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E-FILED - 3/3/11

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

JOSEPH LAWRENCE PATTERSON,)
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 Petitioner,)
)
 vs.)
)
 J.F. SALAZAR, Warden,)
)
 Respondent.)
 _____)

No. C 09-3536 RMW (PR)

ORDER DENYING PETITION FOR
WRIT OF HABEAS CORPUS;
DENYING CERTIFICATE OF
APPEALABILITY

Petitioner, a California prisoner proceeding pro se, filed a writ of habeas corpus pursuant to 28 U.S.C. § 2254. Respondent filed an answer and a supporting memorandum of points and authorities addressing the merits of the petition. Instead of filing a separate traverse, petitioner opted to use his petition in lieu of a traverse. Petitioner has also filed a motion to add a supporting case citation to his petition. Petitioner’s motion is granted. (Docket No. 17.) Having reviewed the papers and the underlying record, the court concludes that petitioner is not entitled to habeas corpus relief and denies the petition.

FACTUAL BACKGROUND

In December 2006, the victim, petitioner’s 11-year old daughter, was living with petitioner and his parents. (People v. Patterson, No. H033021 (Cal. App. 6 Dist. March 19,

1 2009), Resp. Ex. K at 1.) During the first week, the victim slept on the couch, and petitioner
2 slept on the recliner. (Id. at 2.) On December 8, 2006, the victim and petitioner decided to
3 watch scary movies together. (Id.) The victim got her pillow and blanket and lay on petitioner's
4 lap, facing the television. (Id.) At some point, the victim fell asleep. (Id. at 3.) She woke up
5 because she had been having a bad dream about fighting someone with a stick, and then realized
6 that she was holding petitioner's naked penis. (Id.) Petitioner's hand was around hers, moving it
7 "up and down." (Id.) The victim noticed that petitioner was watching naked ladies on
8 television. (Id.) She pulled her hand away, pretended that she was having a bad dream, and
9 covered herself up tightly with the blanket. (Id.) The victim felt scared. (Id.) Then she
10 pretended to wake up and asked the petitioner for water. (Id.) When petitioner got up to get the
11 water, the victim moved to the recliner because she "did not want it to happen again." (Id.)
12 Then she went to the bathroom to wash her hands because "they smelled nasty." (Id.) She
13 testified that she thought there was leftover milk or ice cream on her hand and the substance was
14 "gooey." (Id.)

15 The victim did not tell anyone about what had happened until the following night when
16 she called her half-brother, H. (Id.) Later that night, the police showed up at the victim's house.
17 (Id.) The victim spoke with them at the house, and then went to the police station and spoke
18 with them again. (Id.)

19 At trial, H. testified that the victim called him and they talked for a few minutes before
20 she told him that she had fallen asleep on petitioner's lap, and when she woke up, her hand was
21 on his "crotch." (Id.) H. told his stepmother, who called the social worker. (Id.) H. and his
22 father then went to the police station to file a report. (Id.) After the police arrived, petitioner's
23 mother woke up and went into the living room. (Id. at 4.) The victim was crying and told
24 petitioner's mother the same story she had told H., and confessed that that was why the police
25 were there. (Id.)

26 Officer Heylen went to the victim's residence and interviewed her. (Id.) H also
27 conducted a videotaped interview of her at the police station. (Id.) A portion of the interview
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1 was played at trial, in which the victim was asked if she knew the difference between a penis and
2 a vagina. (Id.) The victim responded that a penis was similar to a long stick “sort of.” (Id.) She
3 also explained that she was scared because her brother, S., had told her, “that people grab like
4 the [inaudible] when you’re sleeping and you don’t know what’s going to happ . . . what’s going
5 to happen with like, like if you’re, if you don’t know your dad or people that much, then and
6 something might, might happen at nighttime. That it was like, well he would grab my hand and
7 make a . . . and do something with it like put it on his thing and then he said after he’s done with
8 that he might just murder you.” (Id.)

