Apple Inc. v. Samsung Electronics Co. Ltd. et al

Exhibit A

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10		
11	UNITED STATES	DISTRICT COURT
12	NORTHERN DISTR	ICT OF CALIFORNIA
13	SAN JOSI	E DIVISION
14	APPLE INC., a California corporation,	Case No. 11-cv-01846-LHK
15	Plaintiff,	APPLE INC.'S SIXTH SET OF REQUESTS FOR PRODUCTION OF
16	V.	DOCUMENTS
17	SAMSUNG ELECTRONICS CO., LTD., a	
18	Korean corporation; SAMSUNG ELECTRONICS AMERICA, INC., a New	
19	York corporation; and SAMSUNG TELECOMMUNICATIONS AMERICA,	
20	LLC, a Delaware limited liability company,	
21	Defendants.	
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	APPLE INC.'S SIXTH SET OF REQUESTS FOR PRODUCTION C CASE NO. 11-CV-01846-LHK sf-3057751	DF DOCUMENTS

1 Apple Inc. ("Apple" or "Plaintiff") hereby requests, pursuant to Rules 26 and 34 of the 2 Federal Rules of Civil Procedure, that Samsung Electronics Co., Ltd., Samsung Electronics 3 America, Inc., and Samsung Telecommunications America, LLC respond to Apple's Sixth Set of 4 Requests for Production of Documents (the "Requests"). Apple requests that Samsung produce 5 for inspection and copying the documents and things set forth below at the offices of Morrison & 6 Foerster LLP, 425 Market St., San Francisco, CA 94105-2482 within thirty (30) days, or such 7 other time as the parties agree or the Court orders. 8 **DEFINITIONS** 9 The words and phrases used in these Requests shall have the meanings ascribed to them 10 under the Federal Rules of Civil Procedure and the Local Rules of the United States District 11 Court for the Northern District of California. In addition, the following terms shall have the 12 meanings set forth below whenever used in any Request. "Samsung," "You," "Your," and/or "Defendants" mean Samsung Electronics Co., 13 1 14 Ltd., Samsung Electronics America, Inc., and Samsung Telecommunications America, LLC and 15 all predecessors, successors, predecessors-in-interest, successors-in-interest, subsidiaries, 16 divisions, parents, and/or affiliates, past or present, any companies that have a controlling 17 interest in Defendants, and any current or former employee, officer, director, principal, agent, 18 consultant, representative, or attorney thereof, or anyone acting on their behalf. 19 2. "Apple" means Apple Inc. and its subsidiary entities, divisions, predecessors, 20 successors, present and former officers, directors, employees, representatives, agents, and anyone 21 acting on its behalf. 22 3. "Products at Issue" means the following products that Samsung has imported into 23 or sold, or will import into or sell, in the United States: Acclaim, Captivate, Continuum, Droid 24 Charge, Exhibit 4G, Epic 4G, Fascinate, Gem, Galaxy Ace, Galaxy Prevail, Galaxy S (i9000), 25 Galaxy S 4G, Gravity, Indulge, Infuse 4G, Intercept, Mesmerize, Nexus S, Nexus S 4G, 26 Replenish, Showcase i500, Showcase Galaxy S, Sidekick, Transform, Vibrant, and Galaxy S II 27 (aka Galaxy S 2) phones and the Galaxy Tab, Galaxy Tab 10.1, and Galaxy Tab 8.9 tablet 28 computers, any similar products, and any products that Apple accuses of infringing its APPLE INC.'S SIXTH SET OF REQUESTS FOR PRODUCTION OF DOCUMENTS 1 CASE NO. 11-CV-01846-LHK sf-3057751

- intellectual property in this litigation.
- 4. "Apple Products" means the original iPhone, iPhone 3G, iPhone 3GS, iPhone 4,
 iPad, iPad 2, iPod touch, and future versions thereof.

4 5. "Utility Patents at Issue" means U.S. Patent Nos. 7,812,828 (the "828 Patent"),
5 6,493,002 (the "002 Patent"), 7,469,381 (the "381 Patent"), 7,844,915 (the "915 Patent"),
6 7,853,891 (the "891 Patent"), 7,663,607 (the "607 Patent"), 7,864,163 (the "163 Patent"), and
7 7,920,129 (the "129 Patent").

- 6. "Design Patents at Issue" means U.S. Design Patent Nos. D627,790 (the "D'790
 Patent"), D617,334 (the "D'334 Patent"), D604,305 (the "D'305 Patent"), D593,087 (the "D'087
 Patent"), D618,677 (the "D'677 Patent"), D622,270 (the "D'270 Patent"), and D504,889 (the
 "D'270 Patent").
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7. "Patents at Issue" means the Utility Patents at Issue and the Design Patents at Issue.

- 8. "Hardware Design" means a device's casing, screen and screen borders, bezel or
 band, buttons, ports, speaker, and all hardware, insignia, or ornamentation thereon.
- 9. "Graphical User Interface Design" means the graphical user interface displayed
 on a device's screen, including all of the icons displayed as part of the graphical user interface.
- 18 "Original iPhone Trade Dress" means the following elements of Apple's product 10. 19 designs: a rectangular product with four evenly rounded corners; a flat clear surface covering the 20 front of the product; the appearance of a metallic bezel around the flat clear surface; a display 21 screen under the clear surface; under the clear surface, substantial black borders above and below 22 the display screen and narrower black borders on either side of the screen; when the device is on, 23 a matrix of colorful square icons with evenly rounded corners within the display screen; and 24 when the device is on, a bottom dock of colorful square icons with evenly rounded corners set 25 off from the other icons on the display, which does not change as other pages of the user 26 interface are viewed.
- 27 11. "iPhone 3G Trade Dress" means the following elements of Apple's product
 28 designs: a rectangular product with four evenly rounded corners; a flat clear surface covering the
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front of the product; the appearance of a metallic bezel around the flat clear surface; a display
screen under the clear surface; under the clear surface, substantial black borders above and below
the display screen and narrower black borders on either side of the screen; when the device is on,
a row of small dots on the display screen; when the device is on, a matrix of colorful square
icons with evenly rounded corners within the display screen; and when the device is on, a bottom
dock of colorful square icons with evenly rounded corners set off from the other icons on the
display, which does not change as other pages of the user interface are viewed.

8 12. "iPhone 4 Trade Dress" means the following elements of Apple's product 9 designs: a rectangular product with four evenly rounded corners; a flat clear surface covering the 10 front of the product; a display screen under the clear surface; under the clear surface, substantial 11 neutral (black or white) borders above and below the display screen and narrower black borders 12 on either side of the screen; a thin metallic band around the outside edge of the phone; when the 13 device is on, a row of small dots on the display screen; when the device is on, a matrix of 14 colorful square icons with evenly rounded corners within the display screen; and when the device 15 is on, a bottom dock of colorful square icons with evenly rounded corners set off from the other 16 icons on the display, which does not change as other pages of the user interface are viewed.

17 13. "iPhone Trade Dress" means the following elements of Apple's product designs: 18 a rectangular product with four evenly rounded corners; a flat clear surface covering the front of 19 the product; a display screen under the clear surface; under the clear surface, substantial neutral 20 (black or white) borders above and below the display screen and narrower neutral borders on 21 either side of the screen; when the device is on, a matrix of colorful square icons with evenly 22 rounded corners within the display screen; and when the device is on, a bottom dock of colorful 23 square icons with evenly rounded corners set off from the other icons on the display, which does 24 not change as other pages of the user interface are viewed.

