boundary.**
 13 Q. In your view, is that function of selecting an
 14 appropriate control function, do you need to have
 15 software to perform some part of that function, or can
 16 it just be done exclusively by hardware as described in
 17 the patent?
 18 **A. Well, I'm not sure that characterization of**
 19 **being done exclusively by hardware is described in the**
 20 **patent. So to the extent that characterization is part**
 21 **of your question, that's going to be incorrect -- I**
 22 **don't agree with that.**
 23 Q. So to perform this function, you need to have
 24 at least some software; is that right?
 25 **A. Well, you -- not in a theoretical sense, but I**

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1 think from the description of this as a whole there's a
 2 combination of, you know, hardware obviously and
 3 firmware operating at a minimum in this
 4 microcontroller. And I believe, and again, I can go
 5 look, there may be a kind of expanding case that says
 6 or, you know, software on the host side. But I need to
 7 go look for that to cite to a particular case.
 8 So to the extent you're saying that somewhere
 9 along the way in this process there's an algorithm
 10 executing which presumably is in firmware or software,
 11 yes, that's true. That would be a way one would
 12 normally implement that functionality.
 13 Q. And is that what the patent describes as the
 14 thing that is actually performing that function? That
 15 is, that there is either some -- that there is some
 16 algorithm that is being followed in firmware or
 17 software to do that?
 18 A. Well, let me go look.
 19 Q. Okay.
 20 A. Again, I'm looking for a citation back into
 21 the document.
 22 Okay. So let me direct your attention to a
 23 couple places. There's a kind of lengthy description
 24 of how firmware, in essence, could be performing this
 25 type of functionality. If we look starting at column

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1 13, let me see if I can re-find it. Sorry. I had it a
 2 minute ago.
 3 Right. At the top of 13 it says, "While the
 4 foregoing sequence," which is referring back to column
 5 12, "can be programmed to define any number of cursor
 6 movement and control functions, an exemplary definition
 7 of the functions associated with such sequences can be
 8 the following." And then it goes on to talking about a
 9 particular way of using selection and so on with
 10 fingers.
 11 And it's in these cases talking about doing
 12 multiple scans, and it ties back here in 12, if we look
 13 back to 12, showing some examples in 7A and 7B. And
 14 it's talking about having a few scans, you detect the
 15 first and second, so -- I mean, that section in
 16 general, I'm trying to not to take the time to parse
 17 out every single sentence, but sets forth ways in which
 18 to do it in firmware and the way that you could
 19 identify multiple firmware -- you know, multiple
 20 touches and then map that into functionality.
 21 It also in the beginning sets forth how you
 22 can return information to an application which then
 23 does some of that functional mapping. If we looked at
 24 column 3, for example, detection -- this is at line 20
 25 approximately.

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1 For example, detection and location of two
 2 fingers will permit the touchpad to report to a host
 3 system the distance between the two fingers. This can
 4 be used, for example, in Paint or other programs to
 5 determine line width or other spacing functions or any
 6 other variable value function.
 7 Similarly, tapping with both fingers at the
 8 same time may be defined as an alternate shorthand
 9 method for a double tap or may be defined as a special
 10 function similar to the right button functions of a
 11 mouse, and then it goes on to talk some more about what
 12 those functions could be or how they could be supplied
 13 and so forth.
 14 So I would say at a minimum, I mean, there's
 15 maybe more places, but at a minimum there's two places
 16 that identify use of either firmware or software to do
 17 that mapping from some of the information presented in
 18 19 into an appropriate control function.
 19 Q. When you used that term "firmware" in your
 20 last answer, what specifically were you referring to as
 21 firmware?
 22 A. Well, I'm characterizing software operating on
 23 a microcontroller as firmware, and I don't think
 24 there's really a distinction at some level between --
 25 the term software and firmware can certainly overlap.

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1 In other words, software that in certain
 2 circumstances is -- I characterize as firmware, but the
 3 overlap is so great between those two that I don't
 4 think there's a necessary distinction between software
 5 and firmware.
 6 Q. So if I understand what you're saying, this
 7 function of selecting an appropriate control function,
 8 you're saying the patent is describing that there can
 9 be firmware that's running on this microcontroller
 10 that's labeled element 60 in figure 2 that would
 11 perform that function?
 12 A. Right.
 13 Q. All right. Let me ask you to turn to claim 30
 14 of the '352 patent that refers to a means for
 15 calculating the first and second centroids
 16 corresponding to a first and second fingers.
 17 Do you see that?
 18 A. Mm-hmm.
 19 Q. Once again, is that a function that is
 20 implemented by a computer?
 21 A. Typically, yes.
 22 Q. Now, is there any algorithm described for
 23 performing that function of calculating first and
 24 second centroids corresponding to said first and second
 25 fingers?

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1 **A. Well, there's an algorithm that explains how**
 2 **to calculate -- a certain example of calculating a**
 3 **centroid across the pair, but practitioners at the time**
 4 **would have known how to compute a centroid on a single**
 5 **peak as opposed to the whole set.**
 6 Q. And what I'm asking is whether there is an
 7 algorithm in the '352 patent that says here's how you
 8 calculate the centroid for each of the two fingers as
 9 opposed to one centroid across the pair.
 10 **A. No, because the design people would have known**
 11 **exactly how to do that. You don't need to disclose**
 12 **that at the time.**
 13 Q. All right. And take a look at claim 24, if
 14 you would. It talks about a means for detecting a
 15 distance between said first and second maxima.
 16 Do you see what I'm referring to there?
 17 **A. Right.**
 18 Q. And I think you had made references to this a
 19 little bit earlier in answering another question, but
 20 is that function of detecting those distances, is that
 21 a -- or detecting the distance, I should say, between
 22 the first and second maxima, is that a function that's
 23 going to be implemented by a computer?
 24 **A. It would be implemented by some computational**
 25 **process either on a microcontroller or potentially on a**
 206

1 **computer.**
 2 Q. You're drawing a distinction between a
 3 microcontroller and a computer?
 4 **A. Only to make sure that my answer of "computer"**
 5 **isn't misinterpreted to mean it can only be done on a**
 6 **computer or it couldn't be done on a microcontroller.**
 7 **It can be done on any computational engine regardless**
 8 **of its packaging or location.**
 9 Q. And what is the structure that -- in the '352
 10 patent that performs this specific function of
 11 detecting a distance between the first and the second
 12 maxima?
 13 **A. Well, again, the structure is the sensing**
 14 **chain, you know, the sensor, the analog digital, the**
 15 **conversion, the microcontroller, and then you've got**
 16 **the data structures which tell you -- that allow you**
 17 **to -- teach you how to locate these or identify them,**
 18 **and once you've done that, you know their distance.**
 19 Q. When you say "the data structures," you're
 20 talking about the data structures in the X compute
 21 algorithm and the Y compute algorithm?
 22 **A. Right. To a practitioner, once you identify**
 23 **the peaks, then you know their distance --**
 24 Q. And is there --
 25 **A. -- apart.**
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1 Q. I couldn't hear that last part.
 2 **A. Apart. You know their distance apart.**
 3 Q. And can you point out to me which algorithm it
 4 is that tells you how it is in, say, X compute or Y
 5 compute that you determine the distance between the
 6 first and the second maxima?
 7 **A. Well, I don't think they need to tell you**
 8 **because you already know -- they're telling you -- you**
 9 **know, if you want to know the distance between two**
 10 **points, you can get the delta X, delta Y by subtracting**
 11 **the differences, and I think every engineer at the time**
 12 **would know the distance between, you know, if I had Xa,**
 13 **Ya and Xb and Yb and said what's the distance between**
 14 **those points, I think that's a kind of a question that**
 15 **every practitioner I know knows exactly how to**
 16 **calculate that.**
 17 Q. And as part of these flow charts and
 18 descriptions, is there any algorithm here that says I'm
 19 going to take this set of numbers, and I'm going to
 20 perform this calculation in this way to come up with
 21 these distances between these two points?
 22 **A. No, because the practitioners already know how**
 23 **to do that.**
 24 Q. In claim 26 there's some language that talks
 25 about a means for providing a click function in
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1 response to the removal and reappearance of said second
 2 maxima within a predetermined period of time.
 3 Do you see what I'm talking about there?
 4 **A. Yes, I do.**
 5 Q. Now, is that a function, that is, providing a
 6 click function in response to the removal and
 7 reappearance of said second maxima within a
 8 predetermined period of time, is that a function that's
 9 going to be performed and implemented by a computer?
 10 **A. Normally. I mean, either by the**
 11 **microcontroller or the host computer.**
 12 Q. Is there -- to perform that sort of processing
 13 there's going to be some sort of algorithm that's going
 14 to be processed; is that right?
 15 **A. There are steps you would take, right. You**
 16 **would write software to do that.**
 17 Q. Is there a description of that software
 18 algorithm in the '352 patent for how to do that?
 19 **A. Well, there's a whole section about dealing**
 20 **with and processing and understanding how many fingers**
 21 **are touching and being removed and how you do scans and**
 22 **know how many fingers are on the surface.**
 23 **I think if we -- basically the entire section**
 24 **of -- you know, going down, starting at 11 and**
 25 **continuing through 13 talks about examples of how you**
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1 would determine, you know, multiple fingers and then
 2 what -- you know, how you would scan repeatedly and
 3 look at whether you had one fingers, two fingers, et
 4 cetera.
 5 So that is sufficient to explain the process
 6 of doing that, particularly in light of what people
 7 already know how to do.
 8 Q. And is that description a description of an
 9 algorithm that's going to tell you how to provide that
 10 click function in response to the removal and
 11 reappearance of the second maxima within a
 12 predetermined period of time?
 13 A. Well, I think the description there is more
 14 than sufficient for a practitioner at the time to know
 15 what to do. It may not be expressed in like a flow
 16 chart, but it's set forth, you know, in description in
 17 a way that would be sufficient so someone knew what to
 18 do.
 19 Q. There's a functional description in there,
 20 correct, in those columns, 12, 13?
 21 A. I don't know how you use the word
 22 "functional." There's a description of what to do in
 23 essence.
 24 Q. Yeah, what functions to perform, what to do,
 25 as you just said.

