EXHIBIT A

ATTACHMENT CV-5012

CIVIL LAWSUIT NOTICE

Superior Court of California, County of Santa Glara 191 N. First St., San Jose, GA 95113 CASE NUMBER:

111CV214522

PLEASE READ THIS ENTIRE FORM

<u>PLAINTIFF</u> (the person suling): Within 60 days after filling the lawsuit, you must serve each Defendant with the Complaint, Summons, an Allemetive Dispute Resolution (ADR) Information Sheet, and a copy of this Civil Lawsuit Notice, and you must file written proof of such service.

DEFENDANT (The person sued); You must do each of the following to protect your rights:

- You must file a written response to the Complete, using the proper legal form or format, in the Clerk's Office of the Court, within 30 days of the date you were served with the Summons and Complete;
- You must serve by mall a copy of your written response on the Plaintiff's attorney or on the Plaintiff if Plaintiff has no attorney (to "serve by mall" means to have an adult other than yourself mall a copy); and
- 3. You must attend the first Case Management Conference.

Warning: If you, as the Defendant, do not follow these instructions, you may automatically lose this case.

RULES AND FORMS: You must follow the California Rules of Court and the Superior Court of California, County of Santa Clara Local Civil Rules and use proper forms. You can obtain legal information, view the rules and receive forms, free of charge, from the Self-Help Center at 99 Notre Dame Avenue, San Jose (408-882-2900 x-2926), www.scelfservice.org (Select *Civil") or from:

- State Rules and Judicial Council Forms: www.courtinfo.ca.gov/forms and www.courtinfo.ca.gov/rules
- Local Rules and Forms: http://www.sccsuperiorcourt.org/ch/i/rulefloc.htm

CASE MANAGEMENT CONFERENCE (CMC): You must meet with the other parties and discuss the case, in person or by lelephone, at least 30 calendar days before the CMC. You must also fill out, file and serve a Case Management Statement (Judicial Council form CM-110) at least 15 calendar days before the CMC.

You or your attorney must appear at the CMC. You may ask to appear by telephone - see Local Civil Rule 8.

Your Case Management Judge Is: Honorab		Department: 2	÷
The 1st CMC is scheduled for: (Completed by Cl	erk of Court)	•	
		PM In Department: 2	
The next CMC is scheduled for: (Completed by	party If the 1st CMC was continu	ued or has passed)"	
Date:	7/me:	In Department:	

ALTERNATIVE DISPUTE RESOLUTION (ADR): If all parties have appeared and filed a completed ADR Stiputation Form (local form CV-5008) at least 15 days before the CMC, the Court will cancel the CMC and mail notice of an ADR Status Conference. Visit the Court's website at www.sccsuperiorcourt.org/clyi/ADR/ or call the ADR Administrator (408-882-2100 x-2530) for a list of ADR providers and their qualifications, services, and fees.

WARNING: Sanctions may be imposed if you do not follow the California Rules of Court or the Local Rules of Court.

Form CV-S012 REV 7701768 CIVIL LAWSUIT NOTICE Pag	

Jevin (@wcceinw.com
WASSERMAN, COMDEN, CASSELMAN & ESENSTEN, L.L.P.

Daily Special Condenses Con

Post Office Box 7033

Tarzana, California 91357-7033

Telephone: (818) 705-6800 • (323) 872-0995 Facsimile: (818) 345-0162

Attorneys for Plaintiff Rodney Shively, and all other similarly situation

> SUPERIOR COURT OF THE STATE OF CALIFORNIA COUNTY OF SANTA CLARA

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RODNEY SHIVELY, Individually and on behalf of all others similarly situated;

Plaintiff,

VS.

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CARRIER IQ, INC., a Corporation headquarted in California; GOOGLE, INC., a Corporation headquarted in California; and DOES 1-50.,

Defendants.

CASE NO. IICV214523

CLASS ACTION

DECLARATION OF PLAINTIFF RODNEY SHIVELY PURSUANT TO CIVIL CODE SECTION 1780(d)

WASSERMAN, COMDEN & CASSELMAN, EL.P. 5567 RESEDA BOULEVARD, SUITE 330 POST OFFICE BOX 7033 TARZANA, CALIFORNIA 91357-7033

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DECLARATION OF PLAINTIFF RODNEY SHIVELY PURSUANT TO CIVIL CODE SECTION 1780(d)

DECLARATION OF RODNEY SCHIVELY PURSUANT TO CIVIL CODE SECTION 1780(d)

I, Rodney Shively, declare as follows:

- 1. I am a plaintiff in this action and a citizen of the state of California. The items set forth in this declaration are within my personal knowledge.
- This case is properly filed in Santa Clara County under Civil Code Section 1780(d)
 because Defendants' principal places of business are located in Santa Clara County.

I declare under penalty of perjury that these items are true and correct to the best of my knowledge.

Dated: 12-7-11

Pul Shrift

SUPERIOR COURT OF CALIFORNIA, COUNTY OF SANTA CLARA ALTERNATIVE DISPUTE RESOLUTION INFORMATION SHEET/CIVIL DIVISION

Many cares can be resolved to the satisfaction of all parties without the necessity of traditional litigation, which can be expensive, time consuming, and stressful. The Court finds that it is in the best interests of the parties that they participate in alternatives to traditional litigation, including arbitration, mediation, neutral evaluation, special masters and referees, and settlement conferences. Therefore, all matters shall be referred to an appropriate form of Alternative Dispute Resolution (ADR) before they are set for trial, unless there is good cause to dispense with the ADR requirement.

What Is ADR?

ADR is the general term for a wide variety of dispute resolution processes that are alternatives to litigation, Types of ADR processes include mediation, arbitration, neutral evaluation, special masters and referees, and settlement conferences, among others

What are the advantages of choosing ADR instead of liligation?

ADR can have a number of advantages over litigation:

- < ADR can save time. A dispute can be resolved in a matter of months, or even weeks, while litigation can take years.
- < ADR can save money. Attorney's fees, court costs, and expert fees can be reduced or avoided altogether.
- < ADR provides more participation. Parlies have more opportunities with ADR to express their interests and concerns, instead of focusing exclusively on legal rights.
- < ADR provides more control and flexibility. Parties can choose the ADR process that is most likely to bring a satisfactory resolution to their dispute.
- ADR can reduce stress: ADR encourages cooperation and communication, while discouraging the adversarial atmosphere of lifigation. Surveys of parties who have participated in an ADR process have found much greater satisfaction than with parties who have gone through lifigation.

