

# EXHIBIT E



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**French-St. George et al.**

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(54) **SYSTEM AND METHOD FOR NOTIFYING A USER OF AN INCOMING COMMUNICATION EVENT**

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(\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

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(21) Appl. No.: **09/477,679**

(22) Filed: **Jan. 5, 2000**

**Related U.S. Application Data**

(62) Division of application No. 08/996,034, filed on Dec. 22, 1997, now Pat. No. 6,122,348.

(51) **Int. Cl.**<sup>7</sup> ..... **H04M 1/64**

(52) **U.S. Cl.** ..... **379/88.23**; 379/88.14;  
379/88.17

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379/88.14, 88.15, 88.17, 88.19, 88.22, 88.23,  
88.25, 100.01, 100.08, 211.01, 211.02,  
212.01, 207.16, 201.07

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*Primary Examiner*—Fan Tsang

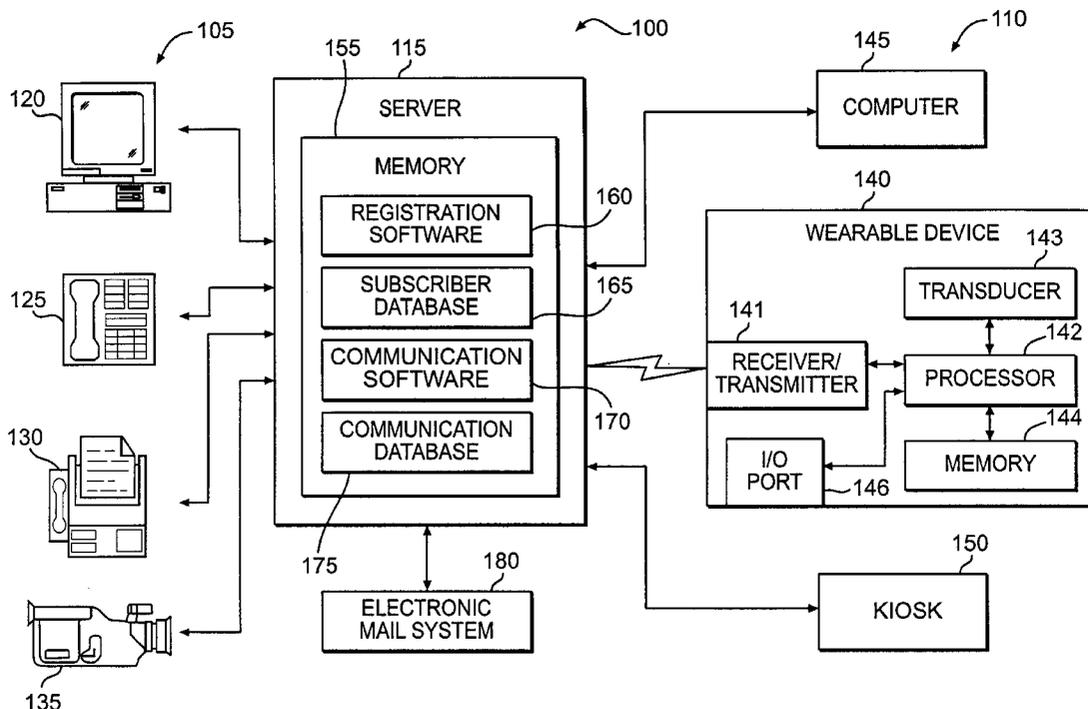
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(57) **ABSTRACT**

A system and method for providing multisensory signaling capabilities enables a user to manage the receipt of incoming communication events, after an initial notification sequence, using multiple media options. Specifically, a remote device notifies a user of incoming communication events and offers real-time coupling of the notifications with communication management options. The message is delivered or otherwise processed according to the option selected.

