EXHIBIT 39

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Page 1
1
                UNITED STATES DISTRICT COURT
2
              NORTHERN DISTRICT OF CALIFORNIA
3
                      SAN JOSE DIVISION
    APPLE INC., a California
    corporation,
5
               Plaintiff,
                                         Case No.
                                          11-CV-01846-LHK
      VS.
7
    SAMSUNG ELECTRONICS CO., LTD.,
    a Korean business entity;
    SAMSUNG ELECTRONICS AMERICA,
    INC., a New York corporation;
    SAMSUNG TELECOMMUNICATIONS
10
    AMERICA, LLC, a Delaware
    limited liability company,
11
               Defendants.
12
13
14
15
        HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY
16
17
          VIDEOTAPED DEPOSITION OF RICHARD HOWARTH
18
                 San Francisco, California
19
                  Monday, October 31, 2011
20
21
22
23
    REPORTED BY:
24
    CYNTHIA MANNING, CSR No. 7645, CLR, CCRR
25
    JOB NO. 43007
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- $^{
 m l}$ tablet designs that you worked on there for Apple.
- Is there -- is there an area that, on the
- front face of the tablet computer devices that you
- worked on, that you understood to include a border
- ⁵ or mask area?
- MR. MONACH: Objection; vague and
- ⁷ compound.
- 8 THE WITNESS: Yeah, I'm sorry.
- 9 Could you repeat the question?
- MR. ZELLER: Yes. If you could read it
- back, please.
- 12 (Whereupon the reporter read the record
- as follows:
- "Question: Is there an area that, on the
- front face of the tablet computer devices
- that you worked on, that you understood
- to include a border or mask area?")
- MR. MONACH: And I objected; vague and
- compound.
- THE WITNESS: Sometimes some people refer
- to -- or I refer to the area around the display as
- ²² a border.
- 23 BY MR. ZELLER:
- Q. But in general, you don't think that
- those terms are precise enough or clear enough

- that you could be -- you'd be able to say it's
- really definite; right?
- MR. MONACH: Objection; vague and
- ⁴ ambiguous.
- 5 THE WITNESS: I don't know what other
- ⁶ people think.
- ⁷ BY MR. ZELLER:
- Q. Well, I'm not asking about what other
- 9 people think. I'm asking you.
- Do you think that the word "border" or
- "mask" is a clear term to you as to what it is
- referring to in the context of tablet computer
- designs that Apple has made?
- MR. MONACH: Objection; vague and
- ambiguous, both a compound and incomplete
- 16 hypothetical.
- THE WITNESS: It could be.
- 18 BY MR. ZELLER:
- Q. I'm going to show you what was previously
- marked as Exhibit 8, which for the record is
- United States Design Patent 504,889.
- And please let me know when you've had an
- opportunity to review the '889 design patent.
- A. (Witness reviewing document.)
- Okay.

- O. You're named as an inventor of the '889
- ² design?
- 3 A. I was one of the industrial design team
- 4 that worked on this product.
- ⁵ Q. Looking at the drawings, these figures
- that are in the '889 design patent, do any of
- ⁷ those drawings show what you, in your view --
- well, I'm sorry. Let me rephrase it.
- Directing your attention to the figures
- and drawings in the '889 design patent.
- Do any of those drawings show a mask
- 12 area?
- MR. MONACH: Objection; lack of
- 14 foundation. Objection; compound. Objection;
- calls for a legal conclusion by a nonlawyer
- witness.
- THE WITNESS: I'm not a patent lawyer.
- 18 BY MR. ZELLER:
- Q. I'm not asking you as a patent lawyer.
- ²⁰ I'm asking you as an inventor of the '889 design
- patent.
- Do any of the drawings or figures in the
- '889 design patent depict a mask area?
- MR. MONACH: Same objection; lack of
- ²⁵ foundation --

- THE WITNESS: As --
- MR. MONACH: Hang on a second.
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- 4 extent it calls for a legal conclusion.
- 5 THE WITNESS: As an industrial designer,
- and not a patent lawyer, it isn't clear to me that
- ⁷ there is an area here that is definitely a mask or
- ⁸ border.
- 9 BY MR. ZELLER:
- Q. Directing your attention to Figure 1.
- 11 A. Yes.
- Q. You'll see that on the interior of
- Figure 1, that there is a rectangular line.
- Do you see that?
- A. I see a dotted line.
- Q. Do you know, is that -- is that a broken
- 17 line?
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- you have an understanding?

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- And let me just caution you. I'm not
- saying you did have any such communications, but I
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- 9 what that rectangle is depicting.
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- were talking about that's in that rectangular
- shape on the interior of Figure 1 has some
- relationship to separating the active area of the
- display from the mask or nonactive areas of the
- 16 display?
- MR. MONACH: Objection; lack of
- foundation, calls for speculation. Object, to the
- extent it's asking for a legal conclusion.
- THE WITNESS: I'm not sure what that line
- represents.
- BY MR. ZELLER:
- Q. And I take it you don't have an
- understanding as to whether or not that particular
- line, this rectangular line on the interior of