What are the main forms of ADR offered by the Court?

Mediation is an informal, confidential, flexible and non-binding process in the mediator helps the parties-to understand the interests of everyone involved, and their practical and legal choices. The mediator helps the parties to communicate belier, explore legal and practical settlement options, and reach an acceptable solution of the problem. The mediator does not decide the solution to the dispute; the parties do.

< Mediation may be appropriate when:

- < The parties want a non-adversary procedure
- The parties have a continuing business or personal relationship.
- < Communication problems are interfeding with a resolution
- <There is an emotional element involved
- < The parties are interested in an injunction, consent decree, or other form of equitable relief
- Neutral evaluation, sometimes called "Barly Neutral Evaluation" or "ENE", is an informal process in which the evaluator, an experienced neutral lawyer, hears a compact presentation of both sides of the case, gives a non-binding assessment of the strengths and weaknesses on each side, and predicts the likely outcome. The evaluator can help parties to identify issues, prepare stipulations, and draft discovery plans. The parties may use the neutral's evaluation to discuss settlement.

Neutral evaluation may be appropriate when:

- The parties are far apart in their view of the law or value of the case.
- < The case involves a technical lane in which the evaluator has expertise
- < Case planning assistance would be helpful and would cave legal fees and costs
- < The putiles are interested in an injunction, consent decree, or other form of equitable relief

-Over-

< Arbitration is a less formal process than a trial, with no jury. The arbitrator hears the evidence and arguments of the parties, then makes a written decision. The parties can agree to binding or non-binding arbitration. In binding arbitration, the arbitrator's decision is final and completely resolves the case, without the opportunity for appeal. In non-binding arbitration, the arbitrator's decision could resolve the case, without the opportunity for appeal, unless a party timely rejects the arbitrator's decision within 30 days and requests a trial. Private arbitrators are allowed to charge for their time.</p>

Arbitration may be appropriate when:

< The action is for personal injury, property damage, or breach of contract

< Only monetary damages are sought

< Witness testimony, under oath, needs to be evaluated

An advisory opinion is sought from an experienced litigator (If a non-binding arbitration)

Civil Judge ADR allows parties to have a mediation or settlement conference with an experienced judge of the Superior Court. Mediation is an informal, confidential, flexible and non-binding process in which the judge helps the parties to understand the interests of everyons involved, and their practical and legal choices. A settlement conference is an informal process in which the judge meets with the parties or their attorneys, hears the facts of the dispute, helps identify issues to be resolved, and normally suggests a resolution that the parties may accept or use as a basis for further negotiations. The request for mediation or settlement conference may be made promptly by stipulation (agreement) upon the filing of the Civil complaint and the answer. There is no charge for this service.

Civil Judge ADR may be appropriate when:

< The parties have complex facts to review

< The case involves multiple parties and problems

< The courthouse surroundings would he helpful to the settlement process

Special masters and referees are neutral parties who may be appointed by the court to obtain information or to make specific fact findings that may lead to a resolution of a dispute.

Special masters and referees can be particularly effective in complex cases with a number of parties, like construction disputes.

< Settlement conferences are informal processes in which the neutral (a judge or an experienced attorney) meets with the parties or their attorneys, hears the facts of the dispute, helps identify issues to be resolved, and normally suggests a resolution that the parties may accept or use as a basis for further negotiations.</p>

Sottlement conferences can be effective when the authority or expertise of the judge or experienced attorney may help the parties reach a resolution.

What kind of disputes can be resolved by ADRI

Although some disputes must go to court, almost any dispute can be resolved through ADR. This includes disputes involving business matters; civil rights; collections; corporations; construction; consumer protection; contracts; copyrights; defamation; disabilities; discrimination; employment; environmental problems; fraud; harassment; health care; housing; insurance; intellectual property; labor; landlord/tenant; medical malpractice and other professional negligence; neighborhood problems; partnerships; patents; personal injury; probate; product liability; property damage; real estate; securities; sports; trade secret; and wrongful death, among other matters.

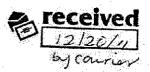
Where can you get assistance with selecting an appropriate form of ADR and a neutral for your case, information about ADR procedures, or answers to other questions about ADR?

Contact: Santa Clara County Superior Court ADR Administrator 408-882-2530

Santa Clara County DRPA Coordinator 408-792-2910

ALTERNATIVE DISPUTE RESOLUTION INFORMATION SHEET/ CIVIL DIVISION

CV-5003 REV 8/08



AMENDED SUMMONS (CITACION JUDICIAL)

NOTICE TO DEFENDANT: CARRIER IQ, INC., a Corporation (AVISO AL DEMANDADO): headquartered in California; and GOOGLE, INC., a Corporation headquartered in California, and DOES 1-50, inclusive,

YOU ARE BEING SUED BY PLAINTIFF: RODNEY SHIVELY, (LO ESTÁ DEMANDANDO EL DEMANDANTE): individually and on behalf of others similarly situated,

FOR COURT USE ONLY ISOLO PARA USO DE LA CORTE

ENDORSED

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NOTICE! You have been sued. The court may decide against you without your being heard unless you respond within 30 days. Read the information

below.

You have 30 CALENDAR DAYS after this summons and legal papers are served on you to file a written response at this court and have a copy served on the plashliff. A letter of phone call will not protect you. Your written response must be in proper legal form if you want the court to hear your case. There may be a court form that you can use for your response. You can find these court forms and more information at the California Courts Conline Self-Help Center (www.courtine.ce.pow/selfrelp), your county law forary, or the court loses nearest you. If you cannot pay the filing fee, ask the court clerk for a fee waiver form, if you do not file your response on time, you may lose the case by default, and your wages, money, and properly may be taken without further warning from the court.

There are other legal requirements. You may want to call an attorney right away, if you do not know an attorney, you may want to call an attorney referral service. If you cannot afford an attorney, you may be eligible for free legal services from a nonprofit legal services program. You can locate these nonprofit groups at the California Legal Services Web site (www.iawheipcalifornia.org), the California Courts Online Self-Heb Center (www.courterio.ca.gow/self-heb), or by contacting your local court or county bar association. NOTE: The court has a statutory lien for waived fees and costs on any self-ement or arbitration award of \$10,000 or more in a civil case. The court's lien must be paid before the court will dismiss the case.

