DATE March 20, 2013

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IS 44 CAND "(Rev. 12/11)

CIVIL COVER SHEET

5:13-CV-1235-POCHATL

APR ECK

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.) DEFENDANTS I. (a) PLAINTIFFS Intertrust Technologies Corporation Apple Inc. (b) County of Residence of First Listed Plaintiff Santa Clara County County of Residence of First Listed Defendant Santa Clara County (EXCEPT IN U.S. PLAINTIFF CASES) (IN U.S. PLAINTIFF CASES ONLY) IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED. Attorneys (If Known) (c) Attorneys (Firm Name, Address, and Telephone Number) Quinn Emanuel Urquhart & Sullivan, LLP 555 Twin Dolphin Drive, 5th Floor, Redwood Shores, CA 94065 (650) 801-5000 II. BASIS OF JURISDICATION III. CITIZENSHIP OF PRINCIPAL PARTIES (Place an "X" in One Box for Plaintiff) (Place an "X" in One Box Only) and One Box for Defendant) (For Diversity Cases Only) 3 Federal Question PTE DEF DEF U.S. Government PTF Plaintiff (U.S. Government Not a Party) Citizen of This State □ 1 D 1 Incorporated or Principal Place О 4 □ 4 of Business In This State Citizen of Another State Incorporated and Principal Place □ 2 U.S. Government 4 Diversity 2 О 5 Defendant (Indicate Citizenship of Parties in Item III) of Business In Another State Citizen or Subject of a 3 Foreign Nation 3 6 □ 6 Foreign Country IV. NATURE OF SUIT (Place an "X" in One Box Only) FORFEITURE/PENALTY CONTRACT TORTS BANKRUPTCY OTHER STATUTES □ 110 Insurance PERSONAL INJURY PERSONAL INJURY 625 Drug Related Seizure ☐ 422 Appeal 28 USC 158 O 375 Faise Claims Act 423 Withdrawal 400 State Reapportionment ☐ 120 Marine 310 Airplane 365 Personal Injury of Property 21 USC 881 Product Liability 28 USC 157 □ 130 Miller Act 315 Airplane Product ☐ 690 Other 410 Antitrust 140 Negotiable Instrument Liability ☐ 367 Health Care/ σ 430 Banks and Banking 🗇 320 Assault, Libel & ☐ 150 Recovery of Overpayment Pharmaceutical PROPERTY RIGHT 450 Commerce & Enforcement of Judgment Slander Personal Injury 820 Copyrights ø \$60 Deportation 830 Patent □ 151 Medicare Act 330 Federal Employers Product Liability 470 Racketeer Influenced and 152 Recovery of Defaulted Liability □ 368 Asbestos Personal 3 840 Trademark Corrupt Organizations Student Loans 340 Marine Injury Product 480 Consumer Credit 490 Cable/Sat TV (Excl. Veterans) ☐ 345 Marine Product Liability LABOR SOCIAL SECURIT σ PERSONAL PROPERTY O 710 Fair Labor Standards © 861 HIA (1395ff) ☐ 153 Recovery of Overpayment Liability 850 Securities/Commodities/ □ 350 Motor Vehicle ☐ 370 Other Fraud 1 862 Black Lung (923) of Veteran's Benefits Exchange □ 355 Motor Vehicle 720 Labor/Mgmt. Relations ☐ 863 DIWC/DIWW (405(g)) 890 Other Statutory Actions ☐ 160 Stockholders' Suits 371 Truth in Lending 740 Railway Labor Act O 864 SSID Title XVI 190 Other Contract Product Liability 380 Other Personal σ 891 Agricultural Acts 195 Contract Product Liability ☐ 360 Other Personal Property Damage 751 Family and Medical ☐ 865 RSI (405(g)) ☐ 893 Environmental Matters ☐ 196 Franchise Injury 385 Property Damage Leave Act 895 Freedom of Information ☐ 362 Personal Injury -Product Liability 790 Other Labor Litigation Med. Malpractice 791 Empl. Ret. Inc. 896 Arbitration REAL PROPERTY CIVIL RIGHTS PRISONER PETITIONS Security Act FEDERAL TAX SUITS ☐ 899 Administrative Procedure ☐ 510 Motions to Vacate J 870 Taxes (U.S. Plaintiff Act/Review or Appeal of 210 Land Condemnation ☐ 440 Other Civil Rights ☐ 220 Foreglosure 7 441 Voting or Defendant) Agency Decision Sentence 371 IRS—Third Party 1 230 Rent Lease & Ejectment 1 442 Employment Habeas Corpus: 950 Constitutionality of □ 240 Torts to Land □ 443 Housing/ ☐ 530 General 26 USC 7609 State Statutes ☐ 245 Tort Product Liability Accommodations ☐ 535 Death Penalty IMMIGRATION D 290 All Other Real Property 445 Amer, w/Disabilities ☐ 540 Mandamus & Other 462 Naturalization Application Employment ☐ 550 Civil Rights ☐ 463 Habeas Cornus -446 Amer, w/Disabilities □ 555 Prison Condition Alien Detainee Other 560 Civil Detainee -(Prisoner Petition) ☐ 448 Education Conditions of 465 Other Immigration Confinement Actions V. ORIGIN (Place an "X" in One Box Only) Transferred from Original 2 Removed from 3 Remanded from ☐ 4 Reinstated or ☐ 5 ☐ 6 Multidistrict another district Proceeding State Court Appellate Court Reopened Litigation (specify) Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity): 35 U.S.C. §§ 1 et seq. VI. CAUSE OF ACTION Brief description of cause: Patent Infringement VII. REQUESTED IN DEMAND \$ CHECK YES only if demanded in complaint: ☐ CHECK IF THIS IS A CLASS ACTION UNDER F.R.C.P. 23 JURY DEMAND: COMPLAINT: M Yes ☐ No VIII. RELATED CASE(S) (See instructions): JUDGE Saundra B. Armstrong DOCKET NUMBER C 01-1640 SBA IF ANY IX. DIVISIONAL ASSIGNMENT (Civil L.R. 3-2) (Place an "X" in One Box Only) ☐ SAN FRANCISCO/OAKLA ND □ SAN JOSE