14. "iPad Trade Dress" means the following elements of Apple's product designs: a
rectangular product with four evenly rounded corners; a flat clear surface covering the front of
the product; the appearance of a metallic rim around the flat clear surface; a display screen under
the clear surface; under the clear surface, substantial neutral (black or white) borders on all sides
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1	of the display screen; and when the device is on, a matrix of colorful square icons with evenly
2	rounded corners within the display screen.
3	15. "iPad 2 Trade Dress" means the following elements of Apple's product designs:
4	a rectangular product with four evenly rounded corners; a flat clear surface covering the front of
5	the product; the appearance of a metallic rim around the clear flat surface; a display screen under
6	the clear surface; under the clear surface, substantial neutral (black or white) borders on all sides
7	of the display screen; and when the device is on, a matrix of colorful square icons with evenly
8	rounded corners within the display screen.
9	16. "Trade Dress Registrations" means U.S. Registration Nos. 3,470,983; 3,457,218;
10	and 3,475,327.
11	17. "Trade Dress Applications" means U.S. Application Serial Nos. 77/921,838;
12	77/921,829; 77/921,867; and 85/299,118.
13	18. "Registered Icon Trademarks" means the marks shown in U.S. Registration
14	Nos. 3,866,196; 3,889,642; 3,886,200; 3,889,685; 3,886,169; and 3,886,197.
15	19. "Purple iTunes Store Trademark" means the mark shown in U.S. Application
16	Serial No. 85/041,463.
17	20. "iTunes Eighth Note and CD Design Trademark" means the mark shown in U.S.
18	Registration No. 2,935,038.
19	21. "Apple Trademarks and Trade Dress" means the: Original iPhone Trade Dress,
20	iPhone 3G Trade Dress, iPhone 4 Trade Dress, iPhone Trade Dress, iPad Trade Dress, iPad 2
21	Trade Dress, Trade Dress Registrations, Trade Dress Applications, Registered Icon Trademarks,
22	Purple iTunes Store Trademark, and iTunes Eighth Note and CD Design Trademark
23	22. "Accused Feature" means any aspect, element or function of any Product at Issue
24	that is alleged to infringe any of the Patents at Issue, including each function identified in
25	Apple's Infringement Contentions. The term includes each of the following: (1) the function that
26	allows for an image, list, or webpage to be scrolled beyond its edge until it is partially displayed;
27	(2) the function that allows for an image, list, or webpage that is scrolled beyond its edge to
28	scroll back or bounce back into place so that it returns to fill the screen; (3) the function that
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allows for the automatic closing of a user interface window; (4) the function that allows for the display and operation of a user interface status bar; (5) the function that allows for the detection and identification of a touch or object on a touch screen display; (6) the function for detecting and interpreting user input or gestures on a touch screen display, including the function that determines whether a user input relates to scrolling or a scaling gesture; (7) the touch screen on the accused devices and any software, firmware, or other system used to control said touch screen.

23. 8 "Source Code" means source code and source code repositories, including but not 9 limited to: (1) all makefiles, history files, or similar code-generation control or version-control 10 files for such source code, including files identifying (a) the date when code was checked in; 11 (b) the identity of persons who coded and checked-in the code; and (2) an identification of such 12 source code, including (a) version number(s), (b) the compiler used in the development of such 13 source code and (c) the operating system used in the development of such source code. Requests 14 for Source Code cover prototypes, released and unreleased versions, and public and proprietary 15 Source Code.

24. "Document(s)" has the broadest possible meaning permitted by Federal Rules of
Civil Procedure Rules 26 and 34 and the relevant case law, and the broadest meaning consistent
with the terms "writings" or "recordings" as set forth in Rule 1001 of the Federal Rules of
Evidence, and specifically and without limitation include tangible things and electronically
stored information, including e-mail and information stored on computer disk or other electronic,
magnetic, or optical data storage medium. "Document(s)" also includes all drafts or non-final
versions, alterations, modifications, and amendments to any of the foregoing.

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25. "Communication(s)" means the transmittal of information in the form of facts, ideas, inquiries, and any exchange or transfer of information whether written, oral, electronic, or in any form.

26 26. "Tangible Thing(s)" has the broadest possible meaning permitted by Federal
27 Rules of Civil Procedure 26 and 34 and the relevant case law.

28 27. "Concerning" or "Relating" mean regarding, referring to, concerning, mentioning, APPLE INC.'S SIXTH SET OF REQUESTS FOR PRODUCTION OF DOCUMENTS CASE NO. 11-CV-01846-LHK sf-3057751

1	reflecting, per	taining to, analyzing, evidencing, stating, involving, identifying, describing,
2	discussing, do	cumenting, commenting on, dealing with, embodying, responding to, supporting,
3	contradicting,	comprising, containing, or constituting (in whole or in part), as the context makes
4	appropriate.	
5	28.	The use of a verb in any tense shall be construed as the use of the verb in all other
6	tenses.	
7	29.	The use of the singular form of any word includes the plural and vice versa.
8	30.	"And" as well as "or" are to be construed either disjunctively or conjunctively to
9	acquire the bro	oadest meaning possible, so as to bring within the scope of the Request all
10	information th	at might otherwise be construed to be outside its scope. The term "all" is to be
11	construed to n	nean "any" and "each" and vice versa.
12	31.	"Including" shall be construed to mean "including, without limitation" or
13	"including, bu	it not limited to."
14	32.	"Person(s)" means natural persons as well as business entities and associations of
15	all sorts, inclu	ding partnerships, companies, proprietorships, joint ventures, corporations,
16	government ag	gencies, and unincorporated associations.
17	33.	"Entity" or "Entities" means, including without limitation, corporation, company,
18	firm, partnersl	hip, joint venture, association, governmental body or agency, or persons other than
19	a natural perso	on.
20	34.	"Third Party" or "Third Parties" means all persons who are not parties to this
21	Litigation, as	well as their officers, directors, employees, agents and attorneys.
22	35.	"First Amended Complaint" means the first amended complaint in the above-
23	captioned acti	on dated June 16, 2011.
24	36.	"Answer" means Samsung's answer to the First Amended Complaint in the
25	above-caption	ed action dated June 30, 2011.
26	37.	"Samsung's Counterclaims" means Samsung's Counterclaims asserted in
27	response to the	e First Amended Complaint in the above-captioned action dated June 30, 2011.
28	38.	"Related Foreign Proceedings" means any litigation or court proceeding involving
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1	Apple and Samsung occurring outside the United States regarding any of the same subject
2	matter, patents, trademarks, or products at issue in this case.
3	INSTRUCTIONS
4	1. Each document is to be produced along with all non-identical drafts thereof in
5	their entirety, without abbreviation or redaction, and as maintained in the ordinary course of
6	business.
7	2. If Samsung withholds any documents on a claim of privilege, provide a statement
8	of the claim of privilege and all facts relied upon in support of that claim as required by
9	Rule 26(b)(5) of the Federal Rules of Civil Procedure.
10	3. Documents responsive to each Request must be produced in full and subject to
11	any Request being narrowed by the parties' meeting and conferring regarding your
12	corresponding requests to Plaintiff, if applicable.
13	REQUESTS FOR PRODUCTION OF DOCUMENTS
14	REQUEST FOR PRODUCTION NO. 192:
15	All Documents relating to your analysis, review, consideration or assessment of any
16	Apple Product or product feature in designing, developing, or implementing any feature of the
17	Products at Issue, including: (1) the Hardware Design; and (2) the Accused Feature.
18	REQUEST FOR PRODUCTION NO. 193:
19	All Documents comparing the operating system installed in the Products at Issue
20	(including but not limited to the Android operating system and/or platform) to the operating
21	system used on the Apple Products, or any part, feature or portion thereof.
22	REQUEST FOR PRODUCTION NO. 194:
23	All Documents to or from Lee Don-Joo relating to his consideration or review of any
24	Apple Product, its hardware design, or its features.
25	REQUEST FOR PRODUCTION NO. 195:
26	All Documents relating to the work conducted by any group within Defendants that
27	analyzed, considered, or compared any Apple Product or product feature in developing one or
28	more of the Products at Issue.
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1	REQUEST FOR PRODUCTION NO. 196:
2	All Documents relating to any competitor studies, market analysis, review, consideration,
3	or assessment of the Apple Trademarks and Trade Dress.
4	REQUEST FOR PRODUCTION NO. 197:
5	All Documents sufficient to identify and show in detail each design around, allegedly non-
6	infringing alternative manufacturing process, and/or alternative technology or method that can be
7	used as an alternative to the patented technology of each of the Utility Patents at Issue.
8	REQUEST FOR PRODUCTION NO. 198:
9	Documents concerning each design around, and/or allegedly non-infringing alternative
10	design that can be used as an alternative to the Design Patents at Issue.
11	REQUEST FOR PRODUCTION NO. 199:
12	All Documents relating to each change Samsung made, is now making, or will make to
13	the Products at Issue in response to Apple's allegations in this lawsuit.
14	REQUEST FOR PRODUCTION NO. 200:
15	All Documents relating to steps taken to ensure Samsung's compliance with the
16	intellectual property rights of third parties during the development of the Products at Issue.
17	REQUEST FOR PRODUCTION NO. 201:
18	All Documents sufficient to identify any steps Samsung took to ensure that any former
19	Apple employee, consultant, or agent working for Samsung did not retain, access, use, or copy
20	Apple proprietary materials while employed or retained by Samsung.
21	REQUEST FOR PRODUCTION NO. 202:
22	All Documents sufficient to identify each former Apple employee or consultant who has
23	worked, works, or will work for Samsung and the nature of that work.
24	REQUEST FOR PRODUCTION NO. 203:
25	All Documents discussing, analyzing, or evaluating any of the intellectual property rights
26	that Apple has asserted in this lawsuit, including the Patents at Issue and the Apple Trademarks
27	and Trade Dress.
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REQUEST FOR PRODUCTION NO. 204:

All Documents relating to Samsung's knowledge of any portion of Apple's intellectual property portfolio, including the Patents at Issue and the Apple Trademarks and Trade Dress.