- Figure 1 that's dotted, is part of the claimed
- design here?
- MR. MONACH: Objection; lack of
- foundation. Objection, to the extent it calls for
- 5 a legal conclusion.
- THE WITNESS: I'm not sure what that line
- ⁷ represents.
- 8 BY MR. ZELLER:
- 9 Q. Directing your attention to Figure 2 of
- the '889 design patent.
- You'll see that there are three sets of
- diagonal lines on the interior of this.
- 13 A. Yes.
- Q. And then directing your attention to
- ¹⁵ Figure 4.
- You'll see that it doesn't have those
- ¹⁷ diagonal lines.
- ¹⁸ A. Okay.
- Q. Do you see that?
- A. Yes, I see that.
- Q. Do you have any understanding or
- explanation as to why those diagonal lines don't
- appear in Figure 4 but they do appear in Figure 2?
- MR. MONACH: Objection; lack of
- foundation. Objection, to the extent it calls for

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- 3 lines are in one view and not in another.
- 4 BY MR. ZELLER:
- 5 Q. Do you know if the design that's shown
- 6 here in the '889 design patent is showing a back
- surface or bottom surface that is flat and clear?
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- 15 '889 design patent, by your understanding, does
- it -- well, I'm sorry. Let me rephrase that.
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- design patent.
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- designer, does this design show a clear front
- surface of the device?
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- 9 MR. MONACH: Object, to the extent it
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- THE WITNESS: I see what you're referring
- to. I see it's an object that the guy is holding.
- ¹³ BY MR. ZELLER
- Q. Well, from the perspective of the guy
- whose holding it --
- 16 A. Yes.
- Q. -- how would you describe the shape of
- the top of the device?
- 19 A. What --
- MR. MONACH: Objection; vague and
- 21 ambiguous. Object, to the extent it calls for a
- legal conclusion.
- THE WITNESS: What are you referring to
- 24 as "the top"?
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- BY MR. ZELLER:
- Q. The top, from the orientation of the
- individual holding it, which would be your right.
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- ⁵ vague.
- 6 BY MR. ZELLER:
- 7 Q. If you could hand me your copy.
- 8 A. Okay.
- 9 MR. ZELLER: Let's please mark as Exhibit
- 10 1132 a copy of the '889 design patent with a
- marking that I'm about to give it. It will be an
- arrow consisting of an X on Figure 9, and then two
- arrows with the Figure X, Label X, in Figure 2.
- 14 (Deposition Exhibit 1132 was marked for
- identification)
- 16 BY MR. ZELLER:
- Q. So directing your attention to Figure 2.
- ¹⁸ A. Okay.
- Q. You'll see that what I did there is, I
- put two arrows with the Label X on there.
- A. Mm-hmm
- Q. And you'll see that those portions, those
- sides, appear to taper, or narrow?
- MR. MONACH: Object to the form of the
- question as mischaracterizing the evidence;

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- THE WITNESS: To me, it looks like a
- 3 slightly perspective drawing of a rectangular
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- ⁵ BY MR. ZELLER:
- Q. Do you have an understanding as to
- whether or not those lines taper because of
- 8 perspective or because the design that is being
- 9 communicated here has tapering sides?
- A. I couldn't say for certain. To me
- personally, as an industrial designer, it looks to
- me like they're tapering because of perspective.
- Q. And in your view, is that an accurate
- 14 perspective?
- MR. MONACH: Objection; vague and
- ambiguous.
- THE WITNESS: I don't know about an
- accurate perspective. It looks, perhaps, like
- that's what was intended.
- 20 BY MR. ZELLER:
- Q. And if I asked you the same questions
- about that edge that I labeled as X in Figure 9,
- you'd give me the same answers?
- MR. MONACH: Objection, to the extent it
- calls for a legal conclusion. But you can give

- ¹ BY MR. ZELLER:
- Q. And from your perspective, is that -- is
- that an accurate depiction of perspective?
- MR. MONACH: Objection; lack of
- foundation, incomplete hypothetical. Objection;
- ⁶ vague.
- 7 THE WITNESS: Yes, it could be.
- 8 BY MR. ZELLER:
- 9 Q. Can you say with any certainty if it is?
- MR. MONACH: Same objection.
- THE WITNESS: I can't say with any
- certainty without -- whether that's an absolutely
- accurate perspective view. But it looks okay. It
- 14 looks possible.
- 15 BY MR. ZELLER:
- Q. You'll see also in Figure 9 that there is
- a portion of it that has a thicker, darker line
- that runs around the perimeter of the front.
- Do you see that?
- A. Yes, I see that.
- Q. What does that depict?
- MR. MONACH: Objection; lack of
- foundation. Object, to the extent it calls for a
- legal conclusion.
- THE WITNESS: It's unclear to me exactly

- 1 what that is trying to depict.
- 2 BY MR. ZELLER:
- Q. Does it depict a gap or a groove?
- 4 MR. MONACH: Same objection; lack of
- foundation. Objection, to the extent it calls for
- 6 a legal conclusion.
- THE WITNESS: I'm not sure what that
- 8 precise detail is trying to depict. But it looks
- 9 like the separation between two parts to me. Not
- the separation; it looks like the joint between
- two parts.
- 12 BY MR. ZELLER:
- Q. And directing your attention to Figure 1,
- you'll see that also at least on part of the
- perimeter of this front surface there is a darker
- line there as well, darker, thicker line?
- 17 A. I see that.
- Q. And do you have an understanding as to
- what that's depicting?
- MR. MONACH: Objection; lack of
- foundation. Object, to the extent it calls for a
- legal conclusion.
- THE WITNESS: I couldn't tell you exactly
- what that's trying to depict.
- 25 //

