

EXHIBIT 3

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11 Attorneys for Plaintiff and
12 Counterclaim-Defendant APPLE INC.

13
14 UNITED STATES DISTRICT COURT
15 NORTHERN DISTRICT OF CALIFORNIA
16 SAN JOSE DIVISION
17

18
19 APPLE INC., a California corporation,

20 Plaintiff,

21 v.

22 SAMSUNG ELECTRONICS CO., LTD., a
Korean corporation; SAMSUNG ELECTRONICS
23 AMERICA, INC., a New York corporation; and
SAMSUNG TELECOMMUNICATIONS
24 AMERICA, LLC, a Delaware limited liability
company,

25 Defendants.
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Case No. 11-cv-01846-LHK

**APPLE INC.'S AMENDED
OBJECTIONS AND RESPONSE
TO SAMSUNG ELECTRONICS
CO. LTD.'S INTERROGATORY
NO. 5 TO APPLE INC.**

1 Under Rules 26 and 33 of the Federal Rules of Civil Procedure and Local Rule 33, Apple
2 Inc. (“Apple”) hereby objects and responds to Samsung Electronics Co. Ltd.’s Interrogatory No. 5
3 served by Samsung Electronics Co., Ltd. (“Samsung”) on August 3, 2011.

4 **GENERAL OBJECTIONS**

5 Apple makes the following general responses and objections (“General Objections”) to
6 each definition, instruction, and interrogatory propounded in Samsung Electronics Co. Ltd.’s First
7 Set of Interrogatories to Apple Inc. These General Objections are hereby incorporated into each
8 specific response. The assertion of the same, similar or additional objections or partial responses
9 to individual interrogatories does not waive any of Apple’s General Objections.

10 1. Apple objects to Samsung’s definitions of “APPLE,” “PLAINTIFF,” “YOU,” and
11 “YOUR” to the extent they purport to include persons or entities that are separate and distinct
12 from Apple and are not under Apple’s control. “Apple” refers only to Apple Inc.

13 2. Apple objects to Samsung’s definition of “PRIOR ART” as inaccurate, overly
14 broad, vague, ambiguous, and unduly burdensome. Samsung’s definition is particularly vague
15 and ambiguous in its use of the phrase “relevant to the validity,” and overly broad in attempting
16 to include information other than that cited to the Patent Office during the prosecutions of the
17 patents that are the subject of this litigation.

18 3. Apple objects to Samsung’s definitions of each term incorporating the word
19 “PATENT,” “PATENTS,” and “PATENTS-IN-SUIT,” including definitions 4 through 34,
20 because they are inaccurate, overly broad, vague, ambiguous, and unduly burdensome.

21 4. Apple objects to Samsung’s definitions of “APPLE TRADE DRESS” because it is
22 inaccurate, overly broad, vague, ambiguous, and unduly burdensome. For the purposes of these
23 responses and objections, Apple uses the following defined terms:

- 24 • “Original iPhone Trade Dress” means the following elements of Apple’s product
25 designs: a rectangular product with four evenly rounded corners; a flat clear surface
26 covering the front of the product; the appearance of a metallic bezel around the flat clear
27 surface; a display screen under the clear surface; under the clear surface, substantial black
28 borders above and below the display screen and narrower black borders on either side of

1 the screen; when the device is on, a matrix of colorful square icons with evenly rounded
2 corners within the display screen; and when the device is on, a bottom dock of colorful
3 square icons with evenly rounded corners set off from the other icons on the display,
4 which does not change as other pages of the user interface are viewed;

5 • “iPhone 3G Trade Dress” means the following elements of Apple’s product designs: a
6 rectangular product with four evenly rounded corners; a flat clear surface covering the
7 front of the product; the appearance of a metallic bezel around the flat clear surface; a
8 display screen under the clear surface; under the clear surface, substantial black borders
9 above and below the display screen and narrower black borders on either side of the
10 screen; when the device is on, a row of small dots on the display screen; when the device
11 is on, a matrix of colorful square icons with evenly rounded corners within the display
12 screen; and when the device is on, a bottom dock of colorful square icons with evenly
13 rounded corners set off from the other icons on the display, which does not change as
14 other pages of the user interface are viewed;