REQUEST FOR PRODUCTION NO. 205:

5 All Documents relating to Samsung's policies or practices to keep apprised of the current 6 state of intellectual property rights in the mobile electronic device industry, including the 7 smartphone, tablet computer, and digital media player industries.

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REQUEST FOR PRODUCTION NO. 206:

9 All Documents relating to any research or investigation by or on behalf of Defendants 10 regarding intellectual property rights in the field of mobile electronic devices, including the 11 smartphone, tablet computer, and digital media player fields.

12 **REQUEST FOR PRODUCTION NO. 207:**

13 All Documents relating to the design, development, production, manufacture, or 14 implementation of the Products at Issue, including but not limited to: (1) their Hardware Design; 15 (2) the operating system used in the Product at Issue; (3) any Samsung application installed in the 16 Product at Issue; (4) the development, production and implementation of any Accused Feature; 17 (5) the design and development of the icons accused of infringement in the Products at Issue. 18 This request covers white papers, designs, plans, specifications, pamphlets, memorandums, 19 schematics, engineering drawings, guides, guidelines, technical overviews, design reports, 20 technical sheets, and any documents identifying the persons involved with these activities. 21 **REQUEST FOR PRODUCTION NO. 208:**

- All Documents identifying the individuals who contributed to the design, development,
- 23 production, manufacture, or implementation of the Products at Issue, including: (1) their
- 24 Hardware Design; (2) the development of the operating system used in the Product at Issue;
- 25 (3) the development of any Samsung application installed in the Product at Issue; and (4) the
- 26 development of any Accused Feature.
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REQUEST FOR PRODUCTION NO. 209:

All Documents showing the identity and organizational structure of each entity within
Samsung that contributed to the design, development, production, manufacture, implementation,
marketing, and/or commercialization of the Products at Issue, including but not limited to:
(1) their Hardware Design; (2) the development of the operating system used in the Product at
Issue; (3) the development of any Samsung application installed in the Product at Issue; and
(4) the development of any Accused Feature.

8

REQUEST FOR PRODUCTION NO. 210:

9 All Documents and things relating to the Hardware Design of the Products at Issue,
10 including but not limited to, CAD images or files, prototype 3D models (mockups), design
11 history files, emails, notebooks, photographs, sketches, design specifications, models, mock-ups,
12 and other design documents.

13 **REQUEST FOR PRODUCTION NO. 211:**

All Documents and things relating to any prior design project that led to the Products at
Issue, including but not limited to, CAD images or files, prototype 3D models (mockups), design
history files, emails, notebooks, photographs, sketches, design specifications, models, mock-ups,
and other design documents.

- 18 **REQUEST FOR PRODUCTION NO. 212:**
- 19
 All Documents concerning alternative Hardware Designs considered by Samsung during

20 the development of the Products at Issue.

- 21 **REQUEST FOR PRODUCTION NO. 213**:
- All Documents relating to functional and cost considerations that constrained or altered
- 23 the Hardware Design of the Products at Issue.
- 24 **REQUEST FOR PRODUCTION NO. 214:**
- 25 All Documents relating to aesthetic considerations relating to the Hardware Design of the

26 Products at Issue.

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1	REQUEST FOR PRODUCTION NO. 215:
2	All Documents sufficient to identify the date of the first design of the following features
3	of the Products at Issue: (1) their Hardware Design; and (2) the Accused Feature.
4	REQUEST FOR PRODUCTION NO. 216:
5	All Documents showing when each Accused Feature was incorporated into the Product at
6	Issue (including but not limited to the date when any code was incorporated into the Source Code
7	of any Product at Issue), who incorporated such feature, who approved such incorporation, and
8	documents concerning such incorporation.
9	REQUEST FOR PRODUCTION NO. 217:
10	All Documents concerning when and under what circumstances each Product at Issue or
11	any feature thereof was approved for sale or commercialization.
12	REQUEST FOR PRODUCTION NO. 218:
13	All Documents concerning strategy for commercializing each Product at Issue or any
14	feature thereof.
15	REQUEST FOR PRODUCTION NO. 219:
16	All Documents constituting or concerning communications between Samsung and any
17	third party regarding the Hardware Design of the Products at Issue.
18	REQUEST FOR PRODUCTION NO. 220:
19	All Documents relating to any testing, surveys, focus groups, studies, or other means of
20	obtaining consumer opinions that Samsung conducted or had conducted on their behalf in
21	connection with each consumer design preference for mobile electronic devices, including
22	smartphones, tablet computers, and digital media players.
23	REQUEST FOR PRODUCTION NO. 221:
24	All Documents concerning a Mobile UX or similarly-titled study conducted by or for
25	Samsung in or around April 2010.
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27	
28	
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REQUEST FOR PRODUCTION NO. 222:

2 All Documents concerning any complaints received from consumers regarding the 3 Products at Issue, reasons that consumers return the Products at Issue, or consumer dissatisfaction 4 with the Products at Issue.

5

REQUEST FOR PRODUCTION NO. 223:

6 All Source Code, specifications, schematics, flow charts, artwork, formulas, or other 7 documentation showing the operation of elements of the Products at Issue that are alleged to 8 infringe any of the Patents at Issue.

9

REQUEST FOR PRODUCTION NO. 224:

10 All Source Code and executables for each version of the operating system (including but 11 not limited to the source code for the Android operating system and/or platform) used in each 12 Product at Issue, including, but not limited to, any kernel, library, or application framework.

13

REQUEST FOR PRODUCTION NO. 225:

14 All Documents showing, for each Product at Issue, the evolution and version history of 15 the operating system (including but not limited to the Android operating system and/or platform) 16 used in that Product at Issue, including, but not limited to, the dates during which each version of 17 operating system was used.

18

REQUEST FOR PRODUCTION NO. 226:

19 All Documents identifying, for each Product at Issue, any updates to the operating system 20 (including, but not limited to, the Android operating system and/or platform) used in the Product 21 at Issue, including, but not limited to, the date(s) when such updates were made available.

22 **REQUEST FOR PRODUCTION NO. 227:**

23

All Documents referring or relating to the features, operations, characteristics of, or 24 changes made to each version of the operating system (including, but not limited to, the Android 25 operating system and/or platform) used in each Product at Issue, including technical 26 specifications as well as instructions relating to deployment, installation, maintenance, and

- 27 upgrade procedures.
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REQUEST FOR PRODUCTION NO. 228:

2 All Documents showing the operation and functionality of the touch screens (including 3 the display and touch sensor panels) of the Products at Issue, including the Source Code for each 4 version of any software, firmware, program(s), library(ies) or other system used to control the 5 touch screens.

6

REQUEST FOR PRODUCTION NO. 229:

7 All Documents showing, for each Product at Issue, the evolution and version history of 8 any software, firmware, program(s), library(ies) or other system used to control the touch screens 9 (including the display and touch sensor panels) of the Products at Issue, including but not limited 10 to the dates during which each version was in use.

11

REQUEST FOR PRODUCTION NO. 230:

- 12 All Documents identifying, for each Product at Issue, any update to any software, 13 firmware, program(s), library(ies) or other system used to control the touch screens (including the 14 display and touch sensor panels) of the Products at Issue, including, but not limited to, the date(s) 15 when such updates were made available.
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REQUEST FOR PRODUCTION NO. 231:

17 All Documents referring or relating to the features, operations, characteristics of, or 18 changes made to each version of the any software, firmware, program(s), library(ies) or other 19 system used to control the touch screens (including the display and touch sensor panels) of the 20 Products at Issue, including technical specifications as well as instructions relating to deployment, 21 installation, maintenance, and upgrade procedures.

22

REQUEST FOR PRODUCTION NO. 232:

23

All Source Code and executables for each version of each Samsung application installed 24 in the Products at Issue, including for each of the following applications: Contacts, Browser 25 (Internet), Calendar, Email, Music Player, Feeds & Updates, Camera, Gallery, Alarms and Clock 26 (Clock), Daily Briefing, Voice Recorder, Video Player, TouchWiz Launcher, My Files,

- 27 Mediahub, and Memo.
- 28

REQUEST FOR PRODUCTION NO. 233:

All Documents showing, for each Product at Issue, the evolution and version history of each Samsung application installed in the Products at Issue, including but not limited to the dates during which each version of each application was installed, and the identity of each product in which the application was installed.

