

United States District Court
Northern District of California

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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN JOSE DIVISION

CHARLES LOFT,
Plaintiff,
v.
STATIONARY ENGINEERS, LOCAL 39
PTF, LLC,
Defendant.

Case No.: 14-CV-00817-LHK

**ORDER GRANTING IN PART AND
DENYING IN PART MOTION FOR
SUMMARY JUDGMENT**

Re: Dkt. No. 35

Before the Court is Defendant Stationary Engineers, Local 39, PTF, LLC’s (“Defendant”) motion for summary judgment. ECF No. 35 (“Mot.”). Plaintiff Charles Loft (“Loft”) opposes the motion. ECF No. 44 (“Opp’n”). Pursuant to Civil Local Rule 7-1(b), the Court finds this matter appropriate for resolution without oral argument and hereby VACATES the hearing for this motion scheduled for April 2, 2015, at 1:30 p.m. The April 2, 2015 case management conference remains as scheduled. Having considered the submissions of the parties and the applicable law, the Court hereby GRANTS IN PART AND DENIES IN PART Defendant’s motion for summary judgment, for the reasons stated below.

I. BACKGROUND

A. Factual History

1 Defendant is a charter union of the International Union of Operating Engineers AFL-CIO
2 (the “International Union”), with its principal place of business in Santa Clara, California. Second
3 Am. Compl. (“SAC”), ECF No. 25, ¶ 1. Loft is a member of Defendant, and is currently employed
4 as an assistant chief engineer at Sequoia Hospital in Redwood City, California. Id. ¶ 2; Declaration
5 of Charles Loft in Support of Response to Motion for Summary Judgment, ECF No. 44-2 (“Loft
6 Decl.”), ¶ 4.

7 On or about October 1, 2013, the collective bargaining agreement between Defendant and
8 Dignity Hospital facilities, which included Sequoia Hospital, expired, and Defendant’s members
9 went on strike. SAC ¶ 4; Declaration of Bart Florence in Support of Defendant’s Motion for
10 Summary Judgment, ECF No. 36 (“Florence Decl.”), ¶ 4. Loft, who was on leave to care for a
11 relative at the time, manned the strike line on October 3, 2013. Loft Decl. ¶ 7.

12 During the strike, Bart Florence, the Director of Stationary Affairs for Defendant, received
13 charges filed by union members alleging that Loft worked at Sequoia Hospital during the strike.
14 Florence Decl. ¶ 4. According to a copy of the charges subsequently provided to Loft, Loft “has
15 not manned the strike line and has helped the employer and replacement workers to keep the
16 [hospital] plant in operating order by accepting telephone calls from the employer and walking
17 them through the problems they encountered.” Exhibit A to the Declaration of Robert Baker in
18 Support of Response to Motion for Summary Judgment, ECF No. 44-1 (“Baker Decl.”), at 2. On
19 November 15, 2013, Defendant notified Loft of the charges and informed Loft that he had a right
20 to “respond in writing by filing an answer, defense, or plea” no later than December 13, 2013. Id.
21 at 1. On December 8, 2013, Loft responded in a letter denying the charges. Baker Decl., Ex. B.

22 On January 24, 2014, Defendant held a pre-trial hearing in San Francisco at which
23 Defendant’s board determined that there was sufficient merit to move the accusations against Loft
24 to trial by membership. Baker Decl., Ex. E. The trial was held on February 25, 2014 in San Jose,
25 California. Id.; Florence Decl. ¶ 6. According to Loft, who attended the trial, Defendant prevented
26 Loft from presenting testimony of a witness on the grounds that the witness was not a union
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1 member, and further prevented Loft from introducing documents. Loft Decl. ¶¶ 18-20. Loft also
2 alleges that a union official at the trial told Loft that “‘if it was up to him,’ he would take [Loft]
3 ‘out to the back alley.’” Id. ¶ 15. Defendant’s membership found Loft guilty of “working contrary
4 to a declared strike.” Id. ¶ 20. As punishment, Defendant fined Loft twenty-five dollars. SAC Ex.
5 F, at 1. According to an April 1, 2014 letter from Defendant to Loft, Loft had 30 days from the
6 date of the letter in which to appeal the decision to Defendant’s General Executive Board. Id.

