

# EXHIBIT D



1 MR. SHAH: Ali Shah, WilmerHale, for the witness  
2 and representing Apple.

3 THE VIDEOGRAPHER: Thank you.

4 And will the reporter now swear or affirm  
5 the witness.

6

7 TONY GIVARGIS, PH.D.,

8 called as a witness, having been duly sworn by  
9 the court reporter, was examined and testified  
10 as follows:

11

12 EXAMINATION

13 BY MS. MAROULIS:

14 Q Good morning, Mr. Givargis. How are you  
15 today?

16 A Good, thank you.

17 Q My name is Victoria Maroulis and I will be  
18 asking you some questions today.

19 Have you ever been deposed before?

20 A No.

21 Q In that case let me briefly run you through  
22 the rules of the deposition.

23 First of all, do you understand that you  
24 are testifying today like you would be in a court of  
25 law under oath even though we're sitting in a

1 operating-system independent?

2 A This passage does not make reference to  
3 operating-system independent. However, the  
4 association between an applet and an application  
5 module, together with the claim language and the  
6 prosecution, the file history, does suggest to me  
7 that the applet requires the application module as  
8 a, sort of as a context, and that relationship is  
9 what one would expect from Java applets or Java-like  
10 applets, that interpreted.

11 Q Setting aside the claim language and  
12 prosecution history, is it correct that there's  
13 nothing in this particular passage that indicates  
14 operating-system independence?

15 A Nothing in the passage mentions anything  
16 about being operating-system independent, yes.

17 Q Let's take a look at the claim language.  
18 For example, Claim 1 in Column 7, do you see that?

19 A Yes.

20 Q The relevant limitation is "Generating a  
21 music background play object, wherein the music  
22 background play object includes an application  
23 module including at least one applet."

24 Is there any mention of operating-system  
25 independence here?

1 A No.

2 Q Is there anything in this claim that you  
3 see that supports your notion of operating-system  
4 independence?

5 A What I see in this sentence, passage, is,  
6 again, the association between an applet running or  
7 an applet that is within an application module and  
8 that association to me suggests a Java-like  
9 interpreted environment.

10 Q Did you review the testimony of the  
11 inventor of this patent?

12 A Yes. I reviewed a subset of it.

13 Q Did you see that the inventor who was  
14 developing this technology was working with system-  
15 dependent applets?

16 A That is correct, yes.

17 Q Which system-dependent applets was he  
18 working with, to your understanding?

19 MR. SHAH: If you need to see any documents to  
20 refresh your recollection, you can ask.

21 THE WITNESS: Yes. I think this one I can  
22 answer without the document, but it was a Qualcomm  
23 chipset.

24 Q BY MS. MAROULIS: Do you disagree that the  
25 technology he was working on is described by Claim

1 1?

2 MR. SHAH: Object to the extent it calls for a  
3 legal conclusion.

4 THE WITNESS: I have not formed that position  
5 yet.

6 Q BY MS. MAROULIS: Do you understand that he  
7 was asked during deposition about the embodiments of  
8 the patent?

9 MR. SHAH: Same objection.

10 THE WITNESS: Yes. I'm not sure exactly what he  
11 was asked.

12 Q BY MS. MAROULIS: If the technology that he  
13 was working on embodies this claim would you agree  
14 with me that the claim includes applets that are  
15 also system dependent?

16 MR. SHAH: Same objection.

17 THE WITNESS: Based on -- I recognize that the

18 inventor was working with a system that was

19 OS-dependent, specifically the Qualcomm chipset.

20 However, that use of the term "applet" within that  
21 context was unusual or it was not consistent with  
22 the common understanding of the term "applet" at the  
23 time and the '711 patent does not make that  
24 distinction clear.

25 Q BY MS. MAROULIS: If the '711 patent does

1 not make a distinction clear between system  
2 dependent and system independent, do you agree then  
3 that includes both?

4 MR. SHAH: Objection to form.

5 THE WITNESS: It could include, it could be  
6 both, but the common understanding again would be  
7 that it is consistent with applets as being  
8 OS-independent, as being the more likely case.

9 Q BY MS. MAROULIS: It is more likely but it  
10 is not exclusively so, correct?

11 MR. SHAH: Objection; mischaracterizes his  
12 testimony.

13 THE WITNESS: If I were to read this or if  
14 somebody who would be familiar with the area were to  
15 read this in 2005 it would be assumed or it would be  
16 understood for an applet to be an OS-independent  
17 applet.

18 Q BY MS. MAROULIS: Would a person reading  
19 this in 2005 be aware of applets in other language  
20 environments?

21 A Yes.

22 MS. MAROULIS: Okay. We can take a five-minute  
23 break.

24 THE WITNESS: Thank you.

25 THE VIDEOGRAPHER: The time is 9:54 a.m. and we

1 State of California )  
2 County of Los Angeles ) ss.  
3

4 I, SUSAN A. SULLIVAN, CALIFORNIA CSR No.  
5 3522, RPR, CRR, do hereby certify:

6 That prior to being examined TONY GIVARGIS,  
7 PH.D., the witness named in the foregoing  
8 deposition, was, before the commencement of the  
9 deposition, duly administered an oath in accordance  
10 with C.C.P. Section 2094;

11 That the said deposition was taken before  
12 me at the time and place therein set forth, and was  
13 taken down by me in shorthand and thereafter  
14 transcribed into typewriting under my direction and  
15 supervision; that the said deposition is a true and  
16 correct record of the testimony given by the  
17 witness;

18 I further certify that I am neither counsel  
19 for, nor in any way related to any party to said  
20 action, nor in any way interested in the outcome  
21 thereof.

22 IN WITNESS WHEREOF, I have subscribed my  
23 name on this 6th day of December, 2011.

24  
25   
SUSAN A. SULLIVAN