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UNITED STATES DISTRICT COURT
 NORTHERN DISTRICT OF CALIFORNIA
 SAN JOSE DIVISION

JAMES RAFTON, TRUSTEE OF THE
 JAMES AND CYNTHIA RAFTON TRUST,

Plaintiff,

v.

RYDEX SERIES FUNDS; PADCO
 ADVISORS INC. d/b/a RYDEX
 INVESTMENTS, INC.; RYDEX
 DISTRIBUTORS, INC.; RICHARD M.
 GOLDMAN; CARL G. VERBONCOEUR;
 JOHN O. DEMARET; NICK BONOS;
 MICHAEL P. BYRUM; COREY A.
 COLEHOUR; J. KENNETH DALTON;
 WERNER E. KELLER; THOMAS F. LYDON;
 PATRICK T. MCCARVILLE; ROGER
 SOMERS; and DOES 1 through 25, inclusive,

Defendants.

No. CV 10-01171 LHK

Action Filed: March 19, 2010

STIPULATION AND ~~PROPOSED~~
 ORDER REGARDING PRODUCTION OF
 CUSTOMER DATA BY MERRILL
 LYNCH

EXHIBIT A

FORWARDING SERVICE REQUESTED

September 27, 2011

MERRILL LYNCH
ATTN: JEFFREY LEEDY
1190 WEST MARION AVENUE, SUITE 1
PUNTA GORDA, FL 33950

**Re: RAFTON, et al. v. RYDEX SERIES FUNDS, et al. (N.D. Cal. Case No. Civ 10-01171 LHK)
CLASS ACTION SETTLEMENT**

SPECIAL NOTICE TO FINANCIAL INTERMEDIARIES

You are receiving this notice because records indicate that you are a broker/dealer, financial advisor or nominee (“Intermediary”) for one or more beneficial owners or former owners of shares of the Rydex Inverse Government Long Bond Strategy Fund (“Rydex Fund”), and may be in possession of customer information necessary to distribute settlement funds to such owner(s).

Pursuant to a Court Order dated September 13, 2011, you are hereby directed to provide in electronic, searchable form, the contact and transaction information described below for each beneficial owner, customer or client who purchased or otherwise acquired shares of the Rydex Fund, ticker symbols RYAQX, RYJCX, RYJAX, and/or RYJUX, during the period described below. A copy of the Order may be found at www.RydexFundSettlement.com/court.

Customer Account Information Required:

For each account in which Rydex Fund shares were acquired by purchase or otherwise during the period from August 1, 2007 through July 31, 2009 (the “Class Period”), inclusive, please provide:

1. Name of Account Holder;
2. Address;
3. Telephone Number;
4. Exact Name on the Account;
5. Account Number;
6. Fund Name (Class of Shares);
7. *For each acquisition of shares* during the Class Period: the date of acquisition, Fund ID (e.g.: “214,” “RYJAX,” etc.) and number of shares acquired on each date during Class Period;
8. Price per share acquired;
9. Date(s) and amount of any dividend received on above shares from July 1, 2007 through April 26, 2010, inclusive;
10. *For each redemption of shares* from July 1, 2007 through April 26, 2010, inclusive: date of sale, fund ID, and number of shares sold; and
11. Price per share sold.

If you have received this Notice in error or otherwise are not in possession of the above data, kindly please so notify the Claims Administrator.

Pursuant to the Court's Order you have 15 business days after the date of this Notice (until and including October 19, 2011) to supply the information in the form requested. If it is not feasible to comply fully within the deadline, the Order requires you to promptly notify the Claims Administrator and make special arrangements to provide the information or explain the failure to do so. Please direct all responses and questions to:

Rafton v. Rydex Series Funds
Claims Administrator
c/o The Garden City Group, Inc.
P.O. Box 9769
Dublin, OH 43017-5669
Telephone number: 1-888-404-8013
Email: Lori.Castaneda@GCGInc.com
Settlement Website: www.RydexFundSettlement.com

Any information obtained from you will be maintained as confidential pursuant to a Non-Disclosure Agreement entered into with Rydex. You may obtain reimbursement for your actual and reasonable administrative costs which would not have been incurred but for the obligation to forward information, upon submission of appropriate documentation to the Claims Administrator.

Thank you for your assistance,

Claims Administrator
The Garden City Group, Inc.