

1 HAROLD J. MCELHINNY (CA SBN 66781)  
 hmcclhinny@mofo.com  
 2 MICHAEL A. JACOBS (CA SBN 111664)  
 mjacobs@mofo.com  
 3 JENNIFER LEE TAYLOR (CA SBN 161368)  
 jtaylor@mofo.com  
 4 ALISON M. TUCHER (CA SBN 171363)  
 atucher@mofo.com  
 5 RICHARD S.J. HUNG (CA SBN 197425)  
 rhung@mofo.com  
 6 JASON R. BARTLETT (CA SBN 214530)  
 jasonbartlett@mofo.com  
 7 MORRISON & FOERSTER LLP  
 425 Market Street  
 8 San Francisco, California 94105-2482  
 Telephone: (415) 268-7000  
 9 Facsimile: (415) 268-7522

WILLIAM F. LEE  
 william.lee@wilmerhale.com  
 WILMER CUTLER PICKERING  
 HALE AND DORR LLP  
 60 State Street  
 Boston, MA 02109  
 Telephone: (617) 526-6000  
 Facsimile: (617) 526-5000

MARK D. SELWYN (SBN 244180)  
 mark.selwyn@wilmerhale.com  
 WILMER CUTLER PICKERING  
 HALE AND DORR LLP  
 950 Page Mill Road  
 Palo Alto, California 94304  
 Telephone: (650) 858-6000  
 Facsimile: (650) 858-6100

10  
 11 Attorneys for Plaintiff and  
 Counterclaim-Defendant APPLE INC.

12 UNITED STATES DISTRICT COURT  
 13 NORTHERN DISTRICT OF CALIFORNIA  
 14 SAN JOSE DIVISION

15 APPLE INC., a California corporation,  
 16  
 Plaintiff,  
 17  
 v.  
 18 SAMSUNG ELECTRONICS CO., LTD., a  
 19 Korean corporation; SAMSUNG ELECTRONICS  
 20 AMERICA, INC., a New York corporation; and  
 SAMSUNG TELECOMMUNICATIONS  
 21 AMERICA, LLC, a Delaware limited liability  
 company,  
 22  
 Defendants.

Case No. 11-cv-01846-LHK

**DECLARATION OF MICHEL  
 MAHARBIZ, PH.D. IN SUPPORT OF  
 APPLE'S OPPOSITION TO  
 SAMSUNG'S MOTION FOR  
 SUMMARY JUDGMENT**

23  
 24 PUBLIC REDACTED COPY  
 25  
 26  
 27  
 28

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28

**TABLE OF CONTENTS**

	<b><u>Page</u></b>
I. PROFESSIONAL AND EDUCATIONAL BACKGROUND .....	1
II. UNDERSTANDING OF APPLICABLE LEGAL STANDARDS .....	3
III. BACKGROUND OF THE '607 PATENT INVENTION .....	6
IV. THE '607 PATENT CLEARLY AND UNAMBIGUOUSLY DEFINES A VIRTUAL GROUND CHARGE AMPLIFIER .....	8
V. THE ITC DETERMINATION REGARDING THE '607 PATENT .....	11
VI. THE NEW ARGUMENTS AND PRIOR ART RAISED BY DR. VON HERZEN DO NOT DEMONSTRATE THAT CLAIM 8 OF THE '607 PATENT IS INVALID .....	11
A. None of the Asserted "Virtual Ground Charge Amplifier" Art Would Have Been Obvious To Combine To Reach The Invention of Claim 8.....	14
1. Blonder Was Not Disclosed In Samsung's Invalidation Contentions And Would Not Have Been An Obvious Reference To Combine.....	14
2. None Of The Other Alleged "Virtual Ground Charge Amplifiers" Invalidates The Claims And None Were Disclosed in Either Samsung's Invalidation Contentions Or Dr. Von Herzen's Report.....	21
B. Neither Perski Nor Smartskin Anticipate or Render Obvious Claim 8 .....	25
1. The Perski '455 Patent Does Not Invalidate Claim 8 .....	25
2. The Smartskin Paper Does Not Invalidate Claim 8 .....	42
VII. SECONDARY CONSIDERATIONS OF NON-OBVIOUSNESS .....	48
A. Commercial Success of Apple's Invention .....	48
B. Industry Praise and Disbelief in the Industry Concerning Apple's Invention .....	51



1 I, Michel Maharbiz, Ph.D., declare as follows:

2 1. I am an Associate Professor of Electrical Engineering and Computer Science at  
3 Berkeley.

4 2. I submit the following Declaration on behalf of plaintiff Apple Inc. (“Apple”). If  
5 called as a witness in this action, I am competent to testify of my own personal knowledge, to the  
6 best of my recollection, as to the matters set forth in this Declaration.

7 3. I reserve the right to supplement or amend this Declaration, if additional facts and  
8 information that affect my opinions become available. My Declaration is based on the materials  
9 that have been available to me up to the date of this Declaration.

10 4. I am being compensated for my work in connection with this matter at the rate of  
11 \$300 per hour. I also get reimbursed for reasonable travel and out-of-pocket expenses in relation  
12 to my work on this case. My compensation is not contingent upon the outcome of this case.  
13 Neither the amount of my compensation nor my hourly billing rate depends on whether I am  
14 obligated to testify at deposition or trial.

15 **I. PROFESSIONAL AND EDUCATIONAL BACKGROUND**

16 5. I received my Ph.D. in Electrical Engineering and Computer Science from the  
17 University of California at Berkeley (“Berkeley”) in 2003. I received a Bachelor’s of Science  
18 degree in Electrical Engineering and Computer Science from Cornell University in 1997. My  
19 Ph.D. thesis was on the topic of microfabrication and miniaturization of instrumentation. Before I  
20 joined the faculty of Berkeley, I was an Assistant Professor at the Electrical Engineering and  
21 Computer Science Department at the University of Michigan at Ann Arbor.

22 6. I am currently an Associate Professor of Electrical Engineering and Computer  
23 Science (“EECS”) at Berkeley. I am also a Co-Director of the Berkeley Sensor and Actuator  
24 Center (BSAC), which is the National Science Foundation Industry/University Cooperative  
25 Research Center for Microsensors and Microactuators. BSAC conducts industry-relevant,  
26 interdisciplinary research on micro- and nano-scale sensors, moving mechanical elements,  
27 microfluidics, materials, processes and systems that combines knowledge of integrated-circuit,  
28 biological, and polymer technologies.

1           7.       The courses I have taught at Berkeley include EE147 (“Introduction to  
2 Microelectromechanical Systems (MEMS)”), EE40 (“Introduction to Microelectronic Circuits”),  
3 CS150 (“Components and Design Techniques for Digital Systems”) and EE105  
4 (“Microelectronic Devices and Circuits”). My classes have covered the topics of touch screens  
5 and touch sensor panels. In EE40, for example, I have presented publicly available teardowns of  
6 tablets and their touch screens to demonstrate such topics as how a touch screen works, the ITO  
7 layers, capacitance, and fabrication.

8           8.       A list of my publications is included in my Curriculum Vitae (attached hereto as  
9 Exhibit A is a true and correct copy of my Curriculum Vitae), and includes a textbook on circuits  
10 as well as more than 40 journal and technical conference publications in high impact venues. The  
11 textbook I have coauthored, “Circuits,” covers the topics of touch screens and touch sensor panels  
12 and has detailed discussion of many of the components relevant to U.S. Patent 7,663,607 (“the  
13 ’607 Patent”) (attached hereto as Exhibit B is a true and correct copy of the ’607 Patent). It also  
14 includes a technology brief that analyzes and compares touch screen technologies.

15           9.       My research at Berkeley has covered a variety of topics, including the extreme  
16 miniaturization of electronic systems for neural recording and stimulation, microfabrication of  
17 flexible polymer microelectrocorticography arrays, energy scavenging devices for ultra-low  
18 power CMOS circuits, and microfluidic component design among others. My current research  
19 interests include building micro/nano interfaces to cells and organisms and exploring bio-derived  
20 fabrication methods. I was the recipient of a 2009 NSF Career Award for research into  
21 developing microfabricated interfaces for synthetic biology. I am a Senior Member of the IEEE  
22 (Institute of Electrical and Electronics Engineers).

23           10.      I am a cofounder of TweedleTech, a company that applies human interface design  
24 principles to create a radio frequency ID based sensor device that detects and identifies multiple  
25 components placed on it through the use of a matrix of row and column electrodes. The sensor  
26 detects and identifies radio frequency tags that are placed over a platform or substrate and  
27 includes a display component in the form of a projector.

1 11. My research activities have been funded by DARPA, NSF, NIH, and the U.S.  
2 Army. My research has also been partially funded over the last several years by grants from  
3 private companies. Such grants are usually designated as intended to support a specific research  
4 project or research center, and are not gifts to me personally.

5 12. As of February 18, 2012, I am listed as co-inventor of U.S. Patent Application  
6 Nos. 20100331083, 20100004062, and 20090085427. Each is accessible via  
7 <http://appft1.uspto.gov/netahtml/PTO/search-bool.html>.

## 8 **II. UNDERSTANDING OF APPLICABLE LEGAL STANDARDS**

9 13. I understand that the parties have proposed differing constructions of certain terms  
10 in the '607 Patent, and that the parties may have differing constructions of terms that were not  
11 part of the claim construction hearing and for which no claim construction Order has been issued.  
12 Unless otherwise specified, I have interpreted the claims as one of ordinary skill in the art would  
13 have at the time the relevant patent was filed in light of the teachings of the patent and its  
14 prosecution history.

15 14. I understand that in construing claims of a patent one should first consider the  
16 intrinsic evidence, which includes the patent's claim language, its specification, and its  
17 prosecution history. In particular, I should first consider the words of the claims themselves,  
18 giving those words their customary and ordinary meaning as understood by one of ordinary skill  
19 in the art. I then must consider the patent specification to determine whether the inventor used  
20 any terms or words in a manner inconsistent with their plain and ordinary meaning. In addition to  
21 the claims and the specification, I also must review the prosecution history, which is the complete  
22 record of all the proceedings before the United States Patent and Trademark Office. This is  
23 because a patent applicant might have affirmatively, or by implication, limited claim scope during  
24 prosecution.

25 15. If the intrinsic evidence is not conclusive, I understand I may consider extrinsic  
26 evidence to ensure that a claim construction is not inconsistent with clearly expressed and widely  
27 held understandings in the pertinent technical field. Such extrinsic evidence may take the form of  
28 expert and/or inventor testimony, dictionaries, technical treatises, and articles. I further

1 understand that I may not rely on extrinsic evidence to contradict or vary the meaning of claims  
2 provided by the intrinsic record.

3 16. I further understand that the claims should be construed from the standpoint of a  
4 hypothetical person of ordinary skill in the art as of the invention date of the asserted patent. I  
5 understand that claim construction is a matter of law and will be determined by the Court.

6 17. I have been informed by counsel that by United States statute, a patent is presumed  
7 valid. I understand that the patent challenger bears the burden of proving invalidity of the patent  
8 by clear and convincing evidence.

9 18. It is my understanding that, for a patent to be anticipated, each and every element  
10 of a claim, as properly construed, must be found either explicitly or inherently in a single prior art  
11 reference, subject to the limitations imposed by § 102 in paragraphs (a)–(g). Under the principles  
12 of inherency, if the prior art necessarily functions in accordance with, or includes the claimed  
13 limitations, it anticipates. I also understand that, in order to anticipate, a prior art reference must  
14 also be enabling, such that one of ordinary skill in the art could practice the invention without  
15 undue experimentation.

16 19. I also understand that a claim is invalid under 35 U.S.C. §102 (a) if the claimed  
17 invention was known or used by others in the U.S., or was patented or published anywhere,  
18 before the applicant's invention. I further understand that a claim is invalid under 35 U.S.C. §102  
19 (b) if the invention was patented or published anywhere, or was in public use, on sale, or offered  
20 for sale in this country, more than one year prior to the filing date of the patent application. And a  
21 claim is invalid, as I understand, under 35 U.S.C. §102 (e), if an invention described by that claim  
22 was described in a U.S. patent granted on an application for a patent by another that was filed in  
23 the U.S. before the date of invention for such a claim. A claim is also invalid, as I understand,  
24 under 35 U.S.C. §102 (f) if the invention was invented by another prior to the claimed invention.  
25 It is also my understanding that a claim is invalid under 35 U.S.C. §102 (g)(2) if, prior to the date  
26 of invention for the claim, the invention was made in the U.S. by another who had not abandoned,  
27 suppressed or concealed the invention.

1           20.     In determining whether an invention is obvious, I understand that it is  
2 impermissible to simply engage in hindsight reconstruction of the claimed invention, using the  
3 applicant's invention as a template and selecting elements from the references to fill the gaps. In  
4 particular, I understand that it is impermissible to use the invention to define the problem that the  
5 invention solves when analyzing a defense of obviousness. I have been instructed that objective  
6 consideration of seemingly simple technology is often difficult because, once the problem and  
7 solution appear together in the patent specification, the advance may appear self-evident. Instead,  
8 I have been told that the proper analysis requires a form of amnesia that “forgets” the claimed  
9 invention and analyzes the alleged prior art and the understanding of the engineering problems at  
10 the time of the invention.

11           21.     In order for a combination of multiple references to be obvious, an ordinary person  
12 of skill in the art should have some reason to combine the references. When considering a  
13 reference for purposes of an obviousness analysis, the reference must be taken for everything it  
14 teaches, including information that diverged from or teaches away from the invention at hand.

15           22.     Additionally, a reference qualifies as prior art for an obviousness determination  
16 only when it is analogous to the claimed invention. A reference can be analogous in two ways.  
17 First, when the art is from the same field of endeavor. Second, if the matter with which the  
18 reference deals logically would have commended itself to an inventor's attention in considering  
19 the problem or has the same purpose as the claimed invention and relates to the same problem.

20           23.     I also understand that a combination of known elements can be obvious when it  
21 yields predictable results. At the same time, a finding of obviousness may not be proper where the  
22 prior art merely provides a person of ordinary skill in the art a promising field for  
23 experimentation. In other words, where it is obvious to try a particular combination of known  
24 elements to solve a problem and there are a finite number of known, predictable solutions, the  
25 result is likely the product not of innovation but of ordinary skill and common sense. At the same  
26 time, a finding of obviousness may not be proper where the prior art merely provides a person of  
27 ordinary skill in the art a promising field for experimentation. I have further been informed that a  
28 proper obviousness analysis focuses on what was known or obvious to a person of ordinary skill

1 in the art, not just to the patentee, at the time of the invention. I also understand that practical and  
2 common sense considerations should guide a proper obviousness analysis.

3 24. I also understand that the law distinguishes between one of ordinary skill in the art  
4 and inventors. Under this distinction, one should not go about determining obviousness by  
5 inquiring into what patentees or inventors would have known or would likely have done faced  
6 with the revelations of references. A person of ordinary skill in the art thinks along the line of  
7 conventional wisdom and is not one who undertakes to innovate.

8 25. I have been instructed that secondary considerations of non-obviousness must be  
9 considered in an obviousness analysis. Such secondary considerations with respect to a claimed  
10 invention include, among other things: (1) commercial success of the claimed invention; (2)  
11 praise or industry acclamation for the claimed invention, (3) initial expressions of disbelief or  
12 skepticism by experts in the field, (4) copying, and (5) failure of others. I understand that these  
13 “objective criteria” help to inoculate the obviousness analysis against an impermissible hindsight  
14 reconstruction. I understand that under the law, technical advances often occur through  
15 incremental steps and that marginal advances may seem in retrospect to be simple, particularly  
16 when retracing the route already taken by the inventor. For these reasons, I understand that these  
17 objective indicia are important evidence of how the patented device is viewed in the marketplace,  
18 by those directly interested in the product.

