

# EXHIBIT B



1 MR. SHAH: Ali Shah, WilmerHale, for the witness  
2 and representing Apple.

3 THE VIDEOGRAPHER: Thank you.

4 And will the reporter now swear or affirm  
5 the witness.

6

7 TONY GIVARGIS, PH.D.,

8 called as a witness, having been duly sworn by  
9 the court reporter, was examined and testified  
10 as follows:

11

12 EXAMINATION

13 BY MS. MAROULIS:

14 Q Good morning, Mr. Givargis. How are you  
15 today?

16 A Good, thank you.

17 Q My name is Victoria Maroulis and I will be  
18 asking you some questions today.

19 Have you ever been deposed before?

20 A No.

21 Q In that case let me briefly run you through  
22 the rules of the deposition.

23 First of all, do you understand that you  
24 are testifying today like you would be in a court of  
25 law under oath even though we're sitting in a

1 thing?

2 A Not the entire thing, no.

3 Q How did you decide what portions of that  
4 file history to review?

5 A The file history was provided to me by the  
6 attorneys.

7 Q The file history that you see before you is  
8 double-sided, so do you remember giving me documents  
9 that is this thick or thicker or was it a smaller  
10 document?

11 A I recall a smaller document.

12 MS. MAROULIS: Counsel, I would appreciate  
13 seeing the version of the file history that was  
14 provided to the witness.

15 MR. SHAH: I can represent that we provided the  
16 certified file history.

17 MS. MAROULIS: The entire file history?

18 MR. SHAH: We did.

19 MS. MAROULIS. Via PDF file, not via paper.

20 Q BY MS. MAROULIS: This is not a memory  
21 test, but do you recall any references to Java in  
22 the context of applets in the file history?

23 A There was absolutely no reference to Java  
24 in the file history that I reviewed.

25 Q Was there any reference to applet being

1 operating-systems independent?

2 A I do not recall any reference to operating-  
3 system-independent applets in the file history that  
4 I reviewed.

5 Q Turning back to Exhibit 2 which is the  
6 patent-in-suit, is it correct, sir, that there's  
7 only one place where applets are mentioned in the  
8 patent?

9 A There is only one place in the  
10 specification that refers to patents.

11 Q Thank you.

12 A And to applet.

13 Q Thank you for correcting me. I did mean  
14 specification.

15 And is it correct, sir, that that place in  
16 the specification is Column 3, Lines 8 through 14?

17 A Yes, that is correct.

18 Q This passage does not mention Java as well,  
19 correct?

20 A That is correct.

21 Q And it does not mention operating-systems  
22 independent.

23 A That is correct, yes.

24 Q Why do you cite this passage to support  
25 your definition in your declaration?

1           A     Yes. The important element of this passage  
2 is the part that says at least one applet within an  
3 application model -- module or in each of the  
4 application modules, and that relationship of an  
5 applet within an application module or in the  
6 context of an application module is relevant to my  
7 understanding and definition of applets being  
8 interpreted by a host application module.

9           Q     Where do you see the word "within," sir?

10          A     There is no "within" in this, in this  
11 particular text.

12          Q     Okay.

13          A     There's an association, yes.

14          Q     Can you explain how you read this last  
15 sentence to support your definition.

16          A     "Application modules of the portable  
17 terminal include at least one applet and each of the  
18 application modules, that is each menu of the  
19 portable terminal, independently performs multi-  
20 tasking."

21                 So as I interpret it, the applets run  
22 within or execute within an application module or  
23 execute in the context of an application module.

24          Q     Do you draw a distinction between  
25 "application module" and "program"?

1           A       Typically in computer science the two terms  
2 are often interchangeable, but an application module  
3 does represent an entity that has certain features  
4 that one can -- one can point at or determine.

5           Q       So you agree me that in the definition at  
6 issue we can use "program" instead of "application  
7 module"?

8           MR. SHAH:  Objection; mischaracterizes the  
9 testimony.

10          THE WITNESS:  I agree that commonly one would,  
11 in conversational sort of environments one would  
12 sort of interchange "program" with "application" but  
13 an application is a well-defined entity.