15 • “iPhone 4 Trade Dress” means the following elements of Apple’s product designs: a
16 rectangular product with four evenly rounded corners; a flat clear surface covering the
17 front of the product; a display screen under the clear surface; under the clear surface,
18 substantial neutral (black or white) borders above and below the display screen and
19 narrower black borders on either side of the screen; a thin metallic band around the
20 outside edge of the phone; when the device is on, a row of small dots on the display
21 screen; when the device is on, a matrix of colorful square icons with evenly rounded
22 corners within the display screen; and when the device is on, a bottom dock of colorful
23 square icons with evenly rounded corners set off from the other icons on the display,
24 which does not change as other pages of the user interface are viewed;

25 • “iPhone Trade Dress” means the following elements of Apple’s product designs: a
26 rectangular product with four evenly rounded corners; a flat clear surface covering the
27 front of the product; a display screen under the clear surface; under the clear surface,
28 substantial neutral (black or white) borders above and below the display screen and

1 narrower neutral borders on either side of the screen; when the device is on, a matrix of
2 colorful square icons with evenly rounded corners within the display screen; and when the
3 device is on, a bottom dock of colorful square icons with evenly rounded corners set off
4 from the other icons on the display, which does not change as other pages of the user
5 interface are viewed;

6 • “iPad Trade Dress” means the following elements of Apple’s product designs: a
7 rectangular product with four evenly rounded corners; a flat clear surface covering the
8 front of the product; the appearance of a metallic rim around the flat clear surface; a
9 display screen under the clear surface; under the clear surface, substantial neutral (black or
10 white) borders on all sides of the display screen; and when the device is on, a matrix of
11 colorful square icons with evenly rounded corners within the display screen;

12 • “iPad 2 Trade Dress” means the following elements of Apple’s product designs: a
13 rectangular product with four evenly rounded corners; a flat clear surface covering the
14 front of the product; the appearance of a metallic rim around the clear flat surface; a
15 display screen under the clear surface; under the clear surface, substantial neutral (black or
16 white) borders on all sides of the display screen; and when the device is on, a matrix of
17 colorful square icons with evenly rounded corners within the display screen;

18 • “Trade Dress Registrations” means U.S. Registration Nos. 3,470,983; 3,457,218; and
19 3,475,327; and

20 • “Trade Dress Applications” means U.S. Application Serial Nos. 77/921,838;
21 77/921,829; 77/921,869; and 85/299,118.

22 5. Apple objects to Samsung’s definitions of “APPLE TRADEMARKS” because it is
23 inaccurate, overly broad, vague, ambiguous, and unduly burdensome. For the purposes of these
24 responses and objections, Apple uses the following defined terms:

25 • “Registered Icon Trademarks” means the marks shown in U.S. Registration Nos.
26 3,886,196; 3,889,642; 3,886,200; 3,889,685; 3,886,169; and 3,886,197;

27 • “Purple iTunes Store Trademark” means the mark shown in U.S. Application Serial
28 No. 85/041,463; and

- 1 • “iTunes Eighth Note and CD Design Trademark” means the mark shown in U.S.
2 Registration No. 2,935,038.

3 6. Apple objects to Samsung’s definition of “IDENTIFY” because it is overly broad
4 and unduly burdensome because it purports to impose requirements and obligations on Apple
5 other than as set forth in the Federal Rules of Civil Procedure. Samsung’s definition is overbroad
6 and unduly burdensome because it would require Apple to include in its responses, for example,
7 the addresses, employer names, and job titles of every individual identified, regardless of their
8 employment at Apple; documents and testimony supporting every fact in Apple’s responses;
9 model names/numbers, manufacturers, announcement/release/sales dates, sellers, and descriptions
10 for any product identified in Apple’s responses, regardless of whether the product is an Apple
11 product; production numbers, document type, a description of the general nature and subject
12 matter, date of creation, and all authors, addressees, and recipients for every document; and
13 country, patent or application number, filing/publication/grant dates, patentees, and applicants for
14 every patent document.