9 The defense theory was that the victim was lying. (Id.) On cross-examination, the victim
10 did not remember when or where she learned the word, “wiener,” to mean “penis.” (Id. at 5.)
11 She denied having ever seen a penis before; denied having seen her brother’s friend’s “thing
12 out;” and denied having told Heylen that S. warned her that her dad might grab her hand and put
13 it on his penis. (Id.) The defense investigator testified that the victim had told her that she had
14 seen a friend’s “thing hanging out” before. (Id.)

15 Petitioner’s brother testified that petitioner had never been inappropriate with his 13-year
16 old daughter, or 11-year old son. (Id.) Petitioner’s brother admitted that petitioner was
17 “obnoxious” though when he drank. (Id.) Petitioner testified that he never knew about the
18 victim until she was 3-years old because he and the victim’s mother had a brief sexual
19 relationship. (Id.) He saw the victim again when she was 7, and then did not have any other
20 contact with the victim until she was 10-years old. (Id.) At that time, the victim called him to
21 ask him to go to a father-daughter dance with her, and he complied. (Id.) After the dance,
22 petitioner called the victim once a month and visited her once. (Id.) In October 2006, the
23 victim’s mother called to tell petitioner that her children had been taken into protective custody,
24 and she asked petitioner to take custody of the victim. (Id.) He agreed, and the victim came to
25 live with him in early December 2006. (Id.)

26 Petitioner lived with his parents and, although he sometimes masturbated while sitting on
27 the couch, he had not done so while the victim had lived there. (Id. at 6.) Petitioner testified that

1 on December 8, 2006, he and the victim were watching scary movies together. (Id.) She got her
2 pillow and blanket and lay next to him. (Id.) He got up three times to go outside for a cigarette.
3 (Id.) The last time he came back inside, she had moved to the recliner. (Id.) Petitioner had four
4 beers that night. The following morning, he did the laundry, which included the victim's
5 blankets and pillow case, and his own blankets and pillow case. (Id.) Petitioner denied ever
6 touching the victim inappropriately. (Id.)

7 The jury convicted petitioner of one count of lewd and lascivious conduct with a child
8 under the age of 14, and found true that petitioner engaged in substantial conduct with the
9 victim. (Id. at 1.) Petitioner was sentenced to eight years in prison. (Id.) Petitioner appealed,
10 and the California Court of Appeal affirmed the judgment on March 19, 2009. (Resp. Ex. K.)
11 The California Supreme Court denied review on June 10, 2009. (Resp. Ex. M.) Petitioner filed
12 the instant federal action on July 27, 2009.

13 LEGAL CLAIMS

14 Petitioner bring two claims in his habeas petition: (1) he was denied his rights to present
15 a defense and to confrontation by the trial court's exclusion of evidence of (a) the victim's foster
16 sister's conduct with the victim, and (b) the victim's observation of her mother having sex with
17 three different men; and (2) he received ineffective assistance of counsel when counsel failed to
18 object to the trial court's denial of the request to cross-examine the victim about her mother on
19 the ground that it violated his right to confrontation.

20 DISCUSSION

21 A. Standard of Review

22 Because the instant petition was filed after April 24, 1996, it is governed by the
23 Anti-Terrorism and Effective Death Penalty Act of 1996 (AEDPA), which imposes significant
24 restrictions on the scope of federal habeas corpus proceedings. Under the AEDPA, a federal
25 court may not grant habeas relief with respect to a state court proceeding unless the state court's
26 ruling was "contrary to, or involved an unreasonable application of, clearly established federal
27 law, as determined by the Supreme Court of the United States," 28 U.S.C. § 2254(d)(1), or "was
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1 based on an unreasonable determination of the facts in light of the evidence presented in the
2 State court proceeding.” 28 U.S.C. § 2254(d)(2).

