

United States District Court
For the Northern District of California

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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN JOSE DIVISION

SECURITIES AND EXCHANGE)
COMMISSION,)
)
Plaintiff,)
)
v.)
)
SMALL BUSINESS CAPITAL CORP., MARK)
FEATHERS, INVESTORS PRIME FUND,)
LLC, AND SBC PORTFOLIO FUND, LLC)
)
Defendants.)

Case No.: 12-cv-3237-EJD (PSG)
**ORDER RE: ADMINISTRATIVE AND
EX PARTE MOTIONS**
(Re: Docket Nos. 405, 414, 450, 464)

Defendant Mark Feathers (“Feathers”) has filed several administrative motions seeking discovery from Plaintiff Securities Exchange Commission (“SEC”) or seeking protective orders to prevent discovery by the SEC. Feathers purports to seek relief pursuant to Civ. L.R. 7-11, which provides that a party may seek an order from the court “with respect to miscellaneous administrative matters, not otherwise governed by a federal statute, Federal or local rule or standing order of the assigned judge.” Such motions may include “matters such as motions to exceed otherwise applicable page limitations or motions to file documents under seal, for example.”¹

Feathers’ requests do not fall into this narrow category of administrative motions. He seeks production of documents from the Receiver regarding financial information about the corporate

¹ Civ. L.R. 7-11.

1 defendants in this case,² protective orders to prevent the deposition of his spouse,³ and a protective
2 order to force the SEC to file certain documents under seal.⁴ Each of these requests is governed by
3 either the Federal Rules of Civil Procedure or a Civil Local Rule, and so filing them as
4 administrative motions is improper.

5 Feathers is a pro se defendant, and so the court will interpret his requests with a certain
6 degree of indulgence. The court will hear arguments on the improperly noticed motions⁵ at the
7 hearing set for Feathers' properly noticed motion on June 11, 2013.⁶ But given Judge Davila's
8 previous order cautioning Feathers not to file substantive motions as administrative motions⁷ and
9 given that Feathers has shown he knows how to file a motion properly,⁸ the court will not extend
10 such leniency again in the future. Feathers shall not file any more substantive motions as
11 administrative motions. Administrative motions are for limited miscellaneous issues that require
12 neither extensive briefing nor a hearing on the underlying merits. In contrast, substantive conflicts
13 between the parties regarding the scope of discovery often require both.
14

15 From this point on, when seeking the court's aid in resolving discovery disputes, both
16 parties shall comply with the Federal Rules of Civil Procedure, the Civil Local Rules, and the
17 undersigned's standing order regarding the filing of substantive motions. In other words, discovery
18 disputes shall be noticed as motions on the docket, with a hearing date reserved, as prescribed in
19 Civ. L.R. 7-2.
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22 ² See Docket Nos. 405, 414.

23 ³ See Docket No. 450.

24 ⁴ See Docket No. 464.

25 ⁵ Specifically, Docket Nos. 405, 414, 450, 464.

26 ⁶ See Docket No. 446.

27 ⁷ See Docket No. 363.

28 ⁸ See Docket No. 446.

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IT IS SO ORDERED.

Dated: May 20, 2013



PAUL S. GREWAL
United States Magistrate Judge