### 19 **III. BACKGROUND OF THE '607 PATENT INVENTION**

20 26. If called to testify at trial on the topic of the definition of a person of ordinary skill  
21 in the art for the '607 Patent, I expect to testify regarding the skill, education, and experience that  
22 a person of ordinary skill in the relevant art would have had at the time of the invention of the  
23 '607 Patent. In my opinion, the relevant art involves multipoint touchscreens. In my opinion, a  
24 person of ordinary skill in the relevant art of the '607 Patent at the time of the invention would  
25 have a Bachelor's degree in electrical engineering, physics, computer engineering, or an  
26 equivalent, and two or more years of experience working with input devices.

27 27. The '607 Patent discloses an elegant touch-screen solution for electronic devices,  
28 particularly graphics-based mobile or hand-held devices that have high-resolution displays and



1 require human interaction. As more fully developed below, the claimed inventions of the '607  
2 Patent relate to a specific configuration of conductive lines and circuit elements that make up the  
3 touch panel in a display arrangement. The '607 Patent claims recite an innovative combination of  
4 elements including the use of a mutual capacitance touch screen in a truly transparent display that  
5 can simultaneously detect and generate signals representing the specific location of distinct  
6 multiple points of actual or near contact.

7 28. The '607 Patent relates to a touchscreen that implements novel functions as  
8 compared to prior and contemporaneous touchscreen designs. While touchscreens had existed in  
9 various forms prior to the '607 Patent, for example resistive touchscreens, self-capacitance  
10 touchscreens, electromechanical touchscreens, optical touchscreens, and surface acoustic wave  
11 touchscreens, all prior touchscreen technologies lacked certain features or combination of  
12 features.

13 29. The '607 Patent discloses a transparent capacitive touch sensor that for the first  
14 time offered true multitouch sensing capability. Multitouch sensing capability is the ability to  
15 independently and unambiguously recognize and track two, three, four, five or more finger  
16 touches as well as the contact of other things like the palm of a hand. The inventors of the '607  
17 Patent pointed out in the specification (e.g., column 1, line 63 through column 2, line 22) of their  
18 patent that the prior systems “lack the ability to track multiple points of contact simultaneously”  
19 because, for example, in such systems “an average of all simultaneously occurring touch points  
20 are determined and a single point which falls somewhere between the touch points is reported” or  
21 it was “impossible to discern the exact position of multiple touch points that fall on the same  
22 horizontal or vertical lines due to masking. In either case, faulty results are generated.” Instead  
23 of these inadequate prior systems, the '607 Patent inventors developed a new transparent touch  
24 panel that (as recited in independent Claim 1) would detect multiple touches or near touches “that  
25 occur at a same time and at distinct locations” and “produce distinct signals representative of a  
26 location of the touches on the plane of the touch panel for each of the multiple touches” that can  
27 be input on the touch panel.  
28

1           30.     One aspect of the unique combination of claimed elements of the '607 Patent was  
2 the implementation of a special arrangement of circuit elements specifically designed to enable  
3 precise sensing of capacitive coupling in the transparent multitouch sensor called a virtual  
4 ground charge amplifier, which is exemplified in Figure 13 of the '607 Patent. A virtual ground  
5 charge amplifier includes a capacitor in a negative feedback loop around the amplifier used for  
6 detection. By virtue of there being negative feedback and ground at the non-inverting input  
7 terminal, the amplifier creates a “virtual ground” at the inverting input terminal. The term  
8 “virtual ground” is a term of art. By virtue of there being a capacitor designed into the feedback  
9 path, the circuit functions as a charge amplifier: it produces a voltage which is the time integral of  
10 the current entering at the input; the time integral of current is charge. ('607 Patent, column 17,  
11 lines 48-61.) The “virtual ground charge amplifier” allows the circuit to integrate the current per  
12 unit of time for the purpose of, for example, sensing charge on the capacitor in a manner that is  
13 robust to the impact of parasitics in the device.

14  
15 **IV.    THE '607 PATENT CLEARLY AND UNAMBIGUOUSLY DEFINES A VIRTUAL  
GROUND CHARGE AMPLIFIER.**

16           31.     Dr. Von Herzen argues that additional facts have come to light since his initial  
17 Opening Report. He also argues that the purported failure of Apple to disclose the precise  
18 circuitry constituting a virtual ground charge amplifier rendered Claim 8 ambiguous. Finally, he  
19 claims that since the term “virtual ground charge amplifier” is not used in the '607 Patent  
20 specification he was unable, prior to reviewing my reports, to determine that Figure 13 of the  
21 '607 Patent corresponded to a virtual ground charge amplifier. (Von Herzen Declaration, Dkt. No.  
22 942, ¶ 30.) I disagree with each of these arguments.

23           32.     A person of ordinary skill in the art, after reading the '607 Patent, would have  
24 understood immediately that use of the term “virtual ground charge amplifier” in Claim 8 of the  
25 '607 Patent referred to column 17 lines 36 through 61 and the circuit depicted in Figure 13 of the  
26 patent. The '607 Patent only refers to any type of amplifier in three places: Claim 8 (“a virtual  
27 ground charge amplifier coupled to the touch panel”), Figure 13 (3:58-59 states “FIG. 13 is a  
28

1 diagram of a charge amplifier, in accordance with one embodiment of the present invention”),  
2 and column 17 lines 37 through 61.

3 33. The concept of the “virtual ground charge amplifier is explained precisely and  
4 unambiguously in the specification (column 17, lines 47-61) with reference to Figure 13:

5 FIG. 13 is a diagram of an inverting amplifier 240, in accordance  
6 with one embodiment of the present invention. The inverting  
7 amplifier 240 may generally correspond to the filter 236 shown in  
8 FIG. 12. As shown, the inverting amplifier includes a non inverting  
9 input that is held at a constant voltage (in this case ground), an  
10 inverting input that is coupled to the node and an output that is  
11 coupled to the capacitive sensing circuit 230. The output is coupled  
12 back to the inverting input through a capacitor. During operation,  
13 the input from the node may be disturbed by stray capacitance  
14 effects, i.e., parasitic capacitance. If so, the inverting amplifier is  
15 configured to drive the input back to the same voltage that it had  
16 been previously before the stimulus. As such, the value of the  
17 parasitic capacitance doesn't matter.

18 34. This part of the patent specification plainly states that the “noninverting input” is  
19 held constantly at ground and the “inverting amplifier is configured to drive the input back to the  
20 same voltage that it had been previously before the stimulus,” namely, to ground. As everyone of  
21 even less than ordinary skill in the art knows, “ground,” sometimes called “Earth” is the reference  
22 point in an electrical circuit from which other voltages are measured and is commonly a current  
23 return path to the Earth. The “virtual” part of the description “virtual ground” means that the  
24 circuit holds the voltage at a “ground” level but does not actually provide a return path for current  
25 to ground. This is a simple and direct explanation of the concept of using a “virtual ground” in  
26 the “charge amplifier.”

27 35. Although it is not clear to me that Dr. Von Herzen read the specification of the  
28 ’607 Patent carefully at all before opining about the validity of Claim 8 in his April 5, 2012  
Report, I do not believe that anyone of even ordinary skill in the art, much less a purported expert,  
could miss the direct connection between Claim 8 and the circuit elements depicted in Figure 13  
and described exactly in Column 1, lines 36 to 61 of the ’607 Patent. The words “virtual ground  
charge amplifier” are not ambiguous and are plainly discernible to anyone of even ordinary skill  
in the art.

1           36. I also conclude that it would have been self-evident to Samsung and to Dr. Von  
2 Herzen that the accused Samsung devices (the Galaxy Tab 7.0 and 10.1 devices) included a  
3 “virtual ground charge amplifier.” I understand from Apple’s counsel that in its August 26, 2011  
4 Infringement Contentions, Apple told Samsung that it believed that the “virtual ground charge  
5 amplifier” is found in the circuitry of Samsung’s devices and that the specific chip in which that  
6 circuit is found would be identified in discovery. I also understand that Samsung first identified  
7 the chips used in the accused devices on March 16, 2012, in its Supplemental Response to  
8 Apple’s Interrogatory No. 81. The information provided on March 16, 2012 in fact was incorrect,  
9 and Samsung revised its response on March 22, 2012, in its Second Supplemental Response to  
10 Apple’s Interrogatory No. 81. I have also been informed that on the eve of the close of fact  
11 discovery, the document [REDACTED]  
12 [REDACTED] was finally produced in this litigation. It was produced by  
13 Samsung as SAMNDCA10903768-783 on February 19, 2012. Attached hereto as Exhibit C is a  
14 true and correct copy of SAMNDCA10903768-783. Apple also received this document from  
15 Atmel (designated ATMEL-SAMSUNG00000286-301) on February 22, 2012. Attached hereto  
16 as Exhibit D is a true and correct copy of ATMEL-SAMSUNG00000286-301. [REDACTED]

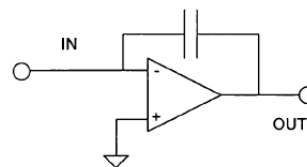


FIG. 13

17 [REDACTED]  
18 [REDACTED]  
19 [REDACTED]  
20 [REDACTED]  
21 [REDACTED]  
22 [REDACTED]  
23 [REDACTED]  
24 [REDACTED]  
25           37. From this information it is plain that Samsung and, with any investigation  
26 whatsoever, Dr. Von Herzen, knew full well the precise circuit in the accused devices that was the  
27 “virtual ground charge amplifier.” Given these facts, I disagree with Dr. Von Herzen’s  
28 contention that Apple did not sufficiently disclose the circuitry constituting a virtual ground

1 charge amplifier. In my March 22, 2012 Infringement Expert Report, I concluded based in part  
2 on this document, that the Accused Samsung Products contained a virtual ground charge  
3 amplifier.

4 38. It is therefore my opinion that Dr. Von Herzen, who holds himself out as one of  
5 more than ordinary skill in the art, and Samsung either were aware or should have been aware of  
6 the meaning of a virtual ground charge amplifier since the '607 Patent provides a clear example  
7 in its figures and specification and Samsung's products used the same circuit.

#### 8 **V. THE ITC DETERMINATION REGARDING THE '607 PATENT**

9 39. My understanding is that Dr. Von Herzen is not a legal expert and has no  
10 specialized skills in interpreting the relevance or admissibility of an initial determination by the  
11 International Trade Commission. Although I understand that the ITC's Initial Determination  
12 and Opinion concerning the '607 Patent is not relevant or admissible in this action, in the event  
13 that Dr. Von Herzen is permitted to opine about that Initial Determination and Opinion before this  
14 Court or the jury, I believe that the Initial Determination and Opinion are incorrect for the same  
15 reasons I outline below in explaining how and why I think Dr. Von Herzen's conclusions and  
16 opinions are incorrect.

17 40. Moreover, as Dr. Von Herzen admits in paragraph 22 of his declaration, the ITC  
18 did not find the entire '607 Patent invalid. The only '607 claims before the ITC were Claims 1-7  
19 and 10. As Dr. Von Herzen must admit, Claim 8 was not found to be invalid by the ITC.

#### 20 **VI. THE NEW ARGUMENTS AND PRIOR ART RAISED BY DR. VON HERZEN DO NOT DEMONSTRATE THAT CLAIM 8 OF THE '607 PATENT IS INVALID.**

21 41. In Dr. Von Herzen's Declaration, he raises new arguments and prior art, despite  
22 the fact that expert discovery has long since closed. I have been informed that this is improper  
23 and that such material will likely be subject to objection. Moreover, I have been unable to fully  
24 consider and provide a complete opinion regarding these arguments and art in light of their tardy  
25 disclosure. Should Dr. Von Herzen ultimately be allowed to offer his opinions regarding these  
26 new arguments and art, I reserve the right to provide a supplemental expert report as is  
27 appropriate.  
28

1           42.     I disagree with Dr. Von Herzen’s opinion in paragraph 28 of his Declaration that a  
2 virtual ground charge amplifier “is a trivial and obvious addition to the touchscreen recited in  
3 Claims 1 and 7 of the ’607 Patent. Moreover, Dr. Von Herzen’s opinion in paragraph 31 of his  
4 Declaration that “this precise circuitry was extremely well known in the field of electronics  
5 generally and in the field of capacitive touch screen specifically and used to filter out parasitic, or  
6 unwanted, charge coupling” is at least misleading. (Dkt. No. 942 ¶ 31.)

7           43.     To begin with, it is important to distinguish between amplifiers, operational  
8 amplifiers and the specific circuit configuration discussed in the context of the ’607 Patent shown  
9 in Figure 13 as I did in my April 16, 2012 Validity Report. (Dkt. No. 942-10 at ¶¶ 97-103.)  
10 There are literally thousands of circuit topologies that involve an amplifier, of which the virtual  
11 ground charge amplifier is one. Moreover, there are many different possible circuit topologies  
12 (some which are amplifiers and some which are not) that could potentially be used to detect  
13 changes in a capacitance of interest, such as is needed to detect changes in charge coupling of a  
14 mutual capacitance touchscreen. It is true that the specific circuit topology discussed here may  
15 have been known or used in other fields of inquiry, but it is not true that the use of this  
16 configuration was commonly used in touchscreen configurations prior to Apple’s use of it in its  
17 products. The evidence for this is clear and compelling both in the patent literature and products  
18 on the market: neither the Perski ’455 patent, nor Smartskin, nor Rekimoto, the primary art that  
19 Dr. Von Herzen claims is closest to the ’607 Patent, conceive of using this circuit configuration to  
20 detect changes in capacitive coupling despite its *now recognized* advantages when applied to a  
21 transparent mutual capacitive touchscreens capable of true multitouch functionality.

22           44.     The Blonder ’041 patent that Dr. Von Herzen relies upon, for example, contains an  
23 operational amplifier with a capacitor in its feedback loop, but the feedback capacitor is part of a  
24 force sensor; the circuit is intended to detect changes in force on a stylus, not in any way intended  
25 to operate the touch sensor in the Blonder ’041 patent. If the use of a virtual ground charge  
26 amplifier was so obvious, why did the inventors of the Blonder ’041 patent not apply it,  
27 themselves, to the touch sensor? They very clearly did not and, as I explained in detail below, the  
28 instrumentation in the Blonder ’041 patent system simply teaches away from doing this. Again

1 and again, we see that the prior art either teaches away from the virtual ground charge amplifier  
2 (e.g. Perski, Rekimoto, Smartskin) or uses a similar circuit in a completely different way that does  
3 not teach towards the innovation described and claimed in the '607 Patent and embodied in the  
4 Apple products.

5 45. In my opinion, the fact that it was known that operational amplifiers could be  
6 configured as *integrators* and used as integrators in other fields of inquiry does not, in any way,  
7 detract from the innovation of the '607 Patent in its use of a virtual ground charge amplifier as a  
8 novel way to detect changes in charge coupling in a mutual capacitance, transparent touchscreen  
9 that was robust to parasitics and enabled true multi-touch capability. This is the essence of  
10 innovation: the application of concepts in new ways to new fields of endeavor to produce novel  
11 technology and products. If this specific combination was so obvious, why does Dr. Von Herzen  
12 provide no prior art wherein a virtual ground charge amplifier was used or at least suggested for  
13 use as described in claim 8. If this was so obvious, why was Apple the first to produce such a  
14 device given the vast commercial value of multitouch devices? In the end, these arguments are  
15 nothing more than a classic and improper attempt at hindsight reconstruction of the claimed  
16 invention, using the applicant's invention as a template and selecting elements from the references  
17 to fill the gaps.