3 “Under the ‘contrary to’ clause, a federal habeas court may grant the writ if the state
4 court arrives at a conclusion opposite to that reached by [the Supreme] Court on a question of
5 law or if the state court decides a case differently than [the] Court has on a set of materially
6 indistinguishable facts.” Williams (Terry) v. Taylor, 529 U.S. 362, 412-13 (2000). “Under the
7 ‘unreasonable application clause,’ a federal habeas court may grant the writ if the state court
8 identifies the correct governing legal principle from [the] Court’s decisions but unreasonably
9 applies that principle to the facts of the prisoner’s case.” Id. “[A] federal habeas court may not
10 issue the writ simply because the court concludes in its independent judgment that the relevant
11 state-court decision applied clearly established federal law erroneously or incorrectly. Rather,
12 that application must also be unreasonable.” Id. at 411.

13 “[A] federal habeas court making the ‘unreasonable application’ inquiry should ask
14 whether the state court’s application of clearly established federal law was ‘objectively
15 unreasonable.’” Id. at 409. In examining whether the state court decision was objectively
16 unreasonable, the inquiry may require analysis of the state court’s method as well as its result.
17 Nunes v. Mueller, 350 F.3d 1045, 1054 (9th Cir. 2003). The “objectively unreasonable”
18 standard does not equate to “clear error” because “[t]hese two standards . . . are not the same.
19 The gloss of clear error fails to give proper deference to state courts by conflating error (even
20 clear error) with unreasonableness.” Lockyer v. Andrade, 538 U.S. 63, 75 (2003).

21 A federal habeas court may grant the writ if it concludes that the state court’s
22 adjudication of the claim “resulted in a decision that was based on an unreasonable
23 determination of the facts in light of the evidence presented in the State court proceeding.” 28
24 U.S.C. § 2254(d)(2). The court must presume correct any determination of a factual issue made
25 by a state court unless the petitioner rebuts the presumption of correctness by clear and
26 convincing evidence. 28 U.S.C. § 2254(e)(1).

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1 **B. Petitioner’s Claims**

2 1. Exclusion of evidence

3 Defense counsel filed a pretrial motion for permission to cross-examine the victim
4 regarding admissions she made to the police about being involved in sexual activity with her
5 foster sister. (CT 118.) She told the police that her foster sister “would pretend like she was a
6 dad and she would try to get up on me and try to kiss me and stuff.” (Id.) Defense counsel
7 proffered that this evidence would provide insight to the jury that the victim believed this
8 conduct to be “dad-like” behavior, and that it was relevant to the victim’s predisposition and
9 credibility. (Id. at 118-19.) He moved for its admission under California Rule of Evidence
10 § 782.¹ The trial court determined that, pursuant to state law, the offer of proof was not
11 sufficiently similar to the acts with which the petitioner was accused. (Resp. Ex. B, RT 27.)
12 Based on state law, the trial court denied the motion to admit the victim’s statement, citing
13 California Evidence Code §§ 782 and 352.

14 Defense counsel also requested that he be permitted to question the victim regarding her
15 previous statement that she had observed her mother having sex with three different men on
16 three separate occasions. (RT 209.) Counsel argued that he should be allowed to question the
17 victim about those statements to show that she knew what male genitalia looked and felt like
18 from sources rather than from the underlying incident with petitioner. (RT 210.) The trial court
19 concluded that questioning the victim about “her exposure to sexual conduct” was too broad, but
20 it would allow defense counsel to question the victim regarding her previous exposure to male
21 genitalia. (Resp. Ex. B, RT 48.) Petitioner argues that the exclusion of these two pieces of
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23 ¹ Section 782(a)(2) provides in pertinent part that, where evidence of sexual conduct of
24 the complaining witness is offered to attack the credibility of the complaining witness, “[a]
25 written motion shall be accompanied by an affidavit in which the offer of proof shall be stated.”
26 The court must then make a determination regarding the sufficiency of the offer of proof. See
27 Cal. Evid. Code § 782(a)(2). If the court finds the offer sufficient, it must conduct a hearing out
28 of the presence of the jury, to allow questioning of the complaining witness as to the allegations
in the offer of proof. See Cal. Evid. Code § 782(a)(3). The court must then decide whether the
evidence is (1) relevant and (2) admissible under section 352. See Cal. Evid. Code § 782(a)(4).

