1 2 3 4 5	HAROLD J. MCELHINNY (CA SBN 66781) hmcelhinny@mofo.com MICHAEL A. JACOBS (CA SBN 111664) mjacobs@mofo.com RICHARD S.J. HUNG (CA SBN 197425) rhung@mofo.com MORRISON & FOERSTER LLP 425 Market Street San Francisco, California 94105-2482	MARK D. SELWYN (SBN 244180) mark.selwyn@wilmerhale.com WILMER CUTLER PICKERING HALE AND DORR LLP 950 Page Mill Road Palo Alto, California 94304 Telephone: (650) 858-6000 Facsimile: (650) 858-6100	
6 7 8 9	Telephone: (415) 268-7000 Facsimile: (415) 268-7522	WILLIAM F. LEE (pro hac vice) william.lee@wilmerhale.com WILMER CUTLER PICKERING HALE AND DORR LLP 60 State Street Boston, MA 02109 Telephone: (617) 526-6000 Facsimile: (617) 526-5000 Attorneys for Plaintiff APPLE INC.	
11 12	UNITED STATES DISTRICT COURT		
13	NORTHERN DISTRICT OF CALIFORNIA		
14	SAN JOSE DIVISION APPLE INC., a California corporation, Case No. 11-cv-01846-LHK		
15	Plaintiff,	DECLARATION OF PATRICK ZHANG IN	
16 17	V.	SUPPORT OF APPLE'S OPPOSITION TO SAMSUNG'S MOTION TO EXCLUDE	
18	SAMSUNG ELECTRONICS CO., LTD., a Korean corporation; SAMSUNG	ORDINARY OBSERVER OPINIONS OF APPLE EXPERT COOPER WOODRING	
19	ELECTRONICS AMERICA, INC., a New York corporation; and SAMSUNG	Date: October 13, 2011 Time: 1:30 P.M.	
20	TELECOMMUNICATIONS AMERICA, LLC, a Delaware limited liability company,	Place: Courtroom 8, 4 th Floor Judge: Hon. Lucy H. Koh	
21	Defendants.		
22 23			
24		ACTED VERSION C FILED UNDER SEAL	
25	Exilibits b and C	THED UNDER SEAL	
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28	ZHANG DECL. IN SUPT. OF OPP. TO MOT. TO EXCLUDE		
	CASE NO. 11-CV-01846-LHK sf-3043308		

1	I, PATRICK J. ZHANG, declare as follows:
2	1. I am an attorney at the law firm of Morrison & Foerster LLP, counsel of record in
3	this action for plaintiff Apple Inc. ("Apple"). I submit this declaration in support of Apple's
4	Opposition to Samsung's Motion to Exclude Ordinary Observer Opinions of Apple Expert
5	Cooper Woodring. Unless otherwise indicated, I have personal knowledge of the matters set
6	forth below. If called as a witness I could and would testify competently as follows:
7	2. Attached as Exhibit A is a true and correct copy of the Declaration of Cooper C.
8	Woodring In Support of Apple's Motion for a Preliminary Injunction, filed July 1, 2011.
9	3. Attached as Exhibit B is a true and correct copy of excerpts from the transcript of
10	the deposition of Cooper C. Woodring taken on August 5, 2011.
11	4. Attached as Exhibit C is a true and correct copy of excerpts from the transcript of
12	the deposition of Chris Stringer taken on August 3, 2011
13	5. Attached as Exhibit D is a true and correct copy of the <i>curriculum vitae</i> of Cooper
14	C. Woodring, previously filed as exhibit 6 to his June 30, 2011 declaration In Support of Apple's
15	Motion for Preliminary Injunction.
16	6. Attached as Exhibit E is a true and correct copy of an article from
17	www.patentadesign.com printed on September 6, 2011 and available at
18	http://www.patentadesign.com/gallery/statue-of-liberty-design-patent.html, and a true and copy of
19	U.S. Design Patent No. D11,023.
20	I declare under the penalty of perjury under the laws of the United States of America that
21	the forgoing is true and correct and that this Declaration was executed this 13th day of September
22	2011, at San Francisco, California.
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24	By: /s/ Patrick J. Zhang
25	Patrick J. Zhang
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ZHANG DECL. IN SUPT. OF OPP. TO MOT. TO EXCLUDE CASE NO. 11-CV-01846-LHK sf-3040944

Exhibit A

Case5:11-cv-01846-LHK Document90 Filed07/01/11 Page1 of 14 1 2 3 4 5 6 7 8 UNITED STATES DISTRICT COURT 9 NORTHERN DISTRICT OF CALIFORNIA 10 SAN JOSE DIVISION 11 12 APPLE INC., a California corporation, Case No. 11-cv-01846-LHK 13 Plaintiff, DECLARATION OF COOPER C. WOODRING IN SUPPORT OF 14 APPLE'S MOTION FOR A v. PRELIMINARY INJUNCTION 15 SAMSUNG ELECTRONICS CO., LTD., A Korean business entity; SAMSUNG 16 ELECTRONICS AMÉRICA, INC., a New York corporation; SAMSUNG 17 TELECOMMUNICATIONS AMERICA, LLC, a Delaware limited liability company, 18 Defendants. 19 20 21 22 23 24 25 26 27 28

I, COOPER C. WOODRING, declare as follows:

A. Qualifications

- 1. I am an independent industrial designer and inventor. I have bachelor's and master's degrees in Industrial Design. I have worked as an industrial designer continuously since 1962—almost 50 years. I have received over 25 United States design and utility patents. (*See* Exhibit 1.) A selected set of my United States design patents is attached as Exhibits 2 and 3.
- 2. The Industrial Designers Society of America ("IDSA") defines industrial design as:

[T]he professional service of creating and developing concepts and specifications that optimize the function, value, and appearance of products and systems for the mutual benefit of both user and manufacturer. (See Exhibit 4.)