[AVISO] to han demandade. Si no responde dentro de 30 dies, is corte pivede decidir en su contro sin escuchar su versión. Les la información e

continuación

Tene 30 DIAS DE CALENDARIO después de que le eninguen esta citación y papeles legales para presentar una respuesta por escrito en esta corte y hacer que se entregue una copia al demandante. Una carta o una liamada telefórica no lo protegen. Su respuesta por escrito en esta en formato tegal correcto si desea que procesan su caso en la corte. Es posible que haya un formulario que ustad pueda usar para su respuesta. Puede encontrar estos formularios de (a corte y más información en el Centro de Ayuda do las Cortes de California (www.sucorta.ca.gor), en la bibliotica de la vercindado o en la corte que le quede más cerra. Si no piede papar la cuota de presentación, pida al secretario de la corte que le dé un formulario de exénción de pago de citotas. Si no presente su respuesta a tiempo, puede perden al caso por incumplimiento y la corte la podrá guitar su sueldo, dinero y blenes ain más edirecteria.

Hay otros inquisitos isquiles. Es recomendades que illame a un abogado innedialamente. Si no conoce a un abogado, puede llamar a un servicio de inmissir a abogados. Si no piede pagar a un abogado, es posible que cumpla con los inquisitos para obtuner servicios legales gratuitos de un programa de servicios legales sin fines de lacro. Puede encontrar estos prupos sin fines de lucro en el sitio veb de California Legal Services, (www.lambelpcalifornia.org), en el Centro de Ayuda de las Cortes de California, (www.lambelpcalifornia.org), en el Centro de Ayuda de las Cortes de California la cuotas y los costos exentos por Imponer un gravamen sobre cualquier recuperación de \$10,000 ó más de valor recibida mediante un acuerdo o una concesión de arbitraje en un caso de derecho a recibida mediante un acuerdo o una concesión de arbitraje en un caso de derecho civil. Tiene que pagar el gravamen de la corte antes de que la corte pueda desecha a reciamer las cuotas y los costos exentos por Imponer un gravamen sobre cualquier recuperación de \$10,000 ó más de valor recibida mediante un acuerdo o una concesión de arbitraje

(El nombre y dirección de la corte es): SUPERIOR COURT OF CALIFORNIA 191 N. First Street

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San Jose, CA 95113	***			
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Form Adopted for Mandatory Use Judicial Council of Celliornia SUIA-100 (Rev. July 1, 2009)	10000000000000000000000000000000000000	Soly	eggel Code of Civil Procodu IL Const L Prins	nu \$5 412.20, 465

		CM-010
ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, State Ber	umber, and address)?	FOR COURT USE ONLY
MELISSA MEEKER HARNETT (Bar	No. 16430)	
JESSE B. LEVIN (Bar No. 268) Wasserman, Comden, Casselman	L Reensten TAD	FNDORSED
5567 Reseda Boulevard, Suite	330	FILED
Tarzana, California 91356		
телерномено: (818) 705-6800	FAX NO.: (818) 705-8927	2.
ATTORNEY FOR (Name): Plaintiffs		2011 DEC -7 P 3:49
SUPERIOR COURT OF CALIFORNIA, COUNTY OF SAL	ITA CLARA	
STREET ADORESS: 191 N. First Stre	ec	Dang H. Janes at Craigles Speca Con J
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CASE NAME: SHIVELY V. CARRIER	IQ, INC.	pation S
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CIVIL CASE COVER SHEET X Unlimited Limited	Counter Joinder	11101214522
(Amount (Amount	Filed with first appearance by defendant	JUDGE:
demanded demanded is exceeds \$25,000 \$25,000 or less)	(Cel. Rules of Court, rule 3,402)	DEPT:
Items 1-6 bel	ow must be completed (see instructions on	page 2).
1. Check one box below for the case type that		
Auto Tort	Contract Pro	ovisionally Complex Civil Litigation
Aulo (22)	Breach of contract/warranty (08) (Ca	il. Rules of Court, rules 3.400-3.403)
Uninsured motorist (48)	Rule 3.740 collections (09)	Antitrust/Trade regulation (03)
Other PI/PD/WD (Personal Injury/Property	Other collections (09)	Construction defect (10)
Damage/Wrongful Death) Tort	Insurance coverage (15)	Mass tort (40)
Asbestos (04)	Other contract (37)	Securities litigation (28)
Product liability (24)	Real Property	Environments/Toxic tort (30)
Medical maipractice (45)	Eminent domain/inverse	Insurance coverage claims arising from the
Other Pl/PDWD (23)	condemnation (14)	above listed provisionally complex case
Non-PI/PD/WD (Other) Tort	Wrongful eviction (33)	types (41)
Business tort/unfair business practice (07)	Other real property (26)	forcement of Judgment
Civil rights (08)	Uniswful Detainer	Enforcement of Judgment (20)
Defemation (13)		cellaneous Civil Complaint
Fraud (16)	Residential (32)	☐ RICO (27)
Intellectual property (19)	- 1 To 1 T	Other complaint (not specified above) (42)
Professional negligence (25)		collaneous Civil Petition
Other non-PI/PDWD tort (35)	Asset forfeliure (05)	Partnership and corporate governance (21)
Employment	Petition re: arbitration award (11)	Other petition (not specified above) (43)
Wrongful termination (38)	Writ of mandate (02)	·
Other employment (15)	Other judicial review (39)	<u> , a marina de la companio della co</u>
2. This case is X is not comple	ex under rule 3,400 of the California Rules of	Court. If the case is complex, mark the
factors requiring exceptional judicial manage a. Large number of separately repres	ement ented parties dLarge number of w	marcas
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c. Substantial amount of documentary	그는 그는 그 그 그 그 그 그 그 그 그 그 그 그 그 그 그 그 그	gment judicial supervision
3. Remedies sought (check all that apply): a. [X monetary b. X nonmonetary, declar	
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4. Number of causes of action (specify): SEV	The state of the s	COSES OF MCITON)
the season that the bound of the season is a season to the season the season to the se	saction suit.	
8. If there are any known related cases, file and	I serve a notice of related case. (Youthay u	se form GN-015)
Date: DECEMBER 7, 2011		
MELISSA MEEKER HARNETT	SKHATU	LE OF PARTY OR ATTORNEY FOR PARTY)
	NOTICE	
 Plaintiff must file this cover sheet with the fin 	st paper filed in the action or proceeding (ex	cept small claims cases or cases filed
under the Probate Code, Family Code, or We	паге ало instructors Code). (Св., Rules of C	cont, thie 3.220.) Failute to tile may result
 File this cover sheet in addition to any cover 	sheet required by local court rule.	
■ If this case is complex under rule 3.400 et se	iq. of the California Rules of Court, you mus	serve a copy of this cover sheet on all
other parties to the action or proceeding. • Unless this is a collections case under rule 3	740 or a complex rase this cover sheet wil	he used for statistical numbers only
Sections and the factorior case office. Inter-		2 Page 1 of 2
Form Adopted for Mandetory Use	CIVIL CASE GOVER SHEET, Legal	Cal. Rules of Court, rules 2:30, 3:220, 3:400-3:403, 3:740,