**8 Claims, 3 Drawing Sheets**



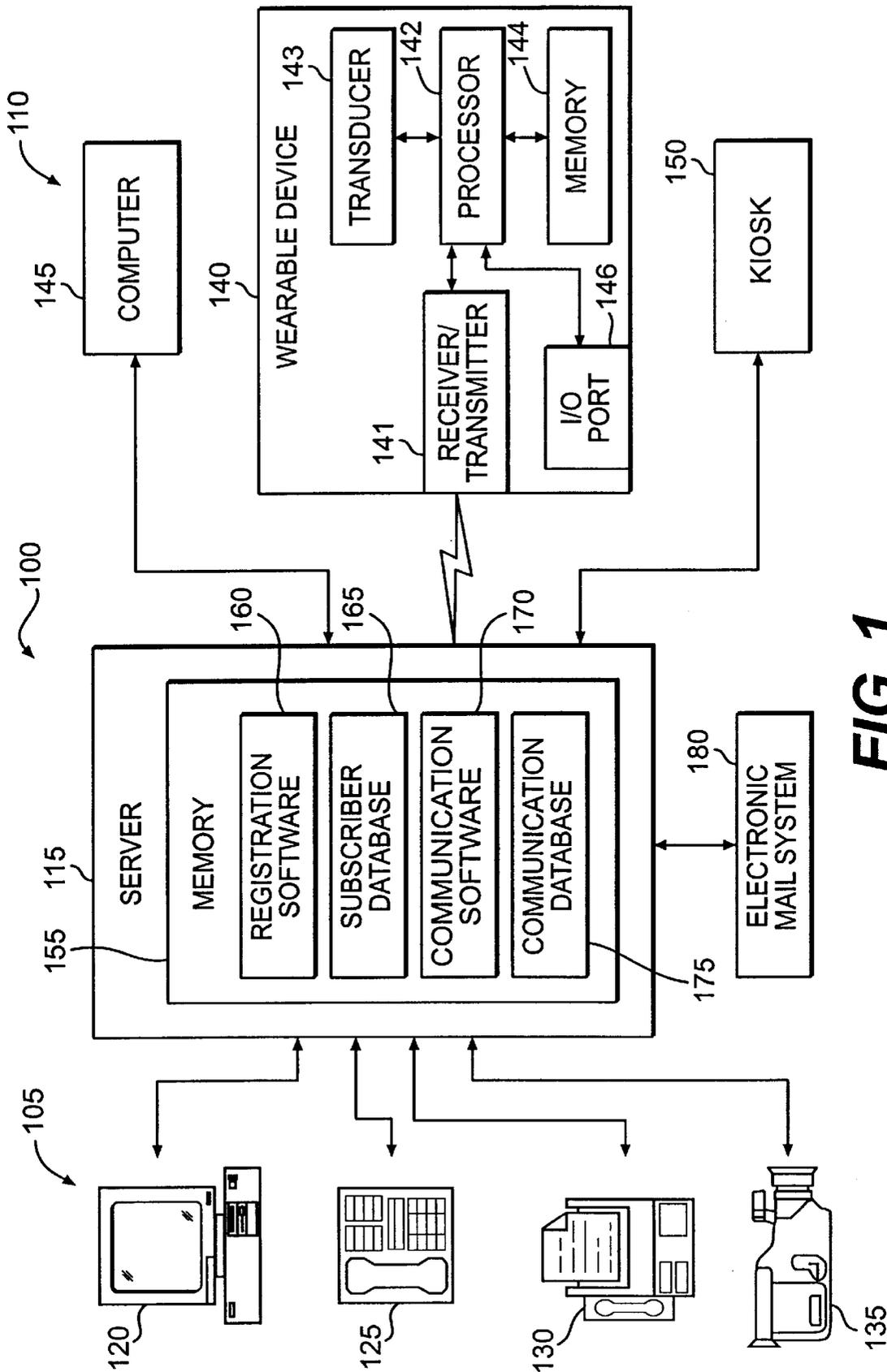