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REQUEST FOR PRODUCTION NO. 234:

All Documents identifying, for each Product at Issue, any updates to each Samsung
application installed in the Products at Issue, including, but not limited to, the date(s) when such
updates were made available.

- 10 **REQUEST FOR PRODUCTION NO. 235:**
 - All Documents referring or relating to the features, operations, characteristics of, or
- 12 changes made to each version of any Samsung application installed in the Products at Issue,
- 13 including, but not limited to, those relating to deployment, installation, maintenance, and upgrade14 procedures.

15 **REQUEST FOR PRODUCTION NO. 236:**

- All Source Code and executables for any software, firmware, program(s), library(ies) or
 other system used in performing any Accused Feature.
- 18 **REQUEST FOR PRODUCTION NO. 237:**
- All Documents showing, for each Product at Issue, the evolution and version history for
 any software, firmware, program(s), library(ies) or other system used in performing any
 remaining Accused Feature, including, but not limited to, the dates during which each version
 was used in the Products at Issue, and the identity of each product in which the version was
 installed.
- 24 **REQUEST FOR PRODUCTION NO. 238:**

All Documents identifying, for each Product at Issue, any updates to the software,
firmware, program(s), library(ies) or other system used in performing each remaining Accused

- 27 Feature, including but not limited to the date(s) when such updates were made available.
- 28

REQUEST FOR PRODUCTION NO. 239:

All Documents referring or relating to the features, operations, characteristics of, or
changes made to, each version of the software, firmware, program(s), library(ies) or other system
used in performing each remaining Accused Feature, including technical specifications as well as
instructions relating to deployment, installation, maintenance, and upgrade procedures.

6

REQUEST FOR PRODUCTION NO. 240:

All Documents concerning the design, manufacture, specifications and operation of the
touch screens (including the display and touch sensor panels) on the Products at Issue.

9

REQUEST FOR PRODUCTION NO. 241:

All Source Code and executables for any software, firmware, program(s), library(ies) or
other system used to control the touch screens (including the display and touch sensor panels) on
the Products at Issue.

13 **REQUEST FOR PRODUCTION NO. 242:**

All Source Code and executables for any software, firmware, program(s), library(ies) or
other system running on any monitoring circuitry, integrated circuit, chip, controller or module
used to operate the touch screens (including the display and touch sensor panels) on the Products
at Issue.

18 1

REQUEST FOR PRODUCTION NO. 243:

All Documents showing the arrangement and specification of traces, conductive lines,
 conductive layers, glass, dielectrics, substrates, adhesives, and other elements used to construct

21 the touch screens (including the display and touch sensor panels) of the Products at Issue.

22 **REQUEST FOR PRODUCTION NO. 244:**

23

All Documents concerning the design, manufacture, specification and operation of any

24 monitoring circuitry, integrated circuit, chip, controller or module used to operate the touch

25 screens (including the display and touch sensor panels) of the Products at Issue.

26 **REQUEST FOR PRODUCTION NO. 245:**

27

All data sheets concerning the touch screens (including the display and touch sensor

28 panels) on the Products at Issue or any monitoring circuitry, integrated circuit, chip, controller or APPLE INC 'S SIXTH SET OF REQUESTS FOR PRODUCTION OF DOCUMENTS

Apple Inc.'s Sixth Set of Requests for Production of Documents Case No. 11-cv-01846-LHK sf-3057751

1	module used to operate said touch screens.	
2	REQUEST FOR PRODUCTION NO. 246:	
3	All user documents, guides and operating manuals provided to the buyers of the Product at	
4	Issue.	
5	REQUEST FOR PRODUCTION NO. 247:	
6	Three samples of each version of the product packaging used by any retailer for each of	
7	the Products at Issue.	
8	REQUEST FOR PRODUCTION NO. 248:	
9	All Documents concerning the imprecision of human fingers when using devices with a	
10	touch screen display.	
11	REQUEST FOR PRODUCTION NO. 249:	
12	All Documents concerning any function performed by the Products at Issue to account,	
13	correct, or compensate for the imprecision of human fingers when using devices with a touch	
14	screen display.	
15	REQUEST FOR PRODUCTION NO. 250:	
16	All development agreements between Samsung and third parties in connection with the	
17	operating system (including, but not limited to, the Android operating system and/or platform)	
18	and any applications installed in the Products at Issue.	
19	REQUEST FOR PRODUCTION NO. 251:	
20	All Samsung-authorized or -authored publications, articles, technical reviews, and white	
21	papers discussing Android or any other operating system installed in the Products at Issue.	
22	REQUEST FOR PRODUCTION NO. 252:	
23	All competitive and market analysis documents concerning the Products at Issue.	
24	REQUEST FOR PRODUCTION NO. 253:	
25	All competitive and market analysis documents concerning Apple or any of the Apple	
26	Products.	
27	REQUEST FOR PRODUCTION NO. 254:	
28	All documents referring to or reflecting why customers purchase the Products at Issue.	
	APPLE INC.'S SIXTH SET OF REQUESTS FOR PRODUCTION OF DOCUMENTS CASE NO. 11-CV-01846-LHK sf-3057751	

1	REQUEST FOR PRODUCTION NO. 255:	
2	All documents that refer to any of the accused features or designs of the Products at Issue.	
3	REQUEST FOR PRODUCTION NO. 256:	
4	All Documents relating to the marketing plan and/or advertising plan for the Products at	
5	Issue.	
6	REQUEST FOR PRODUCTION NO. 257:	
7	All Documents relating to actual or possible confusion, mistake or deception, or the	
8	likelihood of confusion, as to source, affiliation, or sponsorship between Apple and Samsung or	
9	between any of the Apple Products and any of the Products at Issue.	
10	REQUEST FOR PRODUCTION NO. 258:	
11	All Documents relating to the first offer for sale or sale of each Product at Issue.	
12	REQUEST FOR PRODUCTION NO. 259:	
13	All Documents concerning the first public use of each Product at Issue.	
14	REQUEST FOR PRODUCTION NO. 260:	
15	For each Product at Issue, documents sufficient to show (a) the style, model number, trade	
16	name, or any other product identifier of each product; (b) the dates of manufacture of each	
17	product; (c) the name of the entity or entities responsible for the design and manufacture of each	
18	product; (d) the starting and ending dates of sale of each product; (e) the total sales (by unit and	
19	dollar amount) of each product; (f) the unit cost during each year it was sold; (g) the unit sales	
20	price during each year it was sold; (h) the incremental gross profit attributable to the sale or other	
21	conveyance; (i) the operating profit attributable to the sale or other conveyance; and (k) the names	
22	of the persons who approved the selection, design, sale, use, and/or adoption of the product.	
23	REQUEST FOR PRODUCTION NO. 261:	
24	For each Product at Issue, documents that tie to the general ledger and show, by each	
25	product style or product identifier and each quarter: (1) gross revenues; (2) net revenues;	
26	(3) quantity sold; (4) average selling price; (5) cost of goods sold; and (6) any marketing expenses	
27	specifically attributed to the Product at issue.	
28		1
	APPLE INC.'S SIXTH SET OF REQUESTS FOR PRODUCTION OF DOCUMENTS CASE NO. 11-CV-01846-LHK sf-3057751 17	

REQUEST FOR PRODUCTION NO. 262:

2 All Documents relating to how Samsung accounts for revenue and expenses of the 3 Products at Issue, including any accounting policies, internal controls, internal manuals or other 4 accounting documents that describe how revenue, cost of goods sold, and sales, general or 5 administrative expenses related to any Product at Issue should be recorded and reported.

6

REQUEST FOR PRODUCTION NO. 263:

7 All Documents that reflect or refer to any projections of sales, cost of goods sold, pricing, 8 and quantity to be shipped for any of the Products at Issue.

9

REQUEST FOR PRODUCTION NO. 264:

10 All Documents reflecting or referring to the incremental profit or economic value from the 11 sale or marketing of the Products at Issue, including any effort to calculate contribution margin, 12 operating margin, incremental margin or margin profit from the sale of the Products at Issue or 13 the line of Products at Issue.