14          Q       BY MS. MAROULIS:  What do you mean when you  
15 say application is a well-defined entity?

16          A       A computer program is just some  
17 instructions on how to compute the function.  An  
18 application certainly has a program or performs many  
19 functions but in addition has certain properties.  
20 It has a starting point, it has some resource  
21 utilization requirements, it is typically packaged  
22 in some form so it can be distributed or stored on a  
23 hard disc, et cetera.

24          Q       What in particular about this sentence  
25 supports the notion in your declaration of

1 operating-system independent?

2 A This passage does not make reference to  
3 operating-system independent. However, the  
4 association between an applet and an application  
5 module, together with the claim language and the  
6 prosecution, the file history, does suggest to me  
7 that the applet requires the application module as  
8 a, sort of as a context, and that relationship is  
9 what one would expect from Java applets or Java-like  
10 applets, that interpreted.

11 Q Setting aside the claim language and  
12 prosecution history, is it correct that there's  
13 nothing in this particular passage that indicates  
14 operating-system independence?

15 A Nothing in the passage mentions anything  
16 about being operating-system independent, yes.

17 Q Let's take a look at the claim language.  
18 For example, Claim 1 in Column 7, do you see that?

19 A Yes.

20 Q The relevant limitation is "Generating a  
21 music background play object, wherein the music  
22 background play object includes an application  
23 module including at least one applet."

24 Is there any mention of operating-system  
25 independence here?



1           A       No.

2           Q       Is there anything in this claim that you  
3 see that supports your notion of operating-system  
4 independence?

5           A       What I see in this sentence, passage, is,  
6 again, the association between an applet running or  
7 an applet that is within an application module and  
8 that association to me suggests a Java-like  
9 interpreted environment.

10          Q       Did you review the testimony of the  
11 inventor of this patent?

12          A       Yes. I reviewed a subset of it.

13          Q       Did you see that the inventor who was  
14 developing this technology was working with system-  
15 dependent applets?

16          A       That is correct, yes.

17          Q       Which system-dependent applets was he  
18 working with, to your understanding?

19          MR. SHAH: If you need to see any documents to  
20 refresh your recollection, you can ask.

21          THE WITNESS: Yes. I think this one I can  
22 answer without the document, but it was a Qualcomm  
23 chipset.

24          Q       BY MS. MAROULIS: Do you disagree that the  
25 technology he was working on is described by Claim

1 1?

2 MR. SHAH: Object to the extent it calls for a  
3 legal conclusion.

4 THE WITNESS: I have not formed that position  
5 yet.

6 Q BY MS. MAROULIS: Do you understand that he  
7 was asked during deposition about the embodiments of  
8 the patent?

9 MR. SHAH: Same objection.

10 THE WITNESS: Yes. I'm not sure exactly what he  
11 was asked.

12 Q BY MS. MAROULIS: If the technology that he  
13 was working on embodies this claim would you agree  
14 with me that the claim includes applets that are  
15 also system dependent?

16 MR. SHAH: Same objection.

17 THE WITNESS: Based on -- I recognize that the  
18 inventor was working with a system that was  
19 OS-dependent, specifically the Qualcomm chipset.  
20 However, that use of the term "applet" within that  
21 context was unusual or it was not consistent with  
22 the common understanding of the term "applet" at the  
23 time and the '711 patent does not make that  
24 distinction clear.

25 Q BY MS. MAROULIS: If the '711 patent does

1 State of California )  
2 County of Los Angeles ) ss.  
3

4 I, SUSAN A. SULLIVAN, CALIFORNIA CSR No.  
5 3522, RPR, CRR, do hereby certify:

6 That prior to being examined TONY GIVARGIS,  
7 PH.D., the witness named in the foregoing  
8 deposition, was, before the commencement of the  
9 deposition, duly administered an oath in accordance  
10 with C.C.P. Section 2094;

11 That the said deposition was taken before  
12 me at the time and place therein set forth, and was  
13 taken down by me in shorthand and thereafter  
14 transcribed into typewriting under my direction and  
15 supervision; that the said deposition is a true and  
16 correct record of the testimony given by the  
17 witness;

18 I further certify that I am neither counsel  
19 for, nor in any way related to any party to said  
20 action, nor in any way interested in the outcome  
21 thereof.

22 IN WITNESS WHEREOF, I have subscribed my  
23 name on this 6th day of December, 2011.

24  
25

  
SUSAN A. SULLIVAN