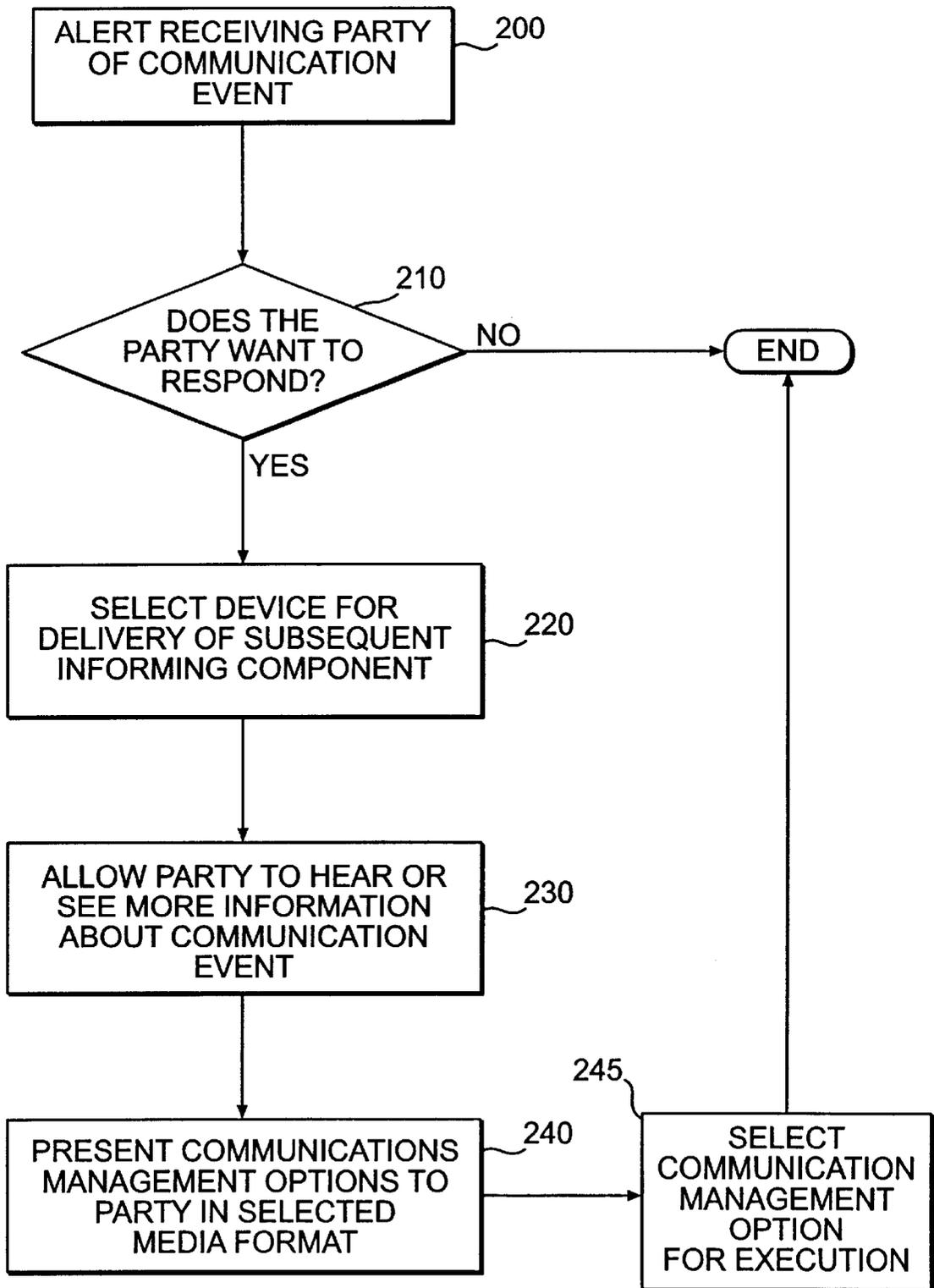