- ¹ BY MR. ZELLER:
- Q. Is that darker, thicker line depicting a
- gap or a groove?
- 4 MR. MONACH: Same objection. Lack of
- foundation, calls for a legal conclusion.
- THE WITNESS: In my opinion as an
- industrial designer, it doesn't look like that's
- 8 trying to depict a groove or a gap. It looks like
- 9 it's perhaps trying to show a radius or an
- intersection of the rear housing, perhaps.
- 11 BY MR. ZELLER:
- Q. But you're not certain?
- MR. MONACH: Same objection. Asked and
- answered.
- THE WITNESS: I'm not certain.
- 16 BY MR. ZELLER:
- Q. You'll see that the date of this design
- patent for filing -- and this is on the first
- ¹⁹ page --
- 20 A. Okay.
- Q. -- in the middle of the first column,
- ²² March 17th, 2004.
- Do you see that date there?
- A. Yes, I do.
- Q. Prior to March 17th, 2004, which is the

- 1 filing date of the '889 design patent, the Apple
- design team was working on tablet computer devices
- that had a gap or groove that ran on the perimeter
- of the front of the device?
- MR. MONACH: Object to form. Lack of
- 6 foundation.
- THE WITNESS: I don't recall.
- 8 BY MR. ZELLER:
- Q. You just don't recall one way or another?
- A. I don't recall.
- Q. Do you recall models that were shown
- there internally at Apple during the time periods
- when designers were working on the tablet
- computers that had gaps or grooves on them?
- MR. MONACH: Objection; vague, lack of
- 16 foundation.
- THE WITNESS: There might have been. I
- don't recall precisely.
- 19 BY MR. ZELLER:
- Q. Do you recall generally that occurring at
- 21 some him point?
- MR. MONACH: Same objection.
- THE WITNESS: It might have been.
- 24 BY MR. ZELLER:
- Q. Let me try something a different way.

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- 4 that worked on this product.
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- ⁷ there is an area here that is definitely a mask or
- 8 border.
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- Q. Directing your attention to Figure 1.
- 11 A. Yes.
- Q. You'll see that on the interior of
- Figure 1, that there is a rectangular line.
- Do you see that?
- A. I see a dotted line.
- Q. Do you know, is that -- is that a broken
- 17 line?
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- 19 foundation. Under the Best Evidence Rule the
- document speaks for itself. Vague.
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- ⁹ what that rectangle is depicting.
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- understanding as to whether or not that particular
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- ⁹ Q. Directing your attention to Figure 2 of
- the '889 design patent.
- You'll see that there are three sets of
- diagonal lines on the interior of this.
- 13 A. Yes.
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- Figure 4.
- You'll see that it doesn't have those
- diagonal lines.
- ¹⁸ A. Okay.
- Q. Do you see that?
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- explanation as to why those diagonal lines don't
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- foundation. Objection, to the extent it calls for
- ²⁴ a legal conclusion.
- You can give your understanding, if you

- 1 have one.
- THE WITNESS: I'm not exactly sure what
- 3 this document -- what this figure is showing. It
- 4 could be.
- 5 BY MR. ZELLER:
- Q. And you're not sure one way or another
- whether what's shown here in the design shows a
- 8 clear, flat, continuous surface on the front?
- 9 MR. MONACH: Objection, to the extent it
- calls for a legal conclusion.
- THE WITNESS: I didn't create these
- drawings, so I don't know if that's what that is
- supposed to represent.
- 14 BY MR. ZELLER:
- Q. And even apart from the fact that you
- didn't create the drawings, you still don't know;
- is that true?
- MR. MONACH: Same objection. Object, to
- the extent it calls for a legal conclusion; asked
- and answered.
- THE WITNESS: It isn't completely clear
- to me that that's what that is representing.
- BY MR. ZELLER:
- Q. Directing your attention to Figure 9.
- You'll see in Figure 9 that the top of