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1 What I'm asking is, is there some sort of
 2 description of software algorithm that would say this
 3 is the way to do that and this is how you would process
 4 that in order to accomplish that function?
 5 A. Well, I think the description here does give
 6 the information to the person who's the practitioner
 7 that they need to have.
 8 Q. To do what?
 9 A. To do -- to make that determination. In other
 10 words, to say if -- the process of -- say we're taking
 11 the click events in the simple case of a button up,
 12 button down. Practitioners at the time definitely
 13 know, you know, how to make a packet that's button up
 14 or button down. That's a long-known understood concept
 15 in mouse design.
 16 So the person who's reading this already knows
 17 about that background and knows about, you know, I
 18 generate a down packet, I generate an up packet. I
 19 mean, they know about that part of it.
 20 And so when look at, to me, reading the
 21 sections that I pointed out, and I can try to get you
 22 the more detailed lines by, you know, picking them out
 23 for you, it tells you what you need to do to do that.
 24 Q. When you say "it tells you what you need to do
 25 to do that," are you saying that with this description

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1 of the operations in terms of putting fingers down and
 2 lifting them up, that someone could go ahead and write
 3 some sort of an algorithm that would do that?
 4 A. Right. And, I mean, there's also a set of
 5 things in, you know, figures 8-2, 9-1, et cetera, that
 6 relate to that process.
 7 Q. But the algorithms that are described in
 8 figures 8 and 9 and 5 and 6 and all, those aren't
 9 setting forth in an algorithm how you would perform
 10 that function of providing a click function in response
 11 to the removal and reappearance of a second maxima
 12 within a predetermined period of time; correct?
 13 A. Well, I don't agree with your
 14 characterization.
 15 Q. So point out to me in figure 8 or figure 9
 16 or --
 17 A. Let's turn to --
 18 Q. -- or figure 5 or 6 where that's described.
 19 A. Let's look just for figure 8-1 in a minute.
 20 And look at the bottom of figure 8-1 where there's been
 21 some processing. There's an X compute and Y compute.
 22 There's been some determination of the number of
 23 fingers that are present, and then it turns the page
 24 onto the remainder of figure 8-2, which is on sheet 15
 25 of the patent.

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1 And then it -- just as an exemplary example
 2 here, I won't try to say exhaustively, but if you
 3 look at decision point 905, if the test is that the
 4 button was previously up and we have finger 2, then
 5 we're going to take the step of reporting button equals
 6 down, and we're going to set button previous equal to
 7 down.
 8 And then at a later scan we're going to come
 9 back through here again, and perhaps we're going to
 10 find that we were in -- the case listed as 910 in that
 11 decision block, if we fall into that decision block,
 12 button previous would be down, in other words, if that,
 13 and, you know, we have one of these cases, and then
 14 we're going to, of course, report button up.
 15 The process of reporting a button down to the
 16 host system followed by a button up report would
 17 constitute a click to the host processor. In other
 18 words, the event of a button down and a button up.
 19 A practitioner at the time, once you tell them
 20 report button equals down, they understand what that
 21 means. In other words, that says make the serial
 22 output bytes in the packet that match up with a button
 23 down event on a mouse, which is a kind of standardized
 24 known operation.
 25 So I think they've set forth here a

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1 description of how to do it.
 2 Q. So where in figure 8-1 and figure 8-2 say that
 3 it is the second maxima that is being removed and
 4 reappearing within a predetermined period of time?
 5 A. Well, first I'll note that I don't think that
 6 it has to say that, but notice that if you look at
 7 decision block 905, it's making two tests. The first
 8 tests is the button previous is up, meaning that we're
 9 not reporting a button down. In the second test, and
 10 finger equals 2, and if we look back to see what the X
 11 finger is, if I dig into the document here, I believe X
 12 finger will be a count of fingers.
 13 Q. Right. So what tells you in this algorithm,
 14 this flow chart figures 8-1 and 8-2, that it is the
 15 second finger that is the second maxima as opposed to
 16 the first maxima?
 17 A. I would generally interpret that if I had a
 18 count of fingers and it went zero, one and two, that
 19 two would be the second one.
 20 Q. So where it says finger equals two in figure
 21 8-1 and figure 8-2, your testimony is that that is a
 22 reference to the second finger as opposed to the total
 23 number of fingers being detected?
 24 A. No, I think it's the count of fingers, but
 25 since we're scanning repeatedly, the -- notice you have
 214