SIGNATURE OF ATTORNEY OF RECORD

INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS 44

Authority For Civil Cover Sheet

The JS 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- I. (a) Plaintiffs-Defendants. Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.
- (b) County of Residence. For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
- (c) Attorneys. Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".
- II. Jurisdiction. The basis of jurisdiction is set forth under Rule 8(a), F.R.C.P., which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below.

United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States are included here.

United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.

Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.

Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; federal question actions take precedence over diversity cases.)

- III. Residence (citizenship) of Principal Parties. This section of the JS 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- IV. Nature of Suit. Place an "X" in the appropriate box. If the nature of suit cannot be determined, be sure the cause of action, in Section VI below, is sufficient to enable the deputy clerk or the statistical clerks in the Administrative Office to determine the nature of suit. If the cause fits more than one nature of suit, select the most definitive.
- V. Origin. Place an "X" in one of the seven boxes.

Original Proceedings. (1) Cases which originate in the United States district courts.

Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441. When the petition for removal is granted, check this box.

Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.

Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date.

Transferred from Another District. (5) For cases transferred under Title 28 U.S.C. Section 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.

Multidistrict Litigation. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Section 1407. When this box is checked, do not check (5) above.

Appeal to District Judge from Magistrate Judgment, (7) Check this box for an appeal from a magistrate judge's decision.

VI. C _se of Action. Report the civil statute directly related to the cause of action and give a brief description of the cause. Do not cite jurisdictional statutes unless diversity.

Example: U.S. Civil Statute: 47 USC 553

Brief Description: Unauthorized reception of cable service

VII. Requested in Complaint. Class Action. Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P.

Demand. In this space enter the dollar amount (in thousands of dollars) being demanded or indicate other demand such as a preliminary injunction.

Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.

- VIII. Related Cases. This section of the JS 44 is used to reference related pending cases if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.
- IX. Divisional Assignment. In accordance with Civil L.R. 3-2(c) (f), select the appropriate venue based upon the county in which a substantial part of the events or omissions which give rise to the claim occurred or in which a substantial part of the property that is the subject of the action is situated.

Date and Attorney Signature. Date and sign the civil cover sheet.