

KANG DECLARATION EXHIBIT 3

EXHIBIT 22
PUBLIC REDACTED
VERSION

1 UNITED STATES DISTRICT COURT
2 NORTHERN DISTRICT OF CALIFORNIA
3 SAN JOSE DIVISION

4 APPLE INC., a California corporation,

5 Plaintiff,

6 vs.

Civil Action No. 11-CV-01846-LHK

7 SAMSUNG ELECTRONICS CO., LTD., a
8 Korean business entity, SAMSUNG
9 ELECTRONICS AMERICA, INC., a New
10 York corporation, and SAMSUNG
11 TELECOMMUNICATIONS AMERICA,
12 LLC, a Delaware limited liability company,

13 Defendants.

14 SAMSUNG ELECTRONICS CO., LTD., a
15 Korean business entity, SAMSUNG
16 ELECTRONICS AMERICA, INC., a New
17 York corporation, and SAMSUNG
18 TELECOMMUNICATIONS AMERICA,
19 LLC, a Delaware limited liability company,

20 Counterclaim-Plaintiffs,

21 v.

22 APPLE INC., a California corporation,

23 Counterclaim-Defendant.

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Expert Report of Mani Srivastava, Ph.D.
Regarding Invalidity of the Asserted Claim of U.S. Patent No. 7,577,460

1 [REDACTED]
2 [REDACTED]
3 [REDACTED]
4 [REDACTED]
5 [REDACTED]
6 [REDACTED]
7 [REDACTED]
8 [REDACTED]
9 [REDACTED]
10 [REDACTED]

11 332. For at least these reasons, Samsung's alleged secondary considerations do not
12 affect my conclusion that the claims of this patent are obvious in light of the prior art I have
13 discussed in detail above.

14 **XII. The Asserted Claim of the '460 patent is Indefinite**

15 333. Independent claim 1 recites, among other things, "entering a first E-mail
16 transmission sub-mode upon user request for E-mail transmission while operating in a portable
17 phone mode, the first e-mail transmission sub-mode performing a portable phone function,"
18 "entering a second E-mail transmission sub-mode upon user request for E-mail transmission
19 while operating in a display sub-mode, the second e-mail transmission sub-mode displaying an
20 image most recently captured in a camera mode," "transmitting the address of the other party and
21 a message received through a user interface in the first E-mail transmission sub-mode," and
22 "transmitting the address of the other party and the message received through the user interface
23 and the image displayed on the display as an E-mail in the second E-mail transmission sub-
24 mode."

25 334. It is my opinion that claim 1 of the '460 patent is invalid as indefinite. The
26 language of the claim whether read in isolation or together with the Specification fails to clarify
27 for one of ordinary skill in the art whether the claimed process (i) requires a single process for
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1 sending an E-mail with a user following one sequence of steps to send the E-mail if the E-mail
2 had an image attached, and a different sequence of steps if the E-mail did not have an image
3 attachment, or (ii) requires two separate processes for sending E-mails, one with an attached
4 image and another without an attached image.

5 335. Furthermore, the claim is indefinite about whether the first E-mail transmission
6 sub-mode transmits the “address of the other party and a message received through a user
7 interface” in an E-mail to a recipient or to the second E-mail transmission sub-mode. Unlike the
8 last limitation, the penultimate limitation does not explicitly recite that the address and the
9 message are transmitted “as an E-mail.” The indefiniteness is amplified because it is not clear
10 whether “the user interface” recited in the last limitation is referring to “a user interface” recited
11 in the penultimate limitation or to some other user interface.

12 336. In my opinion for the above reasons claim 1 is insolubly ambiguous and not
13 capable of interpretation by one of ordinary skill in the art. Accordingly, it is my opinion that
14 independent claim 1 is invalid under section 112, second paragraph because the claims do not
15 apprise a person of ordinary skill in the art of their scope.

16 **XIII. The Asserted Claim of the ‘460 patent Lacks Support in the Written Description**

17 **A. Lack of support for First and Second E-mail Transmission Sub-modes**

18 337. In my opinion Claim 1 of the ‘460 patent is invalid for failing to comply with the
19 written description requirement of 35 U.S.C. § 112, ¶ 1, because the ‘460 patent fails to teach in
20 the Specification the “first E-mail transmission sub-mode” and the “second E-mail transmission
21 sub-mode” recited in claim 1 of the ‘460 patent. The patent description does not show one of
22 ordinary skill in the art that the inventors possessed the invention they claimed.