FIG. 1



**FIG. 2**

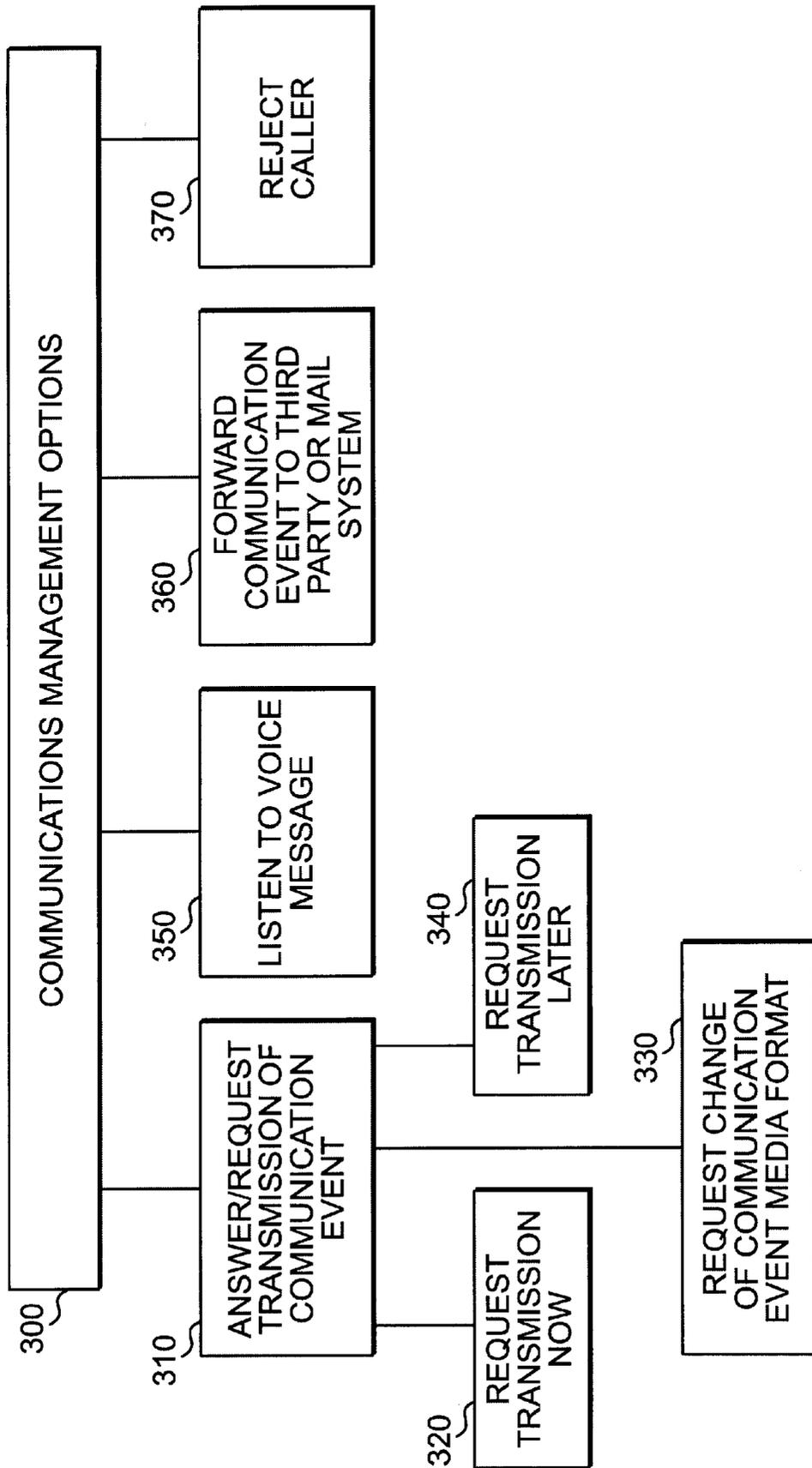


FIG. 3

## SYSTEM AND METHOD FOR NOTIFYING A USER OF AN INCOMING COMMUNICATION EVENT

### CROSS-REFERENCE TO RELATED APPLICATION

This is a division of application Ser. No. 08/996,034, filed Dec. 22, 1997, the contents of which are hereby incorporated by reference (now U.S. Pat. No. 6,122,348 issued Sep. 19, 2000).

### BACKGROUND OF THE INVENTION

The present invention relates generally to communication management and more particularly, to a multisensory signaling structure that enables a user to manage the receipt of incoming communication events, after an initial notification sequence, using multiple media options.

Recent advancements in communication technology have made push media channels a reality. These channels typically "push" information to a designated receiving device (e.g., pager) without requiring a user to specifically request the information. This, in conjunction with development of integrated text and voice at the desktop and in mobile devices, creates an environment where users are increasingly demanding service strategies that allow them to prioritize competing demands on their attention.

Not only do busy people need a way of recognizing the context—the who, what, when, and why—of an incoming notification, they also need choices on how to respond to the request in real-time. For example, by structuring an alerting or notification sequence to provide more context about the nature of an interruption (i.e., the who, what, when, and why) and then integrating communication management options that are media independent, users may intercept and direct an incoming communication to a device or person of their choosing.

On the service delivery side of the equation, service providers are looking for ways of increasing their presence to the end user. To facilitate this endeavor, there is a need for a notification/signaling framework that enables service providers to configure their commercial appearance to the customer and tailor communication management options to maximize service availability. For example, with such a framework, a sports broadcaster can notify its subscribers of a particular newsworthy event via a multimedia banner. If the event is on video, the user can then select to receive the broadcast at their desktop computer, or perhaps listen to the play-by-play via a personal audio device.

Currently, real-time communication management options are limited (e.g., live voice calls can only be ignored or answered). Calling Line Identification (CLID) provides some real-time information regarding the "who" of the incoming-communication but more strictly reflects the source device rather than the user of the device. Display of Second Call Waiting Identification (DSCWID) provides some real-time communication management by enabling users to put a second call on hold while they finish the first. However, the constraints of CLID limit this feature by identifying the connection subscriber rather than the user.

In the text domain, there is currently no possibility of intercepting an e-mail on its way to an in-box. Text messaging services direct data, such as e-mail, to a user's inbox and then notify the user of its arrival. If the user wants to view the e-mail or call the sender back, they must first enter the mailbox, select the communication, open it, read it, and

then reply. Other disposition options (e.g., forward, copy, delete) can only be invoked after the user receives the communication. Currently, there are no products that allow a user to intercept a communication during the notification sequence and re-route it to another device or person, or request the sender to alter the communication media.

There are some third party service providers that integrate text-based and voice-based communications into a single "inbox" and then notify the subscriber of their presence. Often times the notification is to a pager or a cell phone. In the latter case, media translation devices can recode a text file into speech. Although these services provide unified notification of all messages, they do not currently respond to the real-time voice calls, and often restrict access to information pertaining to the incoming communication to the device subscriber.