1 evidence violated his right to present a defense and right to confrontation.

2 The California Court of Appeal rejected petitioner’s claim. It determined that, with
3 respect to the foster sister’s conduct, viewing the victim’s statement in the proper context
4 revealed that the statement was not probative of the question of whether the victim fabricated the
5 allegations against petitioner. (Resp. Ex. K at 8.) Prior to the victim’s statement about her foster
6 sister, Officer Heylen asked her whether she had ever made any allegations against her foster
7 parents similar to the allegation against petitioner, and she denied that she had. (Id.) The victim
8 then offered her statement regarding the foster sister. (Id.) She continued to say that she would
9 push her foster sister off, which would make her foster sister cry, which got the victim into
10 trouble. (Id.) The appellate court concluded that, in context, the requested statement did not
11 tend to prove that the victim expected fathers to act in a similar manner with their daughters.
12 (Id.) Further, the state court pointed out that the foster sister’s role as the “dad” did not mean
13 that the victim’s role should be the daughter, as opposed to the “mom.” (Id.) Moreover, the
14 foster sister’s attempts to kiss the victim were not sufficiently similar to the masturbation
15 allegation against petitioner. Thus, concluded the court, the prohibited evidence had little, if
16 any, probative value to the determination the victim’s credibility. The appellate court relied on
17 state law and recognized that the complete exclusion of evidence relevant to a defense could
18 impair the right to due process, however, the exclusion of evidence on a minor point such as the
19 challenged one did not. (Id. at 10.)

20 With respect to the exclusion of the victim’s observation of her mother’s sexual conduct,
21 the appellate court stated that the victim never gave any particularly detailed description of her
22 father’s penis. (Id. at 8-9.) “It would not be unexpected for a child of 11 to know what a penis
23 looked like, particularly a child who, like victim, had lived in close quarters with five siblings, at
24 least two of whom were boys.” (Id. at 9.) The court recognized that the trial court allowed
25 petitioner to question the victim about her knowledge of male genitalia, albeit without
26 specifically discussion her mother’s conduct because at that point, “the additional evidence of
27 victim’s mother’s conduct was irrelevant.” (Id. at 9-10.)

1 “The Constitution guarantees criminal defendants ‘a meaningful opportunity to present a
2 complete defense.’” Holmes v. South Carolina, 547 U.S. 319, 324 (2006) (quoting Crane v.
3 Kentucky, 476 U.S. 683 690 (1986)). Thus, the erroneous exclusion of critical, corroborative
4 defense evidence violates the right to present a defense. DePetris v. Kuykendall, 239 F.3d 1057,
5 1062 (9th Cir. 2001) (citing Chambers v. Mississippi, 410 U.S. 284, 294 (1973), and Washington
6 v. Texas, 388 U.S. 14, 18-19 (1967)). It is well-established, however, that “the right to present
7 relevant testimony is not without limitation. The right ‘may, in appropriate cases, bow to
8 accommodate other legitimate interests in the criminal trial process.’” Rock v. Arkansas, 483
9 U.S. 44, 55 (1987) (quoting Chambers, 410 U.S. at 295). A criminal defendant “must comply
10 with established rules of procedure and evidence designed to assure both fairness and reliability
11 in the ascertainment of guilt and innocence.” Chambers, 410 U.S. at 302. Thus, a criminal
12 defendant “does not have an unfettered right to offer [evidence] that is incompetent, privileged,
13 or otherwise inadmissible under standard rules of evidence.” Taylor v. Illinois, 484 U.S. 400,
14 410 (1988).