- 3. I was elected President and Chairman of the IDSA and most recently served as its Executive Director. I testified before the United States Congress on The Industrial Design Innovation and Technology Act (H.R. 1790). I was appointed by President Ronald Reagan to head the United States Information Agency's Cultural Exchange Mission, "Design in America," behind the then-existing Iron Curtain. I recently addressed the Design Patent Examiners of the United States Patent and Trademark Office at its first ever "Design Day" on future issues and strategies for seeking patent protection for designs from the perspective of an industrial designer. (See Exhibit 5.)
- 4. I received my profession's highest award, the IDSA Personal Recognition Award, which has been bestowed on only nine designers in history. A list of my honors, awards, articles, and speaking engagements appears in my curriculum vitae. (*See* Exhibit 6.)
- 5. During my career, I have designed hundreds of consumer products. A majority of my career was spent with JCPenney Co. in New York City as Manager of New Product Development and Product Design. During my time with JCPenney, I designed consumer products in many categories, including sporting goods, toys, furniture, electronics, hardware, major appliances, and housewares. Attached as Exhibit 7 are examples of consumer electronics I designed during my career.

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6. Based on my years of experience designing consumer products, including consumer electronics, and for all the reasons stated in this declaration, I believe that I am qualified to testify as one skilled in the art with respect to the designs at issue in this case. In addition, I believe that my experiences working with other designers of products of this type qualify me to testify on what would be understood by one skilled in the art of designing cellular phones and tablet computers such as the ones at issue here.

- 7. I also believe that, based on my firsthand experiences observing purchasers of consumer electronics, I am qualified to testify as to how an ordinary observer would perceive and evaluate cellular phone and tablet computer designs. For example, during my tenure at JCPenney, it was estimated that more than one million people a day shopped in our stores. Watching the many customers come through the store, I conducted research into how ordinary observers evaluate, compare, and purchase product designs, including consumer electronics. I studied and learned the habits and customs of these ordinary customers in the retail environment, including the length of time a typical customer spends making a purchase decision for consumer products. I also have experience seeing how consumers are influenced by market trends and styles. In short, I have had firsthand experience observing ordinary purchasers of consumer electronics. Furthermore, I have purchased consumer electronics and thus can speak from my own personal experiences. For all of these reasons, I believe that I am qualified to testify on issues related to how ordinary observers perceive ornamental designs for cellular phones and tablet computers, such as those at issue here.
- 8. In the past five years, I have worked as an expert witness in several lawsuits involving design patent and trade dress infringement. In particular, I have served as an expert for:
 - Herman Miller against A. Studio in Case No. 1:04CV0781 (W.D. Mich.);
 - Electrolux against Oreck Holdings in Civil Action No. 05-5696 (E.D. La.);
 - Garmin against Tom Tom in Case No. 268408/KGZA 06-819 (The Netherlands District Court of The Hague, Civil Law Section);

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LLP, attorneys for Apple Inc. My hourly rate is \$360. My compensation is in no way tied to the outcome of this case or any particular part of the case.

В. **Scope of Declaration**

10. I have been asked by Apple's attorneys to compare the designs claimed in U.S.

Design Patent No. D618,677 (the "'D677 patent"), D593,087 (the "'D087 patent"), and D504,889

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(the "'D899 patent") against the designs of Samsung's Galaxy S 4G, Infuse 4G, and Galaxy Tab 10.1 products.

- 11. My detailed analysis follows and makes reference to Exhibits 8-21, which contain side by side comparisons of the patented designs and the Samsung products and, in some instances, three-way comparisons of the patented designs, the Samsung products, and the prior art.
- 12. In Exhibits 8-21, I have scaled the drawings and photographs such that the heights of the phones and tablet computers correspond with one another. Care has been taken not to change the proportional relationship (i.e., aspect ratio) of the designs. When conducting my analysis, I compared an actual physical sample of the Samsung product to the drawing figures of the patented designs. The photographs in this declaration accurately represent the Samsung products and record the visual comparison that I made.
 - C. Detailed Comparison of 'D677 Design against Samsung Galaxy S 4G and Infuse 4G.
 - 13. The 'D677 patent is directed to the ornamental appearance of Apple's iPhone.
- 14. Before conducting my comparison of the 'D677 patent against the Samsung Galaxy S 4G and Infuse 4G products, I reviewed the file history of the 'D677 patent and analyzed and became familiar with the prior art cited there, as well as U.S. Design Patent No. D498,754 and D563,929 (the "Samsung-identified references"), which I understand were identified by Samsung's attorneys at a May 12, 2011 hearing in this case.
- 15. In conducting my analysis, I compared the eight views of the 'D677 patent (FIGS. 1-8) with the corresponding views of the Samsung Galaxy S 4G and Infuse 4G phones. In Exhibit 8, each view of the patented 'D677 design is compared to the corresponding view of the Galaxy S 4G. In Exhibit 11, each view of the patented 'D677 design is compared to the corresponding view of the Infuse 4G.