Judicial Council of California Cas-010 [Rev. July 1, 2007]



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ATTACHMENT (Number):___

(This Attachment may be used with any Judicial Council form.)

CAUSES OF ACTION

- 1. VIOLATION OF CALIFORNIA CONSUMER PROTECTIONS AGAINST COMPUTER SPYWARE ACT
- 2. VIOLATION OF ARTICLE 1, SECTION 1 OF THE CALIFORNIA CONSTITUTION (INVASION OF PRIVACY)
- 3. VIOLATION OF CALIFORNIA PENAL CODE SECTIONS 631 AND 637.2
- 4. VIOLATION OF CALIFORNIA'S UNFAIR COMPETITION LAW ("UCL") (Fraudulant and Deceptive Practices)
- 5. VIOLATION OF CALIFORNIA'S UNFAIR COMPETITION LAW (Unlawful Practices)
- 6. VIOLATION OF CALIFORNIA'S UNFAIR COMPETITION LAW (Unfair Practices)
- 7. RESTITUTION OF UNJUST ENRICHMENT

(if the item that this Attachment concerns is made under penalty of perjury, all statements in this Attachment are made under penalty of perjury.)

Page 1 of 1 (Add pages as required)





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MELISSA MEEKER HARNETT (Bar No. 164309) mharnett@wccelaw.com JESSE B. LEVIN (Bar No. 268047) ilevin@wccelaw.com Wasserman, Comden, Casselman & Esensten, L.L.P. 5567 Reseda Boulevard, Suite 330 Post Office Box 7033 Tarzana, California 91357-7033 Telephone: (818) 705-6800 • Fax: (818) 996-8266 12/07/11 Attorneys for Plaintiff RODNEY SHIVELY, David H. Yamasaki individually and on behalf of others similarly Chief Executive Offi 89: tmai DTSCIVO1011 situated R#201100130387 8 SUPERIOR COURT OF THE STATE OF CALIFORNIA 9 COUNTY OF SANTA CLARA Case: 1-11-0V-21452 unumited RODNEY SHIVELY, individually and on CASE'NO. 111CV214522 behalf of others similarly situated, 11 **CLASS ACTION** Plaintiff. 12 COMPLAINT FOR DAMAGES AND VS. **EQUITABLE RELIEF FOR:** 13 CARRIER IQ, INC. a Corporation 1) VIOLATION OF THE CALIFORNIA headquartered in California, and GOOGLE, **CONSUMER PROTECTION AGAINST** INC., a Corporation headquartered in SPYWARE ACT; California, and DOES 1-50, inclusive, 2) VIOLATION OF ARTICLE 1, Defendants. SECTION 1 OF THE CALIFORNIA CONSTITUTION (PRIVACY): 3) VIOLATION OF CALIFORNIA PENAL **CODE SECTIONS 631 AND 637.2** 4) VIOLATION OF STATUTORY **UNFAIR COMPETITION (BUS. & PROF.** CODE § 17200 et seq.); 5) RESTITUTION OF UNJUST ENRICHMENT: 6) CONSUMERS LEGAL REMEDIES JURY TRIAL DEMANDED

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Plaintiff RODNEY SHIVELY on his own behalf and all others similarly situated brings this class action against Defendants CARRIER IQ, INC., GOOGLE, INC. and DOES 1-50, inclusive, as follows:

I. NATURE OF THE ACTION

- 1. Defendants have and continue to be engaged in a course of business of developing, selling and distributing software known as "Carrier IQ" (the "PRODUCT"). The PRODUCT secretly tracks, records and distributes a smartphone customers' private information from his or her smartphone, including without limitation, location data, keystrokes, contacts, passwords, and private communications in violation of the law and in disregard for the consequences that could be caused by such violation. Specifically, the smartphones affected include all models of smartphones with DEFENDANT GOOGLE's Android operating system ("Android") installed.
- Defendants' PRODUCT is installed as an integral part of Android, and is currently 2. used by tens of thousands of smartphone users throughout California. Upon information and belief, DEFENDANT GOOGLE contracted with DEFENDANT CARRIER IQ to co-develop the software integration of the PRODUCT into the Android operating system, which was subsequently installed on smartphones with the intent that the smartphone would be sold to the general public. The ostensible purpose of the PRODUCT is to monitor user activity and report the data to third parties; however, the PRODUCT goes too far in eavesdropping on consumer activity. PRODUCT actively collects, records and transmits personal data and communications of putative class members without their consent or agreement in violation of California's privacy and consumer protection laws.
- 3. Plaintiff currently owns an HTC Evo 4G with Android and the PRODUCT installed. Attached hereto as Exhibit 1 is a true and correct photograph of Plaintiff's phone open to an application which detects the presence of Carrier IQ.
 - 4. After hearing reports of privacy concerns with Android phones, Plaintiff considered

The photograph has been slightly redacted to remove Plaintiff's private information logged by the PRODUCT.