15 For example, “well-established rules of evidence permit trial judges to exclude evidence
16 if its probative value is outweighed by certain other factors such as unfair prejudice, confusion of
17 the issues, or potential to mislead the jury.” Holmes, 547 U.S. 319, 326(2006). The states have
18 “broad latitude under the Constitution to establish rules excluding evidence from criminal trials.
19 Thus, a rule, such as that set forth in California Evidence Code § 352, may be applied,
20 consistently with the Constitution, to exclude relevant evidence sought to be introduced as part
21 of the defense case. See Montana v. Egelhoff, 518 U.S. 37, 42 (1996) (addressing Section 352’s
22 federal counterpart, Rule 403 of the Federal Rules of Evidence). “Such rules do not abridge an
23 accused’s right to present a defense so long as they are not ‘arbitrary’ or ‘disproportionate to the
24 purposes they are designed to serve.’” United States v. Scheffer, 523 U.S. 303, 308 (1998)
25 (citations omitted).

26 In Moses v. Payne, the Ninth Circuit pointed out that the Supreme Court had articulated
27 these principles “in cases where defendants have argued that state evidentiary rules, by their own
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1 terms, impinged upon their constitutional right to present a complete defense.” 555 F.3d 742,
2 756-59 (9th Cir. 2009) (reviewing cases). The Ninth Circuit observed that these cases did not
3 focus on whether a court’s *exercise of discretion* in excluding evidence violated that right. See
4 id. at 758 (emphasis added). Moses specifically addressed the application of section 2254(d)(1)
5 to a petitioner’s claim that his right to present a defense was violated by the exclusion of expert
6 testimony pursuant to a Washington evidentiary rule that vested the trial court with discretion
7 regarding the introduction of such evidence. 555 F.3d at 756-60. The Ninth Circuit observed
8 that clearly established federal law does “not squarely address whether a court’s exercise of
9 discretion to exclude expert testimony violates a criminal defendant’s constitutional right to
10 present relevant evidence” or “clearly establish ‘a controlling legal standard’ for evaluating
11 discretionary decisions to exclude the kind of evidence at issue here.” Id. at 758-59 (citation
12 omitted). The Ninth Circuit concluded that, “[b]ecause the Supreme Court’s precedents do not
13 establish a principle for evaluating discretionary decisions to exclude the kind of evidence at
14 issue here, AEDPA does not permit us to rely on our balancing test to conclude that a state trial
15 court’s exclusion of [expert] evidence under [the state rule] violated clearly established Supreme
16 Court precedent.”² Id. at 760. Accordingly, the Ninth Circuit held that the state court decision
17 affirming the exclusion of an expert witness’s testimony about the victim’s depression, on the
18 grounds that the testimony would be cumulative and not sufficiently probative to outweigh its
19 likely prejudicial and confusing effects, could not be found to violate the right to present a
20 defense under the clearly established federal law and could not warrant relief under Section
21 2254(d)(1). Id.

23 ² The Moses panel concluded that the “balancing test” applied in earlier Ninth Circuit
24 decisions to assess the constitutionality of a trial court’s discretionary decision to exclude
25 evidence -- see, e.g., Miller v. Stagner, 757 F.2d 988, 994-95 (9th Cir.) (identifying the factors of
26 the balancing test), amended on other grounds, 768 F.2d 1090 (9th Cir. 1985) -- “is a creation of
27 circuit law,” rather than clearly established Supreme Court precedent, for purposes of Section
28 2254(d)(1). Moses, 555 F. 3d at 759-60. Thus, the test did not apply to federal habeas review of
a challenge to a state court’s exercise of discretion to exclude expert testimony pursuant to a
state evidentiary rule affording such discretion. Id.