Therefore, it is desirable to provide a multisensory signaling structure that is applicable to a variety of receiving devices to allow a user to manage incoming communication events.

It is also desirable to provide the user with a number of call management options that may be invoked in real-time.

It is further desirable to structure notifications of incoming communication events.

In addition, it is desirable to couple real-time notifications with communication management options.

It is further desirable to route an incoming communication event to a receiving device or person of the receiver's choice in real-time.

It is also desirable to employ personal, wearable communication devices for receiving incoming multimedia notifications and information in a preselected format.

Finally, it is desirable to allow a user to intercept a communication, during the notification sequence and re-route it to another device or person, or request the sender to alter the communication media.

Additional desires, features and advantages of the invention will be set forth in the following description, and will be apparent from description or may be learned by practicing the invention.

### SUMMARY OF THE INVENTION

Systems and methods consistent with the present invention meet these desires by allowing a user to manage the receipt of incoming communication events, after an initial notification sequence, using multiple media options.

A system consistent with this invention for managing an incoming communication event comprises means for notifying a user of the incoming communication event; means for providing a plurality of communication management options to the user; means for receiving a selection of one of the communication management options; and means for taking an action regarding the incoming communication event consistent with the selected communication management option.

A method consistent with this invention for managing an incoming communication event comprises the steps of notifying a user of the incoming communication event; providing a plurality of communication management options to the user; receiving a selection of one of the communication management options; and taking an action regarding the incoming communication event consistent with the selected communication management option.

Both the foregoing general description and the following detailed description are exemplary and explanatory and are intended to provide further explanation of the invention as claimed.

## BRIEF DESCRIPTION OF THE DRAWINGS

The accompanying drawings, which are incorporated in and constitute a part of the specification, illustrate presently preferred embodiments of the invention and, together with the preceding general description and the following detailed description, explain the principles of the invention.

In the drawings:

FIG. 1 illustrates a communications management system consistent with the present invention;

FIG. 2 shows a flowchart of a communications management method consistent with the present invention; and

FIG. 3 shows a communications management options diagram available to a user of the communications management system of FIG. 1.

## DETAILED DESCRIPTION OF THE INVENTION

Reference will now be made in detail to the construction and operation of preferred embodiments consistent with the present invention that are illustrated in the accompanying drawings. In those drawings, like elements and operations are designated with the same reference numbers.

FIG. 1 illustrates a communications management system 100 including a plurality of source devices 105, terminal devices 110, and at least one server 115 that controls the transfer of information between source devices 105 and terminal devices 110. System 100, as illustrated in FIG. 1, provides a framework for implementing communications management functions consistent with the present invention. Other components such as a public switched telephone network (PSTN) or asynchronous transfer mode (ATM) network (not shown) are necessary to facilitate the transfer of information signals between multiple source and destination points. These networks consist of a series of network gateways that mediate communications between wireline, wireless, and computer networks.

Source devices 105 include a variety of media devices available to a user of system 100. These source devices may include a computer 120, telephone 125, fax machine 130, video device 135 or any other media source. The user or caller may use one or more of the above devices to transfer information to one or more parties over system 100.

Before attempting to contact a party, the user selects the information for transfer, defines whether the call is a new or return communication, chooses the type of communication link (i.e., voice or data), determines any additional receiver communication management options, and selects a source device 105. Preferably, system 100 automatically performs these functions based on the type of information being transferred and information previously provided by the user. In this instance, the user only needs to provide the information for transfer to system 100.

The type of information for transfer over system 100 can be in any form such as a document or audio message. The format of the information dictates the source device to be used to transfer the information. For example, if the user chooses to relay the information verbally or using a pre-recorded voice message, then telephone 125 would be the preferred device. Nevertheless, the user may wish to send a document or e-mail a file to one or more parties, which would require a fax machine 130 or computer 120, respectively.

The user further has the option of defining whether the call is a new or return communication. This feature is important since the receiving party may be expecting an

urgent return communication and thus, may choose not to respond to any new incoming communications. The user can communicate this information to server 115 when configuring a call. Alternatively, system 100 can determine whether the call is a new or return communication based on a prior communication between the two parties. In this instance, the prior call information is stored in a memory of server 115 as a profile specific to the user or communication event. A communication event is any type of voice or data transfer between two or more points. Thus, the communication event can be the transfer of video data or a voice message. The user can define the type of communication link used to transfer the selected information based on the available communication network. For example, if the user wishes to send a photograph, then the information would be transferred over a data communication link such as an ATM network. However, to transfer voice information in real-time, the PSTN is the more appropriate selection.

