1			
1	Charles K. Verhoeven (Bar No. 170151)		
2	<u>charlesverhoeven@quinnemanuel.com</u> 50 California Street, 22 <sup>nd</sup> Floor		
3	San Francisco, California 94111 Telephone: (415) 875-6600		
4	Facsimile: (415) 875-6700		
5	Kevin P.B. Johnson (Bar No. 177129) kevinjohnson@quinnemanuel.com		
6	Victoria F. Maroulis (Bar No. 202603) victoriamaroulis@quinnemanuel.com		
7	555 Twin Dolphin Drive, 5 <sup>th</sup> Floor Redwood Shores, California, 94065-2139		
8	Telephone: (650) 801-5000 Eacsimile: (650) 801-5100		
9	1 acsimile. (050) 801-5100		
10	Michael T. Zeller (Bar No. 196417) michaelzeller@guinnemanuel.com		
11	865 S. Figueroa St., 10th Floor		
12	Telephone: (213) 443-3000		
13	1 acsimile. (213) 443-3100		
14	Attorneys for SAMSUNG ELECTRONICS CO.,		
15	ILTD., SAMSUNG ELECTRONICS AMERICA, INC. and SAMSUNG TELECOMMUNICATIONS AMERICA, LLC		
16			
17	UNITED STATES	DISTRICT COURT	
18	NORTHERN DISTRICT OF CA	LIFORNIA, SAN JOSE DIVISION	
19			
20	APPLE INC., a California corporation,	CASE NO. 11-cv-01846-LHK	
21	Plaintiff,	SAMSUNG'S RESPONSE TO APPLE'S	
22	VS.	BRIEF	
23	SAMSUNG ELECTRONICS CO., LTD., a	Claim Construction	
24	Korean business entity; SAMSUNG ELECTRONICS AMERICA, INC., a New	Hearing:         January 20, 2012           Time:         10:00 a.m.	
25	York corporation; SAMSUNG TELECOMMUNICATIONS AMERICA,	Place: Courtroom 4, 5 <sup>th</sup> Floor Judge: Honorable Lucy H. Koh	
26	LLC, a Delaware limited liability company,		
23	Defendant.		
28			
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02170.31033/430/302.0	SAMSUNG'S RESPONSE TO APPLE'S OPENING CLAIM CONSTRUCTION BRIEF		
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#### $1 \| \mathbf{I}. \quad \mathbf{INTRODUCTION}$

2 Defendants and counterclaimants Samsung Electronics Co., Ltd., Samsung Electronics 3 America, Inc., and Samsung Telecommunications America, LLC (collectively "Samsung") 4 respectfully submit this response brief on eight disputed claim terms from Apple's '002, '381, 5 '607, '828, '915 and '891 patents. Apple's proposed constructions violate the most fundamental 6 cannons of claim construction, consistently disregarding and contradicting the plain claim 7 language, unmistakable disclaimers made during prosecution of its patents, and the teachings of 8 the specifications. Apple's attempts to enlarge the scope of its claims is an obvious attempt to 9 capture technology found in Samsung's products that falls well outside the metes and bounds of 10 Apple's patents. In sharp contrast, Samsung's constructions clarify the plain claim language and 11 bring to light the unmistakable disclaimers and disavowals Apple made during prosecution of its 12 patents and the teachings of the patents' specifications. Accordingly, Samsung respectfully 13 requests that the Court adopt its constructions for the sound reasons articulated in this brief.

14 ||

II.

#### ARGUMENT

A.

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### U.S. Patent No. 6,493,002

1. "the first window region . . . implemented in a window layer that appears on top of application programming windows that may be generated"

10	Claim Term	Samsung's Construction	Apple's Construction
19	"the first window region and	The first window and the	Plain and ordinary meaning.
20	the plurality of independent	plurality of independent	
21	display areas are implemented	display areas are never	
21	in a window layer that	obscured by any portion of any	
22	programming windows that	application windows that are generated or capable of being	
	may be generated"	generated.	
23	(claims 1, 14, 25, 26, 39, 50)	Serverance	
24			
25	The fundamental dispute between the parties is whether the first window and the plurality		
26	of independent display areas appears "on top of" application windows always (as Samsung		
27	contends) or only sometimes (as Apple contends). Samsung's construction is dictated not only by		
28	the plain language of the clair	ms, but also by the prosecution	n history, where Apple clearly
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disavowed alternative embodiments of the "first window" and "independent display areas" that are
 not always on top.

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#### a. The Plain Claim Language and Specification Support Samsung's Construction

The asserted claims of the '002 patent require that a "first window region and the plurality of independent display areas are implemented in a window layer that appears on top of application programming windows that may be generated." *See* '002 patent at claim 1 (Ex. A).<sup>1</sup> This limitation is illustrated in Figure 2A of the '002 patent, which shows the first window region appearing on top of *all* application programming windows generated on the display.



16 See also '002 patent at 6:40-46 (describing a "private" window layer on top of "all" application
17 windows).

18 The plain claim language requires the "first window" to be "implemented in a window 19 layer that appears on top of application programming windows that may be generated." This 20confirms that the "first window" appears above both presently generated application windows and 21 any application windows capable of being generated in the future. In other words, if any 22 application programming window is ever generated, the first window must appear on top of that 23 application programming window. By contrast, while Apple claims to espouse a "plain meaning" 24 construction, Apple's construction would allow a "first window" that appears *below* an application 25 window, which would read the limitation "on top of" right out of the claim. Apple's construction 26

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 <sup>27
 &</sup>lt;sup>1</sup> Citations to "Ex. \_\_" refer to the Declaration of Todd M. Briggs in Support of Samsung's Response to Apple's Opening Claim Construction Brief and the exhibits thereto.

1	must therefore be rejected. Lantech, Inc. v. Keip Mach. Co., 32 F.3d 542, 546 (Fed. Cir. 1994)
2	("All limitations in a claim must be considered meaningful."). <sup>2</sup>
3	b. Apple Disclaimed Any Broader Construction During Prosecution
5	Apple's explicit disclaimers during prosecution confirm Samsung's construction. During
6	prosecution, Apple distinguished the '002 patent from U.S. Patent No. 5,659,693 ("Hansen"), a
7	"dashboard" program that generates a panel similar to the '002 window. Apple emphasized that
8	the first window region in the '002 patent <u>always</u> appears on top of application programming
9	windows. By contrast, Hansen only made that optional:
10	Furthermore, the present invention as claimed includes having a
11	window region with its independent display areas in a window that appears on top of application window programs that may be
12	generated. Therefore, by implication, those window areas that are generated after the generation of the window layer will still
13	not appear on top of the control/status window in the present invention as claimed when they are active. This allows the user
14	to have an unobstructed view of the system/controller area
15	when the windows overlap each other). Thus, the window may
16	<u>always</u> be visible to the user. The Examiner believes that this is clearly shown in <u>Hansen</u> , specifically referring to the dashboard
17	interface. However, <u>Hansen</u> only allows the user an unobstructed view of the system if a button is selected (col. 4, lines 45-51)
18	Thus, Applicant believes that one familiar with the art would not
19	present invention is directed at using individual programming
20	modules that generate displays that are <u>always</u> visible on a top layer.
21	Response to O A 6/28/2000 at 2-3 (emphasis added) APLNDC00028083-84 (Ex. C)
22	
23	
24	$^{2}$ The sole named inventor on the '002 patent also testified that the first window region
25	appears on top of all application windows that may be generated. <i>See</i> Christensen Dep. at 126:11-127:22 (testifying that the disputed claim limitation means that "if an application creates a
26	window it will appear behind the Control Strip window") (Ex. B); <i>Id.</i> at 31:1-10, Ex. 978 <b>REDACT</b>
27	
28	(Ex. B).
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Here, Apple clearly describes the disputed claim limitation as the "present invention," not
 just a particular embodiment. Apple then explains that the "present invention as claimed"
 specifies that the first window region <u>always</u> appears on top of the application windows.