1 Similarly here, petitioner claims that his constitutional right to present a complete
2 defense was violated by the trial court's discretionary decision to exclude the victim's statements
3 regarding her foster sister and her observations of her mother having sex, which was made by the
4 trial court pursuant to California Evidence Code §§ 782 and 352. (Resp. Ex. B, RT 27.) As in
5 Moses, petitioner has not and cannot contended that Section 352 and/or Section 782, by their
6 terms alone, infringed upon his federal constitutional right to present a defense. Rather, like in
7 Moses, petitioner's claim necessarily constitutes a challenge to the manner in which the trial
8 court exercised its discretion in excluding the requested testimony. 555 F.3d at 758. As Moses
9 establishes, such a claim must fail on Section 2254(d)(1) review, because the Supreme Court has
10 not squarely addressed the issue of whether or when a state evidentiary rule requiring the
11 balancing of factors and the exercise of discretion may violate the federal right to present a
12 defense. Thus, the claim rests on an unsettled legal proposition for purposes of Section
13 2254(d)(1) habeas review. Id. at 760; see also Wright v. Van Patten, 552 U.S. 120, 126 (2008)
14 (per curiam) (relief is "unauthorized" under Section 2254(d)(1) when the Supreme Court's
15 decisions "given no clear answer to the question presented, let along one in [the petitioner's]
16 favor," because the state court cannot be said to have unreasonably applied clearly established
17 Federal law).³ Accordingly, the California Court of Appeal's decision rejecting petitioner's

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19 ³ Even assuming that petitioner claims could be analyzed as a general due process / right
20 to present a defense claim under the Ninth Circuit's Miller balancing test, the claim would still
21 fail. Prior to Moses, to analyze if the exclusion of evidence violated the defendant's right to
22 present a defense, whether the exclusion was pursuant to a correct or erroneous application of the
23 evidentiary rules, the court would consider the following factors: (1) the probative value of the
24 excluded evidence on the central issue; (2) its reliability; (3) whether it is capable of evaluation
25 by the trier of fact; (4) whether it is the sole evidence on the issue or merely cumulative; and (5)
26 whether it constitutes a major part of the attempted defense. United States v. Stever, 603 F.3d
27 747, 755-56 (9th Cir. 2010) (quoting Miller v. Stagner, 757 F.2d 988, 994 (9th Cir. 1985)).

28 As noted above, the California Court of Appeal found that exclusion of the victim's
statements did not reasonably give the victim a basis for lying about petitioner. The foster
sister's attempts to get on top of and kiss the victim were nothing like the victim's allegations
against petitioner. The statement had little probative value to assessing the victim's credibility.
Further, while the statement was reliable, see Chia v. Cambra, 360 F.3d 997, 1004 (9th Cir.
2004), and capable of evaluation by the trier of fact, it was not the sole evidence of petitioner's

1 claim that the trial court violated his right to present a defense was not contrary to, or an
2 unreasonable application of, clearly established federal law.

3 Petitioner also claims that the exclusion of these statements violated his right to
4 confrontation. The California Court of Appeal relied on state law, concluding that a trial court's
5 limitation on cross-examination regarding a witness' credibility does not violate a petitioner's
6 right to confrontation "unless a reasonable jury might have received a significantly different
7 impression of the witness's credibility had the excluded cross-examination been permitted."
8 (Resp. Ex. K at 10.) The court determined that defense counsel conducted extensive cross-
9 examination of the victim, and the exclusion of these statements did not limit his cross-
10 examination in any significant way. (Id.)

11 The Confrontation Clause does not prevent a trial judge from imposing reasonable limits
12 on cross-examination based on concerns of harassment, prejudice, confusion of issues, witness
13 safety or interrogation that is repetitive or only marginally relevant. Delaware v. Van Arsdall,
14 475 U.S. 673, 679 (1986). The Confrontation Clause guarantees an opportunity for effective
15 cross examination, not cross examination that is effective in whatever way, and to whatever
16 extent, the defense might wish. See Delaware v. Fensterer, 474 U.S. 15, 20 (1985) (per curiam).
17 Trial judges therefore possess "wide latitude" to impose reasonable limits on cross-examination
18 of witnesses. See Van Arsdall, 475 U.S. at 679. A defendant meets his burden of showing a

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20 credibility, and thus, those particular statements did not constitute a major part of the attempted
21 defense.