23 338. The Specification does not describe a “first E-mail transmission sub-mode” and
24 “second E-mail transmission sub-mode.” The Specification only describes one “E-mail
25 transmission sub-mode.” The Specification states that “[u]pon request for E-mail transmission in
26 the portable phone mode in step 608, the portable phone controller 32 enters *an* E-mail
27 transmission sub-mode in step 610.” *See* Col. 9, ll. 42–44 & Figure 6 (emphasis added). The
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1 Specification continues, in the context of discussing the play mode, that “[u]pon user request for
2 *the* E-mail transmission, the portable controller 32 returns to *the* E-mail transmission sub-mode
3 in step 610.” *See* Col. 11, ll. 4–12 & Figure 8 (emphasis added). Furthermore, Figures 6 and 8,
4 which illustrate the portable phone mode and the play mode, use the same reference number, E-
5 mail transmission sub-mode 610, to refer to the single E-mail transmission sub-mode. The
6 figures and text of the specification do not identify any other E-mail transmission sub-mode.
7 Therefore, one skilled in the art would understand that the inventors did not possess a device with
8 more than one E-mail transmission submodes.

9 339. Further, the claim, if interpreted as proposed by Samsung in its infringement
10 contentions, requires that two email messages are simultaneously composed and sent from a
11 “first E-mail transmission sub-mode” and a “second E-mail transmission sub-mode.” In contrast,
12 the patent only describes sending one email message, either having (1) a To address and message
13 body, or (2) a To address, message body, and image attachment. In describing the E-mail
14 transmission sub-mode, the specification discloses two alternate branches whereby one email
15 message is sent from either branch but not from both. The specification discloses:

16 “If the E-mail transmission sub-mode is selected in the play sub-
17 mode of the camera mode, this implies that image data to be
18 enclosed in *the* E-mail exists However, if only the E-mail
transmission sub-mode is selected in the portable phone mode, this
implies that no image data enclosed in *the* E-mail exists.

19 In the presence of a still image to be enclosed in the E-mail in step
20 914, the portable phone controller 32 transmits the received
21 message (title and contents) and the enclosed still image to the E-
22 mail server 510 in packets, while displaying a message indicating
23 E-mail transmission on the color LCD 48, in step 916. In the
absence of a still image to be enclosed in the E-mail in step 914,
the portable phone controller 32 transmits the received message
(title and contents) to the E-mail server 510 in packets, while
displaying the message indicating E-mail transmission on the color
LCD 48 in step 918.” *See* Col. 12, ll. 30–51 & Figure 9.

24 340. Figure 9, which illustrates the E-mail transmission sub-mode, shows that the
25 method proceeds along step 916 in the presence of a still image, and alternatively, proceeds
26 along step 918 in the absence of a still image. That is, the portable phone controller 32 transmits
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1 one email message, not two email messages, to the E-mail server 510, depending on the presence
2 or absence of a still image.

3 **B. Conclusion**

4 341. Thus, it is my opinion that the claim 1 of the '460 patent is invalid for lack of
5 written description.

6 **XIV. Trial Exhibits**

7 342. If called as a witness at trial, I may rely on visual aids and demonstrative exhibits
8 that demonstrate the bases of my opinions. Examples of these visual aids and demonstrative
9 exhibits may include, for example, claim charts, patent drawings, excerpts from patent
10 specifications, file histories, interrogatory responses, deposition testimony and deposition
11 exhibits, as well as charts, diagrams, videos and animated or computer-generated video.

12 343. Other than as referred to in this report, I have not yet prepared any exhibits for use
13 at trial as a summary or support for the opinions expressed in this report, but I expect to do so in
14 accordance with the Court's scheduling orders.

15 **XV. Compensation**

16 344. I am compensated for my time at the rate of \$425 for each hour of service that I
17 provide in connection with this case. That compensation is not contingent upon my
18 performance, the outcome of the case, or any issues involved in or related to this case.


19 **XVI. Previous Testimony**

20 345. I have not provided testimony as an expert witness before.

21 **XVII. Supplementation of Opinions**

22 346. I reserve the right to adjust or supplement my analysis in light of any critique of
23 or comments on my report or alternative opinions advanced by or on behalf of Samsung.

24 Dated: March 22, 2012

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26 Mani Srivastava, Ph.D.
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