14

REQUEST FOR PRODUCTION NO. 265:

15 All Documents reflecting or relating to any actual or projected Samsung revenue and 16 profits in connection with any sales, licenses or any other activity relating to the Products at Issue, 17 including profit and loss statements, income statements, any other financial statements or 18 projections, and documents that describe, analyze or comment on such actual or projected 19 revenues and profits.

20 **REQUEST FOR PRODUCTION NO. 266:**

21 All Documents showing the actual costs and projected costs associated with each Product 22 at Issue, including the research, development, design, engineering, manufacturing, importation, 23 and marketing costs.

24 **REQUEST FOR PRODUCTION NO. 267:**

25 All Documents showing the prices at which Samsung sells any Product at Issue between 26 any of its subsidiaries.

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1 **REQUEST FOR PRODUCTION NO. 268:** 2 All settlement agreements to which Samsung was a party that included a license to or 3 covenant not to sue regarding any of the Samsung Patents or any patent that covered mobile 4 phone technology. 5 **REQUEST FOR PRODUCTION NO. 269:** 6 All licenses to technology that was incorporated into any of the Products at Issue. 7 **REQUEST FOR PRODUCTION NO. 270:** 8 All Documents relating to any internal or independent effort to assign a value of any 9 technology incorporated into one of the Products at Issue, including any valuation of intellectual 10 property performed in connection with the acquisition of any company or any effort to value 11 intellectual property in connection with quarterly analysis of whether intangible rights should be 12 impaired or written down. 13 **REQUEST FOR PRODUCTION NO. 271:** 14 All Documents constituting or concerning any licenses offered, accepted, considered, 15 negotiated, or agreed to by Samsung concerning mobile electronic devices (including 16 smartphones, tablet computers, digital media players, and each of the Products at Issue), including 17 all actual and draft licenses, term sheets, all communications with actual or potential licensors or 18 licensees, as well as all memoranda or notes relating thereto. 19 **REQUEST FOR PRODUCTION NO. 272:** 20 All agreements between Samsung and any third party related to the Products at Issue, 21 including licensing agreements, distribution agreements, development agreements, advertisement 22 agreements, and any agreements related to the sale, licensing, distribution, advertising, 23 development, or commercialization of the Products at Issue. 24 **REQUEST FOR PRODUCTION NO. 273:** 25 All Documents concerning any royalties or licensing fees paid by or to Samsung for the 26 use, manufacture, or sale of mobile electronic devices (including smartphones, tablet computers, 27 digital media players, and each of the Products at Issue), including but not limited to any 28 quarterly, monthly or annual reporting of royalties paid or received. APPLE INC.'S SIXTH SET OF REQUESTS FOR PRODUCTION OF DOCUMENTS 19 CASE NO. 11-CV-01846-LHK

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REQUEST FOR PRODUCTION NO. 274:

Documents concerning Samsung's licensing program for mobile electronic devices
(including smartphones, tablet computers, digital media players, and each of the Products at
Issue), including policies and strategies for licensing its own intellectual property or acquiring
rights to the intellectual property of others.

6

REQUEST FOR PRODUCTION NO. 275:

Documents sufficient to identify Samsung's licensing personnel, location of said
personnel, duties of said personnel, and costs of said personnel.

9 **REQUEST FOR PRODUCTION NO. 276:**

All Documents concerning Samsung's business model with respect to the Products at
 Issue, including any revenue model, marketing strategy, distribution model, costs, licensing
 strategies, and financial projection relating to the Products at Issue.

13 **REQUEST FOR PRODUCTION NO. 277:**

All Documents relating to any actual or projected revenue or profits lost by Apple as a
 result of or in connection with the sale, marketing or licensing of the Products at Issue.

16 **REQUEST FOR PRODUCTION NO. 278:**

17

All Documents concerning Samsung's business case for using the Android operating

18 system and/or platform, including but not limited to any revenue model, marketing strategy,

19 distribution model, cost estimates, licensing strategies, and financial projection relating to the

20 Android operating system and/or platform.

21 **REQUEST FOR PRODUCTION NO. 279:**

22

All Documents relating to any valuation or assessment of the value of the Android

23 operating system and/or platform or any part or portion thereof.

24 **REQUEST FOR PRODUCTION NO. 280:**

All Documents concerning Samsung's valuation of its intellectual property related to
 mobile electronic devices (including smartphones, tablet computers, digital media players, and

- each of the Products at Issue), including valuation of copyrights, trademarks, trade dress, and U.S.
- 28

1 and foreign patents and patent applications (including design patents) filed by or on behalf of,

2 issued to, or assigned to Samsung.

3

REQUEST FOR PRODUCTION NO. 281:

All Documents concerning Samsung's valuation of any other entities' intellectual property
related to mobile electronic devices (including smartphones, tablet computers, digital media
players, and each of the Products at Issue), including valuation of copyrights, trademarks, trade
dress, and U.S. and foreign patents and patent applications (including design patents) filed by or
on behalf of, issued to, or assigned to that other entity.

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REQUEST FOR PRODUCTION NO. 282:

All Documents concerning any products or services marketed, sold or offered for sale by
Samsung in conjunction with the sale, offer for sale, distribution or use of the Products at Issue.

12 **REQUEST FOR PRODUCTION NO. 283:**

All Documents reflecting or relating to any actual or projected revenue, gross margin, cost
 of goods sold, and/or any measure of profit obtained by Samsung in connection with or derived
 from any products or services marketed, sold or offered for sale by Samsung in conjunction with
 the sale, offer for sale, distribution or use of the Products at Issue.

17 **REQUEST FOR PRODUCTION NO. 284:**

18

All Documents and things you allege are prior art to the Patents at Issue.

19**REQUEST FOR PRODUCTION NO. 285:**

All Documents concerning or relating to any reference, document, item, thing or activity
that you allege is prior art to the Patents at Issue, including, but not limited to, any document

22 concerning or establishing the first public use, sale, offer for sale, knowledge, publication,

23 patenting, invention, and/or reduction to practice of the prior art, and/or its abandonment,

24 suppression or concealment.

25 **REQUEST FOR PRODUCTION NO. 286:**

26

All Documents concerning any prior public use, knowledge, publication, patenting or on

27 sale activity qualifying as prior art or a patentability bar under 35 U.S.C. § 102(a) or 102(b).

1	REQUEST FOR PRODUCTION NO. 287:
2	All Documents concerning any prior inventorship or derivation of the inventions claimed
3	in the Patents at Issue.
4	REQUEST FOR PRODUCTION NO. 288:
5	All Documents concerning any abandonment of the inventions claimed in the Patents at
6	Issue.
7	REQUEST FOR PRODUCTION NO. 289:
8	All Documents concerning any invention or activity you allege invalidates any Patent at
9	Issue under 35 U.S.C. §§ 102(d) & (e).
10	REQUEST FOR PRODUCTION NO. 290:
11	All Documents concerning the obviousness of any Patent at Issue.
12	REQUEST FOR PRODUCTION NO. 291:
13	All Documents concerning the allegedly functional aspects of the Design Patents at Issue.
14	REQUEST FOR PRODUCTION NO. 292:
15	All Documents describing, concerning, referring to the Patents at Issue.
16	REQUEST FOR PRODUCTION NO. 293:
17	All weekly, monthly, quarterly, or other periodic reports concerning the development,
18	testing, sale, distribution, marketing, manufacturing, licensing, use or commercialization of any
19	Product at Issue.
20	REQUEST FOR PRODUCTION NO. 294:
21	All websites or other materials posted by Samsung, or on behalf of Samsung, on any web
22	site concerning any Product at Issue.
23	REQUEST FOR PRODUCTION NO. 295:
24	For each expert witness designated or disclosed by you, or whose opinion you intend to
25	rely on at trial: (1) all Documents relied on by that expert in forming his/her opinions or drafting
26	his/her expert report; (2) all prior expert reports and/or declarations submitted by that expert in
27	other litigations involving any issue in dispute in this litigation; and (3) all trial and deposition
28	transcripts from other litigation in which your expert witness served as an expert.
	APPLE INC.'S SIXTH SET OF REQUESTS FOR PRODUCTION OF DOCUMENTS CASE NO. 11-CV-01846-LHK sf-3057751