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When the PTO still denied Apple's patent application, Apple appealed. In its Appeal Brief, Apple reiterated its position that Hansen was distinguishable because the window layer in Hansen was not always on top:

However, <u>Hansen</u> only allows the user an unobstructed view of the system if a button is selected (<u>Hansen</u>, col. 4, lines 45-51). For example, see Figure 18 of <u>Hansen</u>, the dashboard is obscured by a window. Thus, <u>Hansen</u> does not teach or suggest "window layer appears on top of application programming windows that may be generated."

Appeal Brief, 8/31/2001 at 16, APLNDC00028118 (Ex. C); see also <u>Hansen</u> Fig. 18 (Ex. D).
Once again, Apple explicitly disclaimed any embodiment where the first window region did not
always appear on top of any application windows. The PTO then issued a notice of allowance
based on the Appeal Brief. *See* O.A., 11/7/2001, APLNDC00029029-35 (Ex. C).

Through its statements to the PTO, Apple unambiguously disclaimed any first window that
can be obscured by an application programming window. Apple cannot now add back through
claim construction what it previously disclaimed. *See, e.g., Southwall Techs., Inc. v. Cardinal IG Co.*, 54 F.3d 1570, 1576 (Fed. Cir. 1995) ("The prosecution history limits the interpretation of
claim terms so as to exclude any interpretation that was disclaimed during prosecution."); *Rheox, Inc. v. Entact, Inc.*, 276 F.3d 1319, 1325 (Fed. Cir. 2002) (same).

21 Apple relies on two parts of the specification that discuss an embodiment where the user 22 can hide the control strip by clicking a button. See Apple Br. at 4 (citing '002 patent at 7:29-32 23 and 8:44-46). However, these embodiments are not covered by the claims. See TIP Systems, LLC 24 v. Phillips & Brooks/Gladwin, Inc., 529 F.3d 1364, 1373 (Fed. Cir. 2008) ("Our precedent is 25 replete with examples of subject matter that is included in the specification, but is not claimed."). 26 Apple fails to explain how a control strip that is "hidden" as described in those embodiments 27 could nevertheless be considered "on top of" the application programming windows. 28 Furthermore, to the extent these embodiments were covered by the claims, they were unambiguously disclaimed during prosecution when distinguishing Hansen. *Rheox*, 276 F.3d at
 1327 (limiting claim term to exclude preferred embodiments based on disclaimer in the
 prosecution history); *N. Am. Container, Inc. v. Plastipack Packaging, Inc.*, 415 F.3d 1335 (Fed.
 Cir. 2005); *Elekta Instrument S.A. v. O.U.R. Scientific Int'l, Inc.*, 214 F.3d 1302, (Fed. Cir. 2000).

5 The prosecution history also shows that Apple's reliance on the doctrine of claim 6 differentiation is misplaced. Apple incorporated the limitations found in claims 12 and 13 into 7 claim 1 during prosecution to avoid prior art, thus making the scope of claim 1 coextensive with dependent claims 12 and 13. The prosecution timeline confirms this. Apple introduced dependent 8 claims 12 and 13 in 1996.<sup>3</sup> Apple added the disputed limitation to claim 1 three years later, in a 9 November 1999 amendment.<sup>4</sup> Given this factual situation, it is unsurprising that scope of the 10 11 independent claims converged with some dependent claims. Furthermore, prosecution disclaimers 12 override the doctrine of claim differentiation. See ERBE Elektromedizin GmbH v. Canady Tech. 13 LLC, 629 F.3d 1278, 1287 (Fed. Cir. 2010) (holding that prosecution disclaimer occurred despite the doctrine of claim differentiation). Thus, the statements Apple made to avoid Hansen nullify 14 15 Apple's claim differentiation argument.

16

**B**.

#### U.S. Patent No. 7,469,381

The '381 patent describes a user-interface feature for touch screen displays that visually
indicates to the user when he or she has moved an electronic document past its edge. Importantly,
the technology of the '381 patent relates to the visual presentation of documents on "touch screen
<u>displays</u>" and is described as a <u>graphical</u> user interface.

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#### 1. "an edge of the electronic document"

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22	Claim Term	Samsung's Construction	Apple's Construction
23	"an edge of the electronic	A boundary of the electronic	No construction needed.
20	document" <sup>5</sup>	document that distinguishes it	
24	(claims 1, 11, 13, 14, 16-20)	from another electronic	
~ ~			
25			
26	$^{3}$ See Response to O.A., 8/2	0/1996 at claims 23 and 24, APL	NDC00028585 (Ex. C).
20	<sup>4</sup> See Response to O.A., 11/	8/1999 at 6, APLNDC00028058 (	(Ex. C).
27	<sup>5</sup> This term appears in variou	s forms in different claims of the	'381 patent, but these variations
20	are minor and not relevant to the	primary points of dispute betwee	n the parties.
28			
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document, other content, or a background area.

The parties' dispute concerns whether any content can exist beyond "an edge of the electronic document."<sup>6</sup> Samsung contends that "an edge of the electronic document" can separate one electronic document from another electronic document, other content, or background area, while Apple asserts that "an edge of the electronic document" can only be an external or outer boundary, beyond which there can be no content whatsoever. There is no intrinsic or extrinsic evidence that supports Apple's unduly limited construction.

