## **EXHIBIT 3 FILED UNDER SEAL**

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Page 1
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                 UNITED STATES DISTRICT COURT
               NORTHERN DISTRICT OF CALIFORNIA
3
                      SAN JOSE DIVISION
4
    APPLE INC., a California
    corporation,
6
                 Plaintiff,
7
                                  CASE NO. 11-cv-01846-LHK
    VS.
8
    SAMSUNG ELECTRONICS CO.,
    LTD., a Korean business
    entity; SAMSUNG ELECTRONICS
10
    AMERICA, INC., a New York
    corporation; SAMSUNG
11
    TELECOMMUNICATIONS AMERICA,
    LLC, a Delaware limited
12
    liability company,
13
                 Defendants.
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16
             HIGHLY CONFIDENTIAL
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             OUTSIDE COUNSEL ONLY
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19
          VIDEOTAPED DEPOSITION OF CHRISTOPHER STRINGER
20
                REDWOOD SHORES, CALIFORNIA
21
                  FRIDAY, NOVEMBER 4, 2011
22
23
    BY: ANDREA M. IGNACIO HOWARD, CSR, RPR, CCRR, CLR
24
    CSR LICENSE NO. 9830
25
    TSG JOB NO. 43706
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- <sup>1</sup> A Yes.
- Q -- that you see there, had you seen tablet
- 3 computer models there at Apple back in the 2004 time
- 4 period that had that kind of broader gap in that
- 5 general location?
- A I do not recall seeing such gaps on models
- <sup>7</sup> for tablet projects.
- <sup>8</sup> Q Do you have any understanding or information
- as to why there is what we're calling that broader
- $^{10}$  gap?
- A I do not have a recollection of this design,
- so I do not know the purpose of that broader gap.
- Q And you'll see, if you look in the opening of
- the larger gap -- and you'll have to look at it at
- various angles, but you can see that there appears to
- be some kind of detail on the interior there?
- $^{17}$  A I see that.
- Q Do you know what that is?
- 19 A It's a very tight-ribbed component -- or are
- they holes? I can't tell.
- Q Do you know if that -- that ribbed component
- or those holes were intended -- because obviously,
- we're talking about a nonworking model -- to -- to be
- vent holes or whether they were purely ornamental or
- something else?

- A I don't know.
- Q Direct your attention back to the '889 design
- $^{3}$  patent.
- $^4$  A Yes.
- Do you have any knowledge or information as
- to whether or not photographs of that physical mockup
- that you have in front of you, the 035 mockup, were
- 8 submitted to the patent office as part of the
- 9 application and prosecution process for the
- 10 '889 design patent?
- 11 A In my preparations for today, we looked at
- copies of photographs of this object that I understand
- are attached to this patent.
- Q And so if I understand you correctly, it's
- your understanding that the photographs that were
- submitted to the patent office as part of the
- 17 '889 design patent depict the three-dimensional mockup
- that you have in front of you that we call the 035?
- A It is my understanding, and my recollection
- of yesterday's discussion, that the photographs that I
- saw related to this model and this patent.
- Q Right.
- And I guess I'm trying to now figure out
- what -- what photographs we're talking about so that
- we're on the same page. So let me -- let me provide

Highly Confidential - Outside Counsel's Eyes Only Page 96 some, and maybe that will help. 2 What's the next number? 3 THE REPORTER: 1171. MR. ZELLER: 1171? THE REPORTER: Yes. MR. ZELLER: Please mark as Exhibit 1171 7 excerpts from the prosecution history of the 504,889 design patent. (Document marked Exhibit 1171 10 for identification.) 11 THE WITNESS: Thank you. 12 MR. ZELLER: So you have both sets in front 13 of you at the same time, let's also please mark as Exhibit 1172 -- I'm sorry. Actually, we marked this 15 before. What's this exhibit number? I think it's 16 841. 17 MR. JACOBS: He has the better photos. 18 has the better photos. 19 MR. ZELLER: Don't worry. I gave you the 20

- other set, too. I'm not trying to be totally unfair
- 21 here.
- 22 So I'm going to show you what I am fairly
- 23 confident was previously marked as Exhibit 841. We'll
- 24 in the interim confirm that that is the exhibit
- 25 number, but I believe I have it memorized now.