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trying to uninstall the PRODUCT using instructions and applications provided in Defendant Google's Android App Store, so that he could continue to use his phone and still maintain his privacy with respect to any future personal data and communications entered on the phone. However, instructions and applications available indicated that disabling the PRODUCT would render his phone unusable. Upon information and belief, all currently known methods of disabling the PRODUCT will render Google Android smartphones unusable, constituting both a financial loss and property damage to Plaintiff. Indeed, this fact indicates how deeply the PRODUCT is integrated within the Android operating system. Defendants have left Plaintiff and the putative class with the choice of either having their every private use of the phone recorded and transmitted to various companies or suffering the economic and property loss of owning a phone that no longer works. Among other things, Defendants' conduct has caused a monetary loss to Plaintiff, as he now owns a phone that he no longer can fully use under the privacy protections every California citizen enjoys.

Defendants' conduct violates Article 1, Section 1 of the California Constitution; the California Consumer Protection Against Spyware act; California Penal Code §§ 631 and 637.2 and California Business & Professions Code §§ 17200 et seq. ("UCL").

II. PARTIES

- 6. Individual and representative plaintiff RODNEY SHIVELY is a resident of the County of Los Angeles, State of California.
- 7. Defendant CARRIER IQ, INC. is incorporated in the State of Delaware, with its principle place of business in Mountain View, in the County of Santa Clara, State of California.
- 8. Defendant GOOGLE, INC. is incorporated in the State of Delaware, with its principle place of business in Mountain View, in the County of Santa Clara, State of California.
- 9. Plaintiff is ignorant of the true names and capacities, whether individual, corporate. associate, or otherwise, of the defendants named herein as DOES 1 through 50, inclusive, or any of them and therefore sues said defendants, and each of them, by such fictitious names. Plaintiff is informed and believes and thereon alleges that each of the fictitiously named defendants is responsible in some form or manner for the acts, events, occurrences or failures to act herein

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alleged and are liable to Plaintiff in connection therewith. Plaintiff will amend this complaint to set forth the true names and capacities of the defendants herein designated as DOES when they have been ascertained.

10. Plaintiff is informed and believes and thereon alleges that each defendant was and is, an agent, servant, employee, partner and/or joint venturer of each of the remaining Defendants and in doing the things herein alleged, each was acting within the course and scope of such agency, employment, partnership, and/or joint venture and with the knowledge, authority, permission and consent of the other respondents. Defendant and DOES 1 through 50, inclusive, are hereinafter collectively referred to as "Defendants" except when otherwise specified by name.

JURISDICTION AND VENUE III.

- 11. This Court has subject matter jurisdiction over this Class and the representative action pursuant to the UCL; Code of Civil Procedure § 382, and other provisions of the California Codes. Defendants CARRIER IQ, INC.'s and GOOGLE, INC.'S its principle places of business are in the County of Santa Clara, State of California.
- 12. Venue is proper in this Court pursuant to California Code of Civil Procedure § 395(a), because the Defendants, or some of them, have their principal place of business in Santa Clara County. Venue also lies in this District under California Business and Professions Code § 17203, which empowers "any court of competent jurisdiction" to enter orders or judgments "as may be necessary to prevent the use or employment by any person of any practice which constitutes unfair competition."

FACTUAL ALLEGATIONS COMMON TO ALL CAUSES OF ACTION IV.

- 13. Defendant's pattern of behavior violates the California Consumer Protection laws as set forth herein, as well as the following California statutes and regulations:
 - Article 1, Section 1 of the California Constitution; a.
- b. the California Consumer Protection Against Spyware act, Business & Professions Code §§ 22947, et.seq.; and
 - California Penal Code §§ 631 and 637.2. C.
 - 14. Under California Business and Professions Code § 17200 et seq. (predicated on

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violation of the state laws listed above), plaintiff brings this consumer protection action individually and on behalf of the California general public to enjoin and compensate the victims of Defendants' predatory actions.

- Jointly and severally from the Defendants, this complaint seeks restitution of their 15. ill-gotten gains, injunctive relief, costs, and attorneys' fees.
- 16. Within the four years preceding the filing of this Complaint, Plaintiff has purchased smartphones with the PRODUCT installed and on his behalf on numerous occasions, in the County of Los Angeles, State of California.
- 17. Plaintiff is entitled to an award of attorneys fees and costs in litigating this action because:
- A successful outcome in this action will result in the enforcement of important rights affecting the public interest by maintaining the integrity of telecommunication services in California;
- This complaint will result in a significant benefit to the general public in California or a large class of persons by compensating and/or restoring to consumers for their loss resulting from ownership of merchandise with an operating system the consumers thought they were purchasing but were not;
- The necessity and financial burden of private enforcement of these important public rights are such as to make an award of attorneys' fees appropriate; and
- d. Unless the attorneys' fees and costs are awarded against Defendant, consumers will not recover the full measure of their damages.

CLASS ACTION ALLEGATIONS

- Plaintiff brings this lawsuit on behalf of himself and all other similarly situated 18. members of the general public. The Class the Plaintiff seeks to represent is composed of the following members of the general public of the State of California:
 - "All California residents who, within the four years prior to the filing of this action through to the present (the "Class Period"), purchased a smartphone installed with and running the Android Operating System."

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- 19. Plaintiff seeks certification of a California Class. The Class is believed to comprise tens of thousands of members of the general public in the state of California, the joinder of whom is impracticable, and the disposition of whose claims in a class action will provide substantial benefit both to the parties and the court system. A well-defined commonality of interest in the questions of law and fact involved affects all parties represented. Common questions of law and fact predominate over the questions that may affect individual Class Members, including but not limited to the following:
- Whether Defendants owed a duty to the Class to give notice of the existence and operation of Defendant's software on Plaintiff's smartphone and whether Defendants breached such duty;
- b. Whether Defendants owed a duty to the Class to obtain authorization from consumer users of Defendant's software in order to permit operation of Defendants' PRODUCT and/or the operating system integrating the Product, for the purposes of eavesdropping on data and communications, and transmitting and/or storing such information, and whether Defendants breached such duty;
- Whether Defendants' conduct violated the California Consumer Protection Against Computer Spyware Act;
- d. Whether Defendants' conduct was an invasion of privacy in violation of Article I, Section 1 of the California Constitution;
- Whether Defendants' conduct violated California Penal Code §§ 631 and e. 637.2;
- f. Whether Defendants' conduct violated the California Consumer Protection statutes of the Business & Professions Code Sec. 17200, et sec. ("UCL") and the California Consumer Legal Remedies Act, Civil Code Sec. 1750, et seq. ("CLRA");
- What declaratory and injunctive relief may the Plaintiff and the other Class Members obtain against the Defendants; and
- h. Whether Plaintiff and the other Class Members are entitled to attorneys' fees and costs.