Nothing in the claim language or the specification of the '381 patent precludes another 9 electronic document or other content from appearing beyond the edge of an electronic document. 10 To the contrary, the claims of the '381 patent expressly contemplate other content appearing 11 beyond an edge of an electronic document. Claim 13, which is dependent on claim 1, adds the 12 limitation "wherein the area beyond the edge of the document is black, gray, a solid color, or 13 white." '381 patent at 36:23-25 (Ex. E). Under the doctrine of claim differentiation, claim 1 must 14 encompass more than simply a solid color, or background, beyond the edge of the document. See 15 Phillips v. AWH Corp., 415 F.3d 1303, 1314, 1323 (Fed. Cir. 2005). For the scope of claim 1 to 16 differ from the scope of claim 13, content must exist beyond the edge of the electronic document 17 described in claim 1. Additionally, the '381 specification expressly disclose embodiments that 18 include content beyond the edge: "In some other embodiments, a wallpaper image such as a 19 picture or pattern may be displayed in the area beyond the edge of the electronic document." '381 20 patent at 27:36-39 (Ex. E). 21

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<sup>6</sup> Apple appears to be disputing Samsung's construction of this term to distinguish the '381 patent from the LaunchTile and Lira prior art raised during the preliminary injunction proceedings.
 While the Court found that LaunchTile and Lira references were not likely to anticipate the '381 patent in its preliminary injunction order, this conclusion was based on the interpretation of a claim term that the parties did not have an opportunity to address during the preliminary injunction proceedings. Samsung believes that the Court's interpretation of this claim term is incorrect and respectfully submits that it will be able to demonstrate as much when given an opportunity to do so. Consequently, Samsung believes that the meaning of "an edge of the electronic document" remains an important term for construction.

Nothing in the claims or specification prevents electronic documents from appearing 1 2 within the boundaries of other electronic documents. For example, a webpage may include within 3 its boundaries numerous images. The webpage and images are electronic documents according to the '381 specification. See, e.g., id. at claim 6, claim 7, col. 27:7-12. In this example, the images 4 5 within the webpage form so-called "internal edges." These "internal edges" formed by the images within the webpage are edges of electronic documents and additional content (e.g., other content 6 in a webpage) may exist beyond these "internal edges." Consequently, Apple's arguments that an 7 8 internal edge cannot serve as the edge of an electronic document and that content cannot appear 9 beyond the edge of an electronic document find no support in the intrinsic evidence.

10 The construction of "an edge of the electronic document" must take into account the fact that the '381 patent is focused on the visual display of an electronic document. For example, 11 12 claim 1 alone uses (some form of) the word "display" ten times, the title of the '381 patent 13 indicates it applies to "a touch-screen display," and the background section describes that part of 14 the problem addressed is a "result of the small size of display screens on portable electronic devices." '381 patent at 2:14-15 (Ex. E). Samsung's construction accounts for any of these 15 16 aesthetic choices of display by focusing on the visual separation, whether that visual separation is 17 between areas containing content, or between content and a background area. On the other hand, 18 Apple's interpretation focuses on a distinction between "internal" and "external" boundaries, 19 which is not a part of the '381 patent specification or its claims. Such a distinction is unsupported 20 and should not be read into the construction of "an edge of the electronic document."

Testimony from Apple's expert, Dr. Ravin Balakrishnan, confirms that Samsung's construction is correct. During his deposition, Dr. Balakrishnan indicated in a drawing that internal boundaries were included within the meaning of "an edge of the electronic document" and that content can exist beyond the edge of an electronic document. Balakrishnan Dep. 157:19-158:10, Ex. 104 (Ex. F) (showing Dr. Balakrishnan's handwritten identification of the "Edge" of an electronic and an area "Beyond Edge" of the edge the electronic document).

- 27
- C. U.S. Patent No. 7,663,607

1.

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"glass member"

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**Claim Term** 

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 "glass member"
 Plain and ordinary meaning.
 glass or plastic material

 (claim 10)
 The '607 patent relates to a transparent multilayer touch screen configured to detect

**Apple's Construction** 

**Samsung's Construction** 

multiple touches occurring at the same time on different locations of the touch screen. The parties dispute the meaning of the term "glass member" which appears in claim 10. The term "glass member" should be given its plain and ordinary meaning to exclude plastic materials. Plastic is not glass. This construction is consistent with the specification, the understanding of a person of ordinary skill in the art, and the understanding of Apple's own inventors.

9 10

#### a. "Glass Member" Should Be Given Its Ordinary Meaning

Claim construction must begin with the plain language of the claim. There is nothing 11 ambiguous or technical about the term "glass member." As the Federal Circuit has explained, 12 "[i]n some cases, the ordinary meaning of claim language as understood by a person of skill in the 13 art may be readily apparent even to lay judges, and claim construction in such cases involves little 14 more than the application of the widely accepted meaning of commonly understood words." 15 Phillips, 415 F.3d at 1314. "Glass member" is a paradigmatic example of claim language 16 consisting of "commonly understood words" with a "widely accepted meaning." It requires no 17 specialized knowledge to recognize that a "glass member" is made of glass. Apple cannot 18 overcome this heavy presumption in favor of the claim term's ordinary meaning. Johnson 19 Worldwide Assocs. v. Zebco Corp., 175 F.3d 985, 989 (Fed. Cir. 1999). 20

The specification refutes Apple's position that "glass" includes plastic. The specification is replete with phrases referencing glass and plastic *separately*, indicating that the patentees did not intend glass and plastic to be synonymous or encompass each other. For example, the specification explains that conductive lines are patterned on a clear material "such as *glass or plastic.*" '607 patent at 10:37-40 (Ex. H). The specification similarly states that the cover sheet may be formed from any suitable clear material "such as *glass and plastic.*" *Id.* at 12:60-62. The specification once again makes a distinction between glass and plastic when it states the lines are

1 formed "on *glass, film or plastic.*" *Id.* at 14:60-62. None of these references would make sense if
2 the meaning of "glass" included plastic.<sup>7</sup>

3

#### b. The '607 Patent Does Not Redefine "Glass Member"

4 Apple asserts that it acted as its "own lexicographer" and defined "glass member" in the 5 specification to include both glass and plastic members. Apple Br. at 9. Apple's assertion does 6 not withstand scrutiny. To act as your own lexicographer, "the intrinsic evidence must 'clearly set 7 forth' or 'clearly redefine' a claim term so as to put one reasonably skilled in the art on notice that 8 the patentee intended to so redefine the claim term." Bell Atl. Network Servs. v. Covad Communs. 9 Group, 262 F.3d 1258, 1268 (Fed. Cir. 2001). A patentee may only clearly redefine a claim term 10 either by including an "explicit statement of redefinition" or "by implication." Id. To redefine by 11 implication, the patentee must "use[] a claim term throughout the *entire* patent specification, in a 12 manner consistent with only a single meaning." Id. at 1271 (emphasis added) (quoting Vitronics 13 Corp. v. Conceptronic, Inc., 90 F.3d 1576, 1582 (Fed. Cir. 1996)).

14

The patentees fell woefully short in acting as their own lexicographer here. Apple's only support is a single sentence in Column 16, lines 46-47 that reads: "For example, any suitable glass or plastic material may be used for the glass members." Apple Br. at 9. This isolated sentence is far from an explicit redefinition of the term.