7 **B. Procedural History**

8 On February 24, 2014, the day before his union trial, Loft filed the instant action in this
9 Court. See ECF No. 1. Also on February 24, 2014, Loft filed a motion for a temporary restraining
10 order, seeking to enjoin Defendant from proceeding to trial on February 25, 2014. ECF No. 2, at
11 1-2. This Court denied Loft’s motion for a temporary restraining order on February 24, 2014. ECF
12 No. 12.

13 On June 12, 2014, Loft filed the Second Amended Complaint, which is the operative
14 Complaint in this matter, bringing four causes of action. See SAC. First, Loft alleged that
15 Defendant violated 29 U.S.C. § 411(a)(5), the Labor-Management Reporting Disclosure Act
16 (“LMRDA”), by, inter alia, failing to give Loft notice of the specific charges against him,
17 conducting a pre-trial hearing without sufficient notice, and failing to give Loft reasonable time to
18 prepare for his defense. Id. ¶¶ 15-18. Second, Loft brought a cause of action under the Labor
19 Management Relations Act (“LMRA”) for breach of contract, based on the theory that Loft was a
20 third-party beneficiary to the union constitution between Defendant and the International Union,
21 and that Defendant’s conduct breached the union constitution. Id. ¶¶ 24-30. Third, Loft alleged a
22 cause of action under the LMRDA for breach of duty of fair representation. Id. ¶¶ 31-34. Finally,
23 Loft alleged a claim of intentional infliction of emotional distress based on Defendant’s conduct.
24 Id. ¶¶ 35-40. Loft sought compensatory, special and exemplary damages, as well as attorney’s
25 fees. Id. at 7.

26 On January 14, 2015, Defendant filed the instant motion for summary judgment. See Mot.
27

1 Defendant also filed a statement of uncontroverted facts and conclusions of law, as well as a
2 supporting declaration. ECF Nos. 36 & 37. On January 28, 2015, Loft filed an opposition, as well
3 as two supporting declarations and seven exhibits. ECF Nos. 44, 44-1, 44-2 & 44-3. Loft also filed
4 an objection to Defendant’s statement of uncontroverted facts and conclusions of law.¹ ECF No.
5 45. On February 3, 2015, Defendant filed a reply. ECF No. 46 (“Reply”).

6 **II. LEGAL STANDARD**

7 Summary judgment is appropriate if, viewing the evidence and drawing all reasonable
8 inferences in the light most favorable to the nonmoving party, there are no genuine issues of
9 material fact, and the movant is entitled to judgment as a matter of law. Fed. R. Civ. P. 56(a);
10 Celotex Corp. v. Catrett, 477 U.S. 317, 321 (1986). At the summary judgment stage, the Court
11 “does not assess credibility or weigh the evidence, but simply determines whether there is a
12 genuine factual issue for trial.” House v. Bell, 547 U.S. 518, 559-60 (2006). A fact is “material” if
13 it “might affect the outcome of the suit under the governing law,” and a dispute as to a material
14 fact is “genuine” if there is sufficient evidence for a reasonable trier of fact to decide in favor of
15 the nonmoving party. Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 248 (1986). “If the evidence
16 is merely colorable, or is not significantly probative, summary judgment may be granted.” Id.
17 (internal citations omitted).

18 The moving party bears the initial burden of identifying those portions of the pleadings,
19 discovery, and affidavits that demonstrate the absence of a genuine issue of material fact. Celotex
20 Corp., 477 U.S. at 323. Where the party opposing summary judgment will have the burden of
21 proof at trial, the party moving for summary judgment need only point out “that there is an
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24 ¹ In Loft’s objection to Defendant’s statement of uncontroverted facts and conclusions of law, Loft
25 objected that Defendant failed to comply with Civil Local Rule 56-2. ECF No. 45. That rule
26 provides that “[u]nless required by the assigned Judge, no separate statement of undisputed facts
27 or joint statement of undisputed facts shall be submitted” in connection with a motion for
28 summary judgment. Civ. L. R. 56-2. The Court required no statement of undisputed facts here.
Accordingly, Defendant failed to comply with the Civil Local Rules and Loft’s objection is
GRANTED. The Court disregards Defendant’s statement of uncontroverted facts and conclusions
of law.

1 absence of evidence to support the nonmoving party’s case.” Id. at 325; accord *Soremekun v.*
2 *Thrifty Payless, Inc.*, 509 F.3d 978, 984 (9th Cir. 2007). If the moving party meets its initial
3 burden, the nonmoving party must set forth, by affidavit or as otherwise provided in Rule 56,
4 “specific facts showing that there is a genuine issue for trial.” *Anderson*, 477 U.S. at 250.