1 the previous finger count -- okay. And the state of
 2 whether I'm generating a button depends later on
 3 whether I have finger equals zero or finger equals one.
 4 But again, see, I think that it's an erroneous
 5 way of looking at this claim to say I have to see a
 6 algorithm that in the absence of any knowledge about
 7 process teaches, you know, a beginner how to do it.
 8 Q. I'm just asking a question. I'm just trying
 9 to understand whether there is something set out in
 10 figure 8-1 or figure 8-2 or anywhere else in the patent
 11 that tells you specifically that it is the second
 12 maximum that appears and is removed and reappears,
 13 whether that is described in any of these algorithms,
 14 how you would determine that it's the removal and
 15 reappearance of the second maxima.
 16 A. Okay. Well, let me try to explain that. If
 17 we look at column 12, let me just see if I can go back
 18 to this. Let me just review it for a moment here.
 19 Okay. Look at the bottom of column 13. I
 20 direct you to that. And again, this has to be taken in
 21 a totality. So it's not like you find one exact spot.
 22 You have to read the entire document to understand it
 23 as a practitioner, and that gives you the understanding
 24 of it.
 25 But if we look at this paragraph starting at
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1 approximately line 59, referring next to figures 8 and
 2 9, the generalized case associated with figures 7-F1
 3 and 2 but also applicable to the remaining functions
 4 may be better appreciated.
 5 In the exemplary algorithms shown in figures 8
 6 and 9 -- and 8, of course, is what? 8-1 is what we've
 7 been looking at. "A determination is made whether
 8 zero, one or two fingers are in contact with the
 9 touchpad. Depending on how many fingers are
 10 identified, various operations are permitted.
 11 "It will be appreciated that figure 8 is an
 12 analogous to figure 5" and so on. For convenience,
 13 steps unchanged are left in, and then it describes how
 14 that process goes. And when you look at that and
 15 looking at the number of fingers, that explains to you,
 16 to me at least as a practitioner, what you would do,
 17 the type of steps would you do to do this determination
 18 of providing a click function in response to the
 19 removal and reappearance.
 20 Q. All right. And where in the portions that you
 21 just referred us to or anywhere else in the patent does
 22 it tell you how you can determine that a click function
 23 is being provided in response to the removal and
 24 reappearance of the second maxima as opposed to the
 25 first maxima?
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1 Where is that set forth?
 2 A. Well, at the point in time where you only have
 3 a first maxima at the point in time when there's not
 4 two fingers on the touchpad. So to the extent that --
 5 in other words, you don't need a disclosure in the way
 6 you're describing it because if you're scanning
 7 repeatedly and you see one finger, you've got a first
 8 maxima.
 9 When the moment comes when you see the second
 10 finger down, you get a first and a second, and when you
 11 see the second one gone or, for that matter, the first
 12 one gone, you only have a single maxima.
 13 So --
 14 Q. So where is the algorithm then that tells you
 15 that if I've got, you know, two fingers down and I
 16 remove specifically the second one and then it
 17 reappears within a predetermined period of time, that
 18 that corresponds to a click function?
 19 Where's the algorithm that tells you
 20 specifically how to look at that second maxima within a
 21 predetermined period of time and say, aha, that is
 22 going to be the indicator for a click function?
 23 A. Well, again, I think your question indicates a
 24 misunderstanding perhaps of the terminology. When you
 25 have one finger on the touchpad, you have one maxima.
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1 **When you have two, you may have a first and a second.**
2 **And at any point in time when you only have one, you**
3 **only have one maxima.**
4 **So I don't see that the question makes sense**
5 **in the light of the way the patent describes it, the**
6 **way it's understood by the practitioners.**
7 Q. In the claim language we've been focused on in
8 claim 26 it's referring to said second maxima; correct?
9 **A. Right.**
10 Q. So I've got then two maxima, right, that have
11 been identified?
12 **A. In the scans during which there are two**
13 **fingers in contact with the touch sensor.**
14 Q. Then the second maxima is removed and it
15 reappears, according to this claim; correct?
16 **A. Right. The claim language requires that the**
17 **second maxima would be removed and then reappear.**
18 Q. As opposed to the first maxima being removed
19 and reappearing?
20 **A. No, because, see, you can't have a second one**
21 **without a first one.**
22 **In other words, if we look at all the possible**
23 **combinations of two fingers down on the surface of the**
24 **touchpad, if both are there, I have a first maxima and**
25 **second maxima. If none of them are there, I have no**
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1 **maxima. If I have one finger, I have a first maxima.**
2 **Doesn't matter which finger it is.**
3 Q. No, I understand.
4 So I have two fingers down, and this is saying
5 there's a means for providing a click function in
6 response to the removal and reappearance of the second
7 maxima, not the first maxima but the second maxima,
8 within a predetermined period of time.
9 So where is it that it describes an algorithm
10 for doing precisely that, with the second maxima and
11 within a predetermined period of time?
12 MR. DeBRUINE: Objection. Asked and answered.
13 THE WITNESS: Yeah, I've already -- I mean,
14 I'll try again.
15 If you look, as an example, figure 8, second
16 page, then it is telling you how to generate reports
17 based on the presence and the number of fingers and
18 some other things about motion and so on. The number
19 of fingers tell you whether or not you have a second
20 maxima. The two are inextricably put together. In
21 other words, if you have one finger, you don't have a
22 second maxima.
23 So if N fingers is one, there is no second
24 maxima. So the second maxima is not present. If there
25 are -- if there is, then -- that is, you have a
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1 reappearance, now you have two fingers, then you have a
2 second maxima.
3 To the practitioner reading that, it's
4 entirely clear. I'm not sure whether you're just
5 trying to pressure me or you just don't get it, but to
6 the practitioner at hand it would be clear what that
7 meant in the light in the number of fingers because
8 you're scanning it repetitively.
9 Q. So claim 26 is dependent on claim 18; correct?
10 **A. Right.**
11 Q. And claim 18 says that identify a first maxima
12 corresponding to a first finger and a minima following
13 the first maxima and then identify a second maxima
14 corresponding to the second finger following said
15 minima; correct?
16 **A. Right.**
17 Q. And in claim 26 where it's referring to said
18 second maxima, it's referring to that clause that
19 begins with little C, "identify a second maxima in a
20 signal corresponding to a second finger following said
21 minima"; correct?
22 **A. Right, and whether the presence or absence of**
23 **that second maxima is ...**
24 Q. Is what?
25 **A. Is present.**
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1 **In other words, the case of -- the second**
2 **maxima that's being referred to, the said second maxima**
3 **is the second maxima that would be present in the**
4 **situation where you're going to indicate that you have**
5 **two fingers present.**
6 Q. And where in the algorithms that you've
7 pointed to or in the patent does it discuss what
8 that -- how you determine what that predetermined
9 period of time is for providing this click function?
10 **A. I don't think you need to -- well, I can go**
11 **looking, but I don't see that there's a need to**
12 **determine a predetermined period of time.**
13 Q. So the claim calls for -- sorry. Go ahead.
14 **A. To me, the within a predetermined time period**
15 **and -- to me the within a predetermined time period is**
16 **kind of a descriptive limitation in that there is some**
17 **period of time, but intrinsically you're doing this in**
18 **scanning in time, and the practitioners know you're**
19 **counting and timing these things, and they're doing**
20 **that when they're generating reports because reports**
21 **have kind of a time basis associated with them.**
22 Q. So where is that described that -- what that
23 predetermined period of time is for the removal and the
24 reappearance of the second maxima?
25 **A. Well, I don't think there's a statement that**
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1 **it says it has to be a fixed value or make it 50**
 2 **milliseconds seconds or whatever. I don't think that's**
 3 **present. But if you want me to go looking, I can go**
 4 **look to see if they, you know, teach that somewhere in**
 5 **the course of the entire patent.**
 6 Q. In the materials that you've just been reading
 7 in responding to these questions about claim 26, you
 8 haven't seen that so far, have you?
 9 **A. I wasn't looking for it.**
 10 Q. All right. And so in what you were looking
 11 at, you didn't see it, did you?
 12 **A. I was not looking to see anywhere in this**
 13 **entire document did they, you know, say okay, what does**
 14 **that time interval have to be or does it have to be a**
 15 **fixed value other than maybe predetermined.**
 16 MR. BOBROW: All right. Why don't we take a
 17 short break.
 18 THE VIDEOGRAPHER: We're going off the record
 19 at 4:01 p.m. This mark the end of tape No. 3.
 20 (RECESS TAKEN.)
 21 THE VIDEOGRAPHER: We're back on the record at
 22 4:13 p.m. This marks the start of tape No. 4 in the
 23 deposition of Robert Dezmelyk.
 24 BY MR. BOBROW:
 25 Q. Let me hand you what was marked already as Von

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1 Herzen Exhibit 8, which is a copy of the '353 patent.
 2 I take it you've had an opportunity to study
 3 this patent?
 4 **A. Yes, I have.**
 5 Q. Take a look, if you would, at claim 1 of the
 6 '353 patent, which begins at the bottom of column 3,
 7 runs over to the top of column 4, and it refers in
 8 there to a panel for touch inputting.
 9 Do you see that?
 10 **A. Yes, I do.**
 11 Q. It goes on to -- at the end have what's called
 12 wherein clause.
 13 Do you see that? It says, "wherein said panel
 14 comprises"?
 15 **A. Yes.**
 16 Q. And it says that it comprises a substrate
 17 that's selected from the group consisting of PCB
 18 membrane and transparent plate and a conductor wiring
 19 on said substrate and an insulator covered on said
 20 conducting wiring.
 21 Do you see that?
 22 **A. Yes.**
 23 Q. Now, focusing on the panel that's referred to
 24 here in the claim, was it known back in 2003 when this
 25 patent was filed in the United States that a substrate

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1 that was a membrane could be such that you could print
 2 graphics on the membrane?
 3 **A. Yes.**
 4 Q. And was it known back in 2003 when this patent
 5 was filed that patterns, graphics could be printed on a
 6 transparent plate that was serving as a substrate for a
 7 panel?
 8 **A. Yes.**
 9 Q. And was it known back in 2003 that one could
 10 print patterns on a membrane that was serving as the
 11 substrate for the panel?
 12 **A. Well, you can print on just about anything you**
 13 **want. So I guess in this period in time it was**
 14 **possible to print on printed circuit card, it was**
 15 **possible to print on a membrane, or it was possible to**
 16 **print on a window or other transparent material.**
 17 Q. And people of ordinary skill in the art knew
 18 how to do that at that time; right?
 19 **A. Right.**
 20 Q. Those of ordinary skill in the art understood
 21 at the time that -- the time being 2003, that an
 22 insulator that was covered on the conductor wiring,
 23 that that insulator could be clear material,
 24 transparent material, such as glass; right?
 25 **A. Right, or it could be a clear coating of some**

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1 **sort.**
 2 Q. And those of skill in the art also understood
 3 in 2003 that the conductor wiring that was on the
 4 substrate could be transparent, see-through, such as
 5 the indium tin oxide, or ITO, that we were talking
 6 about earlier?
 7 **A. That's correct.**
 8 Q. Take a look with me, if you would, at figure 1
 9 of the '353 patent, and you'll see that there are --
 10 I'll just call them four diagrams.
 11 I don't know if you have a better word for it
 12 than that, but you see what I'm referring to?
 13 **A. Right.**
 14 Q. So there are three across and then there's one
 15 in the middle beneath it.
 16 Okay?
 17 **A. Right.**
 18 Q. And that figure that is in the center but
 19 furthest to the bottom of the page, wish they were
 20 labeled, it has an arrow pointing to it, No. 10, on the
 21 right.
 22 Do you see what I'm referring to?
 23 **A. That's correct.**
 24 Q. Okay. So focusing on that one for a second,
 25 there's an element there called LCD.

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1 Do you see that?
2 **A. Yeah, I think it's called out as 22.**
3 Q. And LCD is what, liquid crystal display?
4 Is that what that stands for --
5 **A. Yeah.**
6 Q. -- in this field?
7 **A. Yeah.**
8 Q. The LCD is described in the patent as -- at
9 column 2 as being used to display the input data from
10 said virtual key region 16, 18 and 20.
11 Do you see what I'm referring to at around
12 line 46, 47 of column 2?
13 **A. Right, I see what you're referring to.**
14 Q. All right. Now, is there any description in
15 the patent of having the LCD not just in that portion
16 that's labeled 22 but also underneath that other
17 section, panel 12, that has that -- the numeric input
18 and the dial input and the correct input, et cetera?
19 **A. There's no explicit, you know, text or diagram**
20 **that shows it -- you know, that calls out an LCD under**
21 **it, but there's nothing that I'm aware of that excludes**
22 **it either.**
23 Q. All right. Now, again, sticking with figure
24 1, looking at the -- actually, what I'd like you to do,
25 just so we can talk about this in an intelligent way,

1 maybe Mr. DeBruine can loan you his pen. I just want
2 him to --
3 **A. No, I have a pen.**
4 Q. Oh, great. Then we don't even have to bother
5 Mr. DeBruine.
6 MR. DeBRUINE: I'll just stay asleep then.
7 BY MR. BOBROW:
8 Q. In the -- next to the figure -- the portion of
9 figure 1 that has the 10 pointing to it, that box, as
10 it were, why don't you circle it, and let's call it A.
11 **A. I guess -- I don't want --**
12 Q. Just so it's clear, I'd like you to simply
13 circle the lowest figure on the page, the lowest
14 portion of figure 1, which has the 10 pointing to it
15 and just label that with an "A" so we know what we're
16 talking about.
17 **A. Okay. So I've drawn around the area you**
18 **indicated on your drawing to the best of my ability and**
19 **indicated that as A.**
20 Q. Perfect. Thank you very much.
21 Now, with respect to what is shown in the
22 portion that you have called A of figure 1, are the
23 graphics, the patterns that are on there, dial, input,
24 correct, arrow up, font, arrow down, choose, the
25 numbers one through nine, are those patterns printed on