- the device that's shown there is -- from the
- orientation of the individual holding it -- is
- somewhat wedge-shaped, or it tapers?
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```
your understanding.
```

- THE WITNESS: My understanding is that's
- what that is trying to represent.
- ⁴ BY MR. ZELLER:
- ⁵ Q. It is perspective, but you're not
- 6 certain?
- 7 MR. MONACH: Object to the form of the
- ⁸ question.
- 9 THE WITNESS: It's possible that that's
- what that represents.
- 11 BY MR. ZELLER:
- Q. But, again, you can't say with certainty
- whether or not that's -- that tapering is because
- 14 of perspective, as opposed to whether or not the
- design is actually showing that there is some kind
- of tapering?
- MR. MONACH: Objection; lack of
- foundation. Objection, to the extent it calls for
- 19 a legal conclusion; asked and answered.
- You can do it again.
- THE WITNESS: In my opinion, as an
- industrial designer and not a patent lawyer, I
- think that that looks like it is an object with
- perspective and not a tapering geometry.
- 25 //

- ¹ BY MR. ZELLER:
- Q. And from your perspective, is that -- is
- that an accurate depiction of perspective?
- MR. MONACH: Objection; lack of
- foundation, incomplete hypothetical. Objection;
- ⁶ vague.
- 7 THE WITNESS: Yes, it could be.
- 8 BY MR. ZELLER:
- 9 Q. Can you say with any certainty if it is?
- MR. MONACH: Same objection.
- THE WITNESS: I can't say with any
- certainty without -- whether that's an absolutely
- accurate perspective view. But it looks okay. It
- 14 looks possible.
- 15 BY MR. ZELLER:
- Q. You'll see also in Figure 9 that there is
- a portion of it that has a thicker, darker line
- that runs around the perimeter of the front.
- Do you see that?
- A. Yes, I see that.
- Q. What does that depict?
- MR. MONACH: Objection; lack of
- foundation. Object, to the extent it calls for a
- legal conclusion.
- THE WITNESS: It's unclear to me exactly

- 1 what that is trying to depict.
- 2 BY MR. ZELLER:
- Q. Does it depict a gap or a groove?
- 4 MR. MONACH: Same objection; lack of
- foundation. Objection, to the extent it calls for
- 6 a legal conclusion.
- THE WITNESS: I'm not sure what that
- 8 precise detail is trying to depict. But it looks
- 9 like the separation between two parts to me. Not
- the separation; it looks like the joint between
- two parts.
- 12 BY MR. ZELLER:
- Q. And directing your attention to Figure 1,
- you'll see that also at least on part of the
- perimeter of this front surface there is a darker
- line there as well, darker, thicker line?
- 17 A. I see that.
- Q. And do you have an understanding as to
- what that's depicting?
- MR. MONACH: Objection; lack of
- foundation. Object, to the extent it calls for a
- legal conclusion.
- THE WITNESS: I couldn't tell you exactly
- what that's trying to depict.
- 25 //

- ¹ BY MR. ZELLER:
- Q. Is that darker, thicker line depicting a
- gap or a groove?
- 4 MR. MONACH: Same objection. Lack of
- foundation, calls for a legal conclusion.
- THE WITNESS: In my opinion as an
- industrial designer, it doesn't look like that's
- 8 trying to depict a groove or a gap. It looks like
- 9 it's perhaps trying to show a radius or an
- intersection of the rear housing, perhaps.
- 11 BY MR. ZELLER:
- Q. But you're not certain?
- MR. MONACH: Same objection. Asked and
- answered.
- THE WITNESS: I'm not certain.
- 16 BY MR. ZELLER:
- Q. You'll see that the date of this design
- patent for filing -- and this is on the first
- ¹⁹ page --
- 20 A. Okay.
- Q. -- in the middle of the first column,
- ²² March 17th, 2004.
- Do you see that date there?
- A. Yes, I do.
- Q. Prior to March 17th, 2004, which is the

- 1 filing date of the '889 design patent, the Apple
- design team was working on tablet computer devices
- that had a gap or groove that ran on the perimeter
- of the front of the device?
- MR. MONACH: Object to form. Lack of
- 6 foundation.
- THE WITNESS: I don't recall.
- 8 BY MR. ZELLER:
- Q. You just don't recall one way or another?
- A. I don't recall.
- Q. Do you recall models that were shown
- there internally at Apple during the time periods
- when designers were working on the tablet
- computers that had gaps or grooves on them?
- MR. MONACH: Objection; vague, lack of
- 16 foundation.
- THE WITNESS: There might have been. I
- don't recall precisely.
- 19 BY MR. ZELLER:
- Q. Do you recall generally that occurring at
- 21 some him point?
- MR. MONACH: Same objection.
- THE WITNESS: It might have been.
- 24 BY MR. ZELLER:
- Q. Let me try something a different way.

- Do you recall any tablet mockups that
- 2 were made there at Apple that had a gap or a
- groove that ran the perimeter of the front surface
- and then had vents in that gap or groove?
- MR. MONACH: Objection; vague.
- THE WITNESS: I don't know.
- ⁷ BY MR. ZELLER:
- Q. And for the record, the word I'm using is
- 9 vents, V-E-N-T-S.
- MR. ZELLER: Maybe we could see the
- mockup. That would be helpful.
- MS. TIERNEY: Absolutely.
- MR. MONACH: Sure.
- When you're done with this, maybe we
- should take a lunch break.
- MR. ZELLER: Sure. We'll just wrap up
- this line and show him the model and take a break.
- 18 (Pause in the proceedings)
- MR. ZELLER: Thank you.
- And for the record, Apple's counsel has
- 21 provided me with three physical mockups. And the
- first one I'm going to show the witness is a
- mockup of a tablet computer that has the bottom,
- 24 the depiction of a -- people generally call 30-pin