22 Moreover, the trial court allowed admission of the victim's statement that her brother, S.,
23 told her that if she did not know someone well, something might happen at night (Resp. Ex. K at
24 10), which is more probative and relevant than the foster sister situation. In addition, petitioner
25 impeached the victim as to her having previously seen a penis, and the trial court permitted him
26 to ask questions regarding the victim's prior opportunities to see a penis, thus making her
27 observation about her mother's sexual activity cumulative. Further, defense counsel engaged in
28 a lengthy cross-examination of the victim, pointing out "every inconsistency between and among
the statements she had made [during] litigation." (Id.) As a result, even if the court reviewed
this claim de novo, the exclusion of these statements did not deprive petitioner of his right to
present a defense and did not violate due process by rendering his trial fundamentally unfair.

1 Confrontation Clause violation by showing that “[a] reasonable jury might have received a
2 significantly different impression of [a witness’s] credibility . . . had counsel been permitted to
3 pursue his proposed line of cross-examination.” Id. at 680; Slovik v. Yates, 556 F.3d 747, 753
4 (9th Cir. 2009).

5 Petitioner cites to Holley v. Yarborough, 568 F.3d 1091 (9th Cir. 2009), to support his
6 argument. In Holley, the Ninth Circuit reversed and remanded a district court’s conclusion that
7 the exclusion of any testimony regarding the child victim’s references to sex was constitutional.
8 Id. The Ninth Circuit determined that the limitation requiring complete exclusion was
9 unreasonable and disproportionate. Id. at 1099-1100. In contrast here, it was reasonable for the
10 trial court to find that the evidence regarding the foster sister had minimal probative value, if
11 any, to show a greater likelihood that the victim would fabricate charges, or to show that there
12 she had been previously exposed to sexual conduct and had a prior basis for sexual knowledge.
13 The record shows that the trial court allowed defense counsel to thoroughly cross-examine the
14 victim, questioning her about: (1) her statement that her brother, S., told her it was possible that
15 if she did not know her dad well, he could make her put her hand “on his thing” and then he
16 might murder her (RT 223); (2) her prior statement that she had seen her brother’s friend’s penis
17 before (RT 233-35); and (3) many inconsistencies between her trial testimony and previous
18 statements to the police and her family, thereby impeaching her credibility. In view of the
19 foregoing, it cannot be said that a reasonable jury would have received a significantly different
20 impression of the victim’s credibility had the excluded evidence regarding her statement about
21 her foster sister been admitted. See Van Arsdall, 475 U.S. at 680; see also Perry v. Rushen, 713
22 F.2d 1447, 1453 (9th Cir. 1983) (“Evidence of little importance, whether merely cumulative or
23 of little probative value, will almost never outweigh the state interest in efficient judicial
24 process.”).

25 Similarly, the victim’s observation of her mother’s sexual activity bore no probative
26 value to the victim’s prior knowledge of the physical attributes of a penis. In the proffered
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1 statement, the victim did not state that she had seen any of her mother's partner's penises,⁴ and it
2 was not unreasonable for the trial court to limit cross-examination to questions regarding the
3 victim's prior knowledge of male genitalia. Petitioner was able to ask the victim about her
4 previous statement that she had seen her brother's friend's penis before, and it was reasonable
5 for the appellate court to surmise that an 11-year old girl who lived with at least three male
6 siblings might have seen a penis prior to the incident with petitioner. Thus, further questioning
7 specifically about the victim's mother's sexual activity would not only be cumulative, but also
8 prejudicial and not probative to the question of whether the victim had gained knowledge of
9 male genitalia prior to the crime. See Plascencia v. Alameida, 457 F.3d 1190, 1202 (9th Cir.
10 2006) (rejecting appellant's Confrontation Clause claim, and finding reasonable the trial court's
11 conclusion that excluded evidence was repetitive and cumulative, and therefore, unnecessary).