1 that panel No. 12?
2 **A. Well, you're calling out 12 within 10?**
3 Q. So within A in figure 1, the area that you've
4 circled, I'm asking you about everything except LCD
5 that's labeled 22, and I'm asking you whether all of
6 the graphic information there, the various patterns,
7 the number 1, the number 2, the number 3, the word
8 dial, et cetera, whether those are printed onto the
9 panel in that figure.
10 **A. Well, I'm not sure exactly how to parse your**
11 **question. If you're saying literally in this figure,**
12 **then obviously this is a printed document, but if**
13 **you're trying to ask are they printed -- you know, does**
14 **the patent say that they have to be presented or that**
15 **they are presented, that's a different question.**
16 Q. So let's back up.
17 What you've circled and put an A around at my
18 request in figure 1, that is a -- that is a depiction
19 of a touchpad; correct?
20 **A. That's not how I take it. I think that to me**
21 **it's a depiction of the way in which the various**
22 **component patterns would be in sort of the same spots**
23 **or would appear in the same spots on the touchpad.**
24 **Because it's not a literal -- there's four**
25 **parts to this diagram. There's three parts that show a**

1 **kind of a -- what might actually appear on the**
2 **touchpad, and then there's one which shows how they're**
3 **overlapped together in some kind of schematic form.**
4 Q. So what you've circled as A you're saying is
5 not actually a touchpad that would be an embodiment of
6 the '353 patent?
7 In other words, the touchpad wouldn't look
8 that way or be configured that way per se; is that
9 right.
10 MR. DeBRUINE: Objection. Compound,
11 mischaracterizes the witness's testimony.
12 THE WITNESS: My understanding of this drawing
13 is that it's showing three views of what the touchpad
14 might look like in the top, and it's explaining the
15 reason there's an arrow that goes from the lower
16 pictures upwards is that that's the three ways it might
17 look, and it's showing that there -- these patterns
18 that appear in each of these three modes are -- it's
19 not necessarily they're, in fact, in a sense
20 overlapping. In other words, they're in similar spots.
21 They're not exactly overlapped, because you
22 can see that they don't exactly line up, but that
23 you're kind of recycling these regions for another
24 purpose.
25 BY MR. BOBROW:

1 Q. So in your view, does the touchpad as shown in
 2 A, does that in your view physically exist, or instead,
 3 do just the top three ones exist as a user would
 4 interact with it?
 5 **A. Well, I mean, I guess it could be both. In
 6 other words, if you had a static presentation of some
 7 of this information, then it would be present and there
 8 would be kind of an overlap, and if you dynamically
 9 changed it in some way, then they would appear
 10 differently at different times.**
 11 Q. So in what mode would the touchpad appear as
 12 it's depicted in the portion of figure 1 that you've
 13 drawn or circled as A?
 14 In what mode would it look like that?
 15 **A. If the markings or patterns were kind of
 16 static in nature, then it would appear -- it could
 17 appear in some ways similar to that at any time, but if
 18 they were dynamically generated, then they will be
 19 different at different times.**
 20 Q. So in what you've drawn as A, if I understand
 21 what you're saying, the portion of figure 1 that you've
 22 circled with an A, I should say, that's depicting what
 23 the touchpad would look like if I had statically
 24 printed the various graphics onto those portions of the
 25 touchpad; is that right?
 230

1 **A. It could look that way, yes. If they were
 2 statistically printed, you could choose to print some
 3 combination of stuff like that and it would look in a
 4 composite like that.**
 5 **I still suspect that -- I mean, there's dotted
 6 lines and stuff in this drawing that wouldn't be there,
 7 but in general that's is one way of looking at that
 8 portion of the diagram.**
 9 Q. So one way of looking at the portion that
 10 you've circled and labeled with an A is that these bits
 11 that are referred to as dial and input and correct and,
 12 you know, the 1, 2, 3, 4, 5, 6, that that information
 13 would be sort of physically printed onto the touchpad
 14 and would remain there even when it was working in
 15 different modes?
 16 **A. Right. That's one way to look at it.**
 17 Q. And then up above there are three more
 18 pictures of touchpads, and I believe the one on the
 19 left is a depiction of -- I think it was called key --
 20 well, actually, let me try to ask it again.
 21 There it is.
 22 So there's a reference to No. 24; right? And
 23 you see over to the left-hand side of figure 1 there is
 24 a No. 24 with an arrow extending downward and to the
 25 left pointing at a touchpad screen.
 231

1 Do you see that?
 2 **A. Right. Just to make it clear, at the bottom
 3 of column 2 starting at about line 60 it says, "When
 4 the touchpad 10 is switched to the key mode, the
 5 numbers and '#' and '*' key patterns on the virtual key
 6 region 16, the 'Dial'" -- I believe they meant to say
 7 "Connect." It says "correct," but I think he meant to
 8 say "'Connect' and up/down function key patterns on the
 9 key region 18, and the 'Resume,' 'Redial' and 'Reserve'
 10 function keys patterns on the virtual key region 20 are
 11 shown as numeric reference 24."**
 12 Q. So I've got a No. 24 that's pointing to this
 13 particular key mode; is that right? In figure 1.
 14 **A. Right. I mean, yeah, 24 is identifying that
 15 mode of operation.**
 16 Q. 26 is identifying the handwriting mode; is
 17 that right?
 18 **A. Right, and it could be showing and I believe
 19 it is showing in one sense what it would look like in
 20 each of those modes. In other words, that you would
 21 display the necessary markings in the particular mode
 22 that were consistent with the mode you were in.**
 23 Q. And 28 is describing -- referring to the mouse
 24 mode; correct?
 25 **A. Right.**
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1 Q. Now, let's just take a look at the key mode to
 2 begin with.
 3 What is the basis for your testimony that the
 4 touchpad would actually look like what is shown in
 5 figure 1, the element referred to as 24?
 6 What tells you that that's what the key pad
 7 would actually look like as opposed to what it would
 8 do?
 9 **A. Well, we have a picture here that while it may
 10 be subject to interpretation a couple different ways,
 11 does show, for instance, what would be very logical
 12 patterns to display on there if it was in that mode.
 13 And at one level it's inconsistent when they're
 14 combined, because if you actually said that the images
 15 of each of these three modes were simply combined, then
 16 there would be a kind of a different overlap of stuff.**
 17 **Right? Some of the words would be right on
 18 top of one another and so on.**
 19 Q. And if instead what's depicted here in 24 and
 20 26 and 28 were simply the functions that would be
 21 performed as opposed to what the touchpad actually
 22 looked like to the user, then the inconsistency that
 23 you just described wouldn't be there, would it?
 24 **A. I don't see it that way in that -- I think it
 25 could be interpreted either way. I don't think the**
 233

1 **picture has a -- by itself makes a determination one**
 2 **way or the other.**
 3 Q. Well, would you agree with me that one way
 4 that a person of skill in the field could look at
 5 figure 1 is to say that the touchpad as shown in what
 6 you've described as A, the specification calls No. 10 a
 7 touchpad, and that the three figures above it in figure
 8 1 are essentially functionally what the device would be
 9 doing in those regions as opposed to what it would look
 10 like to the user?
 11 **A. That is not an irrational interpretation.**
 12 Q. Take a look at figure 5, if you would,
 13 please. Figure 5 is showing something --
 14 MR. LANG: Do you want me to answer?
 15 MR. BOBROW: Why don't you go ahead.
 16 Let me start over.
 17 BY MR. BOBROW:
 18 Q. I just wanted to make sure that I'm reading
 19 this correctly. There's an element in figure 5 called
 20 36, and it says it consists of a ground plate 36.
 21 Do you see what I'm referring to?
 22 **A. Just give me a moment, please, to kind of**
 23 **catch up. Element 36.**
 24 Q. So take a look at column 1, which is where
 25 figure 5 is discussed.