18 46. In paragraph 33 of his Declaration, Dr. Von Herzen claims that I admit "that the  
19 selection of a virtual ground charge amplifier was an obvious design choice well within the grasp  
20 of a circuit designer." This distorts and misstates the conclusions in the cited paragraphs 93-114  
21 of my report which address whether the Perski reference somehow renders obvious Claim 8 of the  
22 '607 Patent. In the next six sentences of my report (Dkt. No. 942-10 ¶¶ 94-95.), which Dr. Von  
23 Herzen conveniently ignores, I stated:

24 None of the Perski references includes a virtual ground charge  
25 amplifier as claimed in claim 8 of the '607 Patent. Moreover, there  
26 is no obvious path from the teachings in any of the Perski (or other)  
27 references to a virtual ground charge amplifier. There is no sensing  
28 circuitry, whether an amplifier or not, described at all for the second  
embodiment of the Perski '455 patent, upon which Dr. Von Herzen  
relies for his invalidity arguments. As such, there is no teaching  
whatsoever concerning the type of detector to be used with such an  
embodiment. I note, however, that whatever detector would be

1 used, given the design of touch panel in that embodiment, it would  
2 have to handle an AC signal with differential sense lines. That type  
3 of implementation plainly teaches away from the use of a virtual  
ground charge amplifier.

4 47. Although it is true that in hindsight the '607 Patent inventors' decision to include a  
5 virtual ground charge amplifier leads to significant advantages, it was not at all obvious to make  
6 the claimed combination at the time of the invention. After the invention of the '607 Patent and  
7 the publication of the patent and the wide distribution of the very successful products that embody  
8 the invention, it is plain that Samsung has included the claimed invention to capitalize on the  
9 advantages of the claimed combination. Dr. Von Herzen's inferential jump that it therefore  
10 would have been an obvious design choice prior to the invention relies on improper hindsight.

11 **A. None of the Asserted "Virtual Ground Charge Amplifier" Art Would Have  
12 Been Obvious To Combine To Reach The Invention of Claim 8.**

13 **1. Blonder Was Not Disclosed In Samsung's Invalidity Contentions And  
14 Would Not Have Been An Obvious Reference To Combine.**

15 48. In paragraphs 45-51, Dr. Von Herzen discusses U.S. Patent No. 5,113,041  
16 ("Blonder '041"). It is my understanding that although it was raised in Dr. Von Herzen's April 5,  
17 2012 Report, the Blonder '041 patent was not included in Samsung's original invalidity  
18 contentions and that Apple intends to seek to preclude Samsung's and Dr. Von Herzen's reliance  
19 upon that reference for any purpose. Given that the Blonder '041 patent was not asserted  
20 previously with respect to the '607 Patent, Apple and I have not been afforded a full and fair  
21 opportunity to explore, investigate and pursue discovery concerning those references. To the  
22 extent the Court finds that Dr. Von Herzen may not base his opinion on the Blonder '041 patent,  
23 my opinion on this matter may be unnecessary. Also, my inclusion of a response on this  
24 reference in no way is intended as an admission that Samsung's late disclosure of them should be  
25 considered fair or permissible.

26 49. Contrary to Dr. Von Herzen's assertions, it would not have been obvious to  
27 include an amplifier of the type shown in Blonder '041 in the input device of the Perski '455  
28 patent or the Smartskin paper owing both to the differences in intended purpose and the  
differences in the specific nature of the signals sensed by Perski and Smartskin and Blonder.

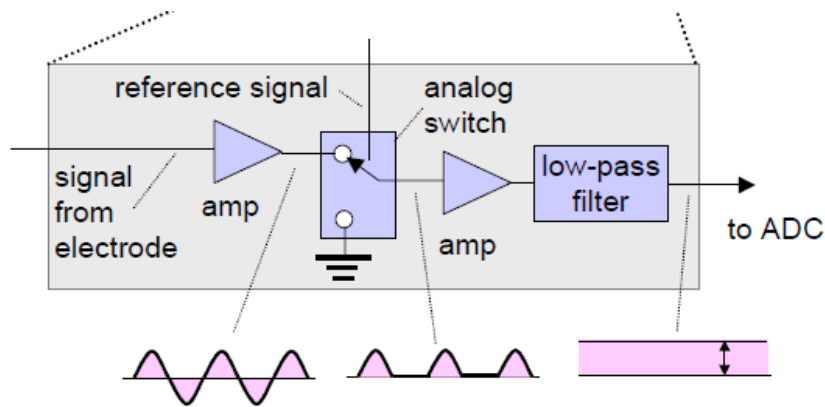


1           50.     None of the Perski references includes a virtual ground charge amplifier as  
2 claimed in Claim 8 of the '607 Patent. Moreover, there is no obvious path from the teachings in  
3 any of the Perski (or other) references to a virtual ground charge amplifier.

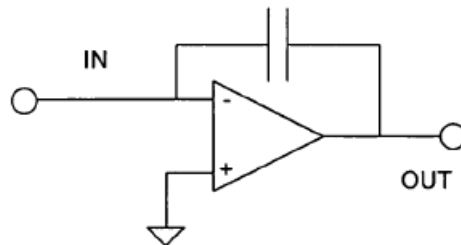
4           51.     There is no sensing circuitry, whether an amplifier or not, described at all for the  
5 second embodiment of the Perski '455 patent. As such, there is no teaching whatsoever  
6 concerning the type of detector to be used with such an embodiment. I note, however, that  
7 whatever detector would be used, given the design of touch panel in that embodiment, the Perski  
8 teachings are clearly built on the differential detection and differential measurement of sinusoidal  
9 signals (AC) on the sense lines. The virtual ground charge amplifier is a) not taking a differential  
10 measurement between two sense lines, b) is not configured (either in the '607 Patent or *any other*  
11 *reference* provided by Dr. Von Herzen) to accept AC inputs; these circuits are all clearly driven  
12 by pulses. Combining these facts with the use of the Blonder '041 patent stylus circuit as a force  
13 sensor –and distinctly *not* used in that patent as the multitouch detection circuitry – it is  
14 straightforward to see that it would not have been obvious to combine the stylus force detection  
15 circuit in the Blonder '041 patent with the Perski '455 patent.

16           52.     Similar arguments apply to Dr. Von Herzen's effort to combine the Blonder '041  
17 patent system with the Smartskin reference; it simply teaches away from the innovation of a  
18 virtual ground charge amplifier into a multitouch touchscreen. The circuitry used in the Smartskin  
19 paper is described on page 2 of that paper: "To accurately measure signals only from the  
20 transmitter electrode, a technique called 'lock-in amplifier' is used. This technique uses an  
21 analogue switch as a phase-sensitive detector. The transmitter signal is used as a reference signal  
22 for switching this analog switch, to enable the system to select signals that have the synchronized  
23 frequency and the phase of the transmitted signal." (Dkt. No. 942-14.) The figure provided in the  
24 Smartskin paper is reproduced below as does not even superficially resemble a virtual ground  
25 charge amplifier (nor does it function in even remotely the same way).

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28



**Figure 2: The SmartSkin sensor configuration: A mesh-shaped sensor grid is used to determine the hand's position and shape.**

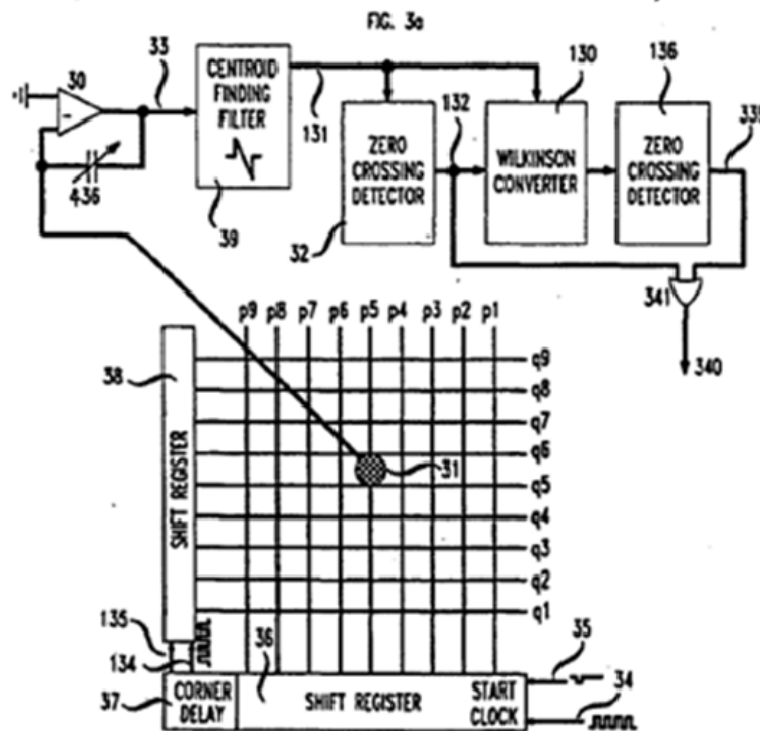


**FIG. 13**

53. As more fully explained in my Validity Report, fundamental topology differences exist that are obvious even to the untrained eye when examining these two circuits. For example, the Smartskin circuit requires a global reference signal against which to compare the sensed signal; the virtual ground charge amplifier does not. The Smartskin circuit requires an AC input signal; the virtual ground charge amplifier circuit described in the '607 Patent does not. (Dkt. No. 942-10 ¶¶ 168-174.) Thus, for the reasons discussed above with respect to the Perski reference, the Smartskin reference alone or in combination with any of the other cited references does not anticipate or render obvious the claimed invention of Claim 8 of the '607 Patent.

54. Someone skilled in the art and following these teachings would not choose the amplifier block in Blonder to perform the detection function in either Perski '455 or the Smartskin paper. They would choose an amplification and detection scheme which makes use of the repetitive, sinusoidal nature of the AC signal. For example, Perski '455 chooses sensing via a

1 differential amplifier for this purpose (and only in the third embodiment). Note that Blonder  
 2 (Dkt. No. 942-12, col. 9, lns. 32-35) applies a voltage pulse to the input, not an AC signal. The  
 3 use of AC signals as the inputs and sensed outputs in the Perski '455 patent and Smartskin paper  
 4 actually teaches away from the use of the op-amp configuration in Blonder. Although that  
 5 problem is sufficient alone for me to conclude one of ordinary skill in the art would not make  
 6 such a combination, there are other problems that would have caused one of ordinary skill in the  
 7 art *not* to combine Blonder '041 with the Perski or Smartskin references. In attempting to dismiss  
 8 some of these distinctions, in paragraph 49 of his Declaration, Dr. Von Herzen states that “both  
 9 AC and DC signals benefit equally from the use of such a charge amplifier.” This misses the  
 10 point entirely. Because the Blonder '041 circuit is designed to detect a different signature pulse  
 11 for each drive line using an active stylus, there is simply no suggestion how these elements would  
 12 be rearranged into a mutually capacitive system like Perski '455 or Smartskin.





1           57.    The Blonder '041 patent discloses (Dkt. No. 942-12, col. 10, ln. 52 through col.  
2 11, ln. 7):

3                   A stylus design providing for force measurement responsive to  
4 capacitance change is illustrated in FIG. 4a. In the arrangement  
5 shown, stylus tip 41 is mechanically and electrically coupled by  
6 rod 40 to moving capacitor plate 44 supported by spring 45 which  
7 is, in turn, coupled in an electrically insulating manner to the stylus  
8 body 46. Bearings, not shown, help support the tip structure while  
9 allowing motion only along the axis of conductive rod 40. Plate 44  
10 is electrically connected to the inverting input terminal of amplifier  
11 48 while fixed plate 43 is connected to the output 49. Plates 43 and  
12 44 form the feedback capacitor for the amplifier. Forces between  
13 the stylus body 46 and the surface of tablet 47 cause plates 43 and  
14 44 to separate and hence increase the output signal by virtue of the  
15 increased gain of the circuit. Guard ring plate 42 enhances the  
16 effect. Resistor 140 serves to provide bias current to the amplifier  
17 input and is selected to be as large as is practical. For particular  
18 apparatus, capacitance of capacitor [sic] 43-44, varied over a  
19 range of from 10 pf to 3 pf for a contacting force within the range  
20 of from 0 to 1.5 Newtons. Other capacitor geometries and spring  
21 arrangements may be used. The present design measures the  
22 component of force along the pen axis.

23           58.    The Blonder '041 patent Figure 3a depicts an embodiment concerning a tablet  
24 digitizing system incorporating a wire-connected force sensing stylus incorporating a force-  
25 variable feedback capacitor 436. The design of the force sensing stylus and the force-variable  
26 capacitor are illustrated in the Blonder '041 patent (*id.*, col. 10, lns. 52-67), which describes the  
27 design and operation of the force sensing stylus, stating,

28                   A stylus design providing for force measurement responsive to  
29 capacitance change is illustrated in FIG. 4a. In the arrangement  
30 shown, stylus tip 41 is mechanically and electrically coupled by  
31 rod 40 to moving capacitor plate 44 supported by spring 45 which  
32 is, in turn, coupled in an electrically insulating manner to the stylus  
33 body 46. Bearings, not shown, help support the tip structure while  
34 allowing motion only along the axis of conductive rod 40. Plate 44  
35 is electrically connected to the inverting input terminal of amplifier  
36 48 while fixed plate 43 is connected to the output 49. Plates 43 and  
37 44 form the feedback capacitor for the amplifier. Forces between  
38 the stylus body 46 and the surface of tablet 47 cause plates 43 and  
39 44 to separate and hence increase the output signal by virtue of the  
40 increased gain of the circuit.

41           59.    In my opinion it would not have been obvious to include an amplifier of the type  
42 shown in the Blonder '041 patent in the input device of the Perski '455 patent or the Smartskin  
43 paper since the amplifier of the Blonder '041 patent was designed to be used with a mechanically

1 variable capacitor force-sensing stylus connected by a wire to the amplifier. The capacitor at  
2 issue in Blonder is used for *force* sensing, not *position* sensing. No one of ordinary skill in the art  
3 at the time of the '607 Patent inventions would have thought that it was an obvious choice to use  
4 the amplifier attached to the stylus in Blonder as a sensing mechanism for the X or Y traces in a  
5 mutual capacitive touch sensor. Indeed, as is evident, the cited amplifier of Blonder '041 patent  
6 was not connected to the X or Y conductive lines as required by the claims of the '607 Patent. I  
7 conclude that any possible alleged resemblance of the amplifier 30 of Blonder '041 patent to the  
8 amplifier of the '607 Patent claims is incidental to the completely different system and purpose of  
9 the Blonder '041 patent and is not relevant to the '607 Patent, which, of course, does not describe  
10 a force sensing mechanical stylus. Nor would it have been obvious to include the amplifier of the  
11 Blonder '041 patent in the input device of the Perski '455 patent or Smartskin paper, which also  
12 do not describe a force sensing mechanical stylus.