- connector. Also, aside port in the form of a hole

- that has over it a small icon depicting
- ² headphones.
- 3 BY MR. ZELLER
- 4 Q. And I'll hand that mockup to you.
- 5 And if you could do me a tremendous
- favor, if you could hold that up for the camera,
- ⁷ too, so we'll have a depiction of it.
- 8 A. (Witness complies.)
- Q. And could you also show the sides with
- 10 the ports.
- A. (Witness complies.)
- Q. Thank you.
- And then there's some writing on the back
- on the label. And if you could, please just read
- that for the record for us.
- A. "Apple proto 035."
- Q. And first let me ask you, with respect to
- 18 the model that you have in your hands, had you
- seen that before?
- MR. MONACH: Instruct the witness not to
- answer with respect to any communications you had
- with counsel in connection with the deposition or
- any other communications with counsel.
- But if you have a recollection of seeing
- it independently or a recollection that you don't,

- you can answer.
- THE WITNESS: I think so, a long time ago
- 3 maybe.
- ⁴ BY MR. ZELLER:
- ⁵ Q. Generally speaking, do you recognize the
- 6 mockup that you have there in front of you as a
- mockup of an Apple tablet computer design that you
- saw during the course of your work there at Apple
- 9 as a designer?
- MR. MONACH: Objection; asked and
- answered.
- THE WITNESS: It could well be.
- 13 BY MR. ZELLER:
- Q. Is that your recollection?
- A. It looks like a prototype we could have
- 16 made.
- ¹⁷ BY MR. ZELLER:
- Q. Do you have any reason to doubt that
- that's a prototype that Apple made as part of the
- design project working on a tablet computer?
- MR. MONACH: Object to the form of the
- question as argumentative. Objection; may call
- for speculation, in light of the prior testimony.
- THE WITNESS: Yeah, it could be.
- 25 //

- 1 BY MR. ZELLER:
- Q. You'll see that there's a gap, or a
- groove, that runs the perimeter of the front
- 4 there.
- 5 A. Mm-hmm.
- MR. MONACH: Object to the form of the
- ⁷ question.
- THE WITNESS: I do see that there's
- 9 something here, a gap. I see that.
- 10 BY MR. ZELLER:
- Q. And then if you look inside that opening,
- you'll see something that appears to be the
- depiction of vents or some kind of openings?
- MR. MONACH: Object to the form of the
- question.
- THE WITNESS: I see some sort of
- detailing of something down there.
- 18 BY MR. ZELLER:
- Q. Do you know what that detailing is?
- A. I don't. I couldn't be sure.
- Q. Did you yourself have involvement in the
- creation of that mockup that you have in front of
- ²³ you?
- MR. MONACH: Objection; vague.
- THE WITNESS: I can't remember.

- 1 BY MR. ZELLER:
- Q. Did you contribute anything to the design
- of the tablet computer mockup that you have in
- front of you?
- MR. MONACH: Objection; vaque.
- THE WITNESS: As part of the industrial
- design team, perhaps. We could -- I can't
- 8 remember. I can't recall exactly at this minute
- ⁹ what I might have contributed to this particular
- mockup.
- 11 BY MR. ZELLER:
- Q. Is the mockup that you have in your
- hands, is that the same design that's shown in the
- 14 '889 design patent?
- MR. MONACH: Objection; lack of
- foundation, vague. Object, to the extent it calls
- for a legal conclusion.
- THE WITNESS: In my opinion, it doesn't
- appear to be the same -- the same object that's
- listed in this patent.
- 21 BY MR. ZELLER:
- Q. And why do you think it's different?
- A. Well, I see a number of differences.
- I don't see this detailing that you're
- referring to around the outside perimeter.

- 1 The radii in the corners seem lightly
- different between the patent and this mockup.
- The proportions of the object are -- I'm
- 4 not exactly sure what the proportions in this
- 5 document are.
- So there seem to be a number of
- ⁷ differences.
- Q. And all that leads you to conclude that
- 9 it's a different design?
- MR. MONACH: Object to the form of the
- question as vague and ambiguous. Object, to the
- extent it's calling for a legal conclusion about
- the scope of the patented design.
- THE WITNESS: I'm not exactly sure. I
- don't believe that this is the product that is
- being covered by this. It could be.
- ¹⁷ BY MR. ZELLER:
- Q. And when you say "this product," just for
- the record to be clear, you're pointing to the
- mockup that you have in front of you?
- A. This particular prototype, I'm not sure.
- I can't be certain that this -- it doesn't look to
- me like this patent is the same object as this
- mockup.
- Q. But you're not certain one way or

- ¹ another?
- MR. MONACH: Objection; asked and
- answered, lack of foundation. Object, to the
- extent it calls for a legal conclusion.
- 5 THE WITNESS: That's correct. I'm not
- 6 certain.
- ⁷ BY MR. ZELLER:
- Q. I'm going to show you what was previously
- 9 marked as Exhibit 841.
- A. Mm-hmm.
- Q. And please let me know when you've had an
- opportunity to review those pages.
- A. (Witness reviewing document.)
- Okay.
- Q. I take it generally speaking, at some
- point, you became aware that there was a dispute
- between Apple and Samsung, a legal dispute?
- MR. MONACH: Object to the form of the
- 19 question as vague.
- In answering this question, I'll instruct
- the witness not to reveal any attorney-client
- communications.
- THE WITNESS: I can't remember when I
- was -- when I first found out.
- 25 //

- 1 BY MR. ZELLER:
- Q. Right. I'm not quite at that question
- yet. I'm just trying to understand something