5 **III. DISCUSSION**

6 Defendant moves for summary judgment on three grounds. First, Defendant argues that
7 Loft’s failure to exhaust internal union administrative remedies “precludes his suit in civil court,”
8 and accordingly Defendant is entitled to judgment as a matter of law on all four of Loft’s causes of
9 action. Mot. at 1. Second, Defendant argues that Loft’s cause of action for breach of the duty of
10 fair representation is legally precluded because “the duty of fair representation relates to the
11 collective bargaining obligation of the union on behalf of members of the bargaining unit,” and
12 does not apply to a union member asserting a grievance against the union. Id. at 12. Third,
13 Defendant argues that Loft improperly seeks punitive and emotional distress damages for Loft’s
14 breach of contract claim, even though these “are not available as a remedy for breach of contract.”
15 Id. at 11.

16 Loft, in his opposition, does not dispute that Loft failed to exhaust internal union grievance
17 procedures prior to bringing the instant litigation. However, Loft argues that his failure to exhaust
18 should be excused because, inter alia, Defendant’s internal grievance process would not provide
19 Loft with the relief he seeks, specifically monetary damages, and is therefore inadequate. Opp’n at
20 10-12. Loft also argues that he properly asserts a cause of action for a breach of duty of fair
21 representation. Id. at 12-14. Loft does not address Defendant’s argument that Loft cannot recover
22 punitive and emotional distress damages for the breach of contract claim.

23 The Court will address each of Defendant’s arguments in turn.

24 **A. Failure to Exhaust Internal Union Remedies**

25 Where, as here, a plaintiff brings suit pursuant to the LMRDA, “[a]s a matter of
26 discretion, the district court may require exhaustion of intraunion remedies” before the plaintiff
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1 “may pursue an action in district court.” *Kinney v. Int’l Bhd. of Elec. Workers*, 669 F.2d 1222,
 2 1226 (9th Cir. 1981) (quoting *Ornellas v. Oakley*, 618 F.2d 1351, 1354 (9th Cir. 1980)). Similarly,
 3 for a cause of action under the LMRA, “[t]he determination whether to require exhaustion” of
 4 internal union remedies “is left to the sound discretion of the trial court.” *Scoggins v. Boeing Co.*,
 5 742 F.2d 1225, 1229 (9th Cir. 1984). Here, Loft has brought causes of action pursuant to the
 6 LMRDA and the LMRA. See, e.g., SAC ¶¶ 14-23 (cause of action for violation of the Labor
 7 Management Reporting Disclosure Act); id. ¶¶ 24-30 (cause of action for violation of the Labor
 8 Management Relations Act). Accordingly, the Court may, at its discretion, require Loft to exhaust
 9 internal union remedies before bringing the instant litigation.

10 “In exercising this discretion [regarding exhaustion of internal union remedies],” the U.S.
 11 Supreme Court has articulated “at least three factors [that] should be relevant”:

[F]irst, whether union officials are so hostile to the employee that he
 could not hope to obtain a fair hearing on his claim; second, whether
 the internal union appeals procedures would be inadequate either to
 reactivate the employee’s grievance or to award him the full relief
 he seeks . . . ; and third, whether exhaustion of internal procedures
 would unreasonably delay the employee’s opportunity to obtain a
 judicial hearing on the merits of his claim.

16 *Clayton v. Int’l Union, United Auto., Aerospace & Agric. Implement Workers*, 451 U.S. 679, 689
 17 (1981).² “Where one of the three Clayton factors has not been satisfied, internal union remedies
 18 are deemed presumptively inadequate and the district court abuses its discretion by requiring
 19 exhaustion.” *Casumpang v. Int’l Longshoremen’s & Warehousemen’s Union, Local 142*, 269 F.3d
 20 1042, 1062-63 (9th Cir. 2001). “The burden is on ‘the moving party . . . [to] establish the

22 ² The Ninth Circuit, as well as other Circuits, applies the Clayton three-factor test to claims
 23 brought pursuant to both the LMRDA and LMRA. See *Casumpang v. Int’l Longshoremen’s &*
 24 *Warehousemen’s Union, Local 142*, 269 F.3d 1042, 1062-63 (9th Cir. 2001) (“Although Clayton
 25 involved an action brought pursuant to the Labor Management Relations Act, its three-factor test
 26 also applies to actions brought under the LMRDA.”); *Maddalone v. Local 17, United Bhd. of*
 27 *Carpenters & Joiners of Am.*, 152 F.3d 178, 186 n.3 (2d Cir. 1998) (“Although the Court in
 Clayton articulated these factors in the context of [an LMRA] claim, they are generally relevant to
 whether exhaustion should be required under the LMRDA.”); *Stevens v. Nw. Ind. Dist. Council,*
United Bhd. of Carpenters, 20 F.3d 720, 733 n.31 (7th Cir. 1994) (“[G]enerally the Clayton
 considerations are proper guideposts to aid the exercise of exhaustion-excusal discretion under
 Title I of the LMRDA.”)

