

United States District Court
For the Northern District of California


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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN JOSE DIVISION

GOLDEN BRIDGE TECHNOLOGY,)	Case No. 5:12-cv-04882-PSG
)	
Plaintiff,)	FINAL JURY INSTRUCTIONS
v.)	
)	
APPLE INC.,)	
)	
Defendant.)	

IT IS SO ORDERED.

Dated: June 11, 2014



 PAUL S. GREWAL
 United States Magistrate Judge

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1. BURDEN OF PROOF- PREPONDERANCE OF THE EVIDENCE

When a party has the burden of proof on any claim or affirmative defense by a preponderance of the evidence, it means you must be persuaded by the evidence that the claim or affirmative defense is more probably true than not true.

You should base your decision on all of the evidence, regardless of which party presented it.

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2. BURDEN OF PROOF- CLEAR AND CONVINCING EVIDENCE

When a party has the burden of proof on any claim or affirmative defense by clear and convincing evidence, it means you must be persuaded by the evidence that the claim or affirmative defense is highly probable. This is a higher standard of proof than proof by a preponderance of the evidence.

You should base your decision on all of the evidence, regardless of which party presented it.

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3. DEPOSITION IN LIEU OF LIVE TESTIMONY

As I have previously explained, a deposition is the sworn testimony of a witness taken before trial. The witness is placed under oath to tell the truth and lawyers for each party may ask questions. The questions and answers are recorded. When a person is unavailable to testify at trial, the deposition of that person may be used at the trial.

You should consider deposition testimony, presented to you in court by videotape in lieu of live testimony, insofar as possible, in the same way as if the witness had been present to testify.

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4. EXPERT OPINION

Some witnesses, because of education or experience, were permitted to state opinions and the reasons for those opinions.

Opinion testimony should be judged just like any other testimony. You may accept it or reject it, and give it as much weight as you think it deserves, considering the witness's education and experience, the reason given for the opinion, and all the other evidence in the case.

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5. DEMONSTRATIVE EVIDENCE

During the trial, materials have been shown to you to help explain testimony or other evidence in the case. Other materials have also been shown to you during the trial, but they have not been admitted into evidence. You will not be able to review them during your deliberations because they are not themselves evidence or proof of any facts. You may, however, consider the testimony given in connection with those materials.

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6. CONFIDENTIALITY

During this trial, the attorneys have presented confidential information belonging to one of the parties as evidence. You are not to discuss or communicate any confidential evidence presented during this trial to anyone aside from the other jurors during deliberations, either during the trial or after the trial.

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7. INTERPRETATION OF CLAIMS

Before you can decide many of the issues in this case, you will need to understand the role of patent “claims.” The patent claims are the numbered sentences at the end of each patent. The claims are important because it is the words of the claims that define what a patent covers. The figures and text in the rest of the patent provide a description and/or examples of the invention and provide a context for the claims, but it is the claims that define the breadth of the patent’s coverage.

Each claim may cover more or less than another claim. Therefore, what a patent covers depends, in turn, on what each of its claims covers.

You will first need to understand what each claim covers in order to decide whether or not there is infringement of the claim and to decide whether or not the claim is invalid. The law says that it is my role to define the terms of the claims and it is your role to apply my definitions to the issues that you are asked to decide in this case. Therefore, I have determined the meaning of the claims and I will provide to you my definitions of certain claim terms. You must accept my definitions of these words in the claims as- being correct. You should disregard any conflicting interpretation. My interpretation of the language should not be taken as an indication that I have a view regarding the issue of infringement. The decision regarding infringement is yours to make. It is your job to take these definitions and apply them to the issues that you are deciding, including the issues of infringement and validity.

The parties have agreed to or the court has interpreted the following terms in the claims at issue. Any terms not construed below should be interpreted according to their plain and ordinary meaning:

- 1) The term “header” means “a sequence of bits or symbols, which include a pre-defined sequence of bits or symbols (pilot).”