1. 'D677 against the Galaxy S 4G

16. On visual inspection, it is apparent that all of the major design elements from the patented 'D677 design are also found in the Galaxy S 4G design. Just as in the patented design, the Galaxy S 4G design has:

1		d.	the Galaxy S 4G uses small graphical icons to denote touch sensitive areas under its display screen.
2	20.	These	e minor differences, however, merely prevent the Galaxy S 4G from being an
3	exact copy of	the par	tented 'D677 design. They do not carry sufficient weight to alter the overall
4	impression cr	eated b	by the Galaxy S 4G design, which incorporates every major design element
5	from the 'D6'	77 desi	gn.
6	21.	In my	y opinion, the Galaxy S 4G design is substantially the same as the 'D677
7	design and en	nbodies	s that patented design. It is similarly my opinion that an ordinary observer
8	purchasing a	cellulaı	r phone would also find the Galaxy S 4G design to be substantially the same
9	as the patente	ed 'D67	7 design.
0		<i>2</i> .	D677 against the Infuse 4G
1	22.	On vi	isual inspection, it is apparent that all of the major design elements from the
2	patented 'D6'	77 desi	gn are also found in the Infuse 4G design. Just as in the patented design, the
3	Infuse 4G des	sign has	s:
4 5		a.	a flat, clear, black-colored, rectangular front surface with four evenly rounded corners;
6 7		b.	an inset rectangular display screen centered on the front surface that leaves very narrow borders on either side of the display screen and substantial borders above and below the display screen; and
8 9		c.	a rounded, horizontal speaker slot centered on the front surface above the display screen,
0		d.	where the rectangular front surface is otherwise substantially free of ornamentation outside of an optional button area centrally located below the display.
2	(See Exhibit 1	11)	button area centrally located below the display.
3	23.		onfirm my analysis, and to directly compare physical product against physical
			included in Exhibit 12 a view-by-view comparison of the Apple iPhone 4,
4	•		patented 'D677 design, against the Samsung Infuse 4G. As can be seen from
5			jor design feature listed in points (a)-(d) above exists in both the Apple
6			msung Infuse 4G.
7	ii none + anu	ine Sai	noung muse to.
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- 24. Moreover, I have conducted a "three way" analysis of the Infuse 4G design, the 'D677 design, and the prior art (i.e., the prior art cited in the 'D677 file history and the Samsung-identified references). In my analysis, the Infuse 4G design entirely overlaps with the patented 'D677 design, but is far afield from the designs of the prior art I considered. Put another way, both the 'D677 design and the Infuse 4G design depart conspicuously from the prior art designs in the same key features. This spectrum of designs is illustrated in Exhibit 13, which compares the Infuse 4G against the Samsung-identified references on the one hand, and the patented 'D677 design on the other.
- 25. Some minor differences exist between the Infuse 4G design and the patented 'D677 design. In particular:
 - a. the Infuse 4G has slightly thinner black bands above and below the display screen;
 - b. the Infuse 4G front surface has rounded corners with a slightly smaller radius of curvature;
 - d. the Infuse 4G has a slightly longer and thinner speaker slot;
 - e. the Infuse 4G uses small graphical icons to denote touch-sensitive areas located under its display screen.
- 26. These minor differences, however, merely prevent the Infuse 4G from being an exact copy of the patented 'D677 design. They do not carry sufficient weight to alter the overall impression created by the Infuse 4G design, which incorporates every major design element from the 'D677 design.
- 27. In my opinion, the Infuse 4G design is substantially the same as the 'D677 design and embodies that patented design. It is similarly my opinion that an ordinary observer purchasing a cellular phone would also find the Infuse 4G design to be substantially the same as the patented 'D677 design.
 - D. Comparison of 'D087 Design against Samsung Galaxy S 4G and Infuse 4G
 - 28. The 'D087 patent is directed to the ornamental appearance of Apple's iPhone.

- 29. Before conducting my comparison of the 'D087 patent against the Samsung Galaxy S 4G and Infuse 4G products, I reviewed the file history of the 'D087 patent and analyzed and became familiar with the prior art cited there, as well as the Samsung-identified references.
- 30. In conducting my analysis, I compared the eight views of the sixth embodiment of the 'D087 patent (FIGS. 41-48) (the "patented 'D087 design") with the corresponding views of the Samsung Galaxy S 4G and Infuse 4G phones. In Exhibit 14, each view of the patented 'D087 design is compared to the corresponding view of the Galaxy S 4G. In Exhibit 17, each view of the patented 'D087 design is compared to the corresponding view of the Infuse 4G.

1. 'D087 design against the Galaxy S 4G

- 31. On visual inspection, it is apparent that all of the major design elements from the patented 'D087 design are also found in the Galaxy S 4G design. Just as in the patented design, the Galaxy S 4G design has:
 - a. a flat rectangular front surface with four evenly rounded corners;
 - b. an inset rectangular display screen centered on the front surface that leaves very narrow borders on either side of the display screen and substantial borders above and below the display screen;
 - c. a rounded, horizontal speaker slot centered on the front surface above the display screen,
 - d. where the rectangular front surface is otherwise substantially free of ornamentation outside of an optional button area centrally located below the display; and
 - e. a thin, continuous bezel surrounding the rectangular front surface that is substantially uniform in appearance and having an inwardly sloping profile.

(See Exhibit 14.)

32. To confirm my analysis, and to directly compare physical product against physical product, I have also included in Exhibit 15 a view-by-view comparison of the original Apple iPhone, which embodies the patented 'D087 design, against the Samsung Galaxy S 4G. As can be seen from Exhibit 15, each major design feature listed in points (a)-(e) above exists in both the Apple iPhone and the Samsung Galaxy S 4G.