- MR. JACOBS: Just put the Bates range into
- 2 the record.
- MR. ZELLER: Yeah. And this, for the record,
- $^4$  is APLPROS000018778 through '18798.
- MR. JACOBS: Mr. Stringer, as you're
- discussing the meeting we had yesterday, if you can
- describe what you did, as opposed to any particular
- 8 communications that you and I had on the subject, that
- 9 would avoid the need for me to instruct you each time
- Mr. Zeller asks you a question.
- THE WITNESS: Sorry. Say that again, please.
- MR. JACOBS: If you can describe what you did
- at the meeting by way of comparison, rather than what
- $^{14}$  I -- what -- what your and my discussion was on the
- 15 topic.
- THE WITNESS: What I did yesterday?
- MR. JACOBS: Yes.
- THE WITNESS: In comparing these --
- MR. JACOBS: As Mr. Zeller is asking you
- <sup>20</sup> questions --
- THE WITNESS: Okay. Oh.
- MR. JACOBS: If you can describe what you did
- rather than our discussion --
- THE WITNESS: Got it.
- MR. JACOBS: -- then I don't have to engage

- in privilege discussions each time.
- THE WITNESS: Right.
- MR. ZELLER: Just generally speaking, avoid
- disclosing the substance of the communications you had
- <sup>5</sup> with your counsel.
- THE WITNESS: Got it.
- MR. ZELLER: I put in front of you two
- $^{8}$  exhibits. One is Exhibit 1171, and another one is
- 9 Exhibit 841.
- Q Are -- are either of these photographs --
- <sup>11</sup> A Oh, 841. Okay.
- 12 Q Yes.
- Or do they include photographs that you're
- referring to?
- A So document 1171 is of no material value, on
- account of it appearing to be largely blank, with the
- exception of some very poor-quality shadowy images.
- $^{18}$  So I would prefer to not refer to that in any way or
- 19 form.
- Document 841 for the most part resembles
- $^{21}$  photographs that I reviewed yesterday, but there
- 22 appear differences that may be immaterial to the
- <sup>23</sup> questioning.
- Q Focusing on the photographs that are marked
- here as Exhibit 841, is the three-dimensional mockup

- $^{
  m 1}$  that's depicted in the photographs that we marked as
- 2 Exhibit 841, where the -- where the mockup is shown,
- the same physical mockup that you have in front of you
- 4 that we call the 035 mockup?
- <sup>5</sup> A I believe you're asking me, are these
- 6 photographs of the subject? And it is my belief that
- <sup>7</sup> these are photographs of the object.
- $^{8}$  Q And so the record is clear, when you say --
- <sup>9</sup> A Oh.
- Q -- "the photographs," you're referring to the
- photographs that are depicted in 841, and then the
- object that you're pointing to and referring to is
- $^{13}$  what we call the 035 model?
- $^{14}$  A Yes.
- MR. JACOBS: If we could just ask you,
- Mr. Zeller, is this -- these -- this writing on this,
- is this in the '841 that you -- that is in the record?
- <sup>18</sup> I'm looking at '18792.
- MR. ZELLER: This is exactly how it was
- produced to us.
- MR. JACOBS: Oh, with these lines on it?
- MR. ZELLER: Right.
- THE WITNESS: I recall those lines yesterday.
- MR. JACOBS: Okay.
- MR. ZELLER: All right.

- 1 Q And setting aside the various lines and
- drawings that appear to be on these photographs, you
- understood I was asking you about the object that's
- depicted in the actual photographs; is that correct?
- <sup>5</sup> A Yes.
- 6 O And without disclosing the substance of what
- you discussed with your counsel, did -- did you
- 8 satisfy yourself that the 035 mockup is, in fact,
- 9 what's in the -- the photographs that we've marked as
- <sup>10</sup> Exhibit 841?
- 11 A I am convinced from studying both the object
- 12 035 and the Document 841 that these are one and the
- same object.
- Q And that comparison that you did led you to
- be satisfied that they're one and the same?
- A Yes. I studied the photographs. I studied
- the object. I believe that these are photographs of
- model Apple Proto 035.
- Q And directing your attention, then, to the
- page ending '18787, which is part of Exhibit 841.
- $^{21}$  A Yes.
- 22 Q And working with the images such as we have
- $^{23}$  them --
- $^{24}$  A Yes.
- Q -- is that ribbing that you see there that

- 1 runs along what we can generally say is the perimeter
- of the -- the front surface, at least in terms of the
- $^3$  corner that appears closest to the -- to the --
- A Yes, ribbing or holes. I need to pick the
- 5 model apart to be sure, but it looks more like some
- 6 form of rib.
- $^{7}$  Q And that's one of the comparisons that you
- 8 see between the photographs and the three-dimensional
- 9 model, the 035 model, that satisfied you that they're
- one and the same?
- 11 A That is one of the details that satisfied me
- that it was one and the same.
- Q Directing your attention to '18790.
- $^{14}$  A Yes.
- O You'll see that, at least as shown in this
- image here, there appears to be a somewhat thicker,
- darker line that runs at least part of the perimeter
- of the front of the device that can be seen there on
- the front; do you see that?
- 20 A There are a number of parallel lines, one of
- which is darker and broader than others.
- 22 Q And does that line, in your view, correspond
- to the -- the broader gap that's discernible there on
- $^{24}$  the 035 model?
- A It appears to be either the edge of the cover