15 7. Apple objects to Samsung’s Instruction No. 1 because it is vague, ambiguous,
16 overly broad, and unduly burdensome, especially in its purported requirement that Apple furnish
17 information from entities that are not Apple, and from persons with “the best knowledge.” Apple
18 further objects to this instruction because it calls for the disclosure of information that is
19 privileged and protected by the work product doctrine.

20 8. Apple objects to Samsung’s Instruction No. 2 because it purports to impose
21 requirements and obligations on Apple other than as set forth in the Federal Rules of Civil
22 Procedure.

23 9. Apple provides these objections and responses to the best of its current knowledge.
24 Discovery or further investigation may reveal additional or different information warranting
25 amendment of these objections and responses. Apple reserves the right to produce at trial and
26 make reference to any evidence, facts, documents, or information not discovered at this time,
27 omitted through good-faith error, mistake, or oversight, or the relevance of which Apple has not
28 presently identified.

1 10. By responding to these interrogatories, Apple does not concede the relevance or
2 materiality of any of the interrogatories or of the subjects to which it refers. Apple's responses
3 are made subject to, and without waiving any objections as to the competency, relevancy,
4 materiality, privilege, or admissibility of any of the responses, or of the subject matter to which
5 they concern, in any proceeding in this action or in any other proceeding.

6 11. Apple objects to any interrogatory to the extent that it seeks information that is
7 protected from disclosure by the attorney-client privilege, the attorney work product doctrine, the
8 joint defense or common interest privilege, or any other applicable privilege, doctrine, or
9 discovery immunity. The inadvertent production by Apple of information protected from
10 disclosure by any such privilege, doctrine, or immunity shall not be deemed a waiver by Apple of
11 such privileges or protections. Pursuant to the parties' agreement, to the extent any interrogatory
12 calls for the identification of information dated after April 15, 2011 that is protected by such
13 privilege, doctrine, or immunity, such information will not be included on Apple's privilege log.

14 12. Apple objects generally to the Interrogatories to the extent they seek confidential,
15 proprietary, or trade secret information of third parties. Apple will endeavor to work with third
16 parties in order to obtain their consent, if necessary, before providing such information. To the
17 extent an interrogatory seeks information of a confidential or proprietary nature to Apple, or to
18 others to whom Apple is under an obligation of confidentiality, Apple will respond pursuant to
19 the terms of the protective order to be entered in this case and subject to notice to third parties, as
20 necessary.

21 13. Apple objects to Samsung's definition of "Apple Accused Products" to the extent
22 it is overly broad and unduly burdensome and to the extent it seeks information that is neither
23 relevant nor reasonably calculated to lead to the discovery of admissible evidence. Apple further
24 objects to Samsung's definition of "Apple Accused Products" to the extent that it requires a legal
25 conclusion. Apple further objects to the definition of "Apple Accused Products" to the extent it
26 includes products that are not made, used, offered for sale, or sold in the United States. For
27 purposes of responding to the Interrogatories, Apple interprets the term "Apple Accused
28 Products" to mean Apple iPhone 3G, Apple iPhone 3GS, Apple iPhone 4, iPod touch, iPad, iPad

1 3G, iPad 2, iPad 2 3G.

2 14. Apple objects to any interrogatory to the extent it is premature and/or to the extent
3 that it: (a) conflicts with the schedule entered by the Court; (b) conflicts with obligations that are
4 imposed by the Federal Rules of Civil Procedure, the Civil Local Rules and/or the Patent Local
5 Rules of this Court, and/or any other applicable rule; (c) seeks information that is the subject of
6 expert testimony; (d) seeks information and/or responses that are dependent on the Court's
7 construction of the asserted claims of the patents-in-suit; and/or (e) seeks information and/or
8 responses that are dependent on depositions and documents that have not been taken or produced.

9 15. Apple objects to each interrogatory as overbroad and unduly burdensome to the
10 extent that it calls for information that is neither relevant to the claims or defenses of the parties
11 nor reasonably calculated to lead to the discovery of admissible evidence.

12 16. Apple objects to each interrogatory and to Samsung's "Definitions" and
13 "Instructions" to the extent they are vague, ambiguous, overbroad, or unduly burdensome, or
14 purport to impose upon Apple any duty or obligation that is inconsistent with or in excess of
15 those obligations that are imposed by the Federal Rules of Civil Procedure, the Civil Local Rules
16 and/or the Patent Local Rules of this Court, or any other applicable rule.