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- 33. Moreover, I have conducted a "three way" analysis of the Galaxy S 4G design, the patented 'D087 design, and the prior art (i.e., the prior art cited in the 'D087 patent file history and the Samsung-identified references). In my analysis, the Galaxy S 4G design entirely overlaps with the patented 'D087 design, but is far afield from the designs of the prior art I considered. Put another way, both the patented 'D087 design and the Galaxy S 4G design depart conspicuously from the prior art designs, and do so in the same key features. This spectrum of designs is illustrated in Exhibit 16, which compares the Galaxy S 4G against the Samsung-identified references on the one hand, and the patented 'D087 design on the other.
- 34. Some minor differences exist between the Galaxy S 4G design and the patented 'D087 design. In particular:
 - a. the Galaxy S 4G has slightly thinner bands above and below the display screen;
 - b. the Galaxy S 4G has a slightly longer and thinner speaker slot;
 - c. the Galaxy S 4G has a small camera aperture in the upper right corner of the front surface;
 - d. the Galaxy S 4G uses small graphical icons to denote touch sensitive areas under its display screen;
 - e. in profile, the bezel of Galaxy S 4G is slightly thinner at the top edge and slightly thicker at the bottom edge.
- 35. These minor differences, however, merely prevent the Galaxy S 4G from being an exact copy of the patented 'D087 design. They do not carry sufficient weight to alter the overall impression created by the Galaxy S 4G design, which incorporates every major design element from the patented 'D087 design.
- 36. In my opinion, the Galaxy S 4G design is substantially the same as the patented 'D087 design and embodies that design. It is similarly my opinion that an ordinary observer purchasing a cellular phone would also find the Galaxy S 4G design to be substantially the same as the patented 'D087 design.

2. 'D087 design against the Infuse 4G

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- 37. On visual inspection, it is apparent that all of the major design elements from the patented 'D087 design are also found in the Infuse 4G design. Just as in the patented design, the Infuse 4G design has:
 - a. a flat rectangular front surface with four evenly rounded corners;
 - b. an inset rectangular display screen centered on the front surface that leaves very narrow borders on either side of the display screen and substantial borders above and below the display screen;
 - c. a rounded, horizontal speaker slot centered on the front surface above the display screen,
 - d. where the rectangular front surface is otherwise substantially free of ornamentation outside of an optional button area centrally located below the display; and
 - e. a thin, continuous bezel surrounding the rectangular front surface that is substantially uniform in appearance and having an inwardly sloping profile.

(See Exhibit 17.)

- 38. To confirm my analysis, and to directly compare physical product against physical product, I have also included in Exhibit 18 a view-by-view comparison of the original Apple iPhone, which embodies the patented 'D087 design, against the Samsung Infuse 4G. As can be seen from Exhibit 18, each major design feature listed in points (a)-(e) above exists in both the Apple iPhone and the Samsung Infuse 4G.
- 39. Moreover, I have conducted a "three way" analysis of the Infuse 4G design, the patented 'D087 design, and the prior art (i.e., the prior art cited in the 'D087 patent file history and the Samsung-identified references). In my analysis, the Infuse 4G design entirely overlaps with the patented 'D087 design, but is far afield from the designs of the prior art that I considered. Put another way, both the patented 'D087 design and the Infuse 4G design depart conspicuously from the prior art designs, and do so in the same key features. This spectrum of designs is illustrated in Exhibit 19, which compares the Infuse 4G against the Samsung-identified references on the one hand, and the patented 'D087 design on the other.

- 40. Some minor differences exist between the Infuse 4G design and the patented 'D087 design. In particular:
 - a. the Infuse 4G has slightly thinner bands above and below the display screen;
 - b. the Infuse 4G has a slightly thinner bezel when viewed from the front;
 - c. the Infuse 4G has rounded corners with a slightly smaller radius of curvature;
 - d. the Infuse 4G has a slightly longer and thinner speaker slot;
 - e. the Infuse 4G uses small graphical icons to denote touch-sensitive areas below its display screen.
- 41. These minor differences, however, merely prevent the Infuse 4G from being an exact copy of the patented 'D087 design. They do not carry sufficient weight to alter the overall impression created by the Infuse 4G design, which incorporates every major design element from the patented 'D087 design.
- 42. In my opinion, the Infuse 4G design is substantially the same as the patented 'D087 design and embodies that patented design. It is similarly my opinion that an ordinary observer purchasing a cellular phone would also find the Infuse 4G design to be substantially the same as the patented 'D087 design.
 - E. Detailed Comparison of 'D889 Design against Samsung Galaxy Tab 10.1
 - 43. The 'D889 patent is directed to the ornamental appearance of an electronic device.
- 44. Before conducting my comparison of the 'D889 patent against the Samsung Galaxy Tab 10.1 product, I reviewed the file history of the 'D889 patent and analyzed and became familiar with the prior art cited there.
- 45. In conducting my analysis, I compared the nine views of the 'D889 patent (FIGS. 1-9) with the corresponding views of the Samsung Galaxy Tab 10.1. In Exhibit 20, each view of the patented 'D889 design is compared to the corresponding view of the Galaxy Tab 10.1.