- $^{
  m 1}$  glass or a shadow beneath it, or a combination of
- 2 both.
- Q Well, let me -- let me try it with another
- image, and maybe we'll come back to this in a minute.
- If you'd take a look at the next page, which is
- <sup>6</sup> '18791.
- $^{7}$  A Yes.
- <sup>8</sup> Q And maybe this is a better image to try and
- 9 work from, but you'll see that there is a -- a darker
- line that runs in between the lighter-colored housing
- and then the so-called glass, but is really plastic
- 12 flat surface; do you see that darker line?
- $^{13}$  A Yes.
- Q Does that darker line, in your view,
- correspond to the broader gap that you talked about
- earlier with respect to the 035 model?
- $^{17}$  A It looks to me to be some combination of --
- $^{18}$  and of the edge of what we call the glass -- cover
- glass and shadow beneath it. And my guess is it's --
- the lines show -- what we see is shadow.
- $^{21}$  Q Is the broader gap depicted in this photo,
- the broader gap that you talked about earlier that
- <sup>23</sup> is --
- A This is a dreadful quality reproduction of a
- photograph. Yes, the broader gap is depicted very

- $^{
  m l}$  badly, but I can tell where it is on the picture.
- Q Okay. Well, terrific.
- If I could perhaps hand you a pen, and if you
- could label where you see that -- that broader gap
- 5 that you had described and testified earlier with
- 6 respect to the 035 model.
- $^{7}$  A There.
- 8 Q And maybe the most convenient way of doing
- 9 this, if you could maybe hold it up for the -- the
- camera, and then just kind of show where it runs on
- the -- on the page.
- A Well, the narrower gap is depicted by this --
- THE VIDEOGRAPHER: Sir, can you show --
- THE WITNESS: Okay. The narrow gap is
- depicted by this gray fuzzy line here. The broader
- gap is in some part depicted by the dark shadow and
- $^{17}$  the light area next to it.
- MR. ZELLER: And it runs along --
- THE WITNESS: It runs around the full, with
- less and less clarity, edge of the photograph. I have
- to say it's -- it's too poor an image to discern much
- of anything above the line.
- MR. JACOBS: Should we mark this as a new
- depo exhibit?
- MR. ZELLER: Yes, I think so.

Highly Confidential - Outside Counsel's Eyes Only Page 104 1 So let's, for the record, please mark as the 2 version of 841 that now has Mr. Stringer's marking on it on page '18791 as Exhibit 841A. (Document marked Exhibit 841A for identification.) MR. ZELLER: If we can go back to 7 Exhibit 1170. And by the way, did you want to send those mockups back? MR. JACOBS: That would be great. 10 MR. ZELLER: Okay. So let's go off the 11 record. 12 THE VIDEOGRAPHER: The time is 2:42 p.m., and 13 we are off the record. 14 (Recess taken.) 15 THE VIDEOGRAPHER: The time is 2:55 p.m., and 16 we are back on the record. 17 MR. ZELLER: Direct your attention to the 18 '889 design patent, which was previously marked as Exhibit 8. 20 MR. JACOBS: I'll just hand you my copy. 21 THE WITNESS: All right. Thank you. 22 MR. ZELLER: O. Please take a look at

24 A

Figure 1.

Yes.

23

25 You'll see on Figure 1 that at least as part Q

- of the -- at least along part of the -- generally what
- we'll call the perimeter area of the front, there's a
- darker, thicker line?
- A Which figure are you looking at?
- $^{5}$  Q This is Figure 1.
- $^6$  A Figure 1.
- <sup>7</sup> Q Do you see where at least on part of the
- 9 perimeter, there is a line that is darker and thicker?
- <sup>9</sup> A Which would be the second line from the left
- on the left side of the figure.
- 11 Q Right, on the left side.
- And then on the bottom portion of Figure 1,
- it appears to run -- to be the line that is -- that
- $^{14}$  the -- is the edge, at least from that perspective?
- A It looks like the edge, yes.
- Q Do you know what that thicker line depicts?
- A It's -- on the lower edge, it's the -- it
- looks like the edge of the housing.
- Q Well, what about on the left side?
- A It's the edge of the housing.
- 21 Q So on both the left side and the bottom side,
- you construe that darker, thicker line to be where the
- edge of the housing is?
- A I do construe that. And it's -- my
- assumption is confirmed by looking at Figure 3 that