17 17. Apple objects to any Interrogatory to the extent it seeks irrelevant information
18 about Apple's products or business operations. Such requests are overbroad and unduly
19 burdensome. Apple will only produce information that is relevant to the patents-in-suit, or that is
20 otherwise related to the claims or defenses of the parties asserted by the parties in this litigation.

21 18. Apple objects to each Interrogatory to the extent that it would impose a duty on
22 Apple to undertake a search for or an evaluation of information, documents, or things for which
23 Samsung is equally able to search for and evaluate. In particular, Apple objects to each
24 Interrogatory to the extent that it seeks information or documents that are publicly available.

25 19. Apple objects to each Interrogatory to the extent that it seeks information that can
26 be derived or ascertained from documents that will be produced in discovery or that are uniquely
27 in Samsung's possession, custody, and control.

28 20. Apple objects to the Interrogatories to the extent they would require Apple to draw

1 a legal conclusion or contention to make a proper response.

2 21. Apple objects to any Definition, Instruction or Interrogatory to the extent that it
3 purports to require identification of oral communications. Such Definition, Instruction or
4 Interrogatory is overbroad, vague, ambiguous, and unduly burdensome.

5 22. Apple objects to the definition of the terms “referring to,” “relating to,”
6 “concerning,” or “regarding” as vague, ambiguous, overbroad, and unduly burdensome to the
7 extent that they depart from Apple’s own definitions of these terms, as defined in Apple’s Third
8 Set of Interrogatories, dated August 3, 2011.

9 23. Apple objects to the Interrogatories to the extent that they purport to define words
10 or phrases to have a meaning different from their commonly understood meaning, or to include
11 more than their commonly understood definitions.

12 24. In Apple’s objections, the terms “and” and “or” are intended to be construed
13 conjunctively or disjunctively as necessary to make the objections inclusive rather than exclusive.

14 25. Apple objects to the Interrogatories to the extent they purport to require Apple to
15 identify or describe or identify “every,” “each,” “any,” or other similarly expansive, infinite, or
16 all-inclusive terms to the extent that such Interrogatories are overly broad and unduly
17 burdensome.

18 26. Apple objects to the Interrogatories to the extent they seek information that is not
19 in the possession, custody, or control of Apple, purport to require Apple to speculate about the
20 identity of persons who might have responsive documents, and/or purport to call for any
21 description of documents that Apple no longer possesses and/or was under no obligation to
22 maintain.

23 27. Apple objects to the Interrogatories to the extent they are not limited in time and
24 seek information for periods of time that are not relevant to any claim or defense.

25 28. Apple incorporates by reference its objections to the Definitions and Instructions
26 in Samsung’s First Set of Requests for Production to Apple Inc. To the extent that a response is
27 provided, in whole or in part, by reference to documents that will be produced, Apple
28 incorporates by reference herein its objections to Samsung’s First Set of Requests for Production

1 to Apple Inc.

2 29. Apple's objections as set forth herein are made without prejudice to Apple's right
3 to assert any additional or supplemental objections pursuant to Rule 26(e).

4 30. Apple will make, and has made, reasonable efforts to respond to Samsung's First
5 Set of Interrogatories, to the extent that no objection is made, as Apple reasonably understands
6 and interprets each Interrogatory. If Samsung subsequently asserts any interpretation of any
7 Interrogatory that differs from the interpretation of Apple, then Apple reserves the right to
8 supplement and amend its objections and responses.

9 **OBJECTIONS AND RESPONSES TO INTERROGATORIES**

10 Subject to the foregoing qualifications and General Objections and the specific objections
11 made below, Apple objects and responds to Samsung Electronics Co. Ltd.'s Interrogatory No. 5
12 to Apple Inc. as follows:

13 **INTERROGATORY NO. 5:**

14 Separately for each of the APPLE PATENTS-IN-SUIT, APPLE TRADE DRESS and
15 APPLE TRADEMARKS, IDENTIFY every product manufactured, used, sold, offered for sale, or
16 imported into the United States since 2005 that YOU believe uses or may use any protected
17 design, trademark, trade dress, or invention of the APPLE PATENTS-IN-SUIT, APPLE TRADE
18 DRESS, and APPLE TRADEMARKS and the date(s) on which you believe that use occurred.
19 The products shall be identified by product name, product manufacturer, telecommunications
20 carrier (if applicable), date of product announcement, date of product release, and appearance of
21 product – including front, back, and side images.