12 Based on this record, a reasonable jury would not have had a significantly different
13 impression of the victim's credibility if counsel had been allowed to question her about the
14 statement about her foster sister or her observations of her mother having sex. See Van Arsdall,
15 475 U.S. at 680. Accordingly, the California Court of Appeal's conclusion that the trial court's
16 exclusion of this testimony did not violate petitioner's right to confrontation cannot be said to be
17 contrary to, or an unreasonable application of, any clearly established federal law.

18 2. Ineffective Assistance of Counsel

19 Petitioner claims that trial counsel was ineffective because, although he objected to the
20 trial court's exclusion of evidence regarding the victim's observations of her mother engaging in
21 sex, he did not object on the ground that it violated his right to confrontation.

22 In order to prevail on a Sixth Amendment ineffectiveness of counsel claim, petitioner
23 must establish two things. First, he must establish that counsel's performance was deficient, i.e.,
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25 ⁴ The defense investigator notes remarked, "She recalled that she walked in a bedroom
26 and saw Brian, who worked at a rental store, and her mother on top of each other. She also
27 recalled that she saw her mother having sex with John, Lanarial's dad, when John was living
28 with them. She also recalled seeing her mother have sex with Dan, who played in a band."
(Resp. Ex. D, RT 209.)

1 that it fell below an “objective standard of reasonableness” under prevailing professional norms.
2 Strickland v. Washington, 466 U.S. 668, 686 (1984). Second, he must establish that he was
3 prejudiced by counsel’s deficient performance, i.e., that “there is a reasonable probability that,
4 but for counsel’s unprofessional errors, the result of the proceeding would have been different.”
5 Id. at 694. A reasonable probability is a probability sufficient to undermine confidence in the
6 outcome. Id.

7 Where the defendant is challenging his conviction, the appropriate question is “whether
8 there is a reasonable probability that, absent the errors, the factfinder would have had a
9 reasonable doubt respecting guilt.” Luna v. Cambra, 306 F.3d 954, 961 (9th Cir. 2002) (quoting
10 Strickland, 466 U.S. at 695). See, e.g., Plascencia, 467 F.3d at 1201 (9th Cir. 2006) (ineffective
11 assistance of counsel claim not established because “any prejudicial effect was at best minute”
12 from counsel’s failure to object to evidence about existence of a drug in murder defendant’s
13 system where only killer’s identity was in dispute). Based on the state appellate court’s
14 conclusion above that admission of the victim’s mother’s activities would more prejudicial than
15 probative, as well as cumulative to the other questions defense counsel was permitted to explore
16 regarding the victim’s knowledge of male genitalia, petitioner cannot show that he was
17 prejudiced by counsel’s failure to object on the ground that the exclusion violated his right to
18 confrontation.

19 Accordingly, the state court’s conclusion rejecting petitioner’s ineffective assistance of
20 counsel claim was not contrary to, or an unreasonable application of, clearly established federal
21 law.

22 CONCLUSION

23 For the reasons set forth above, the court concludes that petitioner has failed to show a
24 violation of his federal constitutional rights in the underlying state criminal proceedings.
25 Accordingly, the petition for writ of habeas corpus is DENIED. The clerk shall close the file.

26 CERTIFICATE OF APPEALABILITY

27 The federal rules governing habeas cases brought by state prisoners require a district
28

1 court that denies a habeas petition to grant or deny a certificate of appealability (“COA”) in its
2 ruling. Petitioner has failed to make a substantial showing that his claims amounted to a denial
3 of his constitutional rights, or demonstrate that a reasonable jurist would find the denial of his
4 claims debatable or wrong. Slack v. McDaniel, 529 U.S. 473, 484 (2000). Accordingly, a COA
5 is DENIED.

6 IT IS SO ORDERED.

7 DATED: 3/2/11


RONALD M. WHYTE
United States District Judge

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