First, the sentence lacks any indication whatsoever that it contains a prescriptive definition. *See Sinorgchem Co. v. ITC*, 511 F.3d 1132, 1136 (Fed. Cir. 2007) (use of quotation marks or "is"

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21 Apple's contention that its proposed construction is supposedly consistent with "common usage" is misplaced. Apple references a drinking glass, eyeglasses, and a magnifying glass – all 22 of which Apple alleges could be made of plastic. Apple Br. at 9-10. This position is nonsensical and ignores controlling case law that requires a claim term to take on the ordinary and customary 23 meaning of those of ordinary skill in the art at the time of the invention. See Phillips, 415 F.3d 24 1303, 1313, 1326. What is "common usage" in the fields of tableware or optometry is irrelevant to the transparent touchscreen analysis here. Moreover, the use of "glass as a noun is a 25 categorically different from the use of "glass" as an adjective modifying a noun, as used in claim 10. In addition, the deposition testimony of Apple's own inventors further supports Samsung's 26 construction. Two named inventors of the '607 patent testified that plastic and glass are different 27 materials having different characteristics and benefits. Huppi Dep. at 89:10-90:9, 91:2-13 (Ex. I); Strickon Dep. at 166:5-167:6 (Ex. J).

indicates express redefinition); *Abbott Labs. v. Andrx Pharms., Inc.*, 473 F.3d 1196, 1210 (Fed.
 Cir. 2007) (use of "means" indicates express redefinition). To the contrary, the quoted sentence is
 couched in the permissive language "for example" and "may be used." These caveats are
 inconsistent with the concept of an explicit, global definition.

5 Second, this single sentence is not set out with "reasonable clarity, deliberateness, and precision" to redefine the meaning of this otherwise unambiguous term by implication. In re 6 7 Paulsen, 30 F.3d 1475, 1480 (Fed. Cir. 1994). The term "glass member" is used twenty-eight 8 times in the specification of the '607 patent. For twenty-seven of these uses, there is no indication 9 that "glass member" means anything but "glass." Moreover, the numerous examples cited above of "glass" and "plastic" used separately in the same phrase to refer to different materials cannot 10 support a redefinition by implication because the patentees failed to use the term in a consistent 11 manner throughout the entire specification. Bell Atl., 262 F.3d at 1271. A single sentence buried 12 13 deep within twenty-two columns of text and nineteen figures, and not consistently applied in the remainder of the patent, completely fails to serve any meaningful notice function to a reasonable 14 competitor, as required by a redefinition. Id. at 1268; Elekta Instrument S.A., 214 F.3d at 1307 15 (Fed. Cir. 2000). 16

17 Third, where the specification intended to encompass both glass and plastic, it used 18 explicitly broader language. Throughout the '607 patent, the specification alternatively states that 19 the claimed "touch panel may be composed of" (1) a "clear material" (Id. at 10:37-40; 12:60-62); 20 (2) an "optical[ly] transmissive member" (Id. at 10:37-40; 13:62-64); or (3) a "substrate" (Id. at 21 14:62-65). Any one of these terms could have been used in claim 10 instead of "glass member" to encompass both glass and plastic, but none were. The only reasonable conclusion is that the 22 23 patentees selected the term "glass member" in claim 10 to specifically refer only to glass and not 24 to plastic or other materials.

Finally, to the extent Apple's one sentence creates any ambiguity at all, that ambiguity must be resolved against Apple. Patent claims, like contracts, must be construed narrowly when ambiguous and against the drafter. *See, e.g., Ethicon Endo-Surgery, Inc. v. United States Surgical Corp.*, 93 F.3d 1572, 1581 (Fed. Cir 1996) ("to the extent that the claim is ambiguous, a narrow reading which excludes the ambiguously covered subject matter must be adopted"); *Quickie Mfg. Corp. v. Libman Co.*, 180 F. Supp. 2d 636, 645 (D.N.J. 2002) (noting the Federal Circuit's
 "admonition" that patent claims must be construed against the drafter). Had Apple, "who was
 responsible for drafting and prosecuting the patent, intended something different, it could have
 prevented this result through clearer drafting." *Hoganas AB v. Dresser Indus., Inc.*, 9 F.3d 948,
 951 (Fed. Cir. 1993).

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#### D. U.S. Patent No. 7,812,828

The '828 patent relates to detecting and distinguishing the different parts of a hand on a touch-sensitive surface. '828 patent at 12:66-13:3; 18:1-4 (Ex. K). The '828 patent does this by generating a "proximity image." *Id.* at 18:4-7. As illustrated below, the proximity image shows where the hand is touching or close to the touch-sensitive surface. *Id.*



The proximity image consists of pixels. *Id.* at 18:14; 25:63. In the specification, the pixels have a parallelogram shape. *See id.* at 18:1-4; Figs. 13-15. A proximity image may have groups of pixels. For example, in Figure 13 above, there is a group of pixels for the thumb, for each finger, and for the palm of the hand. *Id.* at 18:16-33. The specification describes "segmenting" the proximity image into these pixel groups and then "mathematically fitting an ellipse" to each pixel group. *Id.* at 25:62-26:65.

The '828 inventors did not invent proximity images, segmenting proximity images into pixel groups, or fitting ellipses to pixel groups. In fact, when the inventors filed their patent application, there were many different ways to do ellipse fitting. *See* Apple Br. at 12-13 (citing to Samsung's invalidity contentions).<sup>8</sup> What the inventors invented, if anything, was a particular
 method for mathematically fitting an ellipse to pixel groups. This is why the '828 inventors wrote
 their patent application to *require* the particular approach described below:



a.

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#### 1. "mathematically fit[ting] an ellipse to at least one of the [one or more] pixel groups"

<b>N</b> 11			
5	Claim Term	Samsung's Construction	Apple's Construction
6 7 .8 .9	"Mathematically fit[ting] an ellipse to at least one of the [one or more] pixel groups" (claims 1, 10)	For at least one of the pixel groups, applying a unitary transformation of the group covariance matrix of second moments of proximity data for all pixels in that pixel group to	No construction necessary.
20		fit an ellipse.	

The '828 Inventors Acted As Their Own Lexicographer

The '828 specification is unambiguous when it states that "[t]he ellipse fitting procedure

23 <u>requires</u> a unitary transformation of the group covariance matrix  $G_{eov}$  of second moments  $Q_{xx}$ ,  $Q_{xy}$ ,

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<sup>8</sup> Apple makes the disingenuous argument that the wealth of prior art in Samsung's invalidity contentions is evidence that "mathematically fitting an ellipse" should be given a broad construction. Apple Br. at 13. To the contrary, Samsung's invalidity contentions explicitly state that they are based on Apple's overly broad constructions in its infringement contentions. The

27 only thing that Samsung's invalidity contentions prove is that the '828 patent is a narrow patent in
a crowded field.