1 **A. Okay.**
 2 Q. And is 36 in effect in this figure 5, is that
 3 essentially the substrate or the schematic view, as it
 4 were, of the substrate of this touch-sensitive region?
 5 **A. It could be. I mean, a ground layer per se**
 6 **doesn't have to be the substrate. It could be simply a**
 7 **layer that you're holding at ground. I mean, it could**
 8 **be a conductive layer or clear conductive layer that**
 9 **you're holding at ground. That diagram really by**
 10 **itself doesn't say when it is or it isn't.**
 11 Q. Let me show you Exhibit 6 from the Von Herzen
 12 deposition. This is the '659 patent.
 13 You've had a chance to study this patent?
 14 **A. Yes, I'm familiar with it.**
 15 Q. Let me ask you to turn to column 7, and
 16 specifically I'd like you to read the paragraph that
 17 begins at line 49 and runs to line 58 to yourself, and
 18 let me know when you have.
 19 **A. Okay. This is column 7, line 49 to the end of**
 20 **that paragraph?**
 21 Q. Yes, the paragraph that begins "although not
 22 shown" and ends with the word "coordinates."
 23 **A. Let me just pick up some of the context it's**
 24 **in. Okay. I think I have a general idea. I mean, I**
 25 **certainly read the paragraph, and I have a general idea**

1 **of the context it's being used in.**
 2 Q. There's a reference to a touchpad program
 3 containing virtual actuation zone profiles that
 4 describe how the virtual actuation zones are
 5 distributed around the touchpad relative to the data
 6 sensor coordinates.
 7 You see what I'm referring to?
 8 **A. Yes.**
 9 Q. So the idea there is that I can have a program
 10 that stores not just one but potentially multiple
 11 virtual actuation zone profiles; correct?
 12 **A. Right. The idea -- I mean, 38 is shown back**
 13 **in figure 2. It's a -- like a microcontroller. And it**
 14 **notes that it may store this idea of a touchpad program**
 15 **which is related to the user interface, the user**
 16 **interface is shown sort of the whole device, and it**
 17 **seems that yes -- I don't see that there's a necessary**
 18 **construct in that paragraph -- I mean, it says**
 19 **profiles, but it seems that there may be one set of**
 20 **them.**
 21 **I mean, I don't know if it's important, but**
 22 **just sort of parsing that paragraph by itself it says**
 23 **that the touch paid may store a touchpad program. So**
 24 **that would be a single program, for controlling**
 25 **different aspects of the user interface. For example,**

1 **the touchpad program may continue virtual actuation**
 2 **zone profiles that describe how the virtual actuation**
 3 **zones are distributed.**
 4 **I take "profile" there to mean that you may**
 5 **have multiple actuation zone, in essence, data**
 6 **structures to describe the zones. Not necessarily that**
 7 **you have different sets of them, right, but that you**
 8 **have, say, five zones and therefore, you would have**
 9 **five profiles, one per zone, as the data structures**
 10 **that represent that.**
 11 Q. Five profiles that -- all set in one
 12 particular region, or can those profiles come and go in
 13 different regions at different times?
 14 **A. Well, again, I'm just saying in terms of the**
 15 **way I -- are you asking me to sort of interpret that**
 16 **paragraph? The way I read that paragraph, that there**
 17 **may be a set of more than one zone. In other words,**
 18 **that these virtual actuation zones have a location that**
 19 **is distributed around the touchpad relative to the**
 20 **native sensor coordinates, and it also says what type**
 21 **of value to output.**
 22 **So my, you know, quick kind of interpretation**
 23 **of that is that there's a data structure, maybe we'll**
 24 **call it a profile, that's associated with one of these**
 25 **actuation zones, and it has some set of characteristics**

1 related to that actuation zone, and it may be, you
 2 know, the output it sends. It might be some kind of
 3 other data and that there are a set of them, because of
 4 course there's more than one zone.
 5 I don't take it from that paragraph alone that
 6 there's necessarily multiples of those, but there may
 7 be just one sort of set.
 8 Q. Right. And in your view, in the 2003 time
 9 frame would it be unreasonable for a person of ordinary
 10 skill in the art to read that paragraph in the context
 11 of the '659 patent to say that the program can store
 12 essentially different profiles at different times, of
 13 course, that would be displayed at different times, I
 14 should say, that would -- whereby the zones would be
 15 different at different times?
 16 A. Well, in the time frame of this patent in --
 17 as of its filing date, there are prior art patents that
 18 describe at length how to construct and maintain sets
 19 of what could equally well be called profiles for an
 20 actuation zone, sure.
 21 Q. So that was something that in your view was
 22 known, was that you can have actuation zone profiles,
 23 they get stored, those profiles can be different, and
 24 they can divide up a touchpad or a touch screen in
 25 different ways at different times?

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1 A. Sure. In probably the first instance, just
 2 going back historically, would be the core and
 3 subsequent GKS. Maybe not core, but GKS graphic spec,
 4 and probably also programmer's hierarchical interactive
 5 graphic system, the ANSI specs and ISO specs on those
 6 are probably some of the earliest cases of publications
 7 that describe in detail how you can take the surface of
 8 an input device and segment it into a bunch of, you
 9 know, regions which have different behavior.
 10 Q. All right. And those different zones at
 11 different times, those different behaviors may overlap
 12 with each other but at different times?
 13 In other words, I could have one region that
 14 occupies a particular state of the touchpad with one
 15 profile, and then I can change the profile and I can
 16 have -- that same region physically could map to a
 17 different actuation zone in a different profile?
 18 A. In prior art you'll see similar things, yes,
 19 or exactly that idea, yes.
 20 Q. Now take a look at paragraph 41 of your
 21 report, Exhibit 2.
 22 Do you have that, sir?
 23 A. Yes, I do.
 24 Q. Why don't you read that paragraph to yourself
 25 and let me know when you have.

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1 A. This is paragraph 41 of my report?
 2 Q. Yes.
 3 A. Okay. Yeah, I'm familiar with this paragraph.
 4 Q. All right. Do you also have in front of you a
 5 page from joint claim construction statement?
 6 A. That's correct. It's page 31, which has the
 7 particular section that I'm talking about in the
 8 paragraph in the native sensor coordinates.
 9 Q. In the second sentence there you write that
 10 those coordinates, parentheses, x, y, r theta, et
 11 cetera, are calculated from the data acquired from the
 12 sensors and reflect a point on the touchpad -- on the
 13 surface of the touchpad, and then you cite column 5,
 14 lines 38 to 48 for that.
 15 Do you see what I'm referring to there?
 16 A. Right. Let me go and look and see what that
 17 citation in the patent says.
 18 Right.
 19 Q. Okay. Now, are you aware of any other portion
 20 of the patent, or are you relying, I should say, on any
 21 other portion of the patent besides that one, namely
 22 column 5, lines 38 to 48, to support the notion that
 23 the native sensor coordinates are calculated from the
 24 data acquired from the sensors?
 25 A. There may be other citations in places that

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1 relate to this, and I think there's some set forth in
 2 this claim construction. I can go through them if you
 3 want. I think that -- that statement that's cited in
 4 my report is sufficient, and it indicates what those
 5 coordinates are.
 6 Q. So when you say that the -- that the native
 7 sensor coordinates are calculated from the data
 8 acquired from the sensors, does that mean that the
 9 sensor is actually outputting coordinate information?
 10 A. No.
 11 Q. What does it mean?
 12 A. Well, it's a point which you ascertain by
 13 obtaining, measuring measurements from the sensor that
 14 is the -- I'm going to use some other terminology, kind
 15 of the raw coordinate that you obtain. That is, it's
 16 the value that you get before you do any of the -- kind
 17 of ancillary processing people typically do in these
 18 kind of devices.
 19 So it's representative of what we call the
 20 native resolution or the native coordinate system.
 21 Q. But when you're referring there to the
 22 coordinate system, are you referring to sort of signal
 23 values of voltage or of amps or frequency or
 24 what-have-you of the type you talked about earlier?
 25 A. No, I'm referring to coordinates.

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1 Q. So you're saying then that the sensors
 2 themselves actually output coordinate information?
 3 **A. No.**
 4 Q. So instead, the coordinates are calculated
 5 from the data that's acquired from the sensors; is that
 6 right?
 7 **A. Right.**
 8 Q. And what is it about the data that's acquired
 9 from the sensors that gives the native coordinate
 10 information?
 11 **A. What is it about the data?**
 12 Q. Yeah. In other words, earlier you were
 13 talking about how sensors will produce things like a
 14 voltage or a current or a frequency or what-have-you.
 15 So what is it about that information that
 16 gives you native coordinate information?
 17 **A. Well, it depends on every sensor type. In**
 18 **other words, for different types of sensors, different**
 19 **excitation schemes, different design schemes, you're**
 20 **going to get a different low-level signal that you're**
 21 **going to then process to determine the object location**
 22 **that the sensor is sensing.**
 23 Q. But the object location wouldn't be determined
 24 just from the amps or volts or frequency that was being
 25 detected by the sensor, would it, the signal from the

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1 sensor?
 2 **A. Well, it certainly couldn't be detected**
 3 **without that and the -- there's some process that goes**
 4 **between, you know, a sensor which outputs some signal,**
 5 **if we're perhaps being excited, and turning into a**
 6 **coordinate.**
 7 **And there's an in-between process there, but**
 8 **you don't have coordinates until you have coordinates.**
 9 Q. And so there's some processing that goes on by
 10 a chip or a computer or software or something that then
 11 takes those raw values of amps or volts or current or
 12 whatever and then says for each of the sensors, aha,
 13 this is where that sensor is located?
 14 **A. No, this is not where the sensor's located,**
 15 **but this is where the object's located that you're**
 16 **trying to sense the position of.**
 17 Q. Which object? A finger or a stylus or
 18 something like that?
 19 **A. Right. In other words, there's -- there are**
 20 **sensors that can tell you where they're located. That**
 21 **is, you can obtain the location of the sensor. But the**
 22 **type of sensing devices that are, you know, we're**
 23 **discussing here today are devices that are intended to**
 24 **identify the location of an object usually in close**
 25 **proximity to.**