13 60. It would not have been obvious to include an amplifier of the type shown in  
14 Blonder '041 in the input device of the Perski '455 patent or Smartskin owing both to the  
15 differences in intended purpose and the differences in the specific nature of the signals sensed in  
16 Blonder '041 and in Perski '455 or Smartskin.

17 61. I see no teaching or suggestion in these references or in the problem being  
18 addressed that would have lead one of ordinary skill in the art to make such a combination.  
19 Moreover, I see nothing in Dr. Von Herzen's Declaration that points to any suggestion or  
20 teaching in the art or knowledge of one of ordinary skill in the art at the relevant time to make  
21 such a combination. The fact that there are so many references cited in Dr. Von Herzen's  
22 Declaration and yet none hint or even allude to such a combination is strong proof of that  
23 conclusion, and no amount of hindsight reconstruction using the '607 Patent as a reference guide  
24 can obscure that fact.

25 62. Therefore it is my opinion that Blonder '041 patent does not alone or in  
26 combination disclose "a virtual ground charge amplifier coupled to the touch panel for detecting  
27 the touches on the touch panel," as claimed in Claim 8 of the '607 Patent. It is also my opinion  
28 that the use of such features in combination with the other claimed elements would not have been

1 obvious to one of ordinary skill in the art based on my analysis of Perski '455 patent, or any other  
2 reference cited by Dr. Von Herzen in combination with the Blonder '041 patent.

3 **2. None Of The Other Alleged “Virtual Ground Charge Amplifiers”**  
4 **Invalidates The Claims And None Were Disclosed in Either Samsung’s**  
5 **Invalidity Contentions Or Dr. Von Herzen’s Report.**

6 63. Dr. Von Herzen’s Declaration presents numerous other alleged references relating  
7 to virtual ground charge amplifiers, none of which were presented in Samsung’s Invalidation  
8 Contentions or Dr. Von Herzen’s April 5, 2012 Corrected Report concerning the alleged  
9 invalidity of Claim 8 of the '607 Patent. In short, this is the very first time in this litigation that  
10 these invalidity arguments are being raised. I understand that Apple objects to the introduction of  
11 this new alleged prior art and the arguments relating to them as untimely and improper. Given  
12 that these references were not asserted previously with respect to Claim 8 of the '607 Patent,  
13 Apple and I have not been afforded a full and fair opportunity to explore, investigate and pursue  
14 discovery concerning those references. To the extent the Court finds that Dr. Von Herzen may  
15 not base his opinion on these references, my opinion on this matter may be unnecessary. Also,  
16 my inclusion of a response on this reference in no way is intended as an admission that  
17 Samsung’s late disclosure of them should be considered fair or permissible. To the extent  
18 possible, I address each of those references briefly below in this section of my declaration.

19 64. In paragraphs 34 and 41, Dr. Von Herzen describes charge amplifiers used in  
20 consumer electronic devices like CCD cameras and DRAM memory and cites to the Horowitz  
21 and Hill textbook. (Dkt. No. 492.) Both of these are simply other fields of inquiry whose patent  
22 literature and product families are distinct from touchscreen and touch panels. There is a huge,  
23 decades-long literature on Dynamic Random Access Memories (DRAM) and dozens if not  
24 hundreds of product families. DRAM is used as memory elements in computers and  
25 computational devices, among other, more specialized uses. Charge couple devices (CCD’s) are  
26 used primarily in imaging and, again, comprise their own distinct field of inquiry, technology  
27 base, and patent literature. These references are not pertinent simply because one of ordinary skill  
28 in the art would not look to the use of such circuits as being analogous or useful. Indeed, I see  
nothing in Dr. Von Herzen’s declaration that would suggest otherwise. The fact that circuit

1 arrangements may exist in one implementation does not mean that it would be obvious to use  
2 them in another. Indeed, that is what I understand Samsung has the burden to prove. However,  
3 there are thousands of possible circuit implementations and the evidence here is not persuasive,  
4 much less clear and convincing, that of all the possible implementations of circuits this particular  
5 one would have been the obvious one to implement in the claimed invention. In fact, and as I  
6 have stated above, if this was so obvious why does Dr. Von Herzen provide no prior art wherein a  
7 virtual ground charge amplifier was used as described in Claim 8 to produce a robust multitouch  
8 touchscreen? If this was so obvious, why was Apple the first to produce such a device given the  
9 vast commercial value of multitouch devices? In the end, these arguments are nothing more than  
10 a classic and improper attempt at hindsight reconstruction of the claimed invention, using the  
11 applicant's invention as a template and selecting elements from the references to fill the gaps.

12 65. In paragraphs 35-36, Dr. Von Herzen describes “411” operational amplifiers  
13 configured with feedback capacitors and cites to Figures 5.25A and 5.25B of the Horowitz and  
14 Hill textbook. (Dkt. No. 942-7.) In paragraphs 37-38, Dr. Von Herzen describes filter elements  
15 and cites to Figures 5.19 and 5.20 of the Horowitz and Hill textbook. In paragraph 40, Dr. Von  
16 Herzen cites to circuit configurations from the University of Colorado’s Physics 3330 curriculum.  
17 As stated above, there are literally thousands of circuit topologies that involve an amplifier, of  
18 which a virtual ground charge amplifier is one. Moreover, there are many different possible  
19 circuit topologies (some which are amplifiers and some which are not) that could potentially be  
20 used to detect changes in a capacitance of interest, such as is needed to detect changes in charge  
21 coupling of a mutual capacitance touchscreen. Horowitz and Hill –as any basic electrical  
22 engineering text does - also includes descriptions of capacitors, resistors, op amps, inductors,  
23 transistors, etc. This obviously does not preclude use of these devices in novel ways in new,  
24 patentable innovations. These references are not pertinent simply because they do not teach or  
25 even suggest to one of ordinary skill in the art to apply them to the development of the specific  
26 invention of Claim 8 of the ’607 Patent, except in a convenient hindsight reconstruction.

27 66. I disagree with Dr. Von Herzen’s conclusion in paragraph 39 based on the types of  
28 amplifiers described in paragraphs 34-38 and 40. None of these circuits teach anything about



1 multi-touch and there is no path to lead a designer to Claim 8 of the '607 Patent. These  
2 references are not pertinent simply because one of ordinary skill in the art would not look to the  
3 use of such circuits as being analogous or useful. Indeed, I see nothing in Dr. Von Herzen's  
4 declaration that would suggest otherwise.

5 67. In paragraphs 42-43 Dr. Von Herzen describes a circuit developed by Hosticka,  
6 Brodersen and Gray. This classic paper simply describes a class of MOSFET-based switched  
7 capacitor integrators; there is no notion of using these circuits for any of the purposes or  
8 applications at issue here. (Dkt. No. 942-11.) Hosticka et al. were interested in developing  
9 topologically-efficient AC filters which were frequency-selective for use in the large scale  
10 integrated (LSI) circuits of the time. Aside from the initial mention of an integrator (and  
11 depiction in Figure 2a), which Dr. Von Herzen cherry-picked, the authors state explicitly that  
12 their concern is with designing filters with low sensitivity to their filter coefficients, using up  
13 small silicon areas and requiring low performance amplifiers (Dkt. No. 942-11 at 600, col. 2, ¶ 3);  
14 these were all concerns of integrated circuit designers of the time and do not obviously inform a  
15 designer of a multitouch touchscreen. *There is no mention in the Hosticka paper of the use of this*  
16 *type of filter to "reduce noise in the form of parasitic capacitance"* as is incorrectly claimed by  
17 Dr. Von Herzen in paragraph 43 of his Declaration. Like the circuits described in paragraphs 34-  
18 38 and 40, the Hosticka circuits also do not teach anything about multi-touch. I therefore  
19 disagree with Dr. Von Herzen's conclusion in paragraph 44 that the incorporation of a virtual  
20 ground charge amplifier would have been a trivial addition to any capacitive touch sensor that  
21 would yield extremely predictable results. These references are not pertinent simply because one  
22 of ordinary skill in the art would not look to the use of such circuits as being analogous or useful.  
23 Indeed, I see nothing in Dr. Von Herzen's declaration that would suggest otherwise.

24 68. In paragraphs 52-54, Dr. Von Herzen discusses U.S. Patent No. 5,565,658  
25 ("Gerpheide '658"). The Gerpheide '658 patent is simply an opaque, non-multitouch, mouse  
26 pointer replacement. Both the specification and claim language refer to "an object." (*See, e.g.,*  
27 Dkt. No. 942-13 at col. 10, lns. 13-16.) It does not disclose or even consider a transparent sensor  
28 that is capable of recognizing multiple simultaneous touches. No one of ordinary skill in the art

1 looking at the Gerpheide '658 patent at the relevant time would have obviously conceived of a  
2 multitouch, transparent sensor as described in the '607 Patent and claimed in Claim 8. It is only  
3 now, in hindsight, that one of ordinary skill in the art, knowing of the invention claimed in the  
4 '607 Patent (or the myriad products that embody them) would know to combine the cited  
5 elements to create that which is disclosed in Claim 8 of the '607 Patent.

6 69. Nor would one of ordinary skill in the art, looking at the Perski '455 patent or  
7 Smartskin reference, look to the Gerpheide '658 patent to use the cited elements from that patent.  
8 As covered in more detail below, these two references teach away from the circuits in the  
9 Gerpheide '658 patent for multiple reasons. Dr. Von Herzen fails to provide any reasoning why  
10 one of ordinary skill, starting with the systems of the Perski '455 patent or Smartskin would  
11 integrate portions of the Gerpheide '658 patent's sensing circuit. As discussed above and below,  
12 the Perski '455 patent and Smartskin operate on different sensing principles (AC-signal detection)  
13 and it would not have been obvious to combine the systems described in those references with a  
14 virtual ground charge amplifier.

15 70. In paragraphs 55-56, Dr. Von Herzen discusses U.S. Patent No. 5,305,017  
16 ("Gerpheide '017"). To begin with, Gerpheide '017 does not teach the virtual ground charge  
17 amplifier in the '607 Patent nor even a simple integrator as described by Dr. Von Herzen himself.  
18 Both the relevant parts of the '017 patent, the specification (as cited by Dr. Von Herzen) and  
19 Figure 12 (the only relevant circuit), describe a somewhat complex, multi-capacitor circuit. The  
20 charge sensing circuit is differential in nature (it requires 'positive' and 'negative' sense traces  
21 and employs what the specification calls 'a differential charge amplifier 560') and, more  
22 importantly, *the '017 patent never provides a circuit diagram for the relevant 'differential charge*  
23 *amplifier.'* Moreover, the specification clearly states that the system requires not only differential  
24 AC signals (and produces an AC output), but it also requires an AC reference signal. (Dkt. No.  
25 942-14 at 11:27-64.) None of this in any way teaches towards the circuit in the '607 Patent,  
26 either by itself or in combination with the Perski '455 patent or Smartskin paper.

27 71. Moreover, contrary to Dr. Von Herzen's assertions, it would not have been  
28 obvious to include an amplifier of the type shown in Gerpheide '017 in the input device of the

1 Perski '455 patent or Smartskin. The Gerpheide '017 patent is simply a mouse pointer  
2 replacement and does not disclose a transparent sensor that's capable of recognizing multiple  
3 simultaneous touches. Dr. Von Herzen fails to provide any reasoning why one of ordinary skill,  
4 starting with the systems of the Perski '455 patent or Smartskin would integrate portions of the  
5 Gerpheide '017 patent's sensing circuit. These references are not pertinent simply because one of  
6 ordinary skill in the art would not look to the use of such circuits as being analogous or useful.  
7 Indeed, I see nothing in Dr. Von Herzen's declaration that would suggest otherwise.

8 **B. Neither Perski Nor Smartskin Anticipate or Render Obvious Claim 8.**

9 **1. The Perski '455 Patent Does Not Invalidate Claim 8.**

10 72. In paragraphs 60-73 and 78-79 of his Declaration, Dr. Von Herzen provides  
11 supplemental comments regarding the Perski '455 patent. Unlike his opinions regarding virtual  
12 ground charge amplifier, the opinions in paragraphs 60-73 and 78-79 are offered without any  
13 reason or pretext of a reason. In effect, Dr. Von Herzen has provided a new invalidity report. I  
14 understand that this violates the expert discovery schedule and disclosure requirements  
15 established by the Court. Should the Court allow Dr. Von Herzen to present his additional  
16 opinions regarding Perski, I reserve the right to provide a supplemental expert report as is  
17 appropriate.

18 **a. The Limitations of Claim 1 Are Not Obvious or Anticipated.**

19 73. The Perski '455 patent does not describe, teach or suggest "A touch panel  
20 comprising a transparent capacitive sensing medium configured to detect multiple touches or near  
21 touches that occur at a same time and at distinct locations in a plane of the touch panel and to  
22 produce distinct signals representative of a location of the touches on the plane of the touch panel  
23 for each of the multiple touches," as required by Claim 1 of the '607 Patent.

24 74. The inventors of the '607 Patent knew full well that prior efforts had been made to  
25 implement a transparent multi-touch panel but for a variety of reasons those efforts had failed.  
26 The '607 Patent inventors developed a new transparent touch panel that (as recited in independent  
27 Claim 1) would detect multiple touches or near touches "that occur at a same time and at distinct  
28 locations" and "produce *distinct signals representative of a location of the touches on the plane of*

1 *the touch panel for each of the multiple touches*” that can be input on the touch panel. Put  
2 another way, rather than a partial or unreliable picture of the full touch panel input device, the  
3 inventors sought to create a system that would recognize the capacitive coupling associated with  
4 touch events “*at distinct points across the touch panel*” that occur “at different locations on the  
5 touch panel at a same time and to output this information to a host device *to form a pixilated*  
6 *image*” of the touch panel. The point of this aspect of the invention was to distinguish prior  
7 systems in which “masking” or other artifacts prevented a full multitouch capability.

8         75. The disclosure and claims of the Perski ’455 patent are directed to a device  
9 capable of detecting both an electromagnetic stylus and a finger using two different detection  
10 modes. This central goal of the Perski ’455 patent design effort pointed the authors of that patent  
11 away from a true multitouch design as set forth in the ’607 Patent that includes the capability “to  
12 detect multiple touches or near touches that occur at a same time and at distinct locations in a  
13 plane of the touch panel and to produce distinct signals representative of a location of the touches  
14 on the plane of the touch panel for each of the multiple touches.” The ’607 Patent claims at issue  
15 are not directed to the mere detection that there are two fingers or more present on a touch sensor,  
16 they are directed to touch sensor circuitry that itself is capable of detecting and reporting the  
17 distinct location of each touch that could be made on the touch sensor. The Perski ’455 patent  
18 does not enable that.

19         76. For example, in the abstract of the disclosure, the Perski ’455 patent states that  
20 “the detector is advantageous in that the same sensing conductors can be used both for touch  
21 sensing and for detection of an electromagnetic stylus.” (Dkt. No. 942-3, Perski ’455 patent at  
22 Abstract.) Indeed, the sole independent claim of the Perski ’455 patent is directed to two  
23 different “kinds” of position detection, not the detection of the presence of two finger touches on  
24 the touch pad. (Dkt. No. 942-3 at col. 26, lns. 1-15.) None of the claims of Perski are directed to  
25 identifying the specific location of multiple fingers and transmitting distinct signals representing  
26 each location of multiple touches. Dr. Von Herzen cites to Perski’s disclosure of detecting both a  
27 finger and a stylus, but Perski discloses that the stylus contact is not detected using a mutual  
28 capacitance coupling between the drive and sense lines. Instead, the stylus is “an

1 Electromagnetic Stylus” (*Id.* at col. 8, Ins. 57-58), which couples in via EM coupling to a single  
2 layer of traces, as per U.S. Patent Application 09/628334 “Physical Object Location Apparatus  
3 and Method and a Platform using the same” (*Id.* col. 8, Ins. 59-65).