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1 G<sub>vv</sub>." Id. at 26:19-21 (emphasis added). By using the word "requires," the Applicants acted as their own lexicographer. See, e.g., ImageCUBE LLC v. Boeing Co., No. 2010-1265, 2011 WL 2 3 2438634, at \*3 (Fed. Cir. 2011) (use of "requires" in a specification meant that a particular alloy "must result" for infringement of asserted claims); accord, AstraZeneca LP v. Apotex, Inc., 633 4 5 F.3d 1042, 1051-52 (Fed. Cir. 2010) ("[T]he specification may reveal a special definition given to a claim term by the patentee that differs from the meaning it would otherwise possess. In such 6 7 cases, the inventor's lexicography governs."). The specification explicitly puts the public on 8 notice that the claimed "ellipse fitting" means the mathematical equations in column 26.

9 If there is *any* doubt that the inventors limited their claims to these mathematical equations, 10 the prosecution history puts this issue to rest. When the inventors filed their application, they 11 initially tried to claim "fitting an ellipse to at least one of the pixel groups." App. No. 11/677,958, 12 2/22/2007 at 94, APLNDC00020371 (Ex. L). The Examiner summarily rejected these claims as 13 anticipated by U.S. Patent No. 5,925,352 ("Bisset"), and the Applicants never overcame this 14 rejection. See O.A, 12/24/2009 at 6-8, APLNDC00021629-31(Ex. L); Id. at 11, APLNDC00021634; Id. at 15, APLNDC00021638. Instead the Applicants argued that the 15 16 Examiner was rejecting the claims unfairly because he was not limiting the claims to the 17 specification. See Request for Corrected O.A., 2/24/2010 at 10-11, APLNDC00021689-90 (Ex. 18 L). In this same response, the Applicants amended all of the claims to require "mathematically 19 fitting an ellipse." Id. at 11, APLNDC00021690.

In their Amendment, the Applicants made a deal with the Patent Office. They amended their claims to require "mathematically fitting and ellipse." This amendment "must be viewed" in light of the specification which "requires" a unitary transformation of the group covariance matrix. Based upon this limitation, the PTO subsequently allowed the claims. Apple cannot undo this deal. *See, e.g., Southwall Techs., Inc.,* 54 F.3d at 576; *Rheox, Inc.,* 276 F.3d at 1325. Samsung's proposed construction properly reflects the prosecution history and is true to the inventors' definition in the specification. Apple, on the other hand, wants a do-over.

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b. The '828 Patent Discloses Only One Embodiment

2 The '828 patent only discloses *one* way of mathematically fitting an ellipse. Thus, when 3 the Applicants amended the claims to require "mathematically fitting an ellipse" and urged the 4 Examiner to limit this term to the specification, the Applicants meant the mathematical equations 5 in column 26. The Federal Circuit has held that when a patent discloses only one embodiment, it 6 is proper to limit the claims to this single embodiment. See Gentry Gallery, Inc. v. Berkline Corp., 7 134 F.3d 1473, 1479 (Fed. Cir. 1998) (limiting a claim to a single embodiment where "the original 8 disclosure clearly identifies the console as the *only* possible location for the controls[,] provides 9 only the most minor variation in the location of the controls [and] no similar variation beyond the 10 console is even suggested."); Alloc, Inc. v. Int'l Trade Comm'n, 342 F.3d 1361, 1370 (Fed. Cir. 11 2003) (holding that "the specification as a whole leads to the inescapable conclusion that the 12 claimed invention must include [the limitation] in every embodiment").

13 To avoid this result, Apple spends a disproportionate amount of its opening brief arguing 14 that the '828 patent discloses a second embodiment. However, this so-called second embodiment 15 has nothing to do with mathematically fitting an ellipse to a pixel group. Instead, it relates to a 16 situation in which a contact is so slight—for example, a fingernail touch—that there is not enough 17 pixel information for mathematical ellipse-fitting to provide meaningful shape information. In this 18 situation, the shape of a contact is simply assumed (perhaps as a circle), and default parameters are 19 used instead of certain fitted ellipse parameters like eccentricity. Wayne Westerman, the '828 20 patent's primary inventor, testified during the Motorola Investigation and reaffirmed during his 21 deposition that what Apple describes as a "second embodiment" is not an embodiment of ellipse-22 fitting at all, but instead describes a method where, for particular parameters below a certain 23 threshold, the patent describes discarding the actual fitted ellipse parameters in favor of predefined 24 values unrelated to ellipse-fitting. Westerman Dep. at 43:11-16 (Ex. M); Inv. No. 337-TA-750 25 Hearing Transcript at 352:2-17, APLNDC-X0000006233 (Ex. N). Assuming a default shape for a 26 small contact with no consideration of the contact's actual shape is not "mathematically fitting an 27 ellipse" and simply does not constitute a second embodiment of the patent.

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1	c. Th	e ITC Staff Already Rejected A	pple's Arguments
2	Apple devotes more than forty percent of its opening brief to the '828 patent, yet Apple		
3	never mentions that it is currently asserting the '828 patent against Motorola at the International		
4	Trade Commission (ITC). <sup>9</sup> Mo	ore importantly, Apple never me	ntions that the ITC's Office of
5	Unfair Import Investigations (C	OUII) has already rejected Apple	's arguments. In the Motorola
6	Investigation, the OUII conside	red Motorola's and Apple's cons	structions of this term. <sup>10</sup> When
7	faced with these dueling constru-	ctions, the OUII agreed with Moto	orola/Samsung's construction.
8	Staff agrees with Moto	prola's construction of these ter	rms. In the Staff's view, the
9	"mathematically fit(ting)	Patent acted as their own lexico an ellipse to "require[]" the us	se of a particular mathematical
10	formula described in the	'828 Patent:	
11	See Pre-Trial Statement and I X0000006670 (noting "The State	Brief of the Commission Invest ff [originally] proposed a constru	tigative Staff at 9, APLNDC-
12	term during preliminary claim (	construction, but has determined	at this time, with the benefit of $a^{(2)}$ (Ex. Q)
13	exert ( <i>sic</i> ) testimony that Motore		e. ) (Ex. O).
14	2. "pixel gr	oup[s]/pixel[s]"	
15	Claim Term	Samsung's Construction	Apple's Construction
16 17	(claims 1, 6, 9, 10, 16, 24, 31)	T fain and ordinary meaning.	image that indicate[s] the proximity data measured at
18	The term pixel does not	need construction. Anyone who	one or more electrodes.
19	knows what a pixel is. To try to	define it would only add unnecess	sary ambiguity.
20	Historically, the term "pi	ixel" has been used as an abbrevia	ation for "picture element." See
21	S3 Inc. v. NVIDIA Corp., 259 F	5.3d 1364, 1366 (Fed. Cir. 2001)	("A computer screen is divided
22	into many horizontal rows, each	of which contains a plurality of J	points called picture elements or
23	"pixels."); see also LG Display	Co., Ltd. V. AU Optronics Corp.	., 686 F. Supp. 2d 429, 453 (D.
24			
25	<sup>9</sup> Certain Mobile Devices and	d Related Software (Inv. No. 337-'	TA-750) ("the Motorola
26	Investigation").	ruction is identical to Motorola's	proposed construction in the
27	Motorola Investigation. See Pre-	-Trial Statement and Brief of the C	Commission Investigative Staff
28	at 8, APLNDC-X0000006669 (E	±x. U).	
02198.51855/4507562.6	SAMSUN	-15- NG'S RESPONSE TO APPLE'S OPENIN	Case No. 11-cv-01846-LHK NG CLAIM CONSTRUCTION BRIEF

Del. 2006) ("Pixels or picture elements are included on a thin film transistor array."); *SuperGuide Corp. v. DirecTV Enterprises, Inc.*, 169 F. Supp. 2d 492, 498 (W.D.N.C. 2001); *Semiconductor Energy Lab Co., Ltd. v. Samsung Elec. Co., Ltd.*, 711 F. Supp. 2d 913, 919 (W.D. Wisc. 2010).