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1 Q. Such as a finger or a stylus --
 2 **A. Right, right.**
 3 Q. -- or what-have-you?
 4 **A. You want to know where the finger is on the**
 5 **touchpad, touch screen, whatever. You don't want to**
 6 **know where is the touchpad relative to the room**
 7 **boundaries or relative, you know, to its place on the**
 8 **planet.**
 9 Q. I understand.
 10 **A. That's another kind of sensing.**
 11 Q. Got it.
 12 All right. Paragraph 42 you say, "I may also
 13 testify that, 'Sensors configured to map the touchpad
 14 surface into native sensor coordinates' means sensors
 15 configured to produce signals indicating native sensor
 16 coordinates."
 17 Do you see that?
 18 **A. Yes, I do.**
 19 Q. And what are you relying on as support for
 20 that proposition in the specification?
 21 **A. Well, the same citation and probably other**
 22 **places, and I think this -- the real point I'm trying**
 23 **to make here is that the coordinates in question are**
 24 **the coordinates of the object, not what might be seen**
 25 **as the coordinates of the sensor itself.**

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1 Q. All right. So I'm looking at the language in
 2 paragraph 42 of your report, the claim language
 3 "sensors configured to map the touchpad surface into
 4 native sensor coordinates," and it appears that that
 5 language is found in the first element under the
 6 preamble of claim 1; is that right?
 7 **A. Let's go find it.**
 8 Q. That's on column 20. Or am I looking at the
 9 wrong section of the claim?
 10 **A. Right, that phrase, "sensors configured to map**
 11 **the touchpad surface into native sensor coordinates,"**
 12 **appears in the first -- in claim 1, for instance, it**
 13 **says, "a touchpad having a surface and one or more**
 14 **sensors configured to map the touchpad surface into**
 15 **native sensor coordinates."**
 16 Q. And you have offered the opinion that what
 17 that means is that the sensors that are described in
 18 that element there of claim 1 are configured to produce
 19 signals that indicate native sensor coordinates; right?
 20 **A. Right. That's what I'm saying, that the**
 21 **sensors are producing signals that indicate or can be**
 22 **used to determine the coordinates of the object.**
 23 Q. And --
 24 **A. In other words -- I'm sorry. Sorry for a long**
 25 **break there. But if we look at column 5 in the patent,**

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1 roughly 37 or so, line 37, says, "the sensor of the
 2 touchpad 36 are configured" -- it literally reads
 3 "produce signals," but I believe he means to say
 4 "configured to produce signals associated with the
 5 absolute position of an object on or near the touchpad.
 6 "In most cases, the sensors of the touchpad 36
 7 map the touchpad plane into native or physical sensor
 8 coordinates 40. The native sensor coordinates 40 may
 9 be based on Cartesian coordinates or Polar coordinates
 10 as shown."
 11 Then it goes on to explain that "when
 12 Cartesian, the native sensor coordinates 40 typically
 13 include" -- I'm sorry, my mistake in reading --
 14 "typically correspond to X and Y coordinates and then a
 15 corresponding Polar, as shown, the native sensor
 16 coordinates typically correspond to radial and angular
 17 coordinates r theta."
 18 And then it says that you can have a bunch of
 19 different types of, you know, resistive optical, et
 20 cetera.
 21 Q. So under your interpretation, how is it that
 22 one of these signals that is produced by a sensor,
 23 quote/unquote, indicates a native sensor coordinate?
 24 How does a sensor do that?
 25 A. Well, the outputs of the sensor -- the sensor

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1 is designed so that the signals it generates,
 2 potentially when it's excited by some excitation, but
 3 the signals it generates are correlated to position.
 4 So, for instance, to give kind of an example
 5 of this in a literal sense, if you were to make a
 6 capacitive, well, sensing grid of the type we've been
 7 talking about, it's typical that you put the grid lines
 8 down in a known spot so that when you get signals from
 9 them you can calculate the position of the object
 10 that's causing the capacitance.
 11 If you put the capacitive pass down randomly,
 12 you know, in some hypothetical, then you wouldn't be
 13 able to calculate where the object was. You'd see a
 14 bunch of varying capacitance, but you wouldn't know,
 15 you know, where it came from. Right?
 16 I mean, so you're configuring the sensors such
 17 that the signals it generates are indicative or
 18 actually relate to position.
 19 Q. Right. The signals that are being generated
 20 by the sensors don't themselves carry with them
 21 positional information, do they?
 22 The frequency or the amps or whatever, there's
 23 no positional information in there, is there?
 24 A. It may. They may. Depends on the sensor
 25 type.

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1 Q. So you're saying that at the time of the '659
 2 patent there were sensor types that sense, for example,
 3 capacitance, and then the signal would just put some
 4 sort of Cartesian coordinate information or r theta
 5 Polar coordinate information?
 6 A. Well, if we look at some of the examples given
 7 here, "By way of example, the sensors may be based on
 8 resistance sensing, surface acoustic wave sensing,
 9 pressure sensing, strain gauge, optical sensing,
 10 capacitive sensing and the like." And of those
 11 sensors, some of them generate output data which is
 12 awful close to what -- you know, it may be a linear
 13 proportion to position.
 14 So there's no question that the native or the
 15 fundamental design of the sensors in some places
 16 outputs a signal which is very close to coordinate
 17 data.
 18 Q. But it's not the coordinate data itself, is
 19 it? There's a computer that then correlates the signal
 20 to positional information; right?
 21 A. Well, but the two may be so close together
 22 that you can call them the same. And let's take an
 23 example.
 24 If we have a -- you know, a time to apply
 25 acoustic position sensing, which surface acoustic wave

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1 is a variation of, then the time of flight that we
 2 measure is if we're measuring right on the axis, that
 3 time of flight is exactly proportional to position.
 4 And so the signal you get, which might be, you know, a
 5 time, a flight time, is -- you know, divide by a
 6 constant and you've got the position.
 7 So I think it would be accurate to say that
 8 signal is representative of a position. So it could
 9 be. In other words, depending on the nature of the
 10 sensor, you could have signals that were effectively
 11 the X and Y coordinates.
 12 Q. And what about for a capacitance sensor?
 13 A. Well, interestingly, it depends on the type.
 14 If we go back to the kind of -- the sheet style, then
 15 you're awful close. That is, you do one -- you have
 16 four measured variables. You do one equation with
 17 those four variables and you have X and Y.
 18 In the case of patterned couple
 19 capacitance-type sensors of the sort we've been
 20 discussing mostly today, then the signal you get you do
 21 quite a bit of processing on before you determine the
 22 native coordinates.
 23 Q. Now, if you would turn back in your report to
 24 paragraph 36, this is in regards to the '218 patent,
 25 and in the second sentence there you say that one of

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1 ordinary skill in the art would understand that term,
 2 the term being "cursor control operation," on its face
 3 to involve the control, i.e., movement of the cursor on
 4 a display screen.
 5 Do you see what I'm referring to there?
 6 **A. Yes.**
 7 Q. All right. So if I understand what you're
 8 saying there, those three words there together, cursor
 9 control operation, those words standing alone in your
 10 opinion would mean to one of ordinary skill in the art
 11 movement of the cursor on a screen; is that right?
 12 **A. That's correct.**
 13 Q. And tell me what it is about those words,
 14 "cursor control operation," that in and of themselves
 15 connote movement to one of ordinary skill?
 16 **A. Sure. The cursor is in a modern machine, of**
 17 **course. We're used to a little arrow on the screen**
 18 **that moves around or another indicative, like a caret**
 19 **or something that moves to indicate a position on the**
 20 **screen.**
 21 **Its motion is decoupled from activity or**
 22 **operations that happen at the place where it is. So if**
 23 **you said to -- you know, to control the cursor given**
 24 **the operations you can do to control a cursor, you can**
 25 **move it.**

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1 **I mean, there is no other operation you as a**
 2 **user can perform with the little arrow on the screen**
 3 **other than move it. Once you put it in a particular**
 4 **location, then you can indicate operations based on**
 5 **other activities. I mean, we have, you know, the**
 6 **cursor control keys on a keyboard. Move the cursor.**
 7 **They don't have any other function per se about the**
 8 **cursor than that.**
 9 **So the idea of cursor control is controlling**
 10 **the cursor, and the cursor, the only thing you can do**
 11 **with it is move it.**
 12 Q. Can I change the size of the cursor in
 13 computers?
 14 **A. Well, okay. You can make it go away by**
 15 **turning the power off, too, yeah.**
 16 **In other words, if we go outside the scope of**
 17 **the kind of the thing we're talking about here today, I**
 18 **can write software to change a cursor; I can write a**
 19 **display driver that draws it a different way; I can**
 20 **shadow behind it; I can draw trails behind it; I can do**
 21 **a thousand things that, quote, control the cursor, but**
 22 **I don't think they're what you would call a cursor**
 23 **control operation remotely like we're talking about**
 24 **today.**
 25 Q. So you would say that a cursor control

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1 operation would not include an operation to change the
 2 size or the dimensions or the speed or other attributes
 3 of the cursor? Is that your testimony?
 4 **A. Right, right. I would not use the**
 5 **terminology -- if I was talking to an engineer and I'm,**
 6 **you know, working on one of the LCS control panels and**
 7 **I said to the guy, you know, I want you to implement,**
 8 **you know, cursor sizing, which we did, or mouse trails,**
 9 **which we did, or innumerable other things like that, I**
 10 **would never have said that's a cursor control**
 11 **operation. And if I had, the guy would have thought I**
 12 **was kind of talking in a strange way.**
 13 Q. Let me ask you to take a look at -- this is
 14 Von Herzen Exhibit 7, which is the '218 patent.
 15 Why don't we take a look at claim 1, which has
 16 that phrase "cursor control operation" in it. It's in
 17 column 13.
 18 Do you have that?
 19 **A. Right.**
 20 Q. Element C refers to distinguishing between a
 21 first cursor control operation and a second cursor
 22 control operation and a third cursor control operation
 23 based on the duration of said contact and gap
 24 intervals.
 25 Do you see what I'm referring to there?