- 1 2) The term “header frame” means “[a] header followed by data in a frame, which may
2 include multiple headers interspersed with fields of data.”
- 3 3) The term “data-sequence signal” means “[a] signal that may be derived from data, or an
4 analog signal converted to data, signaling information, or other source of data symbols or
5 bits.”
- 6 4) The term “a header device” means “[a] device that concatenates a header to a first
7 data-sequence signal on a first channel.”
- 8 5) The term “concatenating” means “linking together two or more items in a single chain or
9 series.”
- 10 6) The term “product devices” means “a device that performs a multiplication operation.”
- 11 7) The term “multichannel-spread-spectrum transmitter” is to be interpreted in accordance
12 with its plain and ordinary meaning.
- 13 8) The term “data channel” means “a communication channel able to carry data.”
- 14 9) The term “spread-spectrum data channel” means “[a] channel, without a header, that is
15 generated by processing data-sequence signal by a respective chip-sequence signal.”
- 16 10) The term “spread-spectrum header channel” means “[a] channel generated by processing
17 the header frame with a first chip-sequence signal.”
- 18 11) The terms “chip sequence signal” and “parallel chip sequence signals” are to be interpreted
19 in accordance with its plain and ordinary meaning.
- 20 12) The term “synchronizing the plurality of data channels” means “timing the two or more
21 data channels using timing data from the header and timing and control signals from the
22 processor.”
- 23 24 25 26 27 28

8. HOW A CLAIM DEFINES WHAT IT COVERS

I will now explain how a claim defines what it covers.

A claim sets forth, in words, a set of requirements. Each claim sets forth its requirements in a single sentence. If a device satisfies each of these requirements, then it is covered by the claim.

There can be several claims in a patent. Each claim may be narrower or broader than another claim by setting forth more or fewer requirements. The coverage of a patent is assessed claim-by-claim. In patent law, the requirements of a claim are often referred to as “claim elements” or “claim limitations.” When a thing (such as a product) meets all of the requirements of a claim, the claim is said to “cover” that thing, and that thing is said to “fall” within the scope of that claim. In other words, a claim covers a product where each of the claim elements or limitations is present in that product or process.

Sometimes the words in a patent claim are difficult to understand, and therefore it is difficult to understand what requirements these words impose. It is my job to explain to you the meaning of the words in the claims and the requirements these words impose.

As I just instructed you, there are certain specific terms that I have defined and you are to apply the definitions that I provide to you.

By understanding the meaning of the words in a claim and by understanding that the words in a claim set forth the requirements that a product must meet in order to be covered by that claim, you will be able to understand the scope of coverage for each claim. Once you understand what each claim covers, then you are prepared to decide the issues that you will be asked to decide, such as infringement and invalidity.

9. INFRINGEMENT- BURDEN OF PROOF

1
2 I will now instruct you how to decide whether or not Apple has infringed claim 7 of the
3 ‘793 patent.

4 In order to prove infringement, GBT must prove that the requirements for infringement are
5 met by a preponderance of the evidence, i.e., that it is more likely than not that all of the
6 requirements of claim 7 of the ‘793 patent have been proved.
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10. INFRINGEMENT

As I have explained, a patent’s claims define what is covered by the patent. A product infringes a patent if it is covered by at least one claim of the patent.

Deciding whether a claim has been infringed is a two-step process. The first step is to decide the meaning of the patent claim. I have already made this decision, and I have already instructed you as to the meaning of the asserted patent claims. The second step is to decide whether Apple has made, used, sold, offered for sale or imported within the United States a product that is covered by a claim of the ‘793 patent. If it has, it infringes. If it has not, it does not infringe. You, the jury, make this decision.

Whether or not Apple knew its respective products infringed or even knew of the patent does not matter in determining infringement.

11. LITERAL INFRINGEMENT

1
2 To decide whether Apple’s products are covered by claim 7 of the ‘793 patent, you must
3 compare each product with claim 7 and determine whether every requirement of the claim is
4 included in that product. If so, Apple’s product infringes that claim. If, however, Apple’s
5 product does not have every requirement in the patent claim, Apple’s product does not literally
6 infringe that claim.