The term "pixel" has also been defined to mean the smallest discernible part of an image. *Intel Corp. v. Broadcom Corp.*, 172 F. Supp. 2d 515, 521 (D. Del. 2001) (stating that "pixels [are]
the smallest element of an image."); *IP Innovation L.L.C. v. Lexmark, Int'l., Inc.*, 424 F. Supp. 2d
1078, 1088 (construing "pixel to mean the smallest complete element of an image"); *Silicon Graphics, Inc. v. nVIDIA Corp.*, 58 F. Supp. 2d 331, 335 (D. Del. 1999) ("Pixels are the smallest
unit of color that can appear on a computer screen.").

The '828 patent uses the term "pixel" consistent with this accepted meaning and as a result, if a construction is absolutely necessary, the term "pixel" should be construed to mean "the smallest discernable part of an image." For example, in Figure 13, the smallest discernable part of the image is the parallelogram shaped pixels. '828 patent at 18:14; 25:63 (Ex. K). There is no reason in the intrinsic record to depart from this widely-recognized definition.

15 Contrary to Apple's assertion, the '828 specification does not adopt a special definition of 16 "pixel." Apple points to one sentence of the specification that states: "In the discussion that 17 follows, the proximity data measured at one electrode during a particular scan cycle constitutes 18 one 'pixel' of the proximity image .... " Apple Br. at 18 (quoting '828 patent at 18:13-15). This 19 simply says that each electrode contributes one pixel to the overall proximity image. It does not define "pixel," contrary to its ordinary meaning, as something that can only be a portion of the 20 proximity image. See Teleflex Inc. v. Ficosa N. Am., Corp., 299 F.3d 1313, 1326-28 (Fed. Cir. 21 2002) (finding limitation to preferred embodiments or specific examples in the specification 22 23 improper if the patentee did not demonstrate a clear intent to deviate from the claim terms' 24 ordinary meaning in that way, or to otherwise disavow the claim scope); see also Bell Atl., 262 25 F.3d at 1271 (finding that for a redefinition by implication, the patentee must "use[] a claim term throughout the entire patent specification, in a manner consistent with only a single meaning") 26 27 (emphasis added) (quoting Vitronics Corp., 90 F.3d at 1582).

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#### U.S. Patent No. 7,822,915

The '915 patent generally claims a method and apparatus for distinguishing between a 'scroll or gesture operation." The claims require that the system distinguish between "scroll operations" and "gesture operations" based on whether the particular operation utilizes a single input point, which denotes a "scroll operation," or multiple input points, which denotes a "gesture operation." The claims also require "responding to at least one scroll call, if issued, by scrolling a window having a view ....."

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#### 1. "scrolling a window having a view"

9	Claim Term	Samsung's Construction	Apple's Construction
10	"scrolling a window having a	Sliding a window in a direction	No construction necessary.
	view"	corresponding to the direction	
11	(claims 1, 8)	of the user input over a view	
		that is stationary relative to the	
12		window.	

The parties dispute the meaning of "scrolling a window having a view." Samsung contends that this term requires construction because it describes a very specific type of scrolling which is only one of the many different types of scrolling and gesture operations disclosed in the '915 patent specification. Apple, on the other hand, contends this term should be giving its plain and ordinary meaning, but provides no indication whatsoever of what the plain and ordinary meaning of this unique term may be.

The terms "window" and "view" are defined in the '915 patent. Within the meaning of the
patent, "[a] window is a display region which may not have a border and may be the entire display
region or area of a display." '915 patent at 5:25-29 (Ex. P). A view is described as some content,
such as "web, text, or image content," that can be seen on the display. *Id.* at 5:29, 6:50, and 13:4344. The specification teaches that a window "may" contain one or more views. *Id.* at 5:25-32.
However, according to the claims, the window in which the scrolling occurs <u>must</u> contain at least
one view. *Id.* at 23:32-36.

To scroll a window having a view, the content ("view") shown must be larger than the window itself. Otherwise the window would be able to show the entire content ("view") at one

time, and scrolling would be completely unnecessary, if not impossible or meaningless. *Bd. of Regents of the Univ. of Tex. Sys. v. BENQ Am. Corp.*, 533 F.3d 1362, 1370 (Fed. Cir. 2008) ("We decline to adopt a construction that would effect [a] nonsensical result."). Thus, a window may be thought of as a small, see-through pane of glass sitting above a large piece of paper containing the window's content ("view"). Scrolling the window is simply the act of moving the window pane over the view in the direction of the scroll.

7 Samsung's construction clarifies the plain claim language. Each of the independent claims requires the step of "scrolling a window having a view." The claims require that the 8 9 window be scrolled, not the view. Because of this, a scroll will cause the content viewed through the window to move in the direction of the scroll.<sup>11</sup> For example, a finger swipe that is horizontal 10 to the right should cause the next-rightmost portion of the content to appear under the window. 11 Conversely, a finger swipe that is horizontal to the left will cause the next-leftmost portion of the 12 13 content to appear under the window. This behavior is captured by Samsung's clarifying construction: "Sliding a window in a direction corresponding to the direction of the user input 14 over a view that is stationary relative to the window." This scrolling behavior is exactly what one 15 16 would experience, for example, reading this brief on a Windows-based PC using Adobe Acrobat.

What the patent claim language – as well as Samsung's construction – explicitly excludes is a scroll in the reversed direction. That is, the claims do not cover the situation where a finger swipe that is horizontal to the right results in the next-leftmost portion of the content to appear under the window nor do they cover the situation where a finger swipe that is horizontal to the left results in the next-rightmost portion of the content to appear under the window. This scrolling behavior would be described as "scrolling a view," which is the opposite of "scrolling a window having a view."

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<sup>25</sup><sup>11</sup> Contrary to Apple's claims, Samsung's construction does not intend to make any statement concerning the minutiae of the directionality of a scroll. For example, Samsung's construction is intended to capture the situation where a substantially but not precisely horizontal finger swipe to the right results in the movement of the window to the precisely horizontally to the right – or equivalently, the appearance of the next-rightmost section of the content ("view") in the window.