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1 **A. Right.**
 2 Q. All right. And I think what you said in your
 3 report at paragraph 36 is that a cursor control
 4 operation means providing cursor positioning data to
 5 effect movement of the cursor; is that right?
 6 **A. Well, I said that it's a cursor tracking**
 7 **operation that controls the movement of the cursor on**
 8 **the screen.**
 9 Q. Where did you say that? I didn't see that
 10 word "tracking," so maybe you can point that out to me.
 11 **A. Well, we're talking about my paragraph 36, and**
 12 **I note that, you know, at 6:9-13, "The '218 patent**
 13 **expressly states that a cursor control operation is a**
 14 **cursor tracking operation. That is, an operation that**
 15 **controls the movement of the cursor on the screen."**
 16 Q. Right. And what I'm trying to understand,
 17 first of all, is we have some claim language, "cursor
 18 control operation," and I first of all wanted to get
 19 your opinion then on what you believe that term means,
 20 how it should be construed by one of ordinary skill in
 21 the art.
 22 I thought you had construed it to mean
 23 providing cursor positioning data to affect movement of
 24 the cursor, but I may have that wrong.
 25 **A. Well, I mean, I guess you're asking sort of**

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1 **two parallel questions at once. Let's look up the**
 2 **claim construction. And you're reading two different**
 3 **parts of my paragraph that I think are consistent, and**
 4 **I don't see any inconsistency between them.**
 5 Q. I'm not saying there's an inconsistency. I
 6 just want to know what word you believe should be used
 7 to define the words "cursor control operation."
 8 **A. As I said in 36, I believe it means -- you**
 9 **know, the first sentence says, "I may provide my**
 10 **opinion that the term 'cursor control operation' means**
 11 **providing cursor positioning data to affect movement of**
 12 **the cursor."**
 13 Q. All right. And those words are somewhat
 14 different than the words in the joint claim
 15 construction statement at page 22; correct?
 16 **A. Well, I think that construction says,**
 17 **"providing of positional data to affect movement of the**
 18 **cursor." And I guess -- I don't see any substantive or**
 19 **meaningful difference between "providing cursor**
 20 **positioning data" and "providing of positional data."**
 21 Q. All right. "Positional data" referring to the
 22 cursor position?
 23 **A. To affect the movement of the cursor. Right.**
 24 **I mean, I don't see any difference between those two**
 25 **phrases.**

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1 Q. All right. So now, with that construction in
 2 mind that you've provided there of what a cursor
 3 control operation is, can you point out to me where in
 4 the specification there are described three cursor
 5 control operations, a first one, a second one and a
 6 third one that are based on the duration of contact and
 7 gap intervals?
 8 **A. Okay. And I'll direct your attention back**
 9 **again to 6 to 9 to 13 where it explains column 6, lines**
 10 **9 to 13 where it says, "As shown in part A of figure**
 11 **5" --**
 12 THE REPORTER: Slow down.
 13 THE WITNESS: I'll just read the -- "if the
 14 first contact interval lasts longer than the maximum
 15 tap interval," and then there's an example here in
 16 parentheses, "i.e., if T subscript T1 is greater than T
 17 subscript max, the operation of the touch-sensitive
 18 cursor controlling input device during the first
 19 contact interval is identified as a cursor control
 20 operation, i.e., a cursor tracking operation."
 21 And then it goes on to, "Thus, positional data
 22 relating to user's contact with a touch-sensitive input
 23 device is supplied to the computer system in order to
 24 effectuate cursor movement on the computer screen."
 25 Now, going back to understand the context of

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1 this to column 5, there's a section which deals with --
 2 I'll just read the whole paragraph beginning at column
 3 5, line 5.
 4 "Consequently, touchpad 200 generates x, y and
 5 z data pertaining to the user's contact with the
 6 touchpad, e.g., pertaining to the position of the
 7 operator's finger on the touchpad, over some region in
 8 the x, y and z directions.
 9 "Velocities, accelerations, timing
 10 differentials and signal strengths may be determined
 11 from this data string. As mentioned below, when these
 12 parameters are considered along with prior events, it
 13 is possible to discern between cursor manipulation,
 14 click, multi-click, drag, click-and-drag, and
 15 multi-click and drag operations."
 16 And if we look about what some of these
 17 operations are, cursor manipulation would be just
 18 simply positioning the cursor. Click would be a button
 19 press and release, multi-click would be some set of
 20 those in close proximity, drag is the operation wherein
 21 the button is down and then there's motion.
 22 Click-and-drag as described here would be a
 23 click immediately followed by a drag. So it would be
 24 down, up, back down, and then motion. And then the
 25 next one there would be a multi-click-and-drag

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1 operation, which would be something on the order of
 2 down, up, down, up, down, drag.
 3 So to the extent that there's three cursor
 4 control operations you asked me to identify, certainly
 5 a cursor positioning would be one, dragging would be
 6 two, click-and-drag would be three, and multi-click and
 7 dragging would be four.
 8 Q. There is in figure 2 of this patent, I
 9 believe, an element called 215.
 10 **A. Right.**
 11 Q. And I believe that that's called a
 12 balance-measuring circuit in the patent.
 13 **A. Counsel, do you perchance know what the**
 14 **relative section is just to speed us up here?**
 15 Q. Column 4 is what I was focused on, but I
 16 didn't want to limit you there.
 17 **A. I'll start there. Thank you.**
 18 **Okay. I mean, in the interest of time, I'm**
 19 **generally familiar with that paragraph.**
 20 **Go ahead, please.**
 21 Q. All right. My question is, what is the
 22 function of the balance-measuring circuit 215?
 23 **A. In general, this is a kind of top-level**
 24 **description of this particular sensing means. In**
 25 **general, there's a technique to determine, I guess,**

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1 capacitive coupling or electrostatic coupling where you
 2 do charge balancing.
 3 That is, you look at the relative amount of
 4 charge that you need to inject to get to a fixed
 5 voltage level from one coupled source into the finger
 6 and then maybe the other coupled source. Or into the
 7 object in the general sense, but let's say finger for
 8 sort of a simplistic explanation
 9 So if we had electrodes -- and this is a very
 10 kind of top-level description they're making here, so
 11 they may end being slightly different. But the -- if
 12 you have two traces and we have -- you know, and I'm
 13 sorry I'm using any fingers for traces, but if I
 14 have -- I'll turn this way so that they look like --
 15 THE REPORTER: Try to slow down a little bit,
 16 too. It's been a long day.
 17 THE WITNESS: I'm sorry. Okay.
 18 So I'm trying to make kind of a
 19 three-dimensional description in midair, which is hard
 20 to do, but assume we have some traces and then we have
 21 a finger which is coupled capacitively to them.
 22 If I can determine the amount of charge I need
 23 to place the balance of voltage on the two traces, then
 24 I have determined the relative capacitance coupling
 25 between the finger and the two traces.

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1 So one kind of capacitance sensing technique
 2 is that type of balancing. So from the explanation it
 3 appears that in this circuit that element 215 is, in
 4 fact, being used to do that type of
 5 electrode-to-electrode balance sensing. And then from
 6 there it looks like they're doing something with this
 7 successive ratio of the balance measurement.
 8 So again, I'm not sure I, without thinking
 9 about it a lot and, you know, doing more detailed kind
 10 of analysis of it, can say exactly how that sensing
 11 process works, but it's -- 215 is one of the elements
 12 in generating positional data from this particular
 13 input device.
 14 Q. Toward the end of your answer you alluded to
 15 the balance ration determination in circuit 220, which
 16 is also depicted in figure 2, and what is the function
 17 of that circuit?
 18 A. Well, they note that it's balance ratio -- let
 19 me just back up and read it for clarity.
 20 "The virtual electrodes are connected to
 21 electronic circuitry capable of measuring the
 22 electrical balance between selected top and bottom
 23 virtual electrodes. Balance ratio determination
 24 circuit 220 determines the ratio of one balance
 25 measurement to another. Microcontroller 225 selects

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1 appropriate electrodes for balance measurement and
 2 ratio determination."
 3 So it looks like the ratio thing is kind of
 4 calculating a ratio of the two, and again, in the kind
 5 of a -- not necessarily this exact implementation, but
 6 other implementations I've seen in that type of thing,
 7 you might look at the ratio because that tells you
 8 where you are between the lines.
 9 In other words, if you're interpolating your
 10 position between two conductors, the ratio of the
 11 capacitance is proportional to the ratio of your
 12 position between the two lines.
 13 Q. In paragraph 35 of your report you state that
 14 you may provide a general technical overview of the
 15 systems described in Apple's '218 patent.
 16 Do you see that?
 17 A. Right.
 18 Q. Now, have you prepared such a general
 19 technical overview at this point?
 20 A. Well, not other than the technical overview
 21 that's presented here.
 22 Q. "Here" being Exhibit 2? Exhibit 2, your
 23 summary --
 24 A. Yeah, I'm sorry. In my -- in my report.
 25 Q. Let me ask you to turn to paragraph 40.