1 availability of adequate internal union remedies.” Id. (quoting Scoggins, 742 F.2d at 1230).

2 With respect to the second Clayton factor—“whether the internal union appeals procedures
3 would be inadequate either to reactivate the employee’s grievance or to award him the full relief
4 he seeks,” Clayton, 451 U.S. at 689—the Ninth Circuit has held that if internal union remedies are
5 not “capable of awarding [the plaintiff] ‘the full relief he seeks,’” including “money damages,”
6 then the union’s internal remedies are inadequate. Casumpang, 269 F.3d at 1062 (reversing district
7 court order requiring plaintiff to exhaust a union’s internal grievance procedure because it was not
8 clear from the record whether the internal procedure could provide the plaintiff the monetary
9 damages plaintiff sought in district court); see also *Beyene v. Coleman Sec. Servs., Inc.*, 854 F.2d
10 1179, 1181 (9th Cir. 1988) (where a plaintiff brought claim in district court for monetary damages,
11 the “pivotal question [of] whether the internal union remedies were ‘adequate’” was whether the
12 union “established that its internal procedures could have yielded monetary damages”).

13 Furthermore, if the “plaintiff has missed an internal deadline for filing a grievance,” a
14 district court may not order the plaintiff to exhaust internal appeals procedures unless the union
15 points to evidence in “the record demonstrate[ing] that the ‘internal union appeals procedures’ . . .
16 will permit the plaintiff ‘to reactivate [his] grievance.’” Casumpang, 269 F.3d at 1062 (quoting
17 Clayton, 451 U.S. at 689); see also *Casumpang v. Int’l Longshore, & Warehouse Union, Local*
18 *142*, 297 F. Supp. 2d 1238, 1252-53 (D. Haw. 2003) (internal union remedies were adequate
19 where the union “aver[red] that Casumpang may yet appeal to membership for relief”).

20 Here, Loft has brought a cause of action for, inter alia, compensatory damages, special
21 damages, and exemplary damages. SAC at 7. Defendant points to no evidence in the record that
22 Loft could recover such damages, or any monetary damages, by pursuing Defendant’s internal
23 grievance procedure. Moreover, the provisions of Defendant’s internal grievance procedure that
24 Loft attached to his opposition do not disclose what remedies would be available to Loft if he
25 prevailed. See Baker Decl., Ex. G. Defendant has the burden to “establish the availability of
26 adequate internal union remedies,” including that Defendant’s procedures are “capable of
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1 awarding [Loft] the full relief he seeks[,] namely . . . money damages.” Casumpang, 269 F.3d at
2 1062 (internal quotation marks omitted). Defendant fails to carry its burden here.

3 Moreover, according to Defendant’s internal grievance procedure, Loft was required to file
4 a notice of appeal “within thirty (30) days” of the date of Loft’s notice of his conviction. Baker
5 Decl., Ex. G, at 2; see also Reply at 2 (“Plaintiff . . . had the right to file an appeal to the
6 International Union within 30 days.”). The notice of Loft’s conviction was dated April 1, 2014.
7 SAC, Ex. F. Accordingly, the time for Loft to file an appeal has expired. Where, as here, the
8 “plaintiff has missed an internal deadline for filing a grievance,” a district court may not order the
9 plaintiff to exhaust internal appeals procedures unless the union points to evidence in “the record
10 demonstrate[ing] that the ‘internal union appeals procedures’ maintained by [the union] will
11 permit the plaintiff ‘to reactivate [his] grievance.’” Casumpang, 269 F.3d at 1062 (quoting
12 Clayton, 451 U.S. at 689). Defendant points to no such evidence here, and the record does not
13 disclose any. Accordingly, Defendant has not carried its burden to show that the Defendant’s
14 “internal union appeals procedures” will permit Loft to “reactivate [his grievance].” Clayton, 451
15 U.S. at 689.