Based on the type of information transferred, the user can select communication management options for the receiving party. For example, if the user wishes to transfer a voice message to a receiving party concerning a relative's wedding but also has a video of the wedding, both a voice message option and a video option can be transferred to the receiving party. Any data (e.g., video, text) or voice format can be selected by the user as a communications management option for the receiving party. This selection is only limited by the format of the information for transfer. Preferably, system 100 is capable of automatically choosing certain communication management options based on the type of information being transferred and information previously provided by the user.

Finally, the user chooses a source device 105 for transferring the voice or data information (e.g., facsimile, audio, etc.). The communication device can be any device capable of transferring information. One feature of the invention is to signal the user (e.g., using a ringback function) when system 100 notifies the receiving party of the communication event. The signal may be sent back to the selected source device or a secondary device. For example, if the source device is a computer, the user can select to receive the ringback signal on a cellular telephone or pager by selecting this feature when configuring a communication event transfer with server 115. Preferably, system 100 can automatically choose the source device based on the type of information transferred and information previously provided by the user.

Terminal devices 110 may include a wearable device 140, computer 145, kiosk 150, or any other device capable of communicating voice/data signals to a receiving party. These devices include a transceiver for bidirectional communication, and receive and transmit voice and/or text data in real-time over a selected communication link.

Preferably, terminal device 110 is a personal wearable device 140, such as SoundBeam™ manufactured by Nortel, that incorporates "Personal Space Audio" where the audio signal is not broadcast to the entire surrounding environment.

Wearable device 140 includes a receiver/transmitter 141, processor 142, transducer 143, memory 144, and input/output (I/O) port 146. Receiver/transmitter 141 receives and transmits voice and data signals between wearable device 140 and a remote device such as server 115. Receiver/transmitter 141 allows wearable device 140 to receive personal multimedia notifications at any time and anywhere over a wireless communication link. Processor 142 can be

any processor capable of controlling the operation of wearable device **140** by interfacing with components of the device. For example, processor **142** processes incoming signals received through receiver/transmitter **142**. Processor **142** then routes the signal to transducer **143** to output the signal in an audio, tactile, or visual format (e.g., beeping sound, silent vibration, or text messaging), or memory **144** (e.g., random access memory) for storage. In addition, processor **142** can route the signal to I/O port **146** which is configured to interface with other terminal devices **110** such as computer **145** or kiosk **150** to output the signal. These terminal devices **110** also include the components of wearable device **140** to perform functions consistent with the present invention as described herein.

Server **115** is a processor-based system that is customized to control the information transfer operation of system **100**. Preferably, server **115** has high speed processing capability in order to service a large number of users and includes a memory **155** that stores a variety of software and information. Specifically, memory **155** stores registration software **160**, subscriber database **165**, communication software **170**, and communication database **175**.

Server **115** executes registration software **160** to register system **100** devices. Registration software associates the registered devices with one or more subscribers. Server **115** stores information about the subscribers in subscriber database **165** and communicates with system **100** devices using communication software **170**. Communication software **170** includes software capable of transferring voice and data signals over any type of communication link. Server **115** stores information (e.g., the communication event) for transfer between source devices **105** and terminal devices **110** in communication database **175**.

Server **115** performs a number of automatic functions including user/device recognition, caller recognition, communication status, and communication management options. In addition, server **115** provides integrated electronic mail box functions for system **100** subscribers via electronic mail system **180**. Electronic mail system **180** can be any electronic mail system capable of storing voice, text, or video mail.

Server **115** automatically supports user and device recognition. Preferably, all user devices are registered with the server using registration software **160**. This registration process is benign such that when a registered user communicates with someone via a new terminal device **110**, the device is automatically registered. This assumes that terminal device **110** has a characteristic signature that defines such things as the media channels, the communication protocols, and the bandwidth that it can support. If these signatures are not defined or if a device is not supported by system **100**, then server **115** automatically switches to a default setting that enables a standard signaling procedure (e.g., text messaging for pagers).

Preferably, unregistered users can subscribe to server **115** using terminal device **110**. In this instance, server **115** first registers the new subscriber using registration software **160** and then stores information about the subscriber in subscriber database **165**. Subscriber information is stored in all formats specified by the user and may include name, address and one or more telephone numbers. These formats might include photos, videos, text, or audio introduction scripts that subscribers use via server **115** to configure appropriate header information appearing in the receiving party's alerting sequence. The header information, stored in subscriber database **165**, allows the receiving party to hear or see the name of the calling party.