4 77. Moreover, it was precisely Perski’s need for both the stylus and finger touch  
5 capability that led to specific weaknesses in Perski’s design (from the perspective of an inventor  
6 who only wishes to build a touchscreen with no stylus). From Perski: “The present embodiments  
7 comprise a digitizer that allows finger clicks and movement detection on flat panel displays, in  
8 such a way that the same sensing infrastructure can be used for electromagnetic (EM) stylus  
9 detection.” (Dkt. No. 942-3, Perski ’455, col. 8, Ins. 9-12). For example, because of the need to  
10 couple to the stylus, AC signals were chosen as the inputs to the traces in the touchscreen, thereby  
11 effectively forcing the inventors of Perski to build a touchscreen system that relied on sensing AC  
12 signals at the sense lines. (*Id.* at col. 8, Ins. 56-65.) This posed limitations to their touchscreen  
13 implementation that the ’607 Patent does not suffer from as it is unconstrained by the need to  
14 accommodate stylus input or detection. I discuss this problem in greater detail below.

15 78. Dr. Von Herzen notes in paragraphs 61-63 of his Declaration only that Perski can  
16 “detect” more than one finger touch at the same time, but nowhere asserts that the Perski system  
17 was capable of actually reporting distinct signals representing distinct finger locations for each of  
18 the many multi-finger touches that could be made on the sensor. As an initial matter, in  
19 describing one of the methods for implementing the second embodiment of the Perski ’455 patent  
20 disclosure (the only embodiment that Dr. Von Herzen cites for multiple finger capability and the  
21 only embodiment that is at least arguably a “mutual capacitance” system that senses charge  
22 coupling between conductors), the authors of the Perski ’455 patent state that “this method may  
23 lead to ambiguity on those rare occasions when multiple touches occur simultaneously at specific  
24 combinations of locations, and the larger the groups the greater is the scope for ambiguity.” (Dkt.  
25 No. 942-3, col. 14, Ins. 52-56.) Of course, this is the precise problem that the inventors of the  
26 ’607 Patent sought to avoid.

27 79. After reviewing the specification and claims of Perski ’455, I believe that to the  
28 extent that Perski ’455 discussed the detection of multiple finger touches, it was ancillary to the

1 primary purpose of Perski's device. In particular, the detection of the presence of more than one  
2 finger touch is limited to the second embodiment of the invention. (Dkt. No. 942-3, Perski '455  
3 at columns 13 and 14.) Furthermore, Perski acknowledges that certain signal mapping and  
4 initialization techniques that are proposed in the Perski '455 specification are not appropriate for  
5 multi-touch operation. (*See, e.g.*, Dkt. No. 942- 3, col. 23, lns. 25-31.) Thus, on its face, the  
6 Perski reference does not disclose or claim multi-finger sensing embodiments in the precise  
7 manner that is set forth in the '607 Patent.

8 80. It is further my expert opinion that the teachings in the Perski '455 patent would  
9 not have enabled a working touch panel comprising a transparent capacitive sensing medium  
10 configured to detect multiple touches or near touches at a same time and at distinct locations in a  
11 plane of the touch panel and to produce distinct signals representative of a location of the touches  
12 on the plane of the touch panel for each of the multiple touches.

13 81. According to the teachings in the Perski '455 patent at column 13, lines 37-43,  
14 sensing occurs in the following manner. "A finger 26 touches the sensor 20 at a certain position  
15 and increases the capacitance between the first conductor line 24 and the orthogonal conductor  
16 line 28 which happens to be at or closest to the touch position. As the signal is AC, the signal  
17 crosses by virtue of the capacitance of the finger 26 ... and an output signal 30 may be detected."  
18 (Dkt. No. 942-3, col. 13, lns. 37-43.) The detection circuitry proposed in the third embodiment  
19 described in the Perski '455 patent is based on differential amplifiers (which have two inputs)  
20 connected to pairs of sense traces so as to detect voltage differences between the two inputs. Note  
21 that this third embodiment (and the only embodiment in the Perski '455 patent that even mentions  
22 any kind of amplifier) is fundamentally different from using a virtual ground charge amplifier to  
23 detect changes in current on a single sense line.

24 82. The configuration taught by the Perski '455 patent, and summarized above, would  
25 not have operated properly as part of a multi-touch capable touch screen display. If such a  
26 configuration were to be placed over a display, parasitic (that is, unwanted) variable capacitance  
27 coupling would have occurred between the display and the sensor and between the environment  
28 and the sensor. Different sense traces would experience different amounts of unwanted coupling

1 and, even worse, this coupling vary during operation. These parasitic capacitances would vary not  
2 just from sense line to sense line but could even vary between the two sense lines of each  
3 differential amplifier pair.

4 83. Without a proper compensation method, these variations in parasitic capacitance  
5 across the traces connected to the inputs of the differential amplifiers would generate spurious  
6 signals which create ghost touches, turn near touches into strong touches, or generally raise the  
7 noise floor of the sensor so that reliable multitouch is not possible.

8 84. My opinion is supported strongly by the fact that N-Trig, the assignee of the Perski  
9 '455 patent, filed subsequent patent applications in which they admit and try to address this exact  
10 problem. For example, in Patent Application Pub. No. US 2007/0268272 A1, (attached hereto  
11 as Exhibit E is a true and correct copy of Patent Application Pub. No. US 2007/0268272 A1),  
12 they write:

13 [0006] Parasitic capacitance developed between the display screen and the conductive lines of the overlaying  
14 digitizer sensor, typically induces a current leakage into the conductive lines of the digitizer referred to as a “steady  
15 noise” and/or steady state noise. In an ideal environment, the parasitic capacitance and therefore the steady state noise  
16 level in each of the lines are expected to be identical.

17  
18 [0007] Some known systems use differential amplifiers to eliminate noise that is typically introduced on the conductive  
19 lines of the digitizer sensor. If the parasitic capacitance on each of the lines were identical, the noise can be practically  
20 eliminated using a differential amplifier. However, in practice slight differences in distance between the digitizer and  
21 screen, material structure in specific areas of the digitizer screen, environmental conditions and parasitic capacitance  
22 on associated PCB, may affect the parasitic capacitance level between the screen and some of the lines. The unbalanced  
23 capacitance creates an unbalance steady state noise level of the lines. The result is a different steady state noise on each  
24 of the lines that will result in an amplified non-zero steady state signal being produced by the differential amplifier. The  
25 presence of these steady state noises may reduce the level of accuracy possible in detecting, for example, a user’s finger’s  
26 location.  
27  
28

1           85.     Moreover, they then directly concede (attached hereto as Exhibit F is a true and  
2 correct copy of Pat. Application Pub. No. 2004/0155871 A1) that the method for dealing with  
3 noise in the Perski '455 patent (Pat. Application Pub. No. 2004/0155871 A1) was an  
4 insurmountable problem “*that cannot be compensated for with*” the Perski '455 patent system:

5  
6                   [0008] Incorporated U.S. Patent Application Publication  
7 No. 20040155871 additionally describes a mapping solution  
8 that may be used to compensate for the display panel steady  
9 state noise phenomenon. During an initialization procedure,  
10 magnitude and phase of the difference signals for each pair  
11 of conducting lines connected to a differential amplifier is  
12 detected and stored. Once the differential map is stored in  
memory, it can be used to compensate for the display panel  
signal steady state noise phenomenon. Noise in the signal  
may cause saturation when sampling the signal that cannot  
be compensated for with the mapping solution.

13           86.     In other words, the engineers who worked at N-trig and developed the Perski '455  
14 patent system themselves required substantial further experimental effort that they themselves did  
15 not consider (and I do not consider) to be “ordinary” engineering work, but rather work rising to  
16 the level of inventive engineering effort, involving multiple hardware and software innovations to  
17 produce a working device. I conclude, as they did, that the Perski '455 patent teachings were  
18 insufficient to prevent signal saturation and degraded performance to the point that the system  
19 would not be able to provide full multitouch capability, which is what is expressly required by the  
20 '607 Patent claims.

21           87.     Lastly, it is important to state directly that this phenomenon is a substantial  
22 problem for the Perski '455 patent teachings in large part because of their design choice to  
23 employ differential amplifiers. Spatiotemporal variations in parasitic capacitance between the  
24 two terminals of each amplifier prevent full multitouch performance (as described above in the  
25 quotes from the N-Trig Patent Applications US 2007/0268272 A1). The '607 Patent teachings do  
26 not rely at all on differential measurements (*i.e.*, two sense traces are not input to each amplifier  
27 and the engineer does not need to worry that differences between these two traces might generate  
28



1 erroneous detection or increased noise levels) and moreover, the virtual ground charge amplifier  
2 topology of the '607 Patent is specifically designed to be a robust and reliable solution to  
3 capacitive parasitics. This last point is now widely appreciated in the industry.

4 88. In summary, the Perski '455 patent (alone or together with any other reference)  
5 does not anticipate or render obvious the limitations of Claim 1, which are incorporated in Claim  
6 8 of the '607 Patent. The Perski '455 patent does not describe, teach, suggest, or enable “A  
7 touch panel comprising a transparent capacitive sensing medium configured to detect multiple  
8 touches or near touches that occur at a same time and at distinct locations in a plane of the touch  
9 panel and to produce distinct signals representative of a location of the touches on the plane of the  
10 touch panel for each of the multiple touches,” as required by the limitations of Claim 1, which are  
11 incorporated in Claim 8 of the '607 Patent.

12 **b. Claim 8 Is Not Obvious or Anticipated.**

13 89. In paragraph 75 of his Declaration, Dr. Von Herzen repeats his argument from his  
14 Opening Invalidation Report that because Perski '455 carries “Miller capacitance,” Perski '455  
15 qualifies as a virtual ground charge amplifier. (Dkt. No. 942.) I disagree. The Perski '455 patent  
16 does not describe, teach or suggest “The touch panel as recited in claim 7, further comprising a  
17 virtual ground charge amplifier coupled to the touch panel for detecting the touches on the touch  
18 panel,” as required by Claim 8 of the '607 Patent.

19 90. In paragraph 71 of his April 5, 2012 Invalidation Report, Dr. Von Herzen states:  
20 “Inherent in many charge amplifiers is associated Miller capacitance, which is the capacitance  
21 between the input and the output of the operational amplifier. Absent other feedback, it is the  
22 Miller capacitance that provides the capacitive feedback that makes an op-amp respond as a  
23 charge amplifier. The Miller theorem states that the Miller capacitance can be treated as an  
24 equivalent pair of capacitors to ground, providing a virtual ground circuit.” (Dkt. No. 942-16  
25 ¶71.) The intent of this statement appears to be to lead the reader to conclude that because there  
26 exists some capacitance between the input and output of *any* operational amplifier, any  
27 operational amplifier is a virtual ground charge amplifier even in the absence of a specifically  
28 engineered feedback loop containing a capacitor. This is highly misleading for several reasons.

1           91.     The capacitor in the '607 Patent virtual ground charge amplifier allows the circuit  
2 to integrate the current per unit of time for the purpose of, for example, sensing charge on the  
3 capacitor in a manner that is robust to the impact of parasitics in the device. That design  
4 innovation was important in enabling full multitouch capability in a transparent touch sensor.  
5 This specific arrangement of circuit elements was specifically designed by the engineers and is  
6 represented by specific diagrams that set forth that design, as shown, for example, in Figure 13 of  
7 the '607 Patent.

8           92.     It is understood that circuit diagrams serve as a technical language for electrical  
9 engineers. When engineers draw an open loop amplifier, one intends to convey the absence of a  
10 feedback loop. When an engineer draws a differential amplifier one intends to convey the  
11 differential nature of the input of that amplifier. In the field of electrical engineering, the  
12 innovations and teachings may be conveyed by the specific drawings; they are abstractions that  
13 convey *specific* meaning to those skilled in the art. For example, when an engineer draws a  
14 capacitor in a circuit, she is abstracting the phenomenon that causes capacitance (energy storage  
15 due to the electric field between conductors) into that symbol. Those of ordinary skill in the art  
16 know that all *real* capacitors happen to have resistive leakage paths between their terminals. One  
17 would not, however, retroactively claim that every capacitor drawn in every patent diagram  
18 necessarily includes a resistor. Such a position transforms every operational amplifier into a  
19 charge amplifier, which is clearly an untenable position. One of ordinary skill in the art would  
20 not believe that an engineering drawing or schematic (like one found in a patent) of an “op-amp”  
21 alone would teach that the engineer intended some incidental Miller capacitance to function as a  
22 virtual ground charge amplifier in the operation of the circuit. That argument renders the words  
23 “virtual ground” and “charge amplifier” to be completely meaningless. In the real world, they are  
24 not meaningless and they require different structures and circuit designs to implement. Dr. Von  
25 Herzen is simply ignoring those realities.

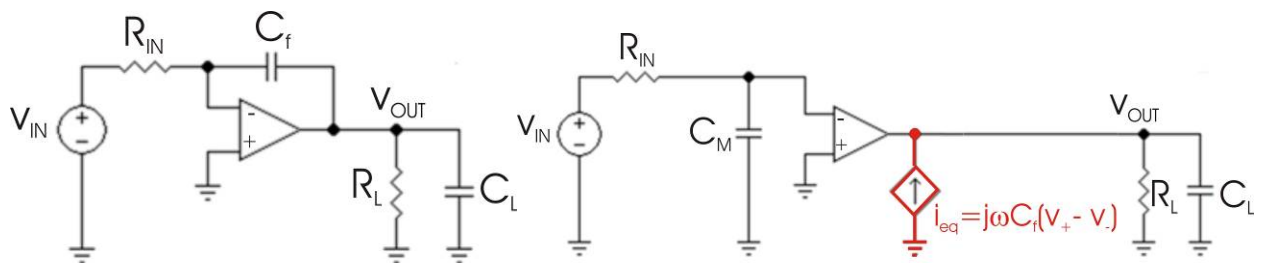
26           93.     As a corollary to this, one must keep in mind that the presence of a drawn  
27 capacitor in the feedback loop of the virtual ground charge amplifier in the '607 Patent (and in  
28 any similar technical drawing) very obviously implies that the designer has control over this

1 capacitor. In other words, to design a working, functional detector circuit, one must choose  
2 specific capacitor values (in the context of designing mutual capacitance detector circuits, one  
3 must choose this capacitance based on information about the capacitance at the nodes of the  
4 touchscreen, the known parasitic capacitance, etc.). Thus, from the perspective of the technical  
5 drawings, if an engineer *were* to use an internal capacitance coupling in feedback for the purposes  
6 of detecting a capacitance change at a mutual capacitance node, then she is following the  
7 teachings of the '607 Patent, regardless of the source of that capacitor. The drawn abstract  
8 representation of this (*i.e.* the circuit diagram) would necessarily be a drawn amplifier with a  
9 drawn capacitor in a feedback loop (as in the '607 Patent), not simply a drawn amplifier without a  
10 drawn feedback loop (as in the other references presented by Dr. Von Herzen).