22 **AMENDED OBJECTIONS AND RESPONSE TO INTERROGATORY NO. 5:**

23 Apple objects to the phrase "appearance of product" as vague and ambiguous. Apple
24 objects to this Interrogatory as overbroad, unduly burdensome, and not reasonably calculated to
25 lead to the discovery of admissible evidence, especially to the extent it requests: (i) "every
26 product," including products not at issue in this litigation; (ii) the production of objects or images
27 in response to an Interrogatory; (iii) information concerning the "appearance of product –
28 including front, back, and side images"; and (iv) Samsung products released outside of the United

1 States. Apple further objects to this Interrogatory to the extent it seeks information that: (i)
2 requires the disclosure of information, documents, and things protected from disclosure by the
3 attorney-client privilege, work product doctrine, common interest doctrine, joint defense
4 privilege, or any other applicable privilege, doctrine, or immunity; (ii) would require Apple to
5 draw a legal conclusion to respond; (iii) is outside of Apple's possession, custody, or control; (iv)
6 can be obtained as easily by Samsung, is already in Samsung's possession, or is publicly
7 available; (v) concerns use of any asserted trademark or trade dress before 2007; (vi) would
8 require Apple to draw a legal conclusion to respond; or (vii) is subject to a confidentiality or
9 nondisclosure agreement or governed by a protective order preventing its production.

10 Subject to and incorporating its General Objections and its specific objections, Apple
11 responds as follows with respect to the Samsung products accused in Apple's Amended
12 Complaint:

13 At least the following Samsung devices use or may use inventions claimed by the '002,
14 '891, '163, '915, and '828 patents: Acclaim, Captivate, Continuum, Captivate, Continuum, Droid
15 Charge, Epic 4G, Exhibit 4G, Fascinate, Galaxy Ace, Galaxy Prevail, Galaxy S (i9000), Galaxy S
16 4G, Gem, Gravity, Indulge, Infuse 4G, Intercept, Mesmerize, Nexus S, Nexus S 4G, Replenish,
17 Showcase Galaxy S, Sidekick, Transform, Vibrant, Galaxy Tab, and Galaxy Tab 10.1.

18 At least the following Samsung devices use or may use inventions claimed by the '381
19 patent: Captivate, Continuum, Captivate, Continuum, Droid Charge, Epic 4G, Exhibit 4G,
20 Fascinate, Galaxy Ace, Galaxy Prevail, Galaxy S (i9000), Galaxy S 4G, Gravity, Indulge, Infuse
21 4G, Intercept, Mesmerize, Nexus S, Nexus S 4G, Replenish, Showcase Galaxy S, Sidekick,
22 Vibrant, Galaxy Tab, and Galaxy Tab 10.1.

23 At least the following Samsung devices use or may use inventions claimed by the '607
24 and '129 patents: Galaxy Tab and Galaxy Tab 10.1.

25 At least the following Samsung devices use or may use the invention claimed by the
26 D'889 patent: Galaxy Tab 10.1.

27 At least the following Samsung devices use or may use the inventions claimed by the
28 D'087, D'677, and D'270 patents: Fascinate, Galaxy Ace, Galaxy S i9000, Galaxy S2 i9100,

1 Galaxy S 4G, Infuse 4G, Mesmerize, Showcase i500, Showcase Galaxy S, Vibrant, Galaxy S2
2 Epic 4G Touch, Galaxy S2 (T-Mobile), Galaxy S2 (AT&T), and Galaxy S2 Skyrocket.

3 At least the following Samsung devices use or may use the inventions claimed by the
4 D'790, D'305, and D'334 patents: Captivate, Continuum, Droid Charge, Epic 4G, Exhibit 4G,
5 Fascinate, Gem, Galaxy Ace, Galaxy S i9000, Galaxy S 4G, Gravity Smart, Indulge, Infuse 4G,
6 Mesmerize, Showcase Galaxy S, Showcase i500, Vibrant.