1	46.	On visual inspection, it is apparent that all of the major design elements from the
2	patented 'D88	39 design are also found in the Galaxy Tab 10.1. Just as in the patented design, the
3	Galaxy Tab 10.1 design has:	
4		a. an overall rectangular shape with four evenly rounded
5		corners;
6		b. a flat clear surface covering the front of the device that is without any ornamentation;
7		c. a thin rim surrounding the front surface;
8		c. a substantially flat back panel that rounds up near the edges to form the thin rim around the front surface; and
9		d. a thin form factor.
11	47.	I have also conducted a "three way" analysis of the Galaxy Tab 10.1, the 'D889
12	design, and th	ne prior art cited in the 'D889 patent file history. In my analysis, the Galaxy Tab
13	10.1 design en	ntirely overlaps with the patented 'D889 design, but is far afield from the designs of
14	the prior art I	considered. Put another way, both the 'D889 design and the Galaxy Tab 10.1
15	design depart	conspicuously from the prior art designs in the same key features. This spectrum of
16	designs is illu	strated in Exhibit 21, which compares the Galaxy Tab 10.1 against two of the
17	closest prior a	art references from the 'D889 patent file history on the one hand, and the patented
18	'D889 design	on the other.
19	48.	Some minor differences exist between the Galaxy Tab 10.1 and the patented
20	'D889 design	. In particular:
21		a. the Galaxy Tab 10.1, held in vertical or portrait view, has a
22		slightly higher height-to-width ratio;
23		b. the Galaxy Tab 10.1 is slightly more rounded in its edge profiles; and
24		c. the Galaxy Tab 10.1 has a slightly thinner form factor.
25	49.	These minor differences, however, merely prevent the Galaxy Tab 10.1 from being
26	exact copy of	the patented 'D889 design. They do not carry sufficient weight to alter the overall
27	impression cr	eated by the Galaxy Tab 10.1 design, which incorporates every major design
28	element from	the 'D889 design.

1	50.	In my opinion, the Galaxy Tab 10.1 design is substantially the same as the 'D889
2	design and em	bodies that patented design. It is similarly my opinion that an ordinary observer
3	purchasing an	electronic device would also find the Galaxy Tab 10.1 design to be substantially
4	the same as the	e patented 'D889 design.
5	F.	My Article on the Gorham Spoons
6	51.	I am the author of the article entitled One Man's Crusade: How a Spoon
7	Revolutionized	Design Protection in America, which was published in the Summer 2010 issue of
8	Innovation ma	gazine (attached as Exhibit 22). This article chronicles my efforts to locate a
9	sample of LeR	oy S. White's infringing spoon from the Supreme Court's landmark Gorham v.
10	White decision	n, which set forth the "ordinary observer" test for design patent infringement. See
11	Gorham Co. v.	White, 81 U.S. 511 (U.S. 1872).
12	52.	As discussed in the article, I was able to identify and obtain seven teaspoons of
13	Mr. White's in	fringing design and conducted a side-by-side comparison of these samples against
14	the figures of l	Mr. Gorham's design patent. This detailed analysis revealed differences between
15	the two design	s that I believe are discernible to the ordinary observer. In my opinion, the
16	existence of di	scernible differences in Mr. White's spoon provides further context to the Supreme
17	Court's Gorha	m decision, in which the White spoon design was found to be "substantially the
18	same" as Mr. (Gorham's patented spoon design under the ordinary observer test, despite these
19	discernible dif	ferences.
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21	I declar	re under penalty of perjury that the forgoing is true and correct.
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23	Dated: June 2	O, 2011 Clocoting
24		COOPER C. WOODRING
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EXHIBIT B

FILED UNDER SEAL

EXHIBIT C

FILED UNDER SEAL

Exhibit D

Cooper Coolidge Woodring, FIDSA $\! ^{ \circ }$

131 Highland Avenue, Wakefield, RI 02879.3416, USA

Phone: 401.284.0890, Cell: 401.527.2171, e mail: ccwodring@cox.net

Education:

1955-1960	Bachelor of Fine Arts in Industrial Design, University of Kansas, Lawrence, Kansas
1960-1962	Master of Fine Arts in Design, Cranbrook Academy of Art, Bloomfield Hills, Michigan.

Employment:

1962-1964	F. Eugene Smith Associates, Bath, Ohio, Designer
1963-1964	Akron Art Institute, Ohio, Instructor in Industrial Design and Modelmaking
1964-1969	BFGoodrich Co., New York City, Designer for BFG Tire Co. and BFG Research Center
1969-1971	JCPenney Co., Inc., New York City, Product Designer
1971-1974	JCPenney Co., Inc., New York City, Senior Product Designer
1974-1983	JCPenney Co., Inc., New York City, Manager, Product Design
1983-1986	JCPenney Co., Inc., New York City, Manager, New Product Development and Design
1986-1997	Better Mousetraps, Inc., Plandome, New York, President
1997- 2003	Independent Consultant Industrial Designer and Expert Witness, Topeka, KS
2003 - 2008	Independent Consultant Industrial Designer and Expert Witness, Corpus Christi, TX
2007 - 2008	Executive Director, Industrial Designers Society of America (IDSA), Washington, DC
2008 - Present	Independent Consultant Industrial Designer and Expert Witness, Wakefield, RI

Professional:

1967	Member: Industrial Designers Society of America (IDSA)
1978	Chairman, New York Chapter, IDSA
1979	Chairman, IDSA National Conference, Washington, D.C.
1979	Recipient: New York Chapter, IDSA Bronze Apple Award
1982	Recipient: Fellowship, IDSA (Represents less than 2% of IDSA's membership)
1984-1985	Executive Vice President, IDSA
1985-1986	President, IDSA