Server **115** also establishes a user-centric relational database. As communication relationships develop between parties using system **100**, categories for each registered user may be formed (e.g., home support services, office, recreational, family) and stored in subscriber database **165**. This high level categorization is encoded into the alerting sequence of system **100**, thus allowing receivers to differentiate between "cold" incoming communications and those from individuals or organizations that have established a relationship with the receiver. As described above, system **100** facilitates the transfer of information from a user to a receiving party using various communication options and media formats. The following description provides the method for managing the communication events transferred over system **100**.

FIG. **2** is a flowchart illustrating a communication management method consistent with the present invention. Specifically, the flowchart of FIG. **2** illustrates a signaling sequence received at terminal device **110** of the receiving party. The signaling sequence includes an alerting component and informing component.

Before transferring information, system **100** alerts a selected receiving party of the incoming communication event (step **200**). The alerting component is brief (typically the duration of a single ring sequence of a traditional phone) and multisensory, consisting of tactile, auditory, and visual components. System **100** bases the timing of the alerting component on current telephony ring signaling. However, in system **100**, only the first "ring" sounds automatically with subsequent audio and visual output being mediated by the receiving party.

The receiving party may choose to respond to or ignore the alert signal based on the audio or visual information sent during the alerting component (step **210**). Server **115** encodes categorical variables (e.g., urgency and synchronous communication requests) via the tactile channel, and categorical or continuous variables (e.g., relationship category or name of caller) via the audio and visual channels. For example, server **115** can encode the distinction between an incoming message (where the sender is not requesting synchronous interaction), and an incoming request for dialogue (e.g., a voice call, or video conference) in the tactile channel. In addition, server **115** can transmit the relationship category (e.g., business, home, recreational) in the audio channel, while the caller name and topic is shown on a small display during the alerting component of the signaling sequence.

At the termination of the alerting component, the party may select a device for delivery of an associated incoming communication event (step **220**). The party selects a device using a designated input function. For example, wearable device **140** may include a voice recognition response system where the receiving party speaks a predetermined command into the device to make a selection. This selection may include any terminal device registered with server **115**.

The selected device allows the party to see or hear more information about an incoming communication event (step **230**). Based on its media format, the incoming communication event routes either to the audio channel or visual channel of terminal device **110**. If the party directs the communication event to a large display device, such as a computer, the user will see detailed information about the nature of the incoming communication. In this instance, the selected terminal device dictates the configuration of audio and visual channels for incoming communication event output. For example, a user might be seated at their com-

puter when an incoming alert is detected. The computer recognizes that the incoming communication is a return video message. The tactile channel presents a distinct cadence that the user recognizes as a message. The audio channel presents a signal that is recognized as a reply, while the name of the caller is displayed in a small wearable screen, together with a topic line (e.g., "video that you requested"). At this point, the user touches a predetermined button on the computer to select the computer or other terminal device on which to view further information regarding the incoming communication event.

If the selected device is not properly configured to receive the incoming communication event, system **100** informs the caller through the ringback sequence. For example, the caller would be notified during the ringback sequence if the receiving party selected a communication device that was not matched to the media configurations of the incoming request. If the selected device configuration is proper, server **115** transmits further information about the communication event to the selected computer, as used in this example. The receiving party then, for example, touches a predetermined button on the computer, preferably located on a mouse, to view the additional information on the computer display. The subsequent information may include a distribution list for the communication or return communication paths preferred by the caller for responding to the video message. If the receiving party had decided to listen to the video message, they could have responded to the initial alert by touching a button associated with a personal audio device (e.g., wearable device **140**). At that point, server **115** informs the receiving party that a distribution list and return call paths are available.

In addition to the subsequent information, server **115** presents the receiving party with communication management options (step **240**). These options are described below with respect to FIG. **3**. Server **115** then prompts the receiving party to select a communication management option for execution (step **245**). These communication management options allow the receiving party to control receipt (i.e., how and when) of the communication event. Upon executing the selected option, system **100** completes the communication functions available to the receiving party.

FIG. **3** is a diagram of communications management options **300** available to the receiving party in a menu format. These options include answering or requesting transmission of the communications event **310**, retrieving and listening to a voice message **350**, forwarding or "bouncing" the call to one or more parties **360**, and rejecting the call **370**.

Answering or requesting transmission of the communication event **310** includes the suboptions of requesting the transmission now **320**, requesting a change of communications media format **330**, and requesting transmission later **340**. Requesting the transmission now **320** provides a real-time coupling of the communication event (e.g., video message) with the terminal device selected by the receiving party. Requesting a change of communications media format **330** allows the caller to select the communication event in an audio or visual format. The format used by the sender and the capabilities of the terminal device may preclude changes in media format. However, audio and visual components may be separated for the convenience of the receiving party. For example, a party may receive a personal multimedia alert during a meeting indicating that a colleague wants to talk immediately. Since it is inconvenient to leave the meeting, the party can take advantage of an answer option that allows for a request to change media. This request informs the sender that a text message should be sent since it is inconvenient to talk right now.