7 At least the U.S. versions of the Samsung Vibrant, Mesmerize, Infuse 4G, Galaxy S 4G,
8 Fascinate, Galaxy S2 i9100, Galaxy S2 Epic 4G Touch, Galaxy S2 (AT&T), Galaxy S2 (T-
9 Mobile), Galaxy S2 Skyrocket, Captivate, Galaxy Ace, Galaxy S i9000, Showcase Galaxy S, and
10 Showcase i500 products use or may use Apple's Original iPhone Trade Dress, iPhone 3G Trade
11 Dress, iPhone 4 Trade Dress, iPhone Trade Dress; the trade dress shown in the Trade Dress
12 Registrations; the trade dress shown in U.S. Application Serial No. 85/299,118; and the
13 Registered Icon Trademarks, the Purple iTunes Store Trademark, and the iTunes Eighth Note and
14 CD Design Trademark. Apple alleges that Samsung Vibrant, Showcase i500, Mesmerize, Infuse
15 4G, Galaxy S 4G, Galaxy Prevail, Fascinate, Gem, Gravity Smart, Epic 4G, Exhibit 4G, Droid
16 Charge, Continuum, Captivate, Galaxy S2 Epic 4G Touch, Galaxy S2 (AT&T), Galaxy S2 (T-
17 Mobile), Galaxy S2 Skyrocket, Galaxy S i9000, Galaxy S Showcase, and Showcase i500 products
18 use or may use the Registered Icon Trademarks, the Purple iTunes Store Trademark, and the
19 iTunes Eighth Note and CD Design Trademark. Apple alleges that the Galaxy Tab 10.1 and
20 Galaxy Tab 7.0 use the iPad Trade Dress and the iPad 2 Trade Dress; the trade dress shown in
21 U.S. Application Serial Nos. 77/921,838, 77/921,829, and 77/921,869; and the Registered Icon
22 Trademarks, the Purple iTunes Store Trademark, and the iTunes Eighth Note and CD Design
23 Trademark. Apple believes that the use or possible use of its patented inventions, trade dress, and
24 trademarks occurred, for each product listed above, no later than the date of each product's
25 release in the United States.

26 The foregoing response does not address recently-released Samsung products accused by
27 Apple in the action styled *Apple Inc. v. Samsung Electronics Co. Ltd. et al.*, Case No. CV12-
28 00630-LHK (N.D. Cal. Feb. 8, 2012). Apple, however, reserves the right to seek and enforce an

1 injunction against any Samsung products that are no more than colorably different from the
2 Samsung products found to infringe in this action and that infringe the Apple patents asserted in
3 this action or, in the alternative, are confusingly similar to the trade dress and trademark rights
4 asserted by Apple in this action.

5 Dated: March 4, 2012

MORRISON & FOERSTER LLP

6

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By: /s/ Richard S.J. Hung
RICHARD S.J. HUNG

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Attorneys for Plaintiff
APPLE INC.

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1 **CERTIFICATE OF SERVICE**

2 I declare that I am employed with the law firm of Morrison & Foerster LLP, whose
3 address is Morrison & Foerster LLP, 425 Market St., San Francisco, California 94105-2482.

4 I am not a party to the within cause, and I am over the age of eighteen years.

5 I further declare that on March 4, 2012, I served a copy of:

6 **APPLE INC.'S AMENDED OBJECTIONS AND RESPONSE TO SAMSUNG**
7 **ELECTRONICS CO. LTD.'S INTERROGATORY No. 5 TO APPLE INC.**

- 8 BY ELECTRONIC SERVICE [Fed. R. Civ. P. 5(b)] by electronically mailing a
9 true and correct copy through Morrison & Foerster LLP's electronic mail system to
10 the e-mail address(s) set forth below, or as stated on the attached service list per
11 agreement in accordance with Fed. R. Civ. P. 5(b).

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Executed in San Francisco, California this 4th day of March, 2012.

/s/ Rosamaría Barajas
Rosamaría Barajas