1986-1988	Chairman, IDSA	
1989-1990	Chairman, IDSA Government Affairs Committee	
1990-1995	Chairman, IDSA Design Legislation Committee	
1992	Recipient: IDSA's Personal Recognition Award	
1993	Member, Kansas Association of Inventors	
1996	Chairman, IDSA National Nominations Committee	
1999	Appointment, Juror in IDSA 's Annual Design Awards Program, IDEA 2000	
1999	Founder & Co-Chair, IDSA's Design Protection Section (with Perry J. Saidman, IDSA)	
2001	Founding Trustee, The Design Foundation, Inc. (IDSA's 501.c.3 charitable organization)	
2001	United States Delegate to ICSID Conference, Seoul, Korea	
2003	United States Delegate to ICSID Conference, Berlin, Germany	
Awards, Honors & Other Activities:		
1976-1983	Elected Trustee - Incorporated Village of Plandome, NY	
1979	Citation for Distinguished Service and Achievement Design Alumni Society, University of Kansas	
1979	First Industrial Designer to Address "The Conference Board"	
1983-1985	Elected Mayor - Incorporated Village of Plandome, NY	
1983-1991	Part Owner - ID (Industrial Design) Magazine	
1985-1987	Reelected Mayor - Incorporated Village of Plandome, NY	
1985	Responsible for ICSID "WORLDESIGN", Washington, DC (International Congress of Societies of Industrial Design) "The World's Largest Gathering of Industrial Designers"	
1986	Presidential Appointment to Head USIA's Cultural Exchange Mission "Design in America", behind the Iron Curtain	
1986	Inducted, Charter Member, JCPenney Inventor's Club	
1987	Testified before U.S. Senate, Industrial Design Bill (S-791)	
1989-1994	International Congress of the Societies of Industrial Design (ICSID) Representative to the United Nations	

1992	Testified before U. S. House of Representatives Industrial Design Innovation & Technology Act (HR-1790)	
1992	Gubernatorial Appointment: Kansas Historic Sites Board of Review State and National Register of Historic Places	
1993	Guest Educator - University of Kansas, Dept. of Design Senior course in Industrial Design in Spring 1993	
1993	Elected to Board of Directors, Friends of Cedar Crest Association Kansas Governor's Residence, Topeka	
Feb. 1993	Appointed, Heritage Trust Fund, Board of Review Allocation of \$600,000 Federal Funds to Kansas Historic Sites	
1994	Elected to Board of Directors, Historic Topeka, Inc.	
Feb. 1994	Appointed, Heritage Trust Fund, Board of Review Allocation of \$650,000 Federal Funds to Kansas Historic Sites	
1994	Elected to Board of Directors, Kansas State Historical Society	
Feb. 1995	Appointed, Heritage Trust Fund, Board of Review Allocation of \$650,000 Federal Funds to Kansas Historic Sites	
1995	Elected to Board of Directors, Mulvane Art Center of Topeka, Inc.	
April 1996	Elected to University of Kansas, School of Fine Arts Board of Advisors	
Fall 1997	Elected, Board of Directors, Friends of the Free State Capitol A preservation group to save the former Kansas State Capitol	
Summer 1998	Selection Committee, Kansas State Historical Society Governor's Architectural Preservation Award	
June 2000	Elected President of Board of Directors, Mulvane Art Museum	
June 2001	Reelected President of Board of Directors, Mulvane Art Museum	
Nov. 2001	Elected, Vice President, Board of Directors, Kansas International Museum	
Aug. 2005	Appointed, Chairman of IDSA's Academy of Presidents	
Speeches and Publications:		

Sep./Oct.1976 ID Magazine, Cover Article, "Design System Transforms Mass Retailer"

1977	Quotes, 75th Anniversary Edition, JCPenney News
1981	ID Magazine, "The Design Manager's Opinion"
1982	IDSA Journal, innovation, "Designing with Corporate Goals in Mind"

1983	Speaker, "Profits by Design", New York Chamber of Commerce and Industry
Fall 1983	IDSA Journal, innovation, "The Client's Role in Great Design"
Apr. 19, 1984	Quote, Philadelphia Daily News, "Read This - Quick!"
Aug. 8, 1984	Interview, National Public Radio (1090) Seattle
July 11, 1984	Speaker, Consumer Product Safety Commission (CPSC) Conference, Washington, DC
1985	Speaker, WORLDESIGN Conference, Washington, DC "Driving Forces - What Shapes American Design"
1985	Article, New Product Development Newsletter "The CW Formula for Successful New Products"
1985	Speaker/Panelist, International Design Conference/Aspen "Everyday Art, Retailers v Museums"
Nov. 1985	Speaker, 1st New Products Design Conference, New York "The Best Kept American Business Secret"
Nov. 14, 1985	Article, NY Times, Cover Home Section "Made in America - How Does the US Fare in Design?"
1985	Jury's Introduction to Consumer Product Section, 5 Years of IDSA Design Excellence
Dec. 14, 1985	Article, The Economist, "World Business"
1985	Editorial, IDSA Newsletter "Design Perspectives"
July 15, 1986	Quote, Wall Street Journal, "Italian Designer of Sleek Autos Expands to US"
Sept. 10, 1986	Keynote Speech, USIA Lecture Series "Design in America", Belgrade, Yugoslavia
Sept. 12, 1986	Keynote Speech, USIA Lecture Series "Design in America", Zagreb, Yugoslavia
Dec. 2, 1986	Keynote Speech, USIA Lecture Series "Design in America", Ljubljana, Yugoslavia
Dec. 5, 1986	Keynote Speech, USIA Lecture Series "Design in America", Sarajevo, Yugoslavia
June 1986	Feature Article, Technical Aesthetics, Soviet Publication "Artists Do What They Want, Designers Want What They Do"
1986	Introduction Speech, The Whitney Museum of American Art "High Styles - Twentieth Century American Design"
June 1987	Full Page Interview, Housewares Executive Magazine
July 1987	Article, High Technology, "Design With People in Mind"
Aug. 27, 1987	Article, The London Financial Times, "The Sacrificial Enhancement Syndrome"