1	REQUEST FOR PRODUCTION NO. 296:
2	For each witness identified in Your initial disclosures, or each person responsible for the
3	design, development or marketing of the Products at Issue, all trial or deposition transcripts from
4	other litigation in which that witness testified.
5	REQUEST FOR PRODUCTION NO. 297:
6	All Documents showing the structure of Samsung's e-mail system and any manner of
7	automatic deletion of e-mail.
8	REQUEST FOR PRODUCTION NO. 298:
9	All Documents showing the structure of Samsung's e-mail system and any manner of
10	automatic deletion of e-mail.
11	REQUEST FOR PRODUCTION NO. 299:
12	All of Your communications with third parties, including Google, relating to Your
13	litigation with Apple, including, but not limited to, this case.
14	REQUEST FOR PRODUCTION NO. 300:
15	All of Your communications with third parties, including Google, relating to Apple's
16	Products.
17	REQUEST FOR PRODUCTION NO. 301:
18	All of Your communications with third parties, including Google, relating to Apple's
19	intellectual property, including its utility and design patents, trademarks, and trade dress.
20	REQUEST FOR PRODUCTION NO. 302:
21	All court files from the Related Foreign Proceedings, including pleadings, motions,
22	statements, and Your responses to discovery requests.
23	REQUEST FOR PRODUCTION NO. 303:
24	All Documents that Samsung has produced in the Related Foreign Proceedings.
25	REQUEST FOR PRODUCTION NO. 304:
26	All deposition and hearing transcripts from Related Foreign Proceedings.
27	REQUEST FOR PRODUCTION NO. 305:
28	All Documents relating to Your smart phone partnership and cross-license with Microsoft,
	APPLE INC.'S SIXTH SET OF REQUESTS FOR PRODUCTION OF DOCUMENTS CASE NO. 11-CV-01846-LHK sf-3057751 23

1	including communications, draft agreements, negotiation history, and the final agreement signed
2	by You and Microsoft in September 2011.
3	REQUEST FOR PRODUCTION NO. 306:
4	All Documents relating to Samsung's document back-up, destruction and storage policies
5	of documents relevant to this case.
6	REQUEST FOR PRODUCTION NO. 307:
7	All Documents relating to the procedures followed and actions taken to assure the
8	preservation and maintenance of documents and other materials relevant to this case.
9	REQUEST FOR PRODUCTION NO. 308:
10	All Documents concerning the procedure followed and actions taken by You to search for,
11	locate, and produce documents requested by Plaintiff in this case.
12	REQUEST FOR PRODUCTION NO. 309:
13	A copy of the "litigation hold" memorandum and/or any other correspondence
14	distributed to, between or among, You and Your employees concerning preserving documents,
15	electronic records, and other things for this litigation.
16	REQUEST FOR PRODUCTION NO. 310:
17	All Documents related to the destruction, whether or not inadvertent, of any document
18	responsive to Apple's discovery requests in this case.
19	REQUEST FOR PRODUCTION NO. 311:
20	Documents sufficient to identify the identity, job title, dates of employment, and
21	responsibilities of all Your employees in the Office of Development (개발실), a Samsung
22	organization mentioned in Samsung document SAMNDCA00513783-513786.
23	REQUEST FOR PRODUCTION NO. 312:
24	Documents sufficient to describe the organizational structure of the Office of
25	Development.
26	REQUEST FOR PRODUCTION NO. 313:
27	Documents sufficient to identify the identity, job title, dates of employment, and
28	responsibilities of all Your employees in the R&D Management Group (개발관리그룹), a
	Apple Inc.'s Sixth Set of Requests for Production of Documents24Case No. 11-cv-01846-LHK5f-3057751

1	Samsung organization mentioned in Samsung document SAMNDCA00513783-513786.
2	REQUEST FOR PRODUCTION NO. 314:
3	Documents sufficient to describe the organizational structure of the R&D Management
4	Group.
5	REQUEST FOR PRODUCTION NO. 315:
6	Documents sufficient to identify the identity, job title, dates of employment, and
7	responsibilities of all Your employees in the Product Strategy Team (상품전략팀) of the Mobile
8	Communication Division, a Samsung organization mentioned in Samsung document
9	SAMNDCA00507493-507496.
10	REQUEST FOR PRODUCTION NO. 316:
11	Documents sufficient to describe the organizational structure of the Product Strategy
12	Team (상품전략팀) of the Mobile Communication Division.
13	REQUEST FOR PRODUCTION NO. 317:
14	Documents sufficient to identify the identity, job title, dates of employment, and
15	responsibilities of all Your employees in the Product Planning Group of Samsung's Mobile
16	Communication Division.
17	REQUEST FOR PRODUCTION NO. 318:
18	Documents sufficient to describe the organizational structure of the Product Planning
19	Group of the Mobile Communication Division.
20	REQUEST FOR PRODUCTION NO. 319:
21	All Documents to or from Benjamin Lee referring to Apple or Apple Products.
22	REQUEST FOR PRODUCTION NO. 320:
23	All Documents to or from Byung-Soo Kim referring to Apple or Apple Products.
24	REQUEST FOR PRODUCTION NO. 321:
25	All Documents to or from Joon-Il Choi referring to Apple or Apple Products.
26	REQUEST FOR PRODUCTION NO. 322:
27	All Documents to or from Hankil Yoon referring to Apple or Apple Products.
28	
	APPLE INC.'S SIXTH SET OF REQUESTS FOR PRODUCTION OF DOCUMENTS CASE NO. 11-CV-01846-LHK sf-3057751 25

1	REQUEST FOR PRODUCTION NO. 323:
2	All Documents to or from Won Pyo Hong (홍원표) referring to Apple or Apple Products.
3	REQUEST FOR PRODUCTION NO. 324:
4	All Documents regarding Mr. Lee Don-Joo's alleged statements to the press with respect
5	to redesign of the Galaxy Tab 10.1 following Apple's announcement of the iPad2.
6	REQUEST FOR PRODUCTION NO. 325:
7	All Documents generated by Mr. Denison in connection with his preparation for the
8	30(b)(6) deposition, including any notes from his meetings with the employees identified in
9	Exhibit 225 of his deposition.
10	REQUEST FOR PRODUCTION NO. 326:
11	All Documents related to the "Star Wars" design alternative, as discussed in Samsung's
12	30(b)(6) deposition.
13	REQUEST FOR PRODUCTION NO. 327:
14	All Documents related to the "Stretch" design alternative, as discussed in Samsung's
15	30(b)(6) deposition.
16	REQUEST FOR PRODUCTION NO. 328:
17	All Documents related to Wookyun Kho's consideration of Apple or Apple Products or
18	their features, as discussed in Samsung's supplemental response to Apple's Interrogatory
19	Number 1.
20	REQUEST FOR PRODUCTION NO. 329:
21	All Documents authored or received by, or originated from any of Your employees
22	involved in making decisions on product designs or product features that mention Apple or
23	discuss any of Apple's iPhones or iPads during the period from 2007 to present.
24	REQUEST FOR PRODUCTION NO. 330:
25	All Documents authored or received by, or originated from any of Your employees in the
26	Office of Development (개발실), R&D Management Group (개발관리그룹), Product Strategy
27	
28	
	APPLE INC.'S SIXTH SET OF REQUESTS FOR PRODUCTION OF DOCUMENTS CASE NO. 11-CV-01846-LHK sf-3057751 26

1	Team (상품전략팀), or Product Planning Group mentioning Apple or discussing Apple's
2	iPhones or iPads during the period from 2007 to present.
3	REQUEST FOR PRODUCTION NO. 331:
4	All Documents that Samsung intends to rely upon to support any claim construction
5	position, including without limitation the claims.
6	REQUEST FOR PRODUCTION NO. 332:
7	All Documents that Samsung intends to rely upon to support any claim or defense made in
8	this Litigation, including without limitation the claims, allegations, defenses and statements made
9	in Samsung's Answer.
10	REQUEST FOR PRODUCTION NO. 333:
11	All Documents relating to Defendants' assertion in their First Affirmative Defense (set
12	forth at ¶ 276 of the Answer) that "Apple's Complaint, on one or more claims for relief set forth
13	therein, fails to state a claim upon which relief can be granted."
14	REQUEST FOR PRODUCTION NO. 334:
15	All Documents relating to Defendants' assertion in their Second Affirmative Defense (set
16	forth at ¶ 277 of the Answer) that "[t]he Samsung Defendants have not infringed, and currently
17	do not infringe '002, '381, '607, '828, '915, '891, '163 or '129 (the 'Apple Utility Patents') or the
18	'D790, 'D334, 'D305, or 'D677, 'D889, 'D087, or 'D270 Patents (the 'Apple Design Patents')
19	(the Apple Utility Patents and the Apple Design Patents are, collectively, the 'Apple Patents in
20	Suit') directly, indirectly, contributorily, by inducement, under the doctrine of equivalents, or in
21	any other manner."
22	REQUEST FOR PRODUCTION NO. 335:
23	All Documents relating to Defendants' assertion in their Third Affirmative Defense (set
24	forth at ¶ 278 of the Answer) that the claims of the Patents at Issue "are invalid for failure to
25	satisfy one or more of the conditions for patentability specified in Title 35 of the United States
26	Code, including without limitation §§ 101, 102, 103, 112, and/or 171."
27	
28	APPLE INC.'S SIXTH SET OF REQUESTS FOR PRODUCTION OF DOCUMENTS CASE NO. 11-CV-01846-LHK sf-3057751 27