7
8 Claim 7 of the ‘793 patent uses the term “comprising,” and that patent claim is to be
9 understood as an open claim. An open claim is infringed as long as every requirement in the
10 claim is present in Apple’s product. The fact that Apple’s product also includes other parts will
11 not avoid infringement, as long as it has every requirement in the patent claim.

12. INVALIDITY-BURDEN OF PROOF

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I will now instruct you on the rules you must follow in deciding whether or not Apple has proven that claim 7 of the ‘793 patent are invalid. Before discussing the specific rules, I want to remind you about the standard of proof that applies to this defense. To prove that any claim of a patent is invalid, Apple must persuade you by clear and convincing evidence, i.e., you must be left with a clear conviction that the claim is invalid.

During this case, Apple has submitted prior art that was not considered by the United States Patent and Trademark Office (PTO) during the prosecution of the ‘793 patent. In deciding the issue of invalidity, you may take into account the fact that the prior art was not considered by the PTO when it issued the ‘793 patent. Prior art that materially differs from and is not cumulative of the prior art considered by the PTO may carry more weight than the prior art that was considered and may make Apple’s burden of showing that it is highly probably that a patent claim is invalid easier to sustain.

13. INVALIDITY-PRIOR ART

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2 Prior art may include items that were publicly known or that have been used or offered for
3 sale, publications, or patents that disclose the claimed invention or elements of the claimed
4 invention. To be prior art, the item or reference must have been made, known, used, published, or
5 patented before the filing date of the patent at issue.

6 The parties have stipulated that the following references are prior art to the '793 patent:

- 7 • The publication "Multimedia Mobile Radio Access Based on Coherent DS-
8 CDMA" by F. Adachi and other authors. This prior art reference is also known as
9 the "Adachi 1995 publication."
- 10 • U.S. Patent No. 5,896,374, "Variable Rate Transmission Method, Transmitter and
11 Receiver Using the Same," to Y. Okumura and other named inventors. This prior
12 art reference is also known as the "Okumura '374 patent."
- 13 • U.S. Patent No. 5,793,744, "Multichannel High-Speed Data Transfer," to M.
14 Kanerva and other named inventors. This prior art reference is also known as the
15 "Kanerva '744 patent."
- 16 • The publication "Frames Multiple Access for UMTS" by T. Ojanpera and other
17 authors. This prior art reference is also known as the "Ojanpera 1997 publication."
- 18 • U.S. Patent No. 6,108,369, "Channelization Code Allocation for Radio
19 Communication Systems," to F. Ovesjo and E. Dahlman. This prior art reference
20 is also known as the "Ovesjo '369 patent."
- 21 • The publication "Wideband Direct-Sequence CDMA Evaluation Document" by
22 the Concept Group Alpha and numbered Tdoc SMG2 270/97. This prior art
23 reference is also known as the "270/97 publication."
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- U.S. Patent No. 6, 175, 558, “CDMA Radio Multiplex Transmitting Device and a CDMA Radio Multiplex Receiving Device” to K. Miya. This patent is also known as the “Miya ‘558 patent.”
- The publication “Wideband Direct-Sequence CMDA Evaluation Document” by the Concept Group Alpha and numbered Tdoc SMG 905/97. This publication is also known as the “905/97 publication.”

14. INVALIDITY-ANTICIPATION

1 In order for someone to be entitled to a patent, the invention must actually be “new” and
2 the inventor must not have lost her or his rights by delaying the filing of an application claiming
3 the invention. In general, inventions are new when the identical product has not been made,
4 used, or disclosed before. Anticipation must be determined on a claim-by-claim basis.
5

6 Apple contends that claim 7 of the ‘793 patent is invalid because the claimed invention is
7 anticipated. Apple must convince you of this by clear and convincing evidence, i.e., that the
8 evidence highly probably demonstrates that the claims are invalid.
9