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- 20. Plaintiff is a member of the general public in the state of California who purchased a smartphone with Defendant Google's operating system and the PRODUCT installed therein.
- 21. Plaintiff's claims are typical of the claims of the Class, and he will fairly and adequately represent and protect the interests of the Class. Plaintiff does not have any interests antagonistic to those of the Class. Plaintiff has retained competent and experienced counsel in the prosecution of this type of litigation. The questions common to the Class Members, some of which are set out above, predominate over any questions affecting only individual Class Members.
- 22. A class action is superior to other available methods for the fair and efficient adjudication of this controversy because the Class Members number in the tens of thousands and individual joinder is impracticable, difficult or impossible for the individual Class Members to prosecute their claims.
- 23. Plaintiff's counsel are experienced class action attorneys and will fairly and adequately represent all Class Members' interests.
- Absent a class action and class certification, the Defendant will likely retain 24. millions of dollars received as a result of their unlawful, unfair and deceptive practices and will continue to extract millions of dollars in perpetration of such practices.

VI. CAUSES OF ACTION

FIRST CAUSE OF ACTION

For Violation of California Consumer Protections Against Computer Spyware Act (California Business & Professions Code § 22947, et seq. "CPACSA") (Asserted by Plaintiff against all Defendants)

- 25. Plaintiff incorporates by reference all the above allegations as if fully set forth herein.
- 26. Defendants' PRODUCT and the operating system containing the PRODUCT is malware software that is deceptively or surreptitiously installed on consumer user computers. namely smartphones, by means of an intentional and material failure to provide any notice to a consumer user regarding the installation of or existence of software permitting illegal invasion of privacy rights, which results in deception of the consumer user.

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- 27. Defendants are not authorized users of Plaintiff's smartphone, as defined in Section 22947.1. On information and belief Defendants are using the PRODUCT in a manner in excess of or in a manner unauthorized under Section 22947.3(d).
- 28. Defendants knew, consciously avoided actual knowledge, or willfully caused their software to be copied onto the smartphones of consumers in the State of California, including Plaintiff and putative Class members.
- 29. On information and belief, defendants used the PRODUCT, and the operating system packaged with the Product, to collect, through intentionally deceptive means, personally identifiable information, including, but not limited to:
 - through the use of a keystroke-logging function that records all keystroke made by a. an authorized user who uses the computer and transfers that information from the computer to another person;
 - Ъ. all or substantially all of the Web sites visited by the consumer, other than Websites of the provider of the software.
- 30. Defendants installed the PRODUCT and the operating system containing the PRODUCT in a manner which was designed to, and did, conceal from consumers the fact that the software was installed on the device purchased and used by the Consumers. Defendants on information and belief accessed or used consumer's Internet service for the purpose of causing an authorized user, namely the Plaintiff and putative class, financial charges for bandwidth and related data services not authorized by such consumers. The Carrier IQ Software technology and its hidden nature prevented, without the authorization of an authorized user, through intentionally deceptive means, an authorized user's reasonable efforts to disable such software.
- 31. Defendants' conduct violated the CPACSA, causing damage to Plaintiff and the putative class, including but not limited to causing them to incur loss of use of their smartphone and charges from any attempt to uninstall the PRODUCT.
- 32. Plaintiff seeks an award of statutory damages and any actual damages, court costs, attorney's fees, and any other relief the Court deems proper, for Defendants' violation of the CPACSA.

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33. As a result of Defendants' violations of the CPACSA, Plaintiff and the putative class has suffered and are suffering irreparable injury. Unless restrained by this court, such injuries will continue to be inflicted. Plaintiff seeks injunctive relief as set forth herein.

SECOND CAUSE OF ACTION

For Violation of Article 1, Section 1 of the California Constitution (Invasion of Privacy)

(Asserted by Plaintiff against all Defendants)

- 34. Plaintiff incorporates by reference all the above allegations as if fully set forth herein.
- 35. Defendants have knowingly, recklessly or negligently disclosed, exploited, misappropriated and/or engaged in widespread commercial usage of private and sensitive information concerning Plaintiff and the putative Class members for defendants' own benefit, without the informed consent of Plaintiff and the Class members. Defendants stockpiled private and sensitive information of Plaintiff and the putative Class, sufficient to paint a highly intrusive profile of such individuals. Such conduct constitutes a highly offensive and dangerous invasion of Plaintiff's and putative Class members' privacy in violation of the California Constitution.
- 36. As Plaintiff and the putative Class members did not provide informed consent so as to voluntarily disclose their personal and private information to Defendants and/or third parties, such information was misappropriated by Defendants. Plaintiff and putative Class members without informed consent suffered the usurpation of protected information that was covertly monitored and disclosed to third parties for defendants' commercial gain.
- As a result thereof, Plaintiff and the putative Class members have been damaged by 37. an amount according to proof at the time of trial and/or have been irreparably harmed by such conduct.

THIRD CAUSE OF ACTION

For Violation of California Penal Code Sections 631 and 637.2

(Asserted by Plaintiff against all Defendants)

38. Plaintiff incorporates by reference all the above allegations as if fully set forth

herein.

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- In violation of California Penal Code §631, defendants, without the willful consent 39. of Plaintiff and the Class members, made an unauthorized connection to Plaintiff's and the putative Class members' devices over the Internet in this State.
- 40. In violation of California Penal Code §631, Defendants, without having obtained the informed consent of the Plaintiff and putative Class members, attempted to use and did use and communicate, and did aid, agree and conspire to use, the information wrongfully obtained in violation of §631. Pursuant to California Penal Code §637.2(c), which specifically states that actual damages or the threat of actual damages is not necessary to recover under this section, Plaintiff and each putative Class member is entitled to \$5,000 or three times the actual damages sustained, whichever is greater.
- Pursuant to California Penal Code §63 7 .2(b), Plaintiff and the putative Class members also request defendants' conduct alleged herein to be enjoined and restrained.