Requesting the transmission later **340** prompts server **115** to save the message in communication database **175** for later retrieval. Preferably, server **115** can mediate a later time to send the transmission. In this instance, server **115** initiates the later transmission at the mediated time. For example, when the receiving party selects this communication management option, server **115** can request a more appropriate time to send the communication event and initiate a subsequent transmission at that time. Alternatively, the receiving party may dial into the server using a password or other security function to access and retrieve the message at a later time.

Listen to voice message **350** allows a receiver to automatically request that the caller leave a message rather than complete the requested synchronous dialogue. Server **115** informs the caller through the ringback sequence if the receiver selects this option. Once the voice message is recorded, server **115** then alerts the receiver of the recorded voice message.

Forward communication event to third party **360** allows the receiver to re-route the communication to other people or devices. The other people may be part of a group registered with system **100**, such as a "business partners" category. In this example, the receiving party requests server **115** to route the call to the predetermined "business partners" category so that everyone within that registered group receives the communication. The communication event may also be forwarded to other devices including mail system **180**. The mail system preferably includes electronic mail, video mail or voice mail capabilities. System **100** presents one or more of these mail options to the receiving party in the communications management options menu. System **100** also allows the receiving party to request receipt of any replies to the forwarded message.

Reject caller **370** allows the receiver to deny further access from one or more callers. This feature is useful to prevent unwanted calls or messages from one or more callers. The receiving party may modify the account at a later time to permit subsequent communication with that caller.

System **100** offers versatile communication management techniques that provide a low-intrusive solution to modem personal communication needs. System **100** is not only a multisensory signaling structure that can be applied to a variety of receiving devices, but also a tool for coupling real-time notifications with communication management options. These communication management options allow a party to control how, when and where to receive incoming communications events. The party may receive these events in a variety of media formats adding further versatility to the system. Moreover, users of system **100** may receive incoming communication events on any device capable of receiving and transmitting voice or data signals. Thus, system **100** does not require "special" equipment to provide effective communication between two or more parties.

While there has been illustrated and described what are at present considered to be preferred embodiments and methods of the present invention, those skilled in the art will understand that various changes and modifications may be made, and equivalents may be substituted for elements thereof, without departing from the true scope of the invention.

In addition, many modifications may be made to adapt a particular element, technique, or implementation to the teachings of the present invention without departing from the scope of the invention. Therefore, this invention should

not be limited to the particular embodiments and methods disclosed herein, but should include all embodiments falling within the scope of the appended claims.

What is claimed is:

1. A system for notifying a user of an incoming communication event, comprising:
  - means for determining a characteristic of the communication event;
  - means for selecting a notification based on the characteristic;
  - means for sending the user the selected notification;
  - means for receiving a selection from the user indicating a format for delivery of further information regarding the communication event; and
  - means for allowing the further information regarding the communication event to be sent to the user in the selected format.
2. The system of claim 1 wherein the means for selecting includes a choice between at least a tactile alert and a nontactile alert.
3. The system of claim 2 wherein the characteristic includes synchronous communication and the selected notification includes a tactile alert.

4. The system of claim 2 wherein the characteristic includes a nonsynchronous communication and the selected notification includes a nontactile alert.

5. A method of notifying a user of an incoming communication event, comprising:
  - determining a characteristic of the communication event;
  - selecting a notification based on the characteristic;
  - sending the user the selected notification;
  - receiving a selection from the user indicating a format for delivery of further information regarding the communication event; and
  - allowing the further information regarding the communication event to be sent to the user in the selected format.
6. The method of claim 5 wherein the selecting includes a choice between at least a tactile alert and a nontactile alert.
7. The method of claim 6 wherein the characteristic includes synchronous communication and the selected notification includes a tactile alert.
8. The method of claim 6 wherein the characteristic includes a nonsynchronous communication and the selected notification includes a nontactile alert.

\* \* \* \* \*

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 6,463,131 B1  
DATED : October 8, 2002  
INVENTOR(S) : Marilyn French-St. George et al.

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 9,

Lines 14 and 16, after "further" insert -- notification --.

Column 10,

Lines 11 and 13, after "further" insert -- notification --.

Signed and Sealed this

Thirtieth Day of September, 2003

A handwritten signature in black ink, appearing to read "James E. Rogan", written over a horizontal line.

JAMES E. ROGAN  
*Director of the United States Patent and Trademark Office*