1987	Testimony, US Senate, Committee on The Judiciary Subcommittee on Patents, Copyrights and Trademarks
Feb. 4, 1988	Article, NY Times, "US - Soviet Accord on Design"
1988	Speaker, Design Management Institute (DMI) Conference "Strategies for New Product Development"
1988	Article, DesignWeek, British Publication
Nov. 13, 1988	Quotes, NY Times, Ideas and Trends "A Bigger American Following for Industrial Design - A New Approach to Products From Cars to Copiers"
Fall 1989	Keynote Address, University of Baltimore Law School "A Designer's View of Current Design Protection"
Nov. 17, 1989	Quote, DesignWorld, Australian Publication, "Design in the Soviet Union"
1990	Speaker, IDSA Annual Conference, "The Profession After Design Legislation"
Jan. 29, 1992	Testimony, US House of Representatives Subcommittee on Intellectual Property and Judicial Administration The Industrial Design Innovation and Technology Act
May/June 1992	2 Quote, ID Magazine, "Should We Copyright Design ?"
May 20, 1992	Speaker, International Congress of the Societies of Industrial Design 17th ICSID Conference, Ljubljana, Slovenia "Designing a New Nation for Global Competitiveness"
Aug. 20, 1992	Speaker, IDSA Worldesign Conference, San Francisco "If Ralph had said 'Design' instead of 'Build' a Better Mousetrap"
Oct. 14, 1992	Speaker, Rocky Mountain Chapter, IDSA, "Are Industrial Designs Intellectual Property?"
Nov. 9, 1992	Speaker, Topeka Chamber of Commerce, "How to Profit from Your Ideas"
Dec. 6, 1992	The Topeka Capital-Journal, Front Page Business Section, "Making Better Mousetraps"
Sept. 1993	Speaker, Kansas City Chapter, IDSA Do Industrial Designers Create Intellectual Property?
Nov. 1993	Article, The Design Management Institute (DMI) Journal "U.S. Policy & it's Effect on the Economic Value of Design"
May 16, 1994	Wall Street Journal - "Another Gizmo to Indulge Our Love of Garlic" Article about Better Mousetraps' GarlicEXPRESS
Jun. 11, 1994	The Topeka Capital-Journal, Front Page Business Section "Better Mousetraps Smells a Winner" - Half Page Article

Nov./Dec. 1994Article, Inventor's Digest Magazine - 5 Page Article, "The Economic Value of Design"		
Sept. 1995	Speaker, International Congress of the Societies of Industrial Design (ICSID) Taipei, Taiwan - "Design in the 21st Century"	
Oct. 23, 1996	The National Conference on Industrial Design Protection "Designer's View on What Should be Protected" Sponsors: American Intellectual Property Law Association and the Industrial Designers Society of America (IDSA)	
Sept. 25, 1997	Design Management Institute (DMI) Annual Conference "Design Patents: An Underutilized Competitive Weapon", Newport, RI	
Spring 1998	Kansas Technology Enterprise Corporation (KTEC), Advanced Manufacturing Institute Speaker at Annual Conference, "Design and Manufacturing"	
Summer 1998	Juror for NorthWest Chapter, IDSA, Annual Awards Competition	
October 1998	Speaker, International Congress of the Societies of Industrial Design (ICSID) Pittsburgh, PA, "Design Patents in United States"	
Dec. 1998	International Congress of the Societies of Industrial Design (ICSID) News "Design Protection in the US"	
May 13, 1999	Public Hearing, Testimony, United States Patent & Trademark Office (USPTO) The Hague Agreement Concerning the International Registration of Industrial Designs	
Aug. 25, 1999	United States Patent & Trademark Office (USPTO) Annual Open House Keynote Speaker: "The Importance of Design to Business & the US Economy"	
March 2000	Juror for IDSA's Industrial Design Excellence Awards (IDEA) Program Selections announced in the June 2, 2000 edition of <u>BusinessWeek</u> Magazine	
March 2000	Speaker at IDSA's Midwest Regional Conference, St. Louis, MO "On the Witness Stand for Design"	
Sep. 23, 2000	Presentation at IDSA Annual Conference, New Orleans, LA Mock Trial on Design Patent Infringement with Perry J. Saidman, IDSA	
Jan. 14, 2001	Speaker, National Housewares Show, Chicago, IL "On the Witness Stand for Design"	
Jan. 15, 2001	Speaker, Chicago Chapter, IDSA "On the Witness Stand for Design"	
Apr. 07, 2001	Speaker, Southern District Conference, IDSA "On the Witness Stand for Design"	
Aug. 16, 2001	Presentation at IDSA Annual Conference, Boston, MA "Top Ten Mistakes Designers & Patent Attorneys Make in Filing Design Patents"	

Nov. 2001	Keynote Speaker, Fédération Internationale des Conseils en Propriété Industrielle Rome, Italy (FICPI is the International Association of Intellectual Property Attorneys)	
July 2002	Presentation at IDSA Annual Conference, Monterey, CA "The Latest Skinny on Design Patents, Do's & Don't's"	
Aug. 2003	Presentation at IDSA Annual Conference, New York City "US Design Patents & The New Registration System in the European Community	
Jan./Feb. 2004 Silver Magazine, "The Trial of the Century: Gorham Verses White, 1871"		
Sept. 8, 2005	Keynote Speaker, International Trademark Association (INTA) 2005 Worldwide Forum on Marks & Designs, Vancouver, British Columbia, Canada in Cooperation with the World Intellectual Property Organization (WIPO)	
Mar. 3, 2006	Presenter, "Design Patents, The Currency of the Innovation Age" Innovation Imperative, The University of Cincinnati	
Apr. 10, 2006	Keynote Presenter, The First USPTO "Design Day", Washington, DC	
May 2006	Keynote Speaker, Fédération Internationale des Conseils en Propriété Industrielle Paris, France (FICPI is the International Association of Intellectual Property Attorneys)	
Oct. 2007	Presenter, IDSA/ICSID WorldDesign Conference, San Francisco, CA "On the Witness Stand for Design"	
June 2008	Taught IDSA Continuing Education Seminar in Reston, VA with Perry J. Saidman, Esq. "How to Serve as an Expert Witness in Design Patent Litigation"	
1972-Present	Guest Lecturer, Industrial Design Department	
	Auburn University Carnege-Mellon University Cranbrook Academy of Art Dartmouth College Georgia Institute of Technology Harvard University Illinois Institute of Technology Massachusetts Institute of Technology Pratt Institute Rhode Island School of Design Stanford University The Art Center University of Cincinnati University of Kansas	