FOURTH CAUSE OF ACTION

For Violation of California's Unfair Competition Law ("UCL")

(California Business & Professions Code §17200 et seq.)

(Fraudulent and Deceptive Practices)

(Asserted by Plaintiff against all Defendants)

- 42. Plaintiff incorporates by reference all the above allegations as if fully set forth herein.
- 43. Beginning at an exact date unknown to Plaintiff, Defendants have violated the fraudulent/ deceptive prong of the UCL by the conduct described above.
- Defendants' fraudulent and deceptive practices described above present a 44. continuing threat to the plaintiff and members of the public in that Defendants persist and continue to engage in these practices and will not cease doing so unless and until this Court issues an injunction.
- Plaintiff is informed and believes that, as a result of the Defendants' fraudulent and 45. deceptive practices, the Defendants have received and continue to financially benefit, and/or

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collect and hold revenues flowing from their customers use and/or purchase of the PRODUCT as included in the operating system containing the Product on their devices. The Defendants have failed to refund any of these revenues to customers.

46. Therefore, pursuant to Business and Professions Code section 17203, Plaintiff seeks an order of this Court permanently enjoining Defendants from engaging in the unfair conduct as alleged herein, make full restitution of all monies wrongfully obtained, disgorge all illgotten revenues and/or profits, recall all devices with the operating system that contains the PRODUCT, and to stop collecting, transmitting, storing private information and/or invading privacy rights of consumers.

FIFTH CAUSE OF ACTION

For Violation of California's 'UCL

(Business & Professions Code §17200 et seq.)

(Unlawful Practices)

(Asserted by Plaintiff against all Defendants)

- 47. Plaintiff incorporates by reference all the above allegations as if fully set forth herein.
- 48. Defendants violated the unlawful prong of the UCL by violating Article 1, Section 1 of the California Constitution; the California Consumer Protection Against Spyware act; the Consumer Legal Remedies Act, and California Penal Code §§ 631 and 637.2.
- 49. Plaintiff, has suffered harm as a result of Defendants' violations of the unlawful prong of the UCL because he has paid monies for a device sold with the PRODUCT and the operating system providing the PRODUCT, that he otherwise would not have purchased or paid as much. He is unable to uninstall the PRODUCT from his device causing his device to become inoperable and/or de facto unusable by virtue of its continued violation of laws as set forth herein.
- 50. The Defendants' unlawful practices described above present a continuing threat to the plaintiff and members of the California public in that Defendants persist and continue to engage in these practices, have not abandoned or censored the continuing violative operations described herein, and will not cease doing so unless and until this Court shall issue an injunction.

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- 51. As a direct result of the Defendants' unlawful practices, the Defendants have received and continue to collect and hold revenues from the sale of the PRODUCT, the operating system containing the PRODUCT, and/or Plaintiffs and the class' use and continuing use of the product. The Defendants have failed to refund any of these revenues to customers. These revenues properly belong to members of the general public who purchased devices with the PRODUCT installed, and they are entitled to and should receive restitution of all such monies jointly and severally from all Defendants.
- 52. Therefore, pursuant to Business and Professions Code section 17203 et seq., Plaintiff seeks an order of this Court permanently enjoining Defendants from engaging in the unfair conduct as alleged herein, make full restitution of all monies wrongfully obtained, disgorge all ill-gotten revenues and/or profits, recall all devices with the operating system that contains the PRODUCT, and to stop collecting, transmitting, storing private information and/or invading privacy rights of consumers.

SIXTH CAUSE OF ACTION

For Violation of California's 'UCL

(Business and Professions Code § 17200 et seq.)

(Unfair Practices)

(Asserted by Plaintiff against all Defendants)

- 53. Plaintiff incorporates by reference all the above allegations as if fully set forth herein.
- 54. Defendants' conduct, described above, violates the unfair prong of the UCL because such conduct violates various laws and policies recognized by public policym the California Legislature and the California courts, and because the utility of Defendants' conduct is significantly outweighed by the gravity of the harms it imposes on consumers, and because Defendants' business practices described herein are oppressive, unscrupulous or substantially injurious to consumers.
- 55. Defendants' unfair practices as described above present a continuing threat to the plaintiff and members of the public in that Defendants persist and continue to engage in these

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practices and will not cease doing so unless and until this Court shall issue an injunction.

- 56. As a direct result of the Defendants' unfair practices, the Defendants have received and continue to collect and hold revenues which properly belong to consumers who purchased devices with the PRODUCT installed, and they are entitled to and should receive restitution of all such monies jointly and severally from all Defendants. Defendants have failed to refund any of these revenues to Plaintiff and putative class members.
- 57. Therefore, pursuant to Business and Professions Code section 17203 et seq. Plaintiff seeks an order of this Court permanently enjoining Defendants from engaging in the unfair conduct as alleged herein, make full restitution of all monies wrongfully obtained, disgorge all ill-gotten revenues and/or profits, recall all devices with the operating system that contains the PRODUCT, and to stop collecting, transmitting, storing private information and/or invading privacy rights of consumers.

SEVENTH CAUSE OF ACTION

Restitution of Unjust Enrichment

(Asserted by Plaintiff against all Defendants)

- 58. Plaintiff incorporates by reference all the above allegations as if fully set forth herein.
- 59. This cause of action is being asserted on behalf of Plaintiff and the putative Class members who purchased devices with the operating system containing the PRODUCT and PRODUCT installed within the applicable statute of limitations period.
- 60. Defendants have benefited and have been unjustly enriched by the above-alleged conduct.
- 61. Defendants have knowledge of this benefit, and have voluntarily accepted and retained this benefit.
- 62. The circumstances as described herein are such that it would be inequitable for Defendants to retain these ill-gotten benefits without paying the value thereof to Plaintiff and the putative Class members.
 - Plaintiff and the putative Class members are entitled to the amount of Defendants'

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ill-gotten gains, including interest, resulting from their unlawful, unjust and inequitable conduct as described above.