Apple was well aware when it drafted its claims of different types of scrolling and gesture operations. For example, claim 1 recites "scaling the view" in response to "at least one gesture call." The specification also describes a technique for "scrolling a list." '915 patent at 8:61-9:60. In view of the other scrolling and gesture behaviors disclosed in the '915 specification, it is clear that Apple drafted its claims to cover specific types of scrolling and gesture operations. Consequently, Samsung's proposed construction should be adopted by the Court.

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#### F. U.S. Patent No. 7,853,891

8 The '891 patent is a simple patent that can be readily understood by examining Figure 16,
9 which is an image taken from an Apple Macintosh ("Mac").



When the Mac user presses a volume key, a volume window appears on the screen. '891 patent at
9:9-13 (Ex. Q). A second window indicating the contents of a folder labeled "ibook" is also
visible on the screen. *Id.* at 9:13-15. As set forth in claim 1, the Mac starts a timer and closes the
volume window when the timer expires. *Id.* at 10:12-14. Other claims, like claim 20, do not
require a timer. Instead, they just require that the volume window closes without user input. *Id.* at
11:20.

When the '891 inventors filed their patent application, windows that closed automatically already existed. In order to obtain their patent, they had to add the following limitation to all of the independent claims: "the first window has been displayed independent from a position of a

cursor on the screen." Amendment, 3/4/2010 at 22, APLNDC00028844 (Ex. R). The prior art
cited by the Examiner used a stylus to move around the screen; there was no cursor. *Id*. Apple
argued that their claims were different. As shown in Figure 16, a Mac has a cursor that is
controlled by a mouse. While the cursor is not visible in Figure 16 due to the poor resolution, the
volume window is displayed independently of the cursor.

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#### 1. "starting a timer"

7	Claim Term	Samsung's Construction	Apple's Construction
8	"starting a timer"	Initiation of a timekeeping	Initiating a time keeping
	(claims 1, 21, 26, 46, 51, 71)	process that begins at a	process.
9		predetermined value and	
		counts down until zero.	
10			

#### a. The Intrinsic Evidence Supports Samsung's Construction

The term "starting a timer" appears in independent claims 1, 26, and 51 and dependent claims 21, 46, and 71. In all of these claims, the claim also specifies that the timer "expires." Anyone that has used a microwave oven or a parking meter knows that a timer counts down and that it expires when it reaches zero. A stopwatch, on the other hand, counts up. The stopwatch may reach a particular time or condition, but it never expires.

Samsung's construction of the claim term "timer" is consistent with the claim limitation "expire." *See Vitronics Corp. v. Conceptronic. Inc.*, 90 F.3d at 1582 (claim construction begins with the claims themselves). If the timer counts down to zero, it will ultimately expire. Apple, on the other hand, has defined timer in a way that the claim no longer makes sense. Apple's definition would cover any time keeping process, including stopwatches, even if they never "expire." *ACTV, Inc. v. Walt Disney Co.*, 346 F.3d 1082, 1088 (Fed. Cir. 2003) (claim terms must be construed to be consistent with the surrounding words of the claim).

There is nothing in the specification that alters these customary meanings of the claim terms "timer" and "expire." Throughout the specification, "timer" is consistently used to mean something that counts down to zero. '891 patent at Abstract; Figs. 12-14; 2:22-24, 28-37, 49-50; 5:65-6:1; 6:21-25; 7:11-20; 8:6-15, 23-25; and 9:47-49.

b. Extrinsic Evidence Supports Samsung's Construction

The inventor's deposition, Apple's products, and Apple's infringement contentions all confirm that a timer is different from a stopwatch. During his deposition, the first named inventor testified that "[a] timer, as I understand it, is – is something that counts down from a – from a starting value to zero, typically." Chaudri Dep. at 70:14-16 (Ex. S). When asked what it means when the patent describes "closing the first window [when the] timer expire[s]," Chaudri responded that "I take it to mean that it's gone to zero [and a] timer, like my understanding of timers, would end at that point." *Id.* at 70:22-71:17.

9 Next, Apple's own products use the term "timer" to mean something that counts down to
10 zero. Under the iPhone's "Clock" program, there is both a "timer" and a "stopwatch." *See* Ex. T.
11 Consistent with Samsung's construction, the timer counts down; the stopwatch counts up. *Id.* The
12 timer expires; the stopwatch does not. *Id.*

13 Finally, Apple's infringement contentions confirm that a timer counts down. Claims 21 14 and 23 depend from independent claim 20. Dependent claim 21 recites that the window is closed 15 when the timer expires. '891 patent at 11:23-26. Dependent claim 23 recites that the window is 16 closed when a condition is met. Id. at 11:32-35. Because these claims use different language, 17 they are presumed to have different scope. "[D]ifferent language used in separate claims is 18 presumed to indicate that the claims have different meanings and scope." PCTEL, Inc. v. Agere 19 Sys., Inc. No. C 03-2474, 2005 WL 2206683 at \*2 (N.D. Cal. Sept. 8, 2005) (citing Tandon Corp. 20 v. U.S. Int'l Trade Com., 831 F.2d 1017, 1023 (Fed. Cir. 1987)).

21 Apple's infringement contentions for claim 21 state: "The Samsung device starts a timer, 22 and closes the first window (Ringer volume window) in response to the expiration of the timer." 23 Ex. U at 15; Ex. T at 5. Apple's contentions for claim 23 state: "The Samsung device determines 24 whether or not an amount of time has passed, and closes the first window (Ringer volume 25 window) in response to a determination that the amount of time has passed." Ex. U at 16; Ex. V at 26 6. Even Apple recognizes that a stopwatch, like the one claimed in claim 23, is different from a 27 timer, like the one claimed in claim 21. Apple's infringement contentions for claim 21 say 28 nothing about measuring the amount of the time that has passed.

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# 2. "the first window has been displayed independent[ly] from a position of a cursor on the screen"

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	Claim Term	Samsung's Construction	Apple's Construction		
3	"the first window has been	"There is a mouse pointer or a	No construction necessary.		
4	displayed independent[ly]	similar icon that is controlled			
	from a position of a cursor on	by a mouse, track ball, or			
5	the screen"	touch pad visible on the screen			
6	(claims 1, 20, 26, 45, 51, 70)	and the user's movement of the			
0		mouse pointer or similar icon			
7		does not affect the location of			
		the first window.			
8	These are true disputes of	with many of the third terms. First th	a nonting diameter the magning of		
9	I here are two disputes with respect to this term. First, the parties dispute the meaning of				
	' the term cursor. Second, the parties dispute whether the cursor must be visible on the display.				
10	)				
11	This claim term, which appears in all of the independent claims, was added to overcome a prior art				
11	rejection based on U.S. Pub. No. 2003/0016253 ("Aoki"). In order to understand this claim term				
12					
	i it is necessary to understand Aoki, the Examiner's rejection, Apple's response, and Figs. 16-18 of				
13					
14	the 891 patent. Apple's pos	ition that no construction is nec	cessary completely ignores this		
14	intrinsic evidence.				