- 4 generally. It's to help put some time periods on
- 5 the questions I'm going to ask.
- A. Okay.
- Q. So at some point, did you become aware
- 8 that there was a lawsuit between Apple and
- Samsung, just generally speaking?
- MR. MONACH: You can answer that question
- yes, no, or I don't recall.
- THE WITNESS: At some point, yes.
- 13 BY MR. ZELLER:
- Q. Now, prior to the time that you became
- aware that there was a lawsuit between Samsung and
- Apple, had you seen these pages that were marked
- as Exhibit 841 before that time?
- A. I don't recall.
- Q. Do you recognize what's depicted here in
- ²⁰ Exhibit 841?
- MR. MONACH: Objection; vague and
- ambiguous.
- THE WITNESS: I'm not -- I'm not clear on
- what this -- on what's depicted in these pages.
- 25 //

- inventors here on the '889 design patent, that the
- design that's shown here in the '889 design patent
- is the design of the first iPad?
- MR. MONACH: Objection; vague and
- 5 ambiguous. Objection; calls for a legal
- 6 conclusion about the scope of the '889.
- Objection; lacking in foundation, in light of the
- prior testimony; asked and answered.
- 9 THE WITNESS: I'm not an expert in
- reading patent drawings, so I couldn't tell you if
- this represents the exact design of the iPad that
- was launched.
- 13 BY MR. ZELLER:
- Q. My question is a very specific one. I'd
- appreciate if you'd answer it.
- Do you need it read back?
- MR. MONACH: Is that a question to the
- witness?
- MR. ZELLER: Yes.
- MR. MONACH: Objection; asked and
- 21 answered. Object to the argumentative commentary.
- The question was asked and answered. It
- calls for a legal conclusion about the scope of
- the patent. The witness gave his answer and now
- you're just badgering him.

- Object that it's vague. Object that it's
- lacking in foundation. Object that it's asked and
- 3 answered.
- Do you have anything else to add?
- MR. ZELLER: Are you instructing him not
- 6 to answer?
- MR. MONACH: No, I am not. Did you hear
- 8 me instruct him not to answer?
- 9 MR. ZELLER: Well, you're interrupting my
- questioning.
- MR. MONACH: No, I'm objecting to your
- badging of the witness and characterizing his
- response because, apparently, you don't care for
- 14 it, so you keep asking him the same question over
- 15 and over.
- MR. ZELLER: Let the record reflect that
- counsel directed a question to the witness.
- 18 BY MR. ZELLER
- Q. Do you believe, as one of the named
- inventors here on the '889 design patent -- I'm
- not asking you as an expert, but as a named
- inventor -- that the design that's shown here in
- the '889 patent is the design of the first iPad?
- MR. MONACH: Objection; asked and
- answered. Objection; vague. Objection; lacking

- in foundation. And objection, to the extent it
- ² calls for a legal conclusion.
- THE WITNESS: In my opinion, I cannot be
- 4 certain that this -- that the design of -- that
- 5 this document here represents the exact design of
- 6 the iPad 1.
- ⁷ BY MR. ZELLER:
- Q. Again, I didn't ask about the, quote,
- 9 exact same design.
- You see that there's a design that's
- reflected here in the '889 design patent that
- you're identified as one of the people who
- invented it; right?
- 14 A. I am.
- Q. And so my question is: In your view, as
- a named inventor on the '889 design patent, do you
- think that the iPad has this design that's shown
- 18 here in the '889 design patent, or do you think
- it's a different design?
- MR. MONACH: Objection; vague and
- 21 ambiguous. Objection, to the extent it calls for
- a legal conclusion. Objection; lacking in
- foundation. Objection; asked and answered.
- THE WITNESS: I see some similarities and
- differences, but I couldn't tell you if this --

- I'm not -- I'm really not a patent reading expert.
- ² BY MR. ZELLER:
- Q. Do you have anything else to add to your
- answers to my questions on the comparison between
- the iPad design and the '889 design patent?
- 6 A. No.
- ⁷ Q. Directing your attention to the design in
- 8 the '889 design patent, is this the design of the
- 9 iPad2?
- MR. MONACH: Objection; vague and
- ambiguous. Objection, to the extent it calls for
- a legal conclusion from a nonlawyer witness.
- THE WITNESS: I'm not --
- MR. MONACH: Lack of foundation.
- Go ahead. Sorry.
- THE WITNESS: I'm not a patent reading
- expert, so it is makes it difficult to answer your
- question.
- 19 BY MR. ZELLER:
- Q. Do you believe that, in order for someone
- to understand the design that's shown here in the
- '889 design patent, that one would have to be a
- patent expert?
- MR. MONACH: Objection; vague.
- Objection; calls for speculation, incomplete

- 1 hypothetical.
- THE WITNESS: I don't know what it would
- 3 take.
- 4 BY MR. ZELLER:
- ⁵ Q. Well, is the design that's shown here in
- the '889 patent understandable to you?
- MR. MONACH: Objection; vague and
- ambiguous. Objection, to the extent it's calling
- ⁹ for a legal conclusion.
- THE WITNESS: Not completely.
- 11 BY MR. ZELLER:
- Q. And when you say "not completely," what
- do you mean?
- A. I'm not a patent lawyer. I'm not a
- patent reading expert. So to me, there are things
- about this that I can say seem different to me
- than the final design of the iPad.
- Q. And again, as I've been telling you, I'm
- not asking you an expert. I'm asking you as
- someone who is named as an inventor on this
- 21 design.
- Please tell me what parts of the design
- that's shown in this '889 design patent is not
- completely understandable to you.