11 94. In addition to this problem with Dr. Von Herzen's presentation, it is also untrue in  
12 practice that the capacitance between output and input present in a well-designed operational  
13 amplifier renders it a useful charge amplifier. To one of ordinary skill in the art, a typical  
14 operational amplifier is designed with multiple transistor stages so that this type of effect is  
15 *minimized*. The reason is straightforward (and related to the first explanation above): an  
16 operational amplifier supplier does not want the op-amp to contain elements that force a strong,  
17 unwanted feedback loop to be present within the op-amp and rendering all op amps into strong  
18 charge integrators at the frequencies of interest. The design of the feedback loop is left to the  
19 circuit designer, who adds additional components (whether in layout or around the op-amp IC) to  
20 create it. In summary, absent an engineering effort to the contrary, the small amount of  
21 capacitance between input and output does not allow for a substantial amount of feedback  
22 (certainly not to establish a virtual ground at the frequencies of interest) and a strong charge  
23 integrator effect is actively *minimized* in normal operational amplifier design.

24 95. Consequently, I disagree with and find no engineering support for Dr. Von  
25 Herzen's assertion in paragraph 75 of his Declaration that "Perski '455 inherently carries 'Miller  
26 capacitance," which is mathematically identical to a pair of capacitors to ground, providing a  
27 virtual ground to the charge amplifier. In my opinion, operational amplifier 74 of Perski '455  
28 qualifies as a 'virtual ground charge amplifier' under the plain and ordinary meaning of the term."

1           96.     The cited phenomenon of Miller capacitance provides a feedback path for the  
 2 output of the amplifier. It is true that the capacitor value can be treated as an equivalent pair of  
 3 capacitors to ground *but* to include the effect of the feedback loop we must also include a  
 4 dependent current source (see below). That is to say, a circuit which simply contains capacitors  
 5 at the input of an op-amp with no feedback loop and no Miller dependent current source drawn is  
 6 *not equivalent* to a circuit with a capacitor designed into the feedback loop. Thus, all of the  
 7 amplifiers in the cited Perski references, which only contain capacitors *at the input*, cannot be  
 8 considered virtual ground charge amplifiers simply by invocation of the Miller theorem in the  
 9 absence of any other information explaining that the amplifier was intended to be configured to  
 10 function and does function in the circuit in this way (as explained in my first point above). I  
 11 therefore disagree with Dr. Von Herzen’s conclusion that the Perski ’455 patent is a virtual  
 12 ground charge amplifier. It is clear that the authors of the Perski ’455 patent did not use any  
 13 alleged “Miller capacitance” for “detecting touches.” Thus it is irrelevant to Claim 8.



19 **Figure** (left) Circuit with a capacitor in feedback; (right) circuit highlighted required dependent  
 20 current source.

21           97.     I also disagree with his conclusion in paragraph 77 of his Declaration that it would  
 22 have been obvious to combine a virtual ground charge amplifier with the system of the Perski  
 23 ’455 patent. In particular, I disagree with his conclusions with respect to the alleged virtual  
 24 ground charge amplifier prior art (Dkt. No. 942 ¶¶ 28-57), even assuming Dr. Von Herzen were  
 25 correct in his description of that art. In my Report and in several places above, I give very  
 26 specific reasons why Perski ’455 teaches away from the virtual ground charge amplifier. In brief,  
 27 these include the differential nature of the Perski ’455 sensor lines; the use of AC signals in that  
 28

1 differential arrangement and the differential AC circuit provided in the third embodiment; and the  
2 strong evidence from subsequent patent filings by the inventors of Perski '455 that the Perski  
3 '455 system is not robust to changes in parasitics. Thus, Perski '455 neither teaches a virtual  
4 ground charge amplifier nor provides an obvious reason to include one given their design choices.

5 98. In paragraph 77 of his Declaration, Von Herzen also makes a number of  
6 misleading remarks based on quotes from Perski '455 patent intended to imply that one skilled in  
7 the art would want to include a virtual ground charge amplifier into the Perski '455 patent system.  
8 He states that “Perski '455 itself already acknowledges that there is a certain amount of noise or  
9 ‘parasitic capacitance’ associated with the overlapping conductors. Perski '455 then teaches that  
10 [i]n a preferred embodiment, the detector actually learns the amount of parasitic current transfer  
11 for each parasitic junction and subtracts this value from the sampled signals’ .... As such, the  
12 Perski '455 patent itself provides the motivation for one of ordinary skilled in the art to use the  
13 precise amplifier configuration shown in any of the Blonder reference...” (Dkt. No. 942 ¶ 77.)  
14 The Perski '455 patent teachings (and subsequent patent filings by the inventors) *actually teach*  
15 *away from this*. In the Perski '455, it is claimed that the issue of unwanted signals resulting from  
16 changes in parasitics can be dealt with via a calibration procedure which has absolutely nothing  
17 to do with a virtual ground charge amplifier and instead is a ‘mapping solution’ or procedure for  
18 dealing with the problem. (Dkt. No. 942-3, Perski '455 col. 20 ln. 54 through col. 22, ln. 53.)  
19 Thus, the original patent unequivocally teaches away from using a virtual ground charge  
20 amplifier. However, if one takes this even further, one finds – as I stated in my expert Report –  
21 that the inventors of the Perski '455 patent found this method to be insufficient, rendering the  
22 device inoperable. In Patent Application US2007/0268272A1 (attached hereto as Exhibit E is a  
23 true and correct copy of Patent Application US2007/0268272A1), they directly concede that the  
24 method for dealing with noise in the Perski '455 patent (U.S. Pat. Application No. 20040155871)  
25 was an insurmountable problem “*that cannot be compensated for with*” the Perski '455 patent  
26 system:  
27  
28

1 [0008] Incorporated U.S. Patent Application Publication  
2 No. 20040155871 additionally describes a mapping solution  
3 that may be used to compensate for the display panel steady  
4 state noise phenomenon. During an initialization procedure,  
5 magnitude and phase of the difference signals for each pair  
6 of conducting lines connected to a differential amplifier is  
7 detected and stored. Once the differential map is stored in  
8 memory, it can be used to compensate for the display panel  
9 signal steady state noise phenomenon. Noise in the signal  
10 may cause saturation when sampling the signal that cannot  
11 be compensated for with the mapping solution.

12 99. Thus, not only does the Perski '455 system, as described, likely not work in the  
13 face of parasitics (something the virtual ground charge amplifier addresses), when pressed for a  
14 solution, the inventors of Perski filed further patent applications *none of which included a virtual*  
15 *ground charge amplifier*. If it was so obvious to include a virtual ground charge amplifier, why  
16 did the inventors of the Perski '455 patent, themselves the most versed engineers in their  
17 particular system, not include the virtual ground charge amplifier?

18 100. Particularly instructive on this point is the incompatibility of the Perski '455  
19 system with the Blonder reference that Dr. Von Herzen focuses on paragraphs 45 through 51 of  
20 his Declaration. Contrary to Dr. Von Herzen's assertions, it would not have been obvious to  
21 include an amplifier of the type shown in Blonder '041 in the input device of the Perski '455  
22 patent owing both to the differences in intended purpose and the differences in the specific nature  
23 of the signals sensed by Perski and Blonder.

24 101. The Perski patent teaches detection by AC coupling. The Perski teachings are  
25 clearly built on the detection and measurement of sinusoidal signals (AC) on the sense lines.  
26 Someone skilled in the art and following these teachings would not choose the amplifier block in  
27 Blonder to perform this detection. They would choose an amplification and detection scheme  
28 which makes use of the repetitive, sinusoidal nature of the AC signal. Perski chooses sensing via  
a differential amplifier for this purpose (in the third embodiment). Note that Blonder (Dkt. No.  
942-12 at col. 9, lns. 32-35) applies a voltage pulse to the input, not an AC signal. The use of AC  
signals as the inputs and sensed outputs in the Perski patent actually teaches away from the use of  
the op-amp configuration in Blonder. Although that problem is sufficient alone for me to

1 conclude one of ordinary skill in the art would not make such a combination, there are other  
2 problems that would have caused one of ordinary skill in the art *not* to combine Blonder '041  
3 with the Perski reference. In attempting to dismiss some of these distinctions, in paragraph 49 of  
4 his Declaration, Dr. Von Herzen states that “both AC and DC signals benefit equally from the use  
5 of such a charge amplifier.” This misses the point entirely. Because the Blonder circuit is  
6 designed to detect a different signature pulse for each drive line using an active stylus, there is  
7 simply no suggestion how these elements would be rearranged into a mutually capacitive system  
8 like Perski.

9 102. For example, as shown in my earlier discussion above, Figure 3a of Blonder '041  
10 patent illustrates a tablet digitizing system incorporating an electrically active stylus connected by  
11 a wire to circuitry. The stylus is electrically connected to amplifier 30 in Figure 3a. In particular,  
12 the amplifier illustrated in Figure 3a is not connected to either the X or Y orthogonal conductive  
13 lines and thus is not “coupled to the touch panel for detecting the touches.” Instead the amplifier  
14 is connected to the stylus. In fact, the entire apparatus is intended to detect changes in the  
15 feedback capacitor (which in the Blonder '041 patent is, as described below, an electromechanical  
16 device used for detecting applied force on the stylus). This has nothing to do with and teaches  
17 away from the implementation in the '607 Patent.

18 103. Furthermore, Blonder describes a completely different and non-analogous circuit  
19 for detecting the physical movement of a spring-loaded tip in a stylus. In particular, the capacitor  
20 436 in Figure 3a is a variable capacitor corresponding to a force-variable capacitor mechanism  
21 illustrated in Blonder '041 patent Figure 4a, as discussed in my earlier explanation of Blonder.

22 104. The Blonder '041 patent discloses (Dkt. No. 942-12, col. 10, ln. 52 through col.  
23 11, ln. 7):

1 A stylus design providing for force measurement responsive to  
2 capacitance change is illustrated in FIG. 4a. In the arrangement  
3 shown, stylus tip 41 is mechanically and electrically coupled  
4 by rod 40 to moving capacitor plate 44 supported by spring 45  
5 which is, in turn, coupled in an electrically insulating manner  
6 to the stylus body 46. Bearings, not shown, help support the tip  
7 structure while allowing motion only along the axis of  
8 conductive rod 40. Plate 44 is electrically connected to the  
9 inverting input terminal of amplifier 48 while fixed plate 43 is  
10 connected to the output 49. Plates 43 and 44 form the feedback  
11 capacitor for the amplifier. Forces between the stylus body 46  
12 and the surface of tablet 47 cause plates 43 and 44 to separate  
13 and hence increase the output signal by virtue of the increased  
14 gain of the circuit. Guard ring plate 42 enhances the effect.  
15 Resistor 140 serves to provide bias current to the amplifier  
16 input and is selected to be as large as is practical. For particular  
17 apparatus, capacitance of capacitor [sic] 43-44, varied over a  
18 range of from 10 pf to 3 pf for a contacting force within the  
19 range of from 0 to 1.5 Newtons. Other capacitor geometries  
20 and spring arrangements may be used. The present design  
21 measures the component of force along the pen axis.

12 105. The Blonder '041 patent Figure 3a depicts an embodiment concerning a tablet  
13 digitizing system incorporating a wire-connected force sensing stylus incorporating a force-  
14 variable feedback capacitor 436. The design of the force sensing stylus and the force-variable  
15 capacitor are illustrated in the Blonder '041 patent, which describes the design and operation of  
16 the force sensing stylus, stating (*id.* at col. 10, lns. 52-67),

17 A stylus design providing for force measurement responsive to  
18 capacitance change is illustrated in FIG. 4a. In the arrangement  
19 shown, stylus tip 41 is mechanically and electrically coupled  
20 by rod 40 to moving capacitor plate 44 supported by spring 45  
21 which is, in turn, coupled in an electrically insulating manner  
22 to the stylus body 46. Bearings, not shown, help support the tip  
23 structure while allowing motion only along the axis of  
24 conductive rod 40. Plate 44 is electrically connected to the  
25 inverting input terminal of amplifier 48 while fixed plate 43 is  
26 connected to the output 49. Plates 43 and 44 form the feedback  
27 capacitor for the amplifier. Forces between the stylus body 46  
28 and the surface of tablet 47 cause plates 43 and 44 to separate  
and hence increase the output signal by virtue of the increased  
gain of the circuit.

106. In my opinion it would not have been obvious to include an amplifier of the type  
shown in Blonder '041 in the input device of the Perski '455 patent since the amplifier of Blonder  
'041 was designed to be used with a mechanically variable capacitor force-sensing stylus



1 connected by a wire to the amplifier. The capacitor at issue in Blonder is used for *force* sensing,  
2 not *position* sensing. No one of ordinary skill in the art at the time of the '607 Patent inventions  
3 would have thought that it was an obvious choice to use the amplifier attached to the stylus in  
4 Blonder as a sensing mechanism for the X or Y traces in a mutual capacitive touch sensor.  
5 Indeed, as is evident, the cited amplifier of Blonder '041 patent was not connected to the X or Y  
6 conductive lines as required by the claims of the '607 Patent. I conclude that any possible alleged  
7 resemblance of the amplifier 30 of Blonder '041 patent to the amplifier of the '607 Patent claims  
8 is incidental to the completely different system and purpose of the Blonder '041 patent and is not  
9 relevant to the '607 Patent, which, of course, does not describe a force sensing mechanical stylus.  
10 Nor would it have been obvious to include the amplifier of the Blonder '041 patent in the input  
11 device of the Perski '455 patent, which also does not describe a force sensing mechanical stylus.

12 107. It would not have been obvious to include an amplifier of the type shown in  
13 Blonder '041 in the input device of the Perski '455 patent owing both to the differences in  
14 intended purpose and the differences in the specific nature of the signals sensed in Perski '455  
15 and Blonder '041.

16 108. I see no teaching or suggestion in these references or in the problem being  
17 addressed that would have lead one of ordinary skill in the art to make such a combination.  
18 Moreover, I see nothing in Dr. Von Herzen's Declaration that points to any suggestion or  
19 teaching in the art or knowledge of one of ordinary skill in the art at the relevant time to make  
20 such a combination. The fact that there are so many references cited in Dr. Von Herzen's  
21 Declaration and yet none hint or even allude to such a combination is strong proof of that  
22 conclusion, and no amount of hindsight reconstruction using the '607 Patent as a reference guide  
23 can obscure that fact.

24 109. Therefore it is my opinion that neither the Blonder '041 patent nor any of the other  
25 art alone or in combination discloses the invention recited in Claim 8 of the '607 Patent. It is also  
26 my opinion that the use of such features in combination with the other claimed elements would  
27 not have been obvious to one of ordinary skill in the art based on my analysis of Perski '455  
28 patent and the other references cited by Dr. Von Herzen.

1                                   **c.       The “Other Limitations” Arguments Are New.**

2           110.   In paragraph 78-79 of his Declaration, Dr. Von Herzen refers to arguments made  
3 in his rebuttal non-infringement report from April 16, 2012. In that report, Dr. Von Herzen raised  
4 arguments regarding “near touches” and “lines.” These opinions were not in Dr. Von Herzen’s  
5 Corrected Invalidity Report from April 5, 2012. (Dkt. No. 942-16.) Dr. Von Herzen now seeks  
6 to rewrite his Invalidity Report to include these new arguments based on new claim construction  
7 asserts first raised in his later rebuttal non-infringement report.