EIGHTH CAUSE OF ACTION

Violation of the Consumer Legal Remedies Act

(Asserted by Plaintiff against all Defendants, on behalf of a subclass, and at this time solely for injunctive relief as explained below)

- 64. Plaintiff incorporates by reference all the above allegations as if fully set forth herein.
- 65. Plaintiff asserts this cause of action on behalf of members of a putative sub-class, limited to only those individuals who within three years of the filing of this complaint purchased for for personal, family or household purposes a device containing the operating system with the PRODUCT. Plaintiff is a member of the putative sub-class in that he purchased and used for personal purposes a device containing the operating system with the PRODUCT. members who purchased the Product within three (3) years of the commencement of this action.
- Plaintiff has standing to pursue this claim as Plaintiff has suffered injury in fact and has lost money or property as a result of Defendants' actions as set forth herein and above. He purchased a device with the operating system containing the PRODUCT without having been informed as to the illegal operations of the software in the device and/or that use of the software would permit, and did cause, private and/or personal information to be transmitted to third parties.
- 67. Defendants have represented, and/or by virtue of material omissions implicitly represented, that the software has characteristics, uses, benefits, or qualities that it does not have, in violation of Civil Code §1770(a)(5). Defendants have also represented that the software has a particular standard or quality that it does not have in violation of Civil Code §1770(a)(7).
- 68. Defendants have represented, and/or by virtue of material omissions implicitly represented, that a transaction confers or involves rights, remedies or obligations which it does not have or involve, in violation of Civil Code §1770(a)(14).
- 69. Defendants have represented, and/or by virtue of material omissions implicitly represented, that a transaction confers or involves rights, remedies or obligations which are

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prohibited by law, in violation of Civil Code §1770(a)(14).

- Defendants' practices, acts and course of conduct as described above, are likely to mislead a reasonable consumer acting reasonably under the circumstances to his or her detriment. Like the putative Class, Plaintiff would not have purchased the device with the software if the truth and all facts concerning the software had been disclosed to him.
- Plaintiff and members of the Class have each been directly and proximately injured 71. by the conduct of Defendants, and such injury includes payment for a device with the PRODUCT and for use of the device with the operating system containing the PRODUCT so as to enable the wrongful activities described herein to occur.
- 72. Plaintiff has filed concurrently herewith the declaration of venue required by Civil Code Section 1780(d).
- Defendants' wrongful business practices constituted, and constitute, a continuing 73. course of conduct in violation of the California Consumer Legal Remedies Act since the wrongful conduct described herein continues to occur and Plaintiff and the putative sub-class continue to suffer harm.
- 74. In accordance with California Civil Code § 1780 (a), Plaintiff and the members of the sub-Class currently seek only injunctive relief as to Defendants' violation of the CLRA. described as: an order of this Court permanently enjoining Defendants from engaging in the unfair conduct as alleged herein, recall all devices with the operating system that contains the PRODUCT, and to stop collecting, transmitting, storing private information and/or invading privacy rights of consumers.
- 75. Notice Pursuant to Civil Code § 1782. As a direct and proximate result of Defendants' violations of law, Plaintiff and the Class have been injured. Pursuant to the provisions of California Civil Code § 1782, Plaintiff demands that within thirty (30) days from service of this Complaint, Defendants adequately correct, repair, replace or otherwise rectify the deceptive practices described in this Complaint for the Class, pursuant to California Civil Code § 27 | 1770. This includes providing notice and full compensation to consumers who have purchased the product within the sub-class period, as well as ceasing the conduct described herein.

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Defendants fail to do so, Plaintiff will amend this Complaint to seek damages pursuant to Civil Code § 1782. Contemporaneously with the filing of this complaint, a certified letter has been sent to Defendants and each of them in compliance with the CLRA, and Plaintiff reserves the right to amend this complaint as permitted by the CLRA to seek relief in addition to the injunctive relief which now is the sole relief sought pursuant to the CLRA.

PRAYER FOR RELIEF

WHEREFORE, Plaintiff prays for relief as hereinafter set forth.

FOR THE FIRST, SECOND, THIRD CAUSES OF ACTION:

- 1. An award of general damages according to proof:
- 2. An award of special damages according to proof.

FOR ALL CAUSES OF ACTION, OTHER THAN THE EIGHTH CAUSE OF ACTION:

- 3. An Order certifying the Class and any appropriate sub-class thereof, and appointing Plaintiff and his attorneys to represent the Class;
 - 4. An award of restitution in an amount according to proof;
 - 5. Disgorgement in an amount according to proof;
- For a temporary, preliminary and permanent injunction enjoining the Defendant. and each of them, from engaging in the acts of unfair competition alleged above and compelling Defendants, jointly and severally, to remove the PRODUCT from the Class' smartphones via software update, and all versions of Android on shelves and the distribution chain and restore to the Plaintiff and the members of the class all general funds acquired by the means of any practice found by this Court to be unlawful or constitute unfair competition.
- 7. For a reasonable fee to Plaintiff for his services in bringing this action on behalf of the general public;
 - 8. For reasonable attorneys' fees; and for costs of suit,
 - For such further relief as the Court may order. 9.

FOR THE EIGHTH CAUSE OF ACTION:

10. An Order certifying the Class and any appropriate sub-class thereof, and appointing Plaintiff and his attorneys to represent the Class;

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11.	For a temporary, preliminary and permanent injunction enjoining the Defendant,
and each of t	hem, from engaging in the acts of alleged above, recall all devices with the operating
system that	contains the PRODUCT, and to stop collecting, transmitting, storing private
information a	and/or invading privacy rights of consumers.
12.	For a reasonable fee to Plaintiff for his services in bringing this action on behalf of
the general p	ublic;
13.	For reasonable attorneys' fees; and
14.	For costs of suit, and for such further relief as the Court may order.
DATED: De	cember 7, 2011 WASSERMAN, COMDEN, CASSELMAN & ESENSTEN, L.L.P.
	MELISSA MEEKER HARNETT Attorneys for Plaintiff RODNEY SHIVELY, individually and on behalf of others similarly situated
	JURY DEMAND
1.	Plaintiff hereby demands a trial by jury of their claims against Defendants.
DATED: Dec	cember 7, 2011 WASSERMAN, COMDEN, CASSELMAN & ESENSTEN, L.L.P.
	By: MELISSA MEEKER HARNETT Attorneys for Plaintiff RODNEY SHIVELY, individually and on behalf of others similarly situated
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CLASS ACTION COMPLAINT



Linux kernel drivers

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Running processes

Packages

Android logcat debugging log

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