- MR. MONACH: Object --

- 1 BY MR. ZELLER:
- Q. I'm talking about your own individual
- ³ perspective.
- MR. MONACH: Object to the form of the
- 5 question as vague and ambiguous. Object, to the
- extent it calls for a legal conclusion.
- THE WITNESS: I find it hard to translate
- 8 these drawings, as an individual.
- 9 BY MR. ZELLER:
- Q. And what is it about the drawings that
- make it not possible for you to translate them?
- MR. MONACH: Objection; mischaracterizes
- the prior testimony.
- THE WITNESS: That I work with 3D objects
- usually, and two-dimensional drawings are a little
- bit harder to understand for me.
- ¹⁷ BY MR. ZELLER:
- Q. Well, setting aside that this is what we
- have to work with, in terms of a design patent,
- are these two-dimensional drawings, are there --
- 21 let me ask it this way.
- Again, I'm not asking you as an expert.
- ²³ I'm not asking you as patent law or anything else.
- I'm just asking you, as your own personal
- understanding when you look at these drawings, do

- you feel like you completely understand the design
- that is being communicated through these drawings,
- or does it seem uncertain to you in certain
- 4 respects?
- MR. MONACH: Objection; vague and
- 6 ambiguous. Objection, to the extent it
- incorporates or asks for a legal conclusion.
- 8 THE WITNESS: Some parts seem clear.
- 9 BY MR. ZELLER:
- Q. Other parts don't seem clear to you?
- MR. MONACH: Same objection.
- THE WITNESS: Yes.
- 13 BY MR. ZELLER:
- Q. And what parts are you referring to that
- don't seem clear to you? Again, we're talk solely
- about your own individual perspective.
- MR. MONACH: Same objection.
- THE WITNESS: Well, I'm not sure what
- these lines represent (indicating).
- 20 BY MR. ZELLER:
- Q. And what figure are you pointing to?
- A. Figure 6.
- Q. And you're referring to the -- those
- lines that run horizontally from the perspective
- of the viewer, or the reader?

- A. Just those that -- couple of those ones
- ² in particular.
- Q. Are there other portions of the design
- shown in the '889 design patent that aren't clear
- 5 to you?
- Again, we're talking totally about your
- own individual perspective.
- MR. MONACH: Same objections.
- 9 THE WITNESS: From my perspective, I
- can -- yes, it doesn't seem like this is exactly
- the same as the iPad which is what your question
- was, the iPad 1.
- 13 BY MR. ZELLER:
- Q. Well, I'm asking a slightly different
- question at this point.
- You had mentioned, with respect to Figure
- 6, some lines that you thought were unclear to
- ¹⁸ you.
- Are there other aspects of the design
- that's shown here, other than what we've talked
- about, that you think is unclear?
- MR. MONACH: Objection; vague.
- Objection, to the extent it calls for a legal
- conclusion or incorporates legal terms.
- THE WITNESS: It seems -- seems like what

- $^{
 m l}$ I'm looking at in these drawings is a housing
- coming around, one-piece housing coming around to
- 3 a piece of glass. That's what I interpret from
- 4 these drawings.
- 5 BY MR. ZELLER:
- Q. But you're not sure about that?
- MR. MONACH: Object to the form of the
- ⁸ question; asked and answered.
- 9 THE WITNESS: That's what it looks like
- 10 to me.
- 11 BY MR. ZELLER:
- Q. But are you certain that's the design
- that's being communicated here, or are you just
- telling me that that's how it seems to you?
- MR. MONACH: Object to the form of the
- question. It's badgering the witness.
- You've asked him to give his own opinion,
- and now when he gives it, you seem to be objecting
- to his own opinion.
- MR. ZELLER: I'm asking him how certain
- 21 he is that that's what the design is.
- MR. MONACH: Object to the form of the
- question as vague, asked and answered. Object, to
- the extent you're incorporating a legal term or
- legal conclusion.

- 1 BY MR. ZELLER:
- Q. You can go ahead and answer.
- A. That's how it appears to me, judging from
- 4 these drawings.
- 5 Q. And how certain are you that that's what
- 6 the drawings show?
- MR. MONACH: Objection; vague.
- THE WITNESS: I'm not absolutely certain,
- 9 but it appears that way to me.
- 10 BY MR. ZELLER:
- 11 Q. To go back to the question I was asking,
- other than what we've talked about, are there
- other aspects of what's shown here in the '889
- 14 design that are unclear to you personally?
- MR. MONACH: Object to the form of the
- question for the reasons previously stated.
- THE WITNESS: Not really.
- 18 BY MR. ZELLER:
- Q. Directing your attention to Figure 6,
- you'll see that there is the circular form there
- on the right-hand side, from the perspective of
- the person looking at it.
- Do you see that?
- 24 A. Yes.
- Q. What is that?

- 1 A. It's --
- MR. MONACH: Object to the form of the
- 3 question.
- THE WITNESS: It looks like a circle.
- 5 BY MR. ZELLER:
- Q. What's it depict?
- MR. MONACH: Object to the form of the
- ⁸ question as vague and ambiguous. Object, to the
- 9 extent it calls for a legal conclusion.
- THE WITNESS: I'm not exactly sure what
- it's trying to depict.
- BY MR. ZELLER:
- Q. Do you have any idea what it is?
- MR. MONACH: Same objection.
- THE WITNESS: Not for certain.
- 16 BY MR. ZELLER:
- Q. I'm not asking for certain. I'm asking:
- Do you have any understanding as to what that
- 19 circle depicts?
- MR. MONACH: Same objections as before.
- You can answer.
- THE WITNESS: I'm not sure what that
- circular -- what that circle is depicting.
- BY MR. ZELLER:
- Q. Is that circle being presented with