8           111.   In paragraph 78 of his Declaration, Dr. Von Herzen provides a quote from the  
9 Perski ’455 patent that purports to show that the Perski ’455 patent describes near touches. As a  
10 preliminary matter, Dr. Von Herzen is construing the phrase “or near touches” to mean “and near  
11 touches.” This improper claim construction was first disclosed in Dr. Von Herzen’s April 16,  
12 2012 Non-Infringement Report and *not* Dr. Von Herzen’s April 5, 2012 Corrected Invalidity  
13 Report. This claim construction is clearly incorrect. Claim 1 of the ’607 Patent plainly recites a  
14 “touch panel comprising a transparent capacitive sensing medium configured to detect multiple  
15 touches *or* near touches.” (Exhibit B hereto, emphasis added.) In other words, under the plain  
16 meaning of this phrase, either near touches or actual touches is sufficient to meet the limitation. I  
17 see nothing in the specification or in the prosecution history that compels any different reading of  
18 the term “or.” It does not mean “and” in this context. (I also understand that Apple has filed a  
19 motion to strike Samsung’s non-infringement theory that it does not infringe since it does not  
20 detect multiple touches and near touches since this theory was never properly disclosed.)  
21 Notwithstanding Dr. Von Herzen’s improper claim construction, the quotation provided by Dr.  
22 Von Herzen in paragraph 78 of his declaration *describes the operation of the stylus, not finger*  
23 *touches* and nowhere does the Perski ’455 patent indicate or describe finger near touches nor is  
24 the quote provided by Dr. Von Herzen applicable to finger touches.

25           112.   Similarly, Dr. Von Herzen raises a new claim construction theory for invalidity  
26 purposes in paragraph 79. Dr. Von Herzen asserts that the claim language of claim 1 (which is  
27 incorporated into claim 8) requiring that “the capacitive monitoring circuitry is configured to  
28 detect changes in charge coupling between the first conductive lines and the second conductive

1 lines” requires “driving multiple lines simultaneously while sensing on multiple lines.” (Dkt. No.  
2 942 ¶ 79.) This is plainly incorrect as a matter of plain meaning and in light of the teachings in  
3 the ’607 Patent. First, there is nothing in the cited claim language that requires driving multiple  
4 conductive lines simultaneously while sensing multiple conductive lines simultaneously. The  
5 plain meaning of the claim words is only that the “capacitive monitoring circuitry is configured  
6 changes in charge coupling between the first conductive lines and the second conductive lines,”  
7 and it is not limited to any particular timing of how those lines are driven or sensed. As long as  
8 the capacitive monitoring circuitry operates to detect charge coupling at each of the operative  
9 junctions between the drive and sense lines, the limitation is met. Moreover, the ’607 Patent  
10 teaches driving only one drive line at a time, not multiple lines as Dr. Von Herzen’s  
11 misconstruction would require. (*See, e.g.*, Exhibit B hereto, ’607 Patent, column 5, lines 62-65  
12 (“During operation, a current is driven through one driving line at a time, and because of  
13 capacitive coupling, the current is carried through to the sensing lines at each of the nodes (e.g.,  
14 intersection points).”) Thus, under Dr. Von Herzen’s misreading of the plain claim terms, the  
15 structures disclosed in the ’607 Patent do not meet the claims. This cannot be a reasonable  
16 construction of the claim. In any event, the portion of the Perski ’455 patent to which Dr. Von  
17 Herzen cites for a method of driving and sensing multiple lines at the same time would clearly not  
18 permit any time of detection of multitouch (much less reporting of information specifying  
19 distinct locations of multiple touches). The Perski ’455 patent states, “[h]owever, this method  
20 may lead to ambiguity on those rare occasions when multiple touches occur simultaneously at  
21 specific combinations of locations, and the larger the groups the greater is the scope for  
22 ambiguity.” (Dkt. No. 942-3 at 14:52-56.) Thus, Dr. Von Herzen’s reliance on this portion of the  
23 Perski ’455 patent merely underscores that that reference would not have been capable of meeting  
24 the limitations of claim 1 (and thus Claim 8) of the ’607 Patent even under Dr. Von Herzen’s  
25 misconstruction of the claim phrase “wherein the capacitive monitoring circuitry is configured to  
26 detect changes in charge coupling between the first conductive lines and the second conductive  
27 lines” requires “driving multiple lines simultaneously while sensing on multiple lines.”  
28

1                   **2. The Smartskin Paper Does Not Invalidate Claim 8.**

2           113. In paragraphs 82-93 and 95-97 of his Declaration, Dr. Von Herzen provides  
3 supplemental comments regarding the Smartskin reference. Unlike his opinions regarding virtual  
4 ground charge amplifier, the opinions in paragraphs 82-93 and 95-97 are offered without any  
5 reason or pretext of a reason. In effect, Dr. Von Herzen has provided a reply report. I understand  
6 that this violates the expert discovery schedule established by the Court. Should the Court allow  
7 Dr. Von Herzen to present his additional opinions regarding Smartskin, I reserve the right to  
8 provide a supplemental expert report as is appropriate.

9                   **a. The Limitations of Claim 1 Are Not Obvious or Anticipated.**

10           114. As an initial matter, I note that the Smartskin paper was presented to the United  
11 States Patent and Trademark Office during prosecution of the '607 Patent and all of the claims of  
12 the issued '607 Patent were granted after the patent examiner reviewed the Smartskin paper. This  
13 is plain from the prosecution history documents and from the issued '607 Patent, which lists the  
14 Smartskin paper as the third non-patent related publication in the "Other Publications" portion of  
15 the "References Cited" section of the patent.

16           115. Smartskin does not describe "A touch panel comprising a transparent capacitive  
17 sensing medium configured to detect multiple touches or near touches that occur at a same time  
18 and at distinct locations in a plane of the touch panel and to produce distinct signals  
19 representative of a location of the touches on the plane of the touch panel for each of the multiple  
20 touches," or the "plurality of transparent first conductive lines" or the "plurality of transparent  
21 second conductive lines," as required by Claim 1 of the '607 Patent.

22           116. The Smartskin paper does not describe a "transparent capacitive sensing medium."  
23 Instead, the Smartskin paper describes two touch sensing prototypes. The first prototype is a  
24 wooden table approximately 1 meter on each side with a plywood board cover. (Dkt. No. 942-4  
25 at 2-4.) The second prototype is an opaque printed circuit board approximately 0.3 by 0.2 meters  
26 in size. (*Id.* at 4.) Neither of the prototypes in the Smartskin paper demonstrates a transparent  
27 capacitive sensing medium. The Smartskin paper identifies "Use of transparent electrodes" as a  
28 "Direction for Future Work" but does not demonstrate a "transparent" capacitive sensing

1 medium.” The author states in his concluding section entitled “Conclusion and Directions for  
2 Future Work,” that “A transparent Smartskin sensor can be obtained by using Indium-Tin Oxide  
3 (ITO) or a conductive polymer. This sensor can be mounted in front of a flat panel display or on a  
4 rear-projection screen.” (*Id.* at 7.)

5 117. Smartskin does not enable “A touch panel comprising a transparent capacitive  
6 sensing medium configured to detect multiple touches or near touches that occur a same time and  
7 at distinct locations in a plane of the touch panel and to produce distinct signals representative of  
8 a location of the touches on the plane of the touch panel for each of the multiple touches,”  
9 because modifying Smartskin's sensor to use ITO lines would have required a substantial degree  
10 of experimentation by one of ordinary skill. In particular, adapting the copper-wire system of  
11 Smartskin to use high-impedance ITO traces would have been extremely problematic.

12 118. As an illustrative example, consider that the sheet resistance of a typical 1 oz  
13 copper trace is approximately 0.45 milliohms per square area and that the sheet resistance of ITO  
14 with 90% transmissivity is approximately 50 ohms per square area. Switching from copper traces  
15 to ITO, using these typical values, would result in an increase of sheet resistance of over 100,000  
16 times. Such a large change in the electrical resistance of the sensor lines would have a  
17 detrimental impact on the performance and operability of the Smartskin sensor in many ways,  
18 *regardless* of whether the value is 100,000x worse (as in my calculation that Dr. Von Herzen  
19 criticizes) or 1,000x worse (as per the calculation now provided by Dr. Von Herzen in paragraph  
20 88 of Dkt. No. 942).

21 119. For example, Smartskin uses a phase-locking amplifier to isolate and detect AC  
22 “wave signals.” (*See, e.g.*, Dkt. No. 942- 4, Smartskin, page 2, paragraph 2, and Figure 2.)  
23 Changing from copper transmission lines to ITO would dramatically increase the resistance of the  
24 lines and, as admitted by Dr. Von Herzen himself, slow down the response of the device. This  
25 slow down would introduce a phase shift between the “signal from the electrode” and the  
26 “reference signal” common to all the receiver blocks (*Id.* at Figure 2). This is a crucial point as  
27 Smartskin requires a common sinusoidal reference signal arriving at each of the row detectors  
28 against which the detector compares the measured signal. Dr. Von Herzen himself arrives at the

1 conclusion that the transition to ITO could introduce a 1  $\mu$ s time constant into the ITO lines.  
2 (Dkt. No. 942 ¶ 88.) Regardless of what slowdown this would produce in the sense line response,  
3 this would introduce cascading phase shifts from row to row in the reference signal; consider that  
4 the total refresh time (the time required to read the entire touchscreen) of a typical tablet  
5 multitouch touchscreen is usually < 10 – 15 milliseconds. If this reference signal differs from row  
6 to row by a phase shift the detection scheme will not operate as described. Dr. Von Herzen seems  
7 to miss this point when he states that Smartskin “actually uses phase shifts to achieve its  
8 objectives.” (Dkt. No. 942 ¶ 89.) Smartskin uses phase shifts between the reference signal and  
9 the sensed signal. Smartskin does *not* contemplate having a reference signal with different phase  
10 shifts for different sense lines and, thus, the Smartskin lock-in amplifier detection circuit  
11 described in the Smartskin paper would be rendered inoperable.

12 120. The additional resistance due to the change from copper to ITO would also  
13 increase the external noise sensitivity of the Smartskin sensor. In fact, Smartskin recognizes the  
14 existence of external noise sources and proposes the lock-in amplifier as a solution. (Dkt. No.  
15 942-4, Smartskin paper at page 2, column 2.) However, for at least the problems noted with  
16 phase shifting discussed above, the change from copper to ITO may have also rendered the lock-  
17 in amplifier circuit, and Smartskin's noise solution, inoperable.

18 121. The point is that modifying the Smartskin technology to create a transparent  
19 touchscreen like that taught by the '607 Patent would have required significant experimentation  
20 by one of ordinary skill to arrive at a solution and there would have been no predictable way of  
21 knowing whether such a person would have arrived at a workable solution. Indeed, one of  
22 ordinary skill in the art facing this cascade of technical problems would have been discouraged  
23 from pursuing a solution like the virtual ground charge amplifier at all.

24 122. In summary, the Smartskin patent does not anticipate or render obvious the  
25 transparent, capacitive sensing medium or transparent conductive line limitations of Claim 1 of  
26 the '607 Patent. It uses sensing circuitry completely unrelated to the virtual ground charge  
27 amplifier, instead relying on phase locking between an AC signal on the sense line (whose phase  
28 is shifted by the change in charge coupling caused by a touch) and a reference AC signal. These

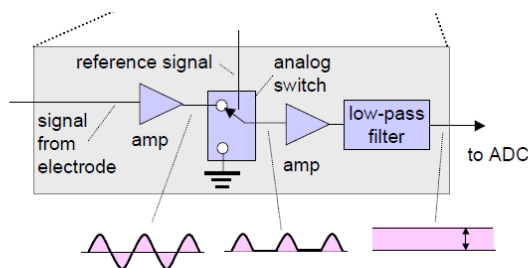
1 circuits and the specific design choices they entail teach away from the virtual ground charge  
2 amplifier described in the '607 Patent. Smartskin does not describe any transparent or translucent  
3 devices; all devices described are large, opaque and rely on copper wires or traces. Despite a  
4 brief mention of the possibility of converting these systems to transparent, ITO systems, it teaches  
5 nothing about how to do this and, moreover, it is my opinion that doing so would have required  
6 undo experimentation due to the electrical differences arising from the use of ITO versus copper.

7 **b. Claim 8 Is Not Obvious or Anticipated.**

8 123. I disagree with Dr. Von Herzen's opinion in paragraph 94 of his Declaration that  
9 one of ordinary skill in the art would have been motivated to include a charge amplifier into  
10 Smartskin's detector.

11 124. The Smartskin reference does not describe, teach or suggest "The touch panel as  
12 recited in claim 7, further comprising a virtual ground charge amplifier coupled to the touch panel  
13 for detecting the touches on the touch panel," as required by Claim 8 of the '607 Patent.

14 125. In Smartskin, the circuitry used is described on page 2 of that paper: "To  
15 accurately measure signals only from the transmitter electrode, a technique called 'lock-in  
16 amplifier' is used. This technique uses an analogue switch as a phase-sensitive detector. The  
17 transmitter signal is used as a reference signal for switching this analog switch, to enable the  
18 system to select signals that have the synchronized frequency and the phase of the transmitted  
19 signal." (Dkt. No. 942-4.) The figure provided in the Smartskin paper, as reproduced below,  
20 does not even superficially resemble a virtual ground charge amplifier (nor does it function in  
21 even remotely the same way).



22  
23  
24  
25  
26  
27 **Figure 2: The SmartSkin sensor configuration: A mesh-**  
28 **shaped sensor grid is used to determine the hand's posi-**  
**tion and shape.**

1           126. Fundamental topology differences exist that are obvious even to the untrained eye;  
2 for example, the Smartskin circuit requires a global reference signal against which to compare the  
3 sensed signal, virtual ground charge amplifier does not; the Smartskin circuit requires an AC  
4 input signal, the '607 Patent does not.

5           127. In his Declaration, Dr. Von Herzen refers to Smartskin's use of a "lock-in  
6 amplifier." (Dkt. No. 942 ¶ 89.) However, he does not attempt to argue that this amplifier  
7 constitutes a virtual ground charge amplifier. (*Id.* at ¶¶ 89, 94.) Nor can he. Page 2 of the  
8 Smartskin paper states: "To accurately measure signals only from the transmitter electrode, a  
9 technique called 'lock-in amplifier' is used. This technique uses an analogue switch as a phase-  
10 sensitive detector. The transmitter signal is used as a reference signal for switching this analog  
11 switch, to enable the system to select signals that have the synchronized frequency and the phase  
12 of the transmitted signal." This says nothing about a virtual ground charge amplifier. As more  
13 fully explained above, even fundamental topology differences exist in the diagram that are  
14 obvious to the untrained eye; for example, the virtual ground charge amplifier does not require  
15 any reference signal against which to compare the sensed signal. For the reasons discussed above  
16 with respect to the Perski reference, Dr. Von Herzen is wrong to conclude that any amplifier, such  
17 as a lock-in amplifier can be called a virtual ground charge amplifier. They are different circuits  
18 that perform different functions for very different reasons. Dr. Von Herzen simply ignores these  
19 facts. The Smartskin reference alone or in combination with any of the other cited references  
20 does not anticipate or render obvious the claimed invention of Claim 8 of the '607 Patent for the  
21 same reasons outlined above.

22           128. Therefore it is my opinion that the Smartskin paper and the other cited references  
23 in combination with the Smartskin paper do not alone or in combination not disclose "a virtual  
24 ground charge amplifier coupled to the touch panel for detecting the touches on the touch panel,"  
25 as claimed in Claim 8 of the '607 Patent.