Exhibit E



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The Statue of Liberty Design Patent

In 1879, Frédéric Auguste Bartholdi was granted this design patent of his masterpiece which would become a national monument and a universal symbol of freedom and democracy. The design consists of a woman holding a torch and book which represent attributes of wisdom. The statue's stern face is rumored to have been modeled after Bartholdi's mother, and the statue's body modeled after his wife. The design patent allowed exclusive profits from small copies of the statue which proceeded to help build the full-size statue that stands tall on Liberty Island today. The 151-foot-tall statue was completed in 1886 and presented to the U.S. as a centennial commemoration of its Declaration of Independence. According to some sources, roughly ten years after the Statue of Liberty was received by the US, they donated \$10,000,000 USD to a number of charities in France.

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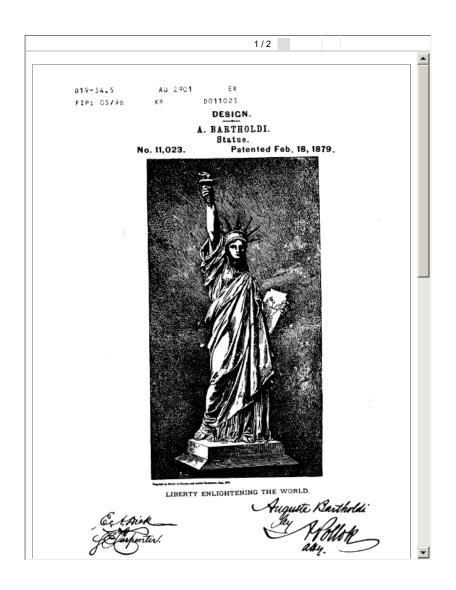
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Volkswagen Beetle

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Oakley Sunglasses

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Dolce & Gabbana Handbag

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Coca-Cola Bottle

Starbucks Chocolate

Kellogg's Eggo Waffles

Lay's Wavy Chips

Planters Mr. Peanut

miscellaneous

Statue of Liberty

Google Homepage

Microsoft's Wingdings Font

Star Wars' Yoda

Batman

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FIP: 05/96 XR

0011023

DESIGN.

A. BARTHOLDI.

Statue.

No. 11,023.

Patented Feb. 18, 1879.



LIBERTY ENLIGHTENING THE WORLD.

Auguste Bartholdi My Holloth any.

UNITED STATES PATENT OFFICE.

AUGUSTE BARTHOLDI, OF PARIS, FRANCE.

DESIGN FOR A STATUE.

Specification forming part of Design No. 11,023, dated February 18, 1879; application filed January 2, 1879.

[Term of patent 14 years.]

To all whom it may concern:

Be it known that I, AUGUSTE BARTHOLDI, of Paris, in the Republic of France, have originated and produced a Design of a Monumental Statue, representing "Liberty enlightening the world," being intended as a commenorative monument of the independence of the United States; and I hereby declare the following to be a full, clear, and exact description of the same, reference being had to the accompanying illustration, which I submit as

part of this specification.

The statue is that of a female figure standing erect upon a pedestal or block, the body being thrown slightly over to the left, so as to gravitate upon the left leg, the whole figure being thus in equilibrium, and symmetrically arranged with respect to a perpendicular line or axis passing through the head and left foot. The right leg, with its lower limb thrown back, is bent, resting upon the bent toe, thus giving grace to the general attitude of the figure. The body is clothed in the classical drapery, being a stola, or mantle gathered in upon the left shoulder and thrown over the skirt or tunic or under-garment, which drops in voluminous folds upon the feet. The right arm is thrown up and stretched out, with a flamboyant torch grasped in the hand. The flame of the torch is thus held high up above the figure. The arm is nude; the drapery of the sleeve is dropping down upon the shoulder in voluminous folds. In the left arm, which is falling against the body, is held a tablet, upon which is inscribed "4th July, 1776." This tablet is made to rest against the side of the body, above the hip, and so as to occupy an inclined position with relation thereto, exhibiting the inscription. The left hand clasps the tablet so as to bring the four fingers onto the face thereof. The head, with its classical, yet severe and calm, features, is surmounted by a crown or diadem, from which radiate divergingly seven rays, tapering from the crown, and representing a halo. The feet are bare and sandal-strapped.

This design may be carried out in any manner known to the glyptic art in the form of a statue or statuette, or in alto-relievo or bass-relief, in metal, stone, terra-cotta, plaster-of-paris, or other plastic composition. It may also be carried out pictorially in print from engravings on metal, wood, or stone, or by

photographing or otherwise.

What I claim as my invention is-

The herein-described design of a statue representing Liberty enlightening the world, the same consisting, essentially, of the draped female figure, with one arm upraised, bearing a torch, while the other holds an inscribed tablet, and having upon the head a diadem, substantially as set forth.

In testimony whereof I have signed this specification in the presence of two subscrib-

ing witnesses.

A. BARTHOLDI.

Witnesses:

C. TERINIER, COTTIN.