- **REQUEST FOR PRODUCTION NO. 336:** All Documents relating to Defendants' assertion in their Fourth Affirmative Defense (set forth at ¶ 279 of the Answer) that "Apple has no valid, protectable marks or trade dress in which it enjoys any rights that may be asserted against the Samsung Defendants." **REQUEST FOR PRODUCTION NO. 337:** All Documents relating to Defendants' assertion in their Fifth Affirmative Defense (set forth at ¶ 280 of the Answer) that "[t]he claims made in the Complaint and the relief sought therein are barred, in whole or in part, on the basis that the alleged marks at issue, the alleged trade dress at issue, the Apple Design Patents, and the use of said marks, said trade dress and said Apple Design Patents are functional." **REQUEST FOR PRODUCTION NO. 338:** All Documents relating to Defendants' assertion in their Sixth Affirmative Defense (set forth at ¶ 281 of the Answer) that "[t]he claims made in the Complaint and the relief sought therein are barred, in whole or in part, on the basis that the marks and alleged trade dress at issue lack distinctiveness, including, without limitation, secondary meaning." **REQUEST FOR PRODUCTION NO. 339:** All Documents relating to Defendants' assertion in their Seventh Affirmative Defense (set forth at ¶ 282 of the Answer) that "[t]he claims made in the Complaint and the relief sought therein are barred, in whole or in part, on the basis that the marks and alleged trade dress at issue are generic." **REQUEST FOR PRODUCTION NO. 340:** All Documents relating to Defendants' assertion in their Eighth Affirmative Defense (set forth at ¶ 283 of the Answer) that "[e]ach of the purported claims set forth in Apple's Complaint
- 24 is barred by the doctrines of waiver, acquiescence, and estoppel."
- is barred by the doct mes of warver, acquiescence, and esto
- 25 **REQUEST FOR PRODUCTION NO. 341:**

All Documents relating to Defendants' assertion in their Ninth Affirmative Defense (set
forth at ¶ 284 of the Answer) that "[t]he Apple Patents in Suit and the marks and alleged trade

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1	dress at issue are unenforceable, in whole or in part, against the Samsung Defendants under the	
2	doctrine of laches."	
3	REQUEST FOR PRODUCTION NO. 342:	
4	All Documents relating to Defendants' assertion in their Tenth Affirmative Defense (set	
5	forth at ¶ 285 of the Answer) that "[t]he claims made in the Complaint are barred, in whole or in	
6	part, by abandonment of the marks and alleged trade dress at issue."	
7	REQUEST FOR PRODUCTION NO. 343:	
8	All Documents relating to Defendants' assertion in their Eleventh Affirmative Defense	
9	(set forth at ¶ 286 of the Answer) that "[t]he claims made in the Complaint are barred, in whole or	
10	in part, because of Apple's failure to mitigate damages."	
11	REQUEST FOR PRODUCTION NO. 344:	
12	All Documents relating to Defendants' assertion in their Twelfth Affirmative Defense (set	
13	forth at ¶ 287 of Defendants' Answer) that "[t]he relief sought by Apple as to the claims of one or	
14	more of the Apple Patents in Suit is barred under the doctrine of prosecution history estoppel."	
15	REQUEST FOR PRODUCTION NO. 345:	
16	All Documents relating to Defendants' assertion in their Thirteenth Affirmative Defense	
17	(set forth at ¶ 288 of the Answer) that "[o]ne or more of the Apple Patents in Suit are	
18	unenforceable, in whole or in part, against the Samsung Defendants under the doctrine of	
19	prosecution laches."	
20	REQUEST FOR PRODUCTION NO. 346:	
21	All Documents relating to Defendants' assertion in their Fourteenth Affirmative Defense	
22	(set forth at ¶ 289 of the Answer) that damages sustained by Apple "were caused in whole or in	
23	part or were contributed to by reason of the acts, omissions, negligence, and/or intentional	
24	misconduct of Apple, its agents, predecessors, and/or related entities."	
25	REQUEST FOR PRODUCTION NO. 347:	
26	All Documents relating to Defendants' assertion in their Fifteenth Affirmative Defense	
27	(set forth at ¶ 290 of the Answer) that "Apple is not entitled to equitable relief, including but not	
28	limited to Apple's request for injunctive relief."	
	Apple Inc.'s Sixth Set of Requests for Production of Documents Case No. 11-cv-01846-LHK sf-3057751 29	

REQUEST FOR PRODUCTION NO. 348:

2 All Documents relating to Defendants' assertion in their Sixteenth Affirmative Defense 3 (set forth at ¶ 291 of the Answer) that "Apple's claims for enhanced damages and an award of 4 fees and costs against the Samsung Defendants have no basis in fact or law."

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REQUEST FOR PRODUCTION NO. 349:

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All Documents relating to Defendants' assertion in their Seventeenth Affirmative Defense 7 (set forth at ¶ 292 of the Answer) that "[t]he claims made in the Complaint are barred, in whole or 8 in part, by reason of other parties' use of any trademarks or trade dress at issue."

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REQUEST FOR PRODUCTION NO. 350:

10 All Documents relating to Defendants' assertion in their Eighteenth Affirmative Defense 11 (set forth at ¶ 293 of the Answer) that "[t]he claims made in the Complaint are barred, in whole or 12 in part, because the Samsung Defendants are not liable for the acts of others over whom it has no 13 control."

14

REQUEST FOR PRODUCTION NO. 351:

15 All Documents relating to Defendants' assertion in their Nineteenth Affirmative Defense 16 (set forth at ¶ 294 of the Answer) that "Apple's claims against the Samsung Defendants are 17 barred because Apple's damages, if any, were not caused by the Samsung Defendants."

18

REQUEST FOR PRODUCTION NO. 352:

19 All Documents relating to Defendants' assertion in their Twentieth Affirmative Defense 20 (set forth at ¶ 295 of the Answer) that "there has been no damage in any amount, manner or at all 21 by reason of any act alleged against the Samsung Defendants in the Complaint."

22 **REQUEST FOR PRODUCTION NO. 353:**

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24

All Documents relating to Defendants' assertion in their Twenty-First Affirmative Defense (set forth at ¶ 296 of the Answer) that "Apple's claims for injunctive relief are barred

25 because Apple cannot show that it will suffer any irreparable harm from the Samsung

26 Defendants' actions."

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1	REQUEST FOR PRODUCTION NO. 354:
2	All Documents relating to Defendants' assertion in their Twenty-Second Affirmative
3	Defense (set forth at \P 297 of the Answer) that Apple "would be adequately compensated by
4	damages."
5	REQUEST FOR PRODUCTION NO. 355:
6	All Documents relating to Defendants' assertion in their Twenty-Third Affirmative
7	Defense (set forth at ¶ 298 of the Answer) that "there is sought an overlapping or duplicative
8	recovery pursuant to the various claims against the Samsung Defendants or others for any alleged
9	single wrong."
10	REQUEST FOR PRODUCTION NO. 356:
11	All Documents relating to Defendants' assertion in their Twenty-Fourth Affirmative
12	Defense (set forth at ¶ 299 of the Answer) that "no punitive or exemplary damages should be
13	awarded arising out of the claims made in the Complaint under the law of the United States and
14	California."
15	REQUEST FOR PRODUCTION NO. 357:
16	All Documents relating to any other affirmative defense that Defendants' assert in this
17	case.
18	REQUEST FOR PRODUCTION NO. 358:
19	All Documents relating to Defendants' assertion in their Thirteenth Claim for Relief (set
20	forth at ¶ 118 of the Defendants' Counterclaims) that the "Samsung Counterclaimants do not
21	infringe and have not infringed the '828, '002, '381, '915, '891, '607, '163, '129, 'D790, 'D334,
22	'D305, 'D087, 'D677, 'D270, and 'D889 Patents, through their marking, using, selling and/or
23	offering to sell, in the United States and/or importing into the United States, one or more of the
24	Accused Products."
25	REQUEST FOR PRODUCTION NO. 359:
26	All Documents relating to Defendants' assertion in their Fourteenth Claim for Relief (set
27	forth at ¶ 122 of the Defendants' Counterclaims) that the "[e]ach and every claim of the '828,
28	'002, '381, '915, '891, '607, '163, '129, 'D790, 'D334, 'D305, 'D087, 'D677, 'D270, and 'D889
	Apple Inc.'s Sixth Set of Requests for Production of Documents Case No. 11-cv-01846-LHK sf-3057751 31

1 Patents are invalid for failing to satisfy one or more of the conditions for patentability specified in 2 Title 35 of the United States Code, including without limitation, Sections 101, 102, 103, 112 3 and/or 171."