1           132. As a preliminary matter, Dr. Von Herzen is construing the phrase “or near  
2 touches” to mean “and near touches.” As noted above in my discussion of the Perski ’455 patent,  
3 this construction is contrary to the plain meaning of the claim terms and not supported by the  
4 specification or prosecution history of the ’607 Patent (and, I understand, is subject to an  
5 objection by Apple).

6           133. Similarly, as noted above in my discussion of the Perski ’455 patent, Dr. Von  
7 Herzen now raises a new claim construction for invalidity purposes in paragraph 96 regarding the  
8 “wherein” clause of claim 1 (incorporated into claim 8 of the ’607 Patent). As noted above, I  
9 believe Dr. Von Herzen’s construction is contrary to the plain meaning of the claim and to the  
10 disclosure in the specification of the ’607 Patent. Even if one were to accept that language of  
11 claim 1 (incorporated into claim 8) requires “driving more than one line at the same time while  
12 sensing more than one line” (Dkt. No. 942 ¶ 96), Figure 2 of Smartskin plainly depicts that the  
13 input transmitting signal is switched between the vertical transmitting electrodes. Thus, it is clear  
14 that only one vertical electrode receives a transmitted signal at a time. In the same way, it is clear  
15 that the analog to digital converter reads signals from the receivers one at a time. Consequently,  
16 the text in conjunction with Figure 2 clearly shows that the signal is *not* “transmitted to a subset  
17 of all of the electrodes in one axis while all the electrodes on the other axis are sensed.” (Dkt. No.  
18 942 ¶ 96.) Dr. Von Herzen is incorrect in his description of the Smartskin paper on this point.

19 **VII. SECONDARY CONSIDERATIONS OF NON-OBVIOUSNESS.**

20           134. I note that there are significant “secondary considerations” of non-obviousness  
21 with respect to the claimed invention including, among other things: (1) commercial success of  
22 the claimed invention; (2) praise or industry acclamation for the claimed invention, (3) initial  
23 expressions of disbelief or skepticism by experts in the field (4) copying, and (5) failure of others.

24 **A. Commercial Success of Apple’s Invention.**

25           135. I have spoken to the named-inventors of the ’607 Patent claims who were working  
26 on designs for Apple’s products when they conceived of their invention and I have examined the  
27 various Apple iPhone products sold in the U.S. and the iPad and iPad 2 products sold in the U.S.  
28 and reviewed documents relating to their operation. Attached as Exhibit G hereto are true and

1 correct copies of APLNDCA0000153862-870; APLNDCA0001278440-48; APL-ITC796-  
2 0000402782-2834; APL-ITC796-0000403337-378; APL-ITC0000405165-5208; APL-ITC796-  
3 0000405693-5736; APL-ITC796-0000406250-278. I have concluded that Apple’s products  
4 embody Claims 8 (including limitations of Claims 1 and 7 which are incorporated into Claim 8)  
5 of the ’607 Patent.

6 136. The Preamble of Claim 1 states, “[a] touch panel comprising a transparent  
7 capacitive sensing medium configured to detect multiple touches or near touches that occur at a  
8 same time and at distinct locations in a plane of the touch panel and to produce distinct signals  
9 representative of a location of the touches on the plane of the touch panel for each of the multiple  
10 touches, wherein the transparent capacitive sensing medium comprises.” I conclude that the  
11 Apple iPhones and the Apple iPad and iPad 2 products meet this limitation because they include a  
12 “touch panel” that includes “a transparent capacitive sensing medium” of mutual-capacitive drive  
13 and sense electrodes that are “configured to detect multiple touches or near touches that occur at a  
14 same time and at distinct locations in a plane of the touch panel,” and “produce distinct signals  
15 representative of a location of the touches on the plane of the touch panel for each of the multiple  
16 touches.” The text of the remainder of this claim describes first and second conductive lines  
17 running transversely and from which can be detected “charge coupling between” the lines. In  
18 conjunction with that claim language, the requirement of detecting “multiple touches or near  
19 touches that occur at a same time” and to produce distinct signals representative of a location of  
20 the touches on the plane of the touch panel,” means that the claimed “touch panel” (and the Apple  
21 products embodying claimed “touch panel”) can detect and locate multiple touches even when the  
22 touches are along a single sense line, and can smoothly track the motion of multiple fingers. As  
23 is evident from their smooth and accurate identification of multiple fingers in multiple-finger  
24 gestures, all of the Apple products I examined do this.

25 137. Claim 1 also requires, “a first layer having a plurality of transparent first  
26 conductive lines that are electrically isolated from one another.” I conclude that the Apple  
27 iPhones and the iPad and iPad 2 products meet this limitation because they have “a first layer  
28 having a plurality of transparent first conductive lines that are electrically isolated from one

1 another.” The first electrode layer contains lines that are made of a transparent conductive  
2 material (Indium Tin Oxide or “ITO”) and are electrically isolated from each other via etch gaps.

3 138. Claim 1 also requires, “a second layer spatially separated from the first layer and  
4 having a plurality of transparent second conductive lines that are electrically isolated from one  
5 another, the second conductive lines being positioned transverse to the first conductive lines, the  
6 intersection of transverse lines being positioned at different locations in the plane of the touch  
7 panel, each of the second conductive lines being operatively coupled to capacitive monitoring  
8 circuitry.” I conclude that the Apple iPhones and the iPad and iPad 2 products meet this  
9 limitation because they have “a second layer spatially separated from the first layer and having a  
10 plurality of transparent second conductive lines that are electrically isolated from one another, the  
11 second conductive lines being positioned transverse to the first conductive lines, the intersection  
12 of transverse lines being positioned at different locations in the plane of the touch panel, each of  
13 the second conductive lines being operatively coupled to capacitive monitoring circuitry.” Each  
14 of the Apple products I examined included a second layer of ITO separated spatially from the first  
15 layer of ITO and the second layer are conductive lines that are electrically isolated from one  
16 another and positioned to run transversely to the first layer of ITO conductive lines and that are  
17 coupled to sensing circuitry to monitor capacitive coupling of, for example, a finger on the touch  
18 sensor. The required monitoring circuitry I discuss in the next claim limitation below.

19 139. Claim 1 also states, “wherein the capacitive monitoring circuitry is configured to  
20 detect changes in charge coupling between the first conductive lines and the second conductive  
21 lines.” I conclude that the Apple iPhones and the iPad and iPad 2 products meet this limitation  
22 because “the capacitive monitoring circuitry is configured to detect changes in charge coupling  
23 between the first conductive lines and the second conductive lines.” Indeed, all of the Apple  
24 iPhones and iPad and iPad 2 products I examined operate on the principle of mutual capacitance  
25 in which the sense circuits detect charge coupling between the sense and drive lines.

1           140. Claim 7 requires, “[t]he touch panel as recited in claim 1, wherein the capacitive  
2 sensing medium is a mutual capacitance sensing medium.” I conclude that the Apple iPhones  
3 and the iPad and iPad 2 products meet this limitation because “the capacitive sensing medium” in  
4 each “is a mutual capacitance sensing medium.” All of the Apple products I examined use  
5 mutual capacitance as the sensing method.

6           141. Claim 8 requires, “[t]he touch panel as recited in claim 7, further comprising a  
7 virtual ground charge amplifier coupled to the touch panel for detecting the touches on the touch  
8 panel.” I conclude that the Apple iPhones and the iPad and iPad 2 products meet this limitation  
9 because “touch panel” in each “includes a virtual ground charge amplifier coupled to the touch  
10 panel for detecting the touches on the touch panel.” I have confirmed this by examining the  
11 circuits in the Apple products.

12           142. I understand that Terry L. Musika has testified that the claimed inventions of the  
13 ’607 Patent, including the limitations of Claim 8, were commercially successful.

14           143. As noted previously in my March 22, 2012 Report, I do not believe that Samsung  
15 had available to it at the time it began its infringing activity any workable solution for competitive  
16 products in the marketplace that did not include the claimed inventions of the ’607 Patent.

17           **B. Industry Praise and Disbelief in the Industry Concerning Apple’s Invention.**

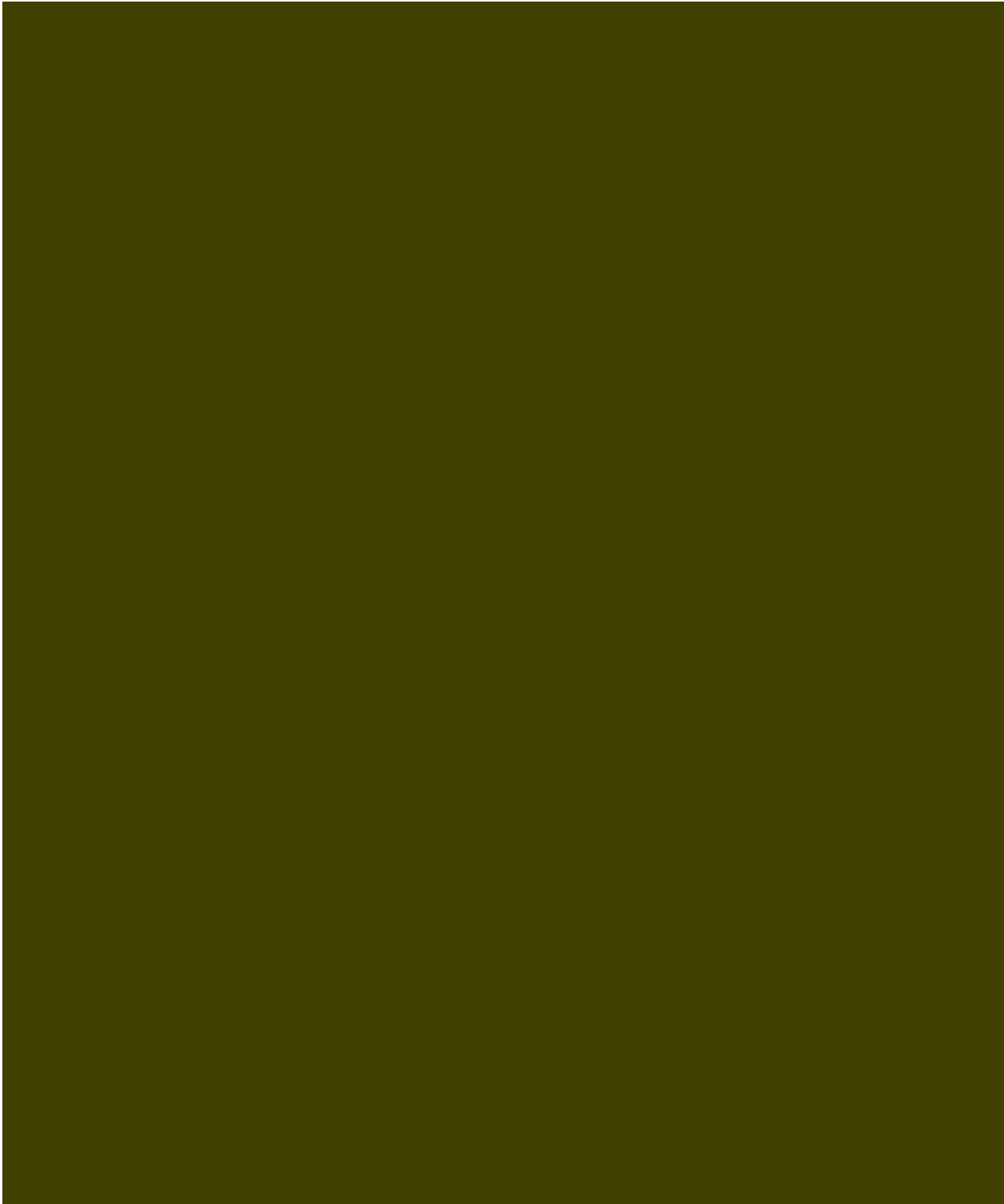
18           144. I also believe that there has been undisputed praise or industry acclamation for  
19 Apple’s touch sensor devices, particularly the implementation of accurate and reliable  
20 identification and tracking of multiple finger touch events on the surface of the Apple iPhone,  
21 iPod Touch, and iPad products.<sup>1</sup> Those aspects of the Apple products would not have been  
22 practically achievable without the invention of the ’607 Patent.

23  
24  
25 <sup>1</sup> Graham Smith, “Apple iPad named Gadget Of The Year at Prestigious T3 Awards,”  
26 <http://www.dailymail.co.uk/sciencetech/article-1319777/Apple-iPad-named-Gadget-Of-The-Year-prestigious-T3-Awards.html>; IDSA, “2008 IDEA Best in Show,” <http://www.idsa.org/content/content1/apple-iphone>; Lev  
27 Grossman, “Invention of the Year: The iPhone,” Time, Nov. 1, 2007,  
28 [http://www.time.com/time/specials/2007/article/0,28804,1677329\\_1678542\\_1677891,00.html](http://www.time.com/time/specials/2007/article/0,28804,1677329_1678542_1677891,00.html); Engadget, “Ten  
Gadgets that Defined the Decade,” Dec. 30, 2009, <http://www.engadget.com/2009/12/30/ten-gadgets-that-defined-the-decade/>; and Tom Krazit, “Apple’s iPhone Wins Second J.D. Power Award,” April 30, 2009,  
[http://news.cnet.com/8301-13579\\_3-10231135-37.html](http://news.cnet.com/8301-13579_3-10231135-37.html).

1           145. I also believe that there was significant disbelief in the industry that a true  
2 multitouch implementation of a sleek transparent touch screen as could be implemented in a  
3 hand-held device was achievable. That fact is underscored by the many efforts to create such  
4 touch screen exemplified by the references that Dr. Von Herzen has cited in his Declaration. It is  
5 clear that many hundreds if not thousands of engineers had been working on this problem for  
6 perhaps 20 years before the engineers at Apple solved it. Those many years of effort all led to  
7 complete failure by others to find the precise solutions that Apple's engineers invented. It is  
8 evident that prior to Apple's introduction of the fundamental technology found in the Apple  
9 iPhone, iPod Touch, and iPad products, no other company in the world had achieved that success.

10  
11  
12  
13  
14           147. The overwhelming success of the Apple iPhone subsequent to its entry into the  
15 market in 2007 profoundly changed customer expectations of the features and interfaces expected  
16 in smartphones and, by extension, forced many technology manufacturers to adjust their strategic  
17 plans and tech development

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28



150. Beginning around December 2008, rumors abounded of a large format Apple offering with a touchscreen (*i.e.* a tablet computer) similar to an iPhone, but larger. These rumors persisted and grew through early 2009. <http://techcrunch.com/tag/ipad/page/57/>, <http://techcrunch.com/tag/ipad/page/56/>, <http://techcrunch.com/tag/ipad/page/55/>, <http://techcrunch.com/tag/ipad/page/54/>, (accessed March, 13 2012).

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28





1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28



158. The evidence above speaks powerfully to the nonobviousness of the inventions of the '607 Patent. In short, if the inventions of these patents were as obvious and trivial as Dr. Von Herzen claims, why did no one in the world, despite the efforts of thousands of engineers and decades of work, arrive on the precise solutions set forth in the '607 Patents, and why would Samsung, a vast global engineering company with billions of dollars of resources, decide to drop all of its prior designs and copy Apple's designs? I conclude that the answer to those questions is that the Apple inventions were not trivial or obvious.

1 I declare under penalty of perjury under the laws of the United States of America that the  
2 foregoing is true and correct and that this Declaration was executed this 30th day of May, 2012, at  
3 Berkeley, California.

4  
5 Dated: May 30, 2012

\_\_\_\_\_  
/s/ Michel Maharbiz  
Michel Maharbiz