10 Here is a list of ways that Apple can show that a patent claim was not new:

- 11 (1) An invention is not new if it was known to or used by others in the United States
12 before the date of the invention. An invention is known when the information
13 about it was reasonably accessible to the public on that date.
- 14 (2) An invention is not new if it was already patented or described in a printed
15 publication, anywhere in the world before the date of the invention.
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- 17 (3) An invention is not new if the claimed invention was already patented or
18 described in a printed publication, anywhere in the world by GBT or anyone
19 else, more than a year before February 6, 1998, which is the effective filing
20 date of the application for the ‘793 patent. An invention was patented by
21 another if the other patent describes the same invention claimed by GBT to a
22 person having ordinary skill in the technology.
- 23 (4) An invention is not new if it was described in a published patent application filed
24 by another in the United States before the filing date.
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(5) An invention is not new if the claimed invention was described in a patent granted on an application for patent by another filed in the United States before February 6, 1998.

For the claim to be invalid because it is not new, Apple must show that all of the requirements of that claim were present in a single previous device or method that was known of, used, or described in a single previous printed publication or patent. We call these things “anticipating prior art.” To anticipate the invention, the prior art does not have to use the same words as the claim, but all of the requirements of the claim must have been disclosed, either stated expressly or necessarily implied to a person having ordinary skill in the art in the technology of the invention, so that looking at that one reference, that person could make and use the claimed invention.

15. INVALIDITY-OBVIOUSNESS

1
2 Even though an invention may not have been identically disclosed or described before it
3 was made by an inventor, in order to be patentable, the invention must also not have been
4 obvious to a person of ordinary skill in the field of technology of the patent at the time the
5 invention was made.

6 Apple may establish that a patent claim is invalid by showing, by clear and convincing
7 evidence, that the claimed invention would have been obvious to persons having ordinary skill in
8 the art at the time the invention was made in the field of wireless telecommunications.

9
10 In determining whether a claimed invention is obvious, you must consider the level
11 of ordinary skill in the field of wireless telecommunications that someone would have had at the
12 time the claimed invention was made, the scope and content of the prior art, and any
13 differences between the prior art and the claimed invention.

14
15 Keep in mind that the existence of each and every element of the claimed invention in the
16 prior art does not necessarily prove obviousness. Most, if not all, inventions rely on building
17 blocks of prior art.

18 In considering whether a claimed invention is obvious, you may but are not required to
19 find obviousness if you find that at the time of the claimed invention there was a reason that
20 would have prompted a person having ordinary skill in the field of wireless telecommunications to
21 combine the known elements in a way the claimed invention does, taking into account such
22 factors as (1) whether the claimed invention was merely the predictable result of using
23 prior art elements according to their known functions; (2) whether the claimed invention
24 provides an obvious solution to a known problem in the relevant field; (3) whether the prior
25 art teaches or suggests the desirability of combining elements claimed in the invention; (4)
26 whether the prior art teaches away from combining elements in the claimed invention; (5) whether
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1 it would have been obvious to try the combinations of elements, such as when there is a design
2 need or market pressure to solve a problem and there are a finite number of identified,
3 predictable solutions and (6) whether the change resulted more from design incentives or
4 other market forces. To find it rendered the invention obvious, you must find that the prior art
5 provided a reasonable expectation of success.

6 In determining whether the claimed invention was obvious, consider each claim
7 separately. Do not use hindsight, i.e., consider only what was known at the time of the
8 invention.
9

10 In making these assessments, you should take into account any objective evidence
11 (sometimes called “secondary considerations”) that may have existed at the time of the
12 invention and afterwards that may shed light on the obviousness or not of the claimed invention,
13 such as:

- 14 a) Whether or not the invention was commercially successful as a result of the merits of
15 the claimed invention (rather than the result of design needs or market-pressure
16 advertising or similar activities);
- 17 b) Whether or not the invention satisfied a long-felt need;
- 18 c) Whether or not others had tried and failed to make the invention;
- 19 d) Whether or not others invented the invention at-roughly the same time;
- 20 e) Whether or not others copied the invention;
- 21 f) Whether or not there were changes or related technologies or market needs
22 contemporaneous with the invention;
- 23 g) Whether or not the invention achieved unexpected results;
- 24 h) Whether or not others in the field praised the invention;
- 25 i) Whether or not persons having ordinary skill in the art of the invention expressed
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surprise or disbelief regarding the invention;

- j) Whether or not others sought or obtained rights to the patent from the patent holder; and
- k) Whether or not the inventor proceeded contrary to accepted wisdom in the field.