4

REQUEST FOR PRODUCTION NO. 360:

5 All Documents relating to Defendants' assertion in their Fifteenth Claim for Relief (set 6 forth at ¶ 126 of Defendants' Counterclaims) that "[t]he appearance of the Samsung 7 Counterclaimants' line of Galaxy phones and tablet computers and their packaging also are not 8 likely to cause confusion, cause mistake, or deceive consumers as to the affiliation, connection, or 9 association of the Samsung Counterclaimants' line of Galaxy phones and tablet computers, or as 10 to the origin, sponsorship, or approval by Apple of the Samsung Counterclaimants' goods, 11 services, or commercial activities."

12

REQUEST FOR PRODUCTION NO. 361:

13 All Documents relating to Defendants' assertion in their Sixteenth Claim for Relief (set 14 forth at ¶ 133 of Defendants' Counterclaims) that "[t]he appearance of the Samsung 15 Counterclaimants' line of Galaxy phones and tablet computers and their packaging also are not 16 likely to cause confusion, cause mistake, or deceive consumers as to the affiliation, connection, or 17 association of the Samsung Counterclaimants' line of Galaxy phones and tablet computers, or as 18 to the origin, sponsorship, or approval by Apple of the Samsung Counterclaimants' goods, 19 services, or commercial activities." 20

REQUEST FOR PRODUCTION NO. 362:

21 All Documents relating to Defendants' assertion in their Seventeenth Claim for Relief (set 22 forth at ¶ 138 of Defendants' Counterclaims) that "[n]one of Apple's alleged trade dresses is, or 23 ever has been, 'distinctive' or 'famous' within the meaning of 15 U.S.C. § 1125(c)."

24 **REQUEST FOR PRODUCTION NO. 363:**

25 All Documents relating to Defendants' assertion in their Seventeenth Claim for Relief (set 26 forth at ¶ 139 of Defendants' Counterclaims) that "[e]ach of Apple's claimed trade dresses is 27 functional, common to consumer electronic products, generic and otherwise not distinctive or protectable." 28

REQUEST FOR PRODUCTION NO. 364:

2 All Documents relating to Defendants' assertion in their Seventeenth Claim for Relief (set 3 forth at ¶ 140 of Defendants' Counterclaims) that "[t]he Samsung Counterclaimants' activities are 4 not likely to cause dilution of Apple's claimed trade dresses."

5

REQUEST FOR PRODUCTION NO. 365:

6

All Documents relating to Defendants' assertion in their Eighteenth Claim for Relief (set 7 forth at ¶ 145 of Defendants' Counterclaims) that "[e]ach of the alleged marks and trade dress 8 that is the subject of the '983, '218, '327, '196, '642, '200, '685, '169, '197, and '038 9 Registrations and the '463, '838, '829, '869, and '118 Applications is functional, is common to 10 consumer electronic products, is generic and otherwise is not distinctive or protectable."

11

REQUEST FOR PRODUCTION NO. 366:

12 All Documents relating to Defendants' assertion in their Nineteenth Claim for Relief (set 13 forth at ¶ 149 of Defendants' Counterclaims) that "[e]ach of the alleged marks and trade dress 14 that is the subject of the '983, '218, '327, '196, '642, '200, '685, '169, '197, and '038 15 Registrations is functional, is common to consumer electronic products, is generic and otherwise 16 is not distinctive or protectable."

17 **REQUEST FOR PRODUCTION NO. 367:**

18 All Documents relating to Defendants' assertion in their Twentieth Claim for Relief (set 19 forth at ¶ 154 of Defendants' Counterclaims) that "[n]one of the Accused Products infringes any 20 of the Patents In Suit, the Registrations In Suit, or Apple's claimed unregistered trade dress. Nor 21 do any of the Accused Products dilute any of Apple's claimed trade dress. Nor do any of the 22 Accused Products employ a false designation of origin or amount to unfair competition on the 23 part of the Samsung Counterclaimants. Nor are any of the Accused Products likely to cause 24 confusion, or to cause mistake, or to deceive consumers as to the affiliation, connection, or 25 association of the Samsung Counterclaimants' line of Galaxy phones and tablet computers, or as 26 to the origin, sponsorship, or approval by Apple of the Samsung Counterclaimants' goods, services, or commercial activities." 27

1	REQUEST FOR PRODUCTION NO.	. 368:
2	All Documents relating to Defen	dants' assertion in their Twenty-First Claim for Relief
3	(set forth at ¶ 159 of Defendants' Count	erclaims) that "[i]t would not be inequitable for the
4	Samsung Counterclaimants to retain the	benefits from their lawful activities."
5	REQUEST FOR PRODUCTION NO.	. 369:
6	All Documents relating to Defen	dants' assertion (set forth at section P of Defendants'
7	Prayer for Relief) that they are entitled to	o its attorney's fees and costs because this is "an
8	exceptional case."	
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10		
11	Dated: October 26, 2011	MORRISON & FOERSTER LLP
12		By: /s/ Wesley Overson
13		Wesley Overson
14		Attorneys for Plaintiff
15		APPLE INC.
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28	ADDLE ING 'S CRUTH OPT OF DESCRIPTIONS FOR DESCRIPTIONS	NUCTION OF DOCUMENTS
	APPLE INC.'S SIXTH SET OF REQUESTS FOR PROD CASE NO. 11-CV-01846-LHK sf-3057751	34 34

1	CERTIFICATE OF SERVICE
2	I declare that I am employed with the law firm of Morrison & Foerster LLP, whose address is 425 Market Street, San Francisco, California 94105-2482. I am not a party to the within cause,
3	and I am over the age of eighteen years.
4	I further declare that on October 26, 2011, I served a copy of:
5	APPLE INC.'S SIXTH SET OF REQUESTS FOR
6	PRODUCTION OF DOCUMENTS AND THINGS
7	BY ELECTRONIC SERVICE [Fed. Rule Civ. Proc. rule 5(b)] by electronically
8	BY ELECTRONIC SERVICE [Fed. Rule Civ. Proc. rule 5(b)] by electronically mailing a true and correct copy through Morrison & Foerster LLP's electronic mail system to the e-mail address(es) set forth below, or as stated on the attached service
9 10	list per agreement in accordance with Federal Rules of Civil Procedure rule 5(b).
10	Charles K. Verhoeven
12	<u>charlesverhoeven@quinnemanuel.com</u>
12	Quinn Emanuel Urquhart Oliver & Hedges, LLP 50 California Street, 22nd Floor
13	San Francisco, California 94111 Telephone: (415) 875-6600
15	Facsimile: (415) 875-6700
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10	<u>kevinjohnson@quinnemanuel.com</u> Victoria F. Maroulis
18	victoriamaroulis@quinnemanuel.com Quinn Emanuel Urquhart Oliver & Hedges, LLP
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21 22	Edward J. DeFranco eddefranco@quinnemanuel.com
22	Quinn Emanuel Urquhart Oliver & Hedges, LLP
23 24	335 Madison Avenue, 22nd Floor New York, NY 10017
24 25	Telephone: (212) 849-7000 Facsimile: (212) 849-7100
25 26	
20	
28	
	Certificate of Service Case No. 11-cv-01846-LHK sf-3057751

1	Michael Thomas Zeller
2	<u>michaelzeller@quinnemanuel.com</u> Quinn Emanuel Urquhart Oliver & Hedges, LLP
3	865 S. Figueroa Street, 10th Floor Los Angeles, CA 90017
4	Telephone: (213) 443-3000
5	Facsimile: (213) 443-3100
6	I declare under penalty of perjury that the foregoing is true and correct.
7	Executed at San Francisco, California, this 26th day of October 2011.
8	
9	
10	<u>/s/ Cyndi Knisely</u> Cyndi Knisely
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	Certificate of Service Case No. 11-cv-01846-LHK sf-3057751