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1 This refers to the 659 patent, and you say in
 2 paragraph 40 something similar. You say that you may
 3 provide a general technical overview of the systems
 4 described in Apple's '659 patent, and my question is,
 5 have you prepared such a general technical overview as
 6 of today?
 7 A. Not other than what's here.
 8 Q. "Here" being, again, just for clarity of the
 9 record, Exhibit 2?
 10 A. Yeah, in my report, Exhibit 2.
 11 MR. BOBROW: All right. Why don't we take a
 12 short break. I think we're just about ready to wrap
 13 up.
 14 MR. DeBRUINE: Perfect.
 15 THE VIDEOGRAPHER: We're going off the record
 16 at 5:11 p.m.
 17 (RECESS TAKEN.)
 18 THE VIDEOGRAPHER: We're back on the record at
 19 5:18 p.m.
 20 BY MR. BOBROW:
 21 Q. Just a handful more questions, really, on two
 22 topics. One was housekeeping.
 23 At the beginning of the deposition
 24 Mr. DeBruine, at least to my recollection, mentioned
 25 that he was not only representing Elan but also

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1 representing you as the witness.
 2 Do you recall that?
 3 **A. Yes, he made that statement.**
 4 Q. All right. And is that the case, that
 5 Mr. DeBruine or his firm are representing you as
 6 counsel?
 7 **A. I mean, he made that statement and I presume**
 8 **that's the position they're taking.**
 9 Q. All right. And is that your understanding of
 10 the relationship that you have with Mr. DeBruine's
 11 firm, that he's representing you?
 12 **A. Well, okay. I don't -- I'm working as an**
 13 **expert witness for Alston & Bird, and I've been hired**
 14 **to do certain things. You know, I don't -- I have not**
 15 **solicited on a personal level his representation. And**
 16 **perhaps he was just -- I mean, it might be better to**
 17 **ask him than me, but perhaps he was commenting on his**
 18 **role in the deposition or something of the sort.**
 19 Q. You're not compensating or paying --
 20 **A. No.**
 21 Q. -- Mr. DeBruine's firm for representation of
 22 you?
 23 **A. No, no, no, no.**
 24 Q. Second subject I had has to do with paragraph
 25 20 of your report, which is Exhibit 2, I hope still in

1 front of you.
 2 The court reporter made things difficult by
 3 making things neat. They were otherwise --
 4 MR. DeBRUINE: My wife does that a lot.
 5 THE WITNESS: There's one neat person in this
 6 room. That's a good thing.
 7 BY MR. BOBROW:
 8 Q. Take a look, please, at paragraph 20, and
 9 there was a mention in paragraph 20 of a centroid, and
 10 I see that appears about two-thirds of the way down
 11 that paragraph on page 9. You talk about a centroid
 12 for an axis being calculated in a certain way.
 13 Do you see what I'm referring to?
 14 **A. Right.**
 15 Q. So now, if I have, let's say, a touchpad and I
 16 have two fingers on the touchpad, I can calculate --
 17 one thing I could do is potentially calculate a
 18 centroid for those two fingers combined, one centroid
 19 for the two; is that right?
 20 **A. You could, yes.**
 21 Q. And I think you were saying earlier that that
 22 was a fairly common technique even in the 1990s;
 23 correct?
 24 **A. Well, I'm not sure I can recollect my**
 25 **testimony exactly to a degree to comment on this, but**

1 **people knew how to compute centroids, and if you**
 2 **compute the centroid over the whole dataset, then you**
 3 **will end up with a centroid, which is the centroid of**
 4 **all of the areas of coupling.**
 5 **So in the example you gave of two fingers, if**
 6 **you just simply did it over the whole dataset, you**
 7 **would calculate a centroid which would be in general**
 8 **between the two fingers, and that's where it would be**
 9 **basically. Depending on how much coupling you had on**
 10 **each finger, it wouldn't exactly be central, but it**
 11 **would be between them.**
 12 Q. And in calculating that centroid where I'm
 13 looking at the -- I think what you said, the entire
 14 dataset of points for -- in the case of two fingers,
 15 let's say that I'm taking all of the measurements for
 16 the conductors that are arrayed along, say, an X axis
 17 of the touchpad.
 18 When I'm calculating the centroid, do I use
 19 all of those capacitance measurements that I've made on
 20 each of the conductors in that region?
 21 **A. Well, in a practical sense, no. We're**
 22 **focusing on some particular aspects of, you know,**
 23 **claims and claim construction terms, but there's also a**
 24 **whole process of thresholding, of zero balance or zero**
 25 **state removal, background removal, and so what you**

1 **actually would tend to do is something that's more like**
 2 **if I'm above a kind of first threshold. Right?**
 3 **That is, determining whether I'm out of the**
 4 **noise floor at all. And then I'm going to segment down**
 5 **and I'm going to look at sensed, you know, values in**
 6 **that subset. Right? Because they've gotten out of the**
 7 **noise, I've already -- let's assume I've already**
 8 **corrected for baseline drift and I'm now looking at**
 9 **just what would be good data, but there's, you know,**
 10 **some other characters.**
 11 **It might also be, I would say, and again, it's**
 12 **hard to know exactly what other people were doing, it**
 13 **would not be uncommon to have a very low-level what**
 14 **I'll call a kind of sanity filter. Because you pretty**
 15 **typically see a lot of noise events in this kind of**
 16 **sensing, and so if you saw a single line which had**
 17 **stratospheric coupling or stratospheric value, it would**
 18 **be unlikely that that was really a finger coupling,**
 19 **because you normally see some increasing range around**
 20 **it like a slope.**
 21 **So you probably would ditch that kind of**
 22 **data. Otherwise, you'd have noisy, you know, poor**
 23 **performance.**
 24 Q. Fair enough. I should have been more precise.
 25 So let's assume that I have a touchpad. I am

1 trying to determine, you know, the presence of two
 2 fingers. Two fingers are on the pad. There are
 3 certain capacitance values that are generated. Let's
 4 assume I'm sensing the X conductors along the X axis.
 5 I weed out the noise. I weed out whacky extraneous or
 6 the kind of events that you were describing, that kind
 7 of data. Filtering is done.

8 When I'm calculating the centroid, do I
 9 include in determining the centroid the values that
 10 correspond to the maximum capacitance? In other words,
 11 the maximum values associated with those fingers.

12 **A. Well, if you're trying to compute one centroid**
 13 **across both of them, then you would include the maximum**
 14 **of both. If you were trying to compute two centroids,**
 15 **one for each, you would include the maximum value for**
 16 **each in its own calculation, and then you would -- the**
 17 **range of calculation would be limited -- you'd make**
 18 **some tests, of which there are many, to determine**
 19 **which -- you know, what part of the waveform was**
 20 **associated with the first hill and what part was**
 21 **associated with the second.**

22 MR. BOBROW: All right. That's all I have.
 23 Thank you.

24 THE VIDEOGRAPHER: This ends today's
 25 deposition of Robert Dezmelyk on April 9th, 2010. The

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1 total number of tapes used was four. The master
 2 videotapes of today's deposition will remain in the
 3 custody of McMahon & Associates McMahon & Associates,
 4 LLC.

5 We're now off the record. The time is
 6 5:25 p.m.

7 (The deposition of ROBERT DEZMELYK
 8 was adjourned at 5:25 p.m. this date.)

9 --- oOo ---

10

11 I certify under penalty of perjury that the foregoing
 12 is true and correct.

13

14 Date _____
 15 ROBERT DEZMELYK

16
 17
 18
 19
 20
 21
 22
 23
 24
 25

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1 REPORTER'S CERTIFICATE

2 The undersigned Certified Shorthand Reporter
 3 licensed in the State of California does hereby
 4 certify:

5 I am authorized to administer oaths or
 6 affirmations pursuant to Code of Civil Procedure,
 7 Section 2093(b), and prior to being examined, the
 8 witness was duly administered an oath by me.

9 I am not a relative or employee or attorney or
 10 counsel of any of the parties, nor am I a relative or
 11 employee of such attorney or counsel, nor am I
 12 financially interested in the outcome of this action.

13 I am the deposition officer who
 14 stenographically recorded the testimony in the
 15 foregoing deposition, and the foregoing transcript is a
 16 true record of the testimony given by the witness.

17 Before completion of the deposition, review of
 18 the transcript [x] was [] was not requested. If
 19 requested, any changes made by the deponent (and
 20 provided to the reporter) during the period allowed are
 21 appended hereto.

22 In witness whereof, I have subscribed my name
 23 this ____ day of _____, 2010.

24

25 _____
 ANNE M. TORREANO, CSR No. 10520

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REPORTER'S CERTIFICATE

The undersigned Certified Shorthand Reporter licensed in the State of California does hereby certify:

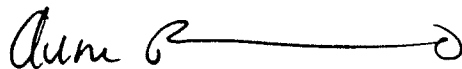
I am authorized to administer oaths or affirmations pursuant to Code of Civil Procedure, Section 2093(b), and prior to being examined, the witness was duly administered an oath by me.

I am not a relative or employee or attorney or counsel of any of the parties, nor am I a relative or employee of such attorney or counsel, nor am I financially interested in the outcome of this action.

I am the deposition officer who stenographically recorded the testimony in the foregoing deposition, and the foregoing transcript is a true record of the testimony given by the witness.

Before completion of the deposition, review of the transcript [x] was [] was not requested. If requested, any changes made by the deponent (and provided to the reporter) during the period allowed are appended hereto.

In witness whereof, I have subscribed my name this 12th day of April, 2010.


ANNE M. TORREANO, CSR No. 10520