- broken lines?
- MR. MONACH: Objection; lack of
- foundation. And object, to the extent it calls
- for a legal conclusion. Objection under the Best
- ⁵ Evidence Rule.
- THE WITNESS: I don't know. It looks
- like there's -- it looks like there's a line and
- 8 some dotted lines or dots around it.
- 9 BY MR. ZELLER:
- Q. Does the design that's showing here in
- the '889 design patent -- let me step back for a
- moment.
- Do you see here on the first page the
- phrase -- this is under "description" -- where it
- says, "The broken lines being shown for
- illustrative purposes only and form no part of the
- 17 claimed design."
- Do you see that?
- MR. MONACH: Object to the reading of
- only a portion of the sentence which says,
- ²¹ "Figure 9 is an exemplary diagram of the use of
- the electronic device thereof, the broken lines
- being shown for illustrative purposes only and
- form no part of the claimed design."
- 25 //

- 1 BY MR. ZELLER:
- Q. Do you see that part?
- A. I do. "Figure 9 is an exemplary diagram
- of the use of the electronic device thereof, the
- broken lines being shown for illustrative purposes
- only form no part of the claimed design." Okay.
- Q. Directing your attention to Figure 6.
- Is that circle being shown as broken
- 9 lines?
- MR. MONACH: Objection under the Best
- Evidence Rule, that the document is the best
- evidence of whether the lines are broken or not.
- THE WITNESS: I can't say for certain.
- 14 BY MR. ZELLER:
- Q. Directing your attention to Figure 8.
- You'll see in the center there, there is
- a smaller rectangular shape.
- Do you see that?
- A. Right.
- Q. Do you see that?
- ²¹ A. Yes.
- Q. What's that depict?
- MR. MONACH: Object to the form of the
- question. Object, to the extent it calls for a
- legal conclusion.

- THE WITNESS: I'm not sure what it's
- ² depicting.
- 3 BY MR. ZELLER:
- Q. Are those broken lines?
- MR. MONACH: Objection; Best Evidence
- Rule. Objection; vague.
- THE WITNESS: They look like dots to me.
- 8 BY MR. ZELLER:
- Q. Do you consider those to be broken lines?
- MR. MONACH: Object to the form of the
- 11 question.
- THE WITNESS: They look like dots to me.
- 13 BY MR. ZELLER:
- Q. Do you consider dots to be broken lines?
- MR. MONACH: Object to the form of the
- question. And object, to the extent it calls for
- a legal conclusion; lacks foundation.
- THE WITNESS: I'm not sure what you mean
- by "broken lines."
- 20 BY MR. ZELLER:
- Q. You see the words "broken lines" that are
- used under the description heading that we talked
- about on the first page?
- 24 A. Yes.
- Q. Do you know what broken lines means in

- this context, or have any understanding as to what
- ² it means?
- A. Sometimes it can mean there's a dashed
- line, dot-dash, dot-dash. "Broken" can be
- 5 different things.
- Q. So then specifically, with respect to
- ⁷ that smaller rectangular shape there in Figure
- 8 --
- ⁹ A. Right.
- Q. -- are those broken lines that are being
- shown for illustrative purposes only and form no
- part of the claimed design, as you understand
- those terms, as you understand this drawing?
- MR. MONACH: Object to the form of the
- question as vaque, lacking in foundation, and,
- plainly, just calling for a legal conclusion.
- THE WITNESS: I don't know what they're
- trying to represent. I'm not an expert at reading
- 19 patent drawings.
- 20 BY MR. ZELLER:
- Q. Do you have any understanding in that
- regard as an inventor, a named inventor, of the
- '889 design patent?
- MR. MONACH: Same objections; asked and
- answered.

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THE WITNESS: I was an inventor of the
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- product, not the patent drawing.
- 3 BY MR. ZELLER:
- Q. Setting aside -- because I'm not asking
- 5 you as an expert, again. All my questions here
- have been about how you understand these.
- Do you have an understanding as to
- 8 whether that -- what you call those dotted lines
- ⁹ for that rectangular area in Figure 8 is the same
- as these broken lines that form no part of the
- claim design or are they something different?
- MR. MONACH: Objection; asked and
- answered, vague, lack of foundation, calls for a
- 14 legal conclusion.
- THE WITNESS: I'm not exactly sure what
- those dotted lines mean.
- MR. ZELLER: Okay. Let's take a few
- minutes.
- THE VIDEOGRAPHER: We're off the record
- ²⁰ at 6:51 p.m.
- (Recess taken)
- THE VIDEOGRAPHER: We are back on the
- record at 7:07 -- 7:03 p.m.
- You may proceed.
- 25 //

- 1 BY MR. ZELLER:
- Q. I'm going to show you what's previously
- marked as Exhibit 6, which is a copy of United
- States Design Patent 593,087.
- 5 Can you let us know when you've had a
- 6 chance to look at the '087 design patent.
- A. (Witness reviewing document.)
- 8 Okay.
- ⁹ Q. Do you recognize the '087 design patent
- as a patent that you're a named inventor on?
- A. Yeah, looks like it.
- Q. What, from your perspective as an
- inventor of the design that's shown here on the
- 14 '087 design patent, was new or original about this
- 15 design?
- MR. MONACH: Object to the form of the
- question as vague, lacking in foundation, and
- incorporating or requesting a legal conclusion.
- THE WITNESS: What was new about the
- iPhone? What was new about this patent?
- 21 BY MR. ZELLER:
- Q. What was new and original about the
- design that's shown here in these drawings that
- make up the '087 design patent?
- MR. MONACH: Same objection.