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16. INVALIDITY- LEVEL OF ORDINARY SKILL

In deciding what the level of ordinary skill in the field of the invention is, you should consider all the evidence introduced at trial, including but not limited to: (1) the levels of education and experience of the inventor and other persons actively working in the field; (2) the types of problems encountered in the field; (3) prior art solutions to those problems; (4) rapidity with which innovations are made; and (5) the sophistication of the technology.

17. DAMAGES

1
2 If you find that Apple infringed claim 7 of the '793 patent and that claim 7 is not invalid,
3 you must then consider what amount of damages to award to GBT. I will now instruct you about
4 the measure of damages. By instructing you on damages, I am not suggesting which party should
5 win on any issue. If you find that Apple infringed any valid claim of the '793 patent, you must
6 then determine the amount of money damages to be awarded to GBT to compensate it for the
7 infringement.
8

9 Damages are not meant to punish an infringer. Your damages award, if you reach this
10 issue, should put GBT in approximately the same financial position that it would have been in
11 had the infringement not occurred.

12 GBT has the burden to establish the amount of its damages by a preponderance of the
13 evidence. In other words, you should award only those damages that GBT establishes that it
14 more likely than not suffered. In this case, GBT seeks a reasonable royalty.
15

16 A patent holder is not entitled to damages that are remote or speculative. While a patent
17 holder is not required to prove its damages with mathematical precision, it must prove them with
18 reasonable certainty.

19 I will give more detailed instructions regarding damages shortly. Note, however, that
20 GBT is entitled to recover no less than a reasonable royalty for each infringing sale.
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18. DAMAGES- REASONABLE ROYALTY

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If you determine that any products sold by Apple infringed any valid claims of the ‘793 patent, then GBT should be awarded a reasonable royalty for all sales associated with each such product infringing a particular patent.

A royalty is a payment made to a patent holder in exchange for the right to make, use or sell the claimed invention. This right is called a “license.” A reasonable royalty is the payment for the license that would have resulted from a hypothetical negotiation between the patent holder and the infringer taking place at the time when the infringing activity first began. In considering this hypothetical negotiation, you must assume that the patent holder and the infringer would have acted reasonably and would have entered into a license agreement. You must also assume that both parties believed the patent was valid and infringed. Your role is to determine what the result of that negotiation would have been. The test for damages is what royalty would have resulted from the hypothetical negotiation and not simply what either party would have preferred.

Evidence of things that happened after the infringement first began can be considered in evaluating the reasonable royalty only to the extent that the evidence has a causal nexus to the claimed invention and aids in assessing what royalty would have resulted from a hypothetical negotiation.

It is up to you, based on the evidence, to decide what royalty is appropriate in this case.

19. DAMAGES-REASONABLE ROYALTY: RELEVANT FACTORS

1
2 In determining the outcome of the hypothetical negotiation, you should consider all facts
3 known to the parties at the time infringement began for which evidence was presented. Some of
4 the factors you may consider are:

- 5 1) Royalties received by the patent holder for licensing the patent-in-suit, proving or
6 tending to prove an established royalty.
- 7 2) The nature of the license, i.e., exclusive or nonexclusive, restricted or unrestricted in
8 terms of territory or to whom products covered by the patent claim may be sold.
- 9 3) The patent holder's policy to maintain its patent monopoly by not licensing others or
10 by granting licenses under special conditions designed to preserve its monopoly.
- 11 4) The commercial relationship between the patent holder and infringer, such as whether
12 they are competitors in the same territory in the same line of business.
- 13 5) The duration of the patent and the term of the license.
- 14 6) The advantages and benefits of the patented invention over older modes or devices, if
15 any, that had been used to work on similar problems.
- 16 7) The nature of the patented invention, the character of the patent holders' products
17 covered by it, and the benefits to those who have used the invention.
- 18 8) The extent to which the infringer has made use of the patented invention and any
19 evidence probative of the value of that use.
- 20 9) The opinion and testimony of qualified experts.
- 21 10) The amount that a prudent licensor (such as the patent holder) and a prudent licensee
22 (such as the infringer) would have agreed upon at the time infringement began if both
23 had been reasonably and voluntarily trying to reach an agreement.
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No one factor is dispositive, and you should consider the evidence that has been presented to you in this case on each one of the factors. You may also consider any other factors which in your mind would have increased or decreased the royalty the infringer would have been willing to pay and the patent holder would have been willing to accept, acting as normally prudent business people. The final factor establishes the framework which you should use in determining a reasonable royalty, that is, the payment that would have resulted from a negotiation between the patent holder and the infringer taking place a time when infringement began.

20. DAMAGES- NONINFRINGING ALTERNATIVES

1
2 You may also consider the impact of any available noninfringing alternatives to the asserted
3 claim on the royalty negotiated in the hypothetical negotiation. In doing so, you may consider the
4 value of any differences in benefits and costs between the noninfringing alternatives and the
5 asserted claim. You may also consider any alternatives that were available to a standard-setting
6 body or to a third-party component supplier, whether the alternatives were marketed or not.
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21. DAMAGES-RELEVANCE OF PRIOR PATENT LICENSE AGREEMENTS

In evaluating whether any of the actual licenses that were presented as evidence in this trial inform you in connection with the hypothetical license of the ‘793 patent that would have resulted from a hypothetical negotiation between GBT and Apple, you must account for the differences between the past agreements and the hypothetical negotiation in this case. In doing so, you should consider the following factors:

- 1) Whether that actual license was entered into at about the same time as the hypothetical license
- 2) Whether the parties to that license were the same as the parties to the hypothetical license;
- 3) Whether that actual license at issue was a license of the ‘793 patent;
- 4) The types of rights conveyed;
- 5) The availability of noninfringing alternatives;
- 6) The number of patents involved;
- 7) The existence of ongoing litigation between the parties.

22. DUTY TO DELIBERATE

1
2 When you begin your deliberations, you should elect one member of the jury as your
3 presiding juror. That person will preside over the deliberations and speak for you here in court.

4 You will then discuss the case with your fellow jurors to reach agreement if you can do
5 so. Your verdict must be unanimous. Each of you must decide the case for yourself, but you
6 should do so only after you have considered all of the evidence, discussed it fully with the other
7 jurors, and listened to the views of your fellow jurors.

8 Do not hesitate to change your opinion if the discussion persuades you that you should.
9 Do not come to a decision simply because other jurors think it is right. It is important that you
10 attempt to reach a unanimous verdict but, of course, only each of you can do so after having
11 made your own conscientious decision. Do not change an honest belief about the weight and
12 effect of the evidence simply to reach a verdict.
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23. COMMUNICATION WITH THE COURT

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2 If it becomes necessary during your deliberations to communicate with me, you may send
3 a note through the courtroom deputy, signed by your presiding juror or by one or more members
4 of the jury. No member of the jury should ever attempt to communicate with me except by a
5 signed writing; I will communicate with any member of the jury on anything concerning the case
6 only in writing, or here in open court. If you send out a question, I will consult with the parties
7 before answering it, which may take some time. Please understand that I may answer your
8 questions or I may not, based on the rules of evidence and procedure and after consultation with
9 the attorneys. You may continue your deliberations while waiting for an answer to any question.
10 Remember that you are not to tell anyone - including me -how the jury stands, numerically or
11 otherwise, until after you have reached a unanimous verdict or have been discharged. Do not
12 disclose any vote count in any note to the court.
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24. RETURN OF VERDICT

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2 A verdict form has been prepared for you. After you have reached unanimous agreement on
3 a verdict, your presiding juror will fill in the form that has been given to you, sign and date it, and
4 advise the court that you are ready to return to the courtroom.
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