15 16

a.

#### A Cursor Is A Mouse Pointer Or A Similar Icon That Is Controlled By A Mouse, Track Ball, Or Touch Pad

Apple argues that a cursor can be a blinking caret, like the one used in Microsoft Word for
text editing. *See* Apple Br. at 25. This definition is entirely inconsistent with the way that cursor
is used in the prosecution history and the '891 patent.

Aoki makes it clear that a cursor is something that can "hover" over a hyperlink target or an active area within a displayed image. *See* Aoki at [0005] and [0006] (Ex. W). A "cursor control device" is used to move the cursor around the screen. *Id*. Aoki never uses the term cursor to mean a blinking caret.

As shown in the figure below, the whole point of Aoki was to get rid of the cursor and to allow the user to use a stylus to select hyper link targets or active areas within a displayed image.

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*Id.* at Fig. 13. A pop-up window (shown as 115) helps guide the user towards the hyper link
targets or active areas. *Id.* at [0082]. This pop-up window is presumably positioned near the tip of
the stylus. *Id.* Like in the '891 patent, the pop-up window closes when a timer expires. *Id.*Based upon this disclosure, the Examiner rejected all of the claims. *See* O.A., 12/4/2009,
APLNDC00028801-12 (Ex. X).

In order to overcome Aoki, Applicants amended the claims to require a cursor (as opposed to the stylus in Aoki) and that the pop-up window is "displayed independently from a position of the cursor on the screen." *See* Amendment, 3/4/2010 at 22, APLNDC00028844 (Ex. X). Understanding the context of the amendment, it is readily apparent that a cursor is something that can be moved around the screen to select a target. If, as Apple suggests, the cursor was merely a blinking caret, this amendment would not have overcome the Examiner's rejection.

19 Anytime the claims are amended, the amendment must be supported by the written 20 description. See TurboCare Div. of Demag Delaval Turbomachinery Corp. v. Gen. Elec. Co., 264 21 F.3d 1111, 1118 (Fed. Cir. 2001) (noting that when an "applicant adds a claim or otherwise 22 amends his specification after the original filing date, ... the new claims or other added material 23 must find support in the original specification" to satisfy the written description requirement of 35 24 U.S.C. § 112, ¶ 1). Turning to the '891 patent, there is no blinking caret for text editing in Figures 25 16-18 or anywhere else in the '891 patent for that matter. The only cursor found in the '891 patent 26 is a mouse pointer or a similar icon that is controlled by a mouse, track ball, or touch pad.

Apple argues that the specification discloses a keyboard and, therefore, Samsung's proposed construction must be wrong. *See* Apple Br. at 25. To the contrary, the fact that the '891

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patent explicitly mentions a keyboard and then uniformly omits the keyboard when talking about
 the cursor is definitive proof that the keyboard has nothing to do with the cursor.

As shown by the chart below, the specification mentions input devices and cursor control
devices. According to the specification, the keyboard is an input device; the keyboard is *not* a
cursor control device. While certain devices (e.g., mouse, track ball, touch pad, etc.) can be either
an input or a cursor control device, a keyboard is <u>only</u> an input device.

7	Input Devices Cursor Control Devices/Cursors				
8	a mouse)"	col. 2:16-1/: "the user manipulates a cursor control device (e.g., a mouse, a track ball, or a touch pad)"			
9	Col. 2:45-46: "displaying a first window in response to receiving a first input from a user input	Col. 9:11-12: "or selecting an item from a system control menu with a cursor control device, such as a mouse or a			
10	device (e.g., a keyboard, mouse, track ball, touch pad, touch screen, joy stick, button, or others)"	touch pad"			
11	Col. 8:29-31: "user input devices (e.g., a keyboard,	Col. 1:41-43: "title bar may be clicked (e.g., pressing a button of a mouse while the cursor is on the title bar)"			
12	mouse, track ball, touch pad, touch screen, joy stick, button, or other criteria)."	Col. 1:56-60: "When the user pauses cursor 215 at a			
13		location of the task bar for a short period of time, flash help window 213 appears. If the user does not move the auror for another short period of time while window 212			
14		is displayed, flash window 213 disappears."			
15		Col. 1:60-62: "If the user moves cursor 215 slightly (e.g., using a mouse, a track ball, or a touch pad) and paused			
16		the cursor 215 again, flash help window may appear again."			
17		Col. 2:16-19: "the user manipulates a cursor control			
18		device (e.g., a mouse, a track ball, or a touch pad) to view or dismiss flash help window 213 in FIG. 3;"			
19		Col. 7:55-60: "[I]f a cursor related event (e.g., a click) is			
20		not accepted by the translucent window, the input is considered for the window that is just under the			
21		translucent window so that the user can interact with the window under the translucent window as if the translucent window does not exist."			
22					
23	In Figure 16 and claim 1, the keyboard may	be used to control the volume window. The keyboard			
24	does not, however, control the cursor and b	linking carets for text editing are never mentioned a			
25	all. When asked what a cursor is, the inventor agreed with Samsung: "I can guess it means				
26	mouse cursor." Chaudri Dep. at 81:17 (Ex. S	S).			
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1	b. The Cursor Must Be Visible On The Screen			
2	Incredibly, Apple argues in its brief: "All the claims require is that if there is a cursor on			
3	the screen, the display of the 'first window' is independent of the cursor's position." Apple Br. at			
4	25. If this was the case, then in a cursor-less system, like Aoki, the window would always be			
5	independent of the cursor. Obviously this cannot be the case. Otherwise Aoki would invalidate			
6	the claims for the same reasons in the Examiner's rejection. See Detailed O.A., 12/4/2009,			
7	APLNDC00028802 (Ex. Q). The only way that Applicants could overcome Aoki was to require a			
8	cursor and then specify that the first window is "displayed independently from a position of a			
9	cursor on the screen." See Amendment, 3/4/2010 at 22, APLNDC00028844. Apple's argument			
10	demonstrates a complete disregard for the prosecution history.			
11	III. CONCLUSION			
12	For the foregoing reasons, Samsung respectfully requests that the Court adopt its proposed			
13	claim constructions set forth above.			
14				
15	DATED: December 22, 2011 Respectfully submitted.			
16	OUINN EMANUEL UDOUHADT &			
17	SULLIVAN, LLP			
18				
19	By /s/ Victoria F. Maroulis			
20	Charles K. Verhoeven			
21	Victoria F. Maroulis			
22	Michael T. Zeller Attorneys for SAMSUNG ELECTRONICS CO.,			
23	LTD., SAMSUNG ELECTRONICS AMERICA, INC. and SAMSUNG			
24	TELECOMMUNICATIONS AMERICA, LLC			
25				
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02198.51855/4507562.6	-25- Case No. 11-cv-01846-LHK SAMSUNG'S RESPONSE TO APPLE'S OPENING CLAIM CONSTRUCTION BRIFF			