16 For the foregoing reasons, the Court finds that Defendant has not carried its burden to
17 “establish the availability of adequate internal union remedies.” Scoggins, 742 F.2d at 1230.
18 Accordingly, the Court DENIES Defendant’s motion for summary judgment on the grounds of
19 Loft’s failure to exhaust internal grievance procedures.

20 **B. Breach of Fair Duty of Representation**

21 Defendant moves for summary judgment as to Loft’s claim for breach of fair duty of
22 representation, on the grounds that such a claim is legally precluded. Mot. at 12. Defendant argues
23 that “the duty of fair representation relates to the collective bargaining obligation of the union on
24 behalf of members of the bargaining unit with the employer of those members.” Id. Defendant
25 then argues that because the instant case “does not relate to any conduct of the Union with
26 Plaintiff’s employer,” it cannot involve a claim for the breach of fair duty of representation. Id.

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1 Therefore, “for that reason . . . the Third Cause of Action [for breach of fair duty of representation]
2 must fail.” Id. at 12-13.

3 “It is well settled” that a union member may bring “an action for breach of the duty of fair
4 representation . . . against a union as an entity.” *Carter v. Smith Food King*, 765 F.2d 916, 920-21
5 (9th Cir. 1985); see also *Moore v. Int’l Bhd. of Elec. Worker, Local 6*, 78 F. App’x 8, 10 (9th Cir.
6 2003) (“The district court did not err in concluding that [LMRA] provides the basis for an action
7 for breach of contract or breach of the duty of fair representation . . . against a union as an
8 entity.”). This is because the duty of fair representation is “an essential means of enforcing fully
9 the important principle that ‘no individual union member may suffer invidious, hostile treatment at
10 the hands of the majority of his coworkers.’” *Breining v. Sheet Metal Workers Int’l Ass’n Local*
11 *Union No. 6*, 493 U.S. 67, 79-80 (1989) (quoting *Motor Coach Emps. v. Lockridge*, 403 U.S. 274,
12 301 (1971)). Therefore, Loft may bring a cause of action against Defendant for breach of the fair
13 duty of representation. Moreover, Defendant cites no authority to the contrary.

14 Accordingly, the Court DENIES Defendant’s motion for summary judgment as to Loft’s
15 claim for breach of the duty of fair representation.

16 **C. Punitive and Emotional Distress Damages for Breach of Contract**

17 Finally, Defendant argues that Loft’s requested remedies for the breach of contract cause
18 of action are legally precluded. Mot. at 11. In the operative Complaint, Loft alleges that the
19 International Union’s constitution is a contract between Defendant and the International Union, of
20 which Defendant is an affiliate, and that Loft is a third-party beneficiary of this contract. SAC ¶¶
21 25-27. Loft further alleges that Defendant breached the International Union’s constitution. Id. ¶
22 28. Loft therefore brings a cause of action for breach of contract under the LMRA, and seeks
23 “punitive damages” as well as damages for “emotional distress.” Id. ¶¶ 29-30. Defendant, in its
24 motion, argues that punitive damages and emotional distress damages are “not available as a
25 remedy for breach of contract.” Mot. at 11. Loft, in his opposition, does not address Defendant’s
26 argument regarding what damages Loft may recover for breach of contract pursuant to the LMRA.

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1 As a preliminary matter, the Court notes that Federal Rule of Civil Procedure 56(a) permits
2 a party to move for summary judgment as to a “claim or defense.” Fed. R. Civ. P. 56(a). However,
3 here Defendant appears to be challenging Loft’s right to a remedy. See Mot. at 11 (arguing that
4 punitive and emotional distress damages “are not available as a remedy for breach of contract”).
5 Although it does not appear the Ninth Circuit has explicitly addressed the question of whether a
6 party may move for summary judgment on the availability of a remedy, most district courts to
7 consider the question have held that it is proper.³ See, e.g., *Stellar J Corp. v. Smith & Loveless,*
8 *Inc.*, No. 09-CV-353-JE, 2010 WL 4791740, at *2 (D. Or. Nov. 18, 2010) (“Even if there were a
9 clear distinction between a theory of liability and the relief to which it may entitle a party, Rule 56
10 provides a basis for the Court to resolve something less than the whole of a claim on summary
11 judgment when a party fails to produce evidence to support a portion of its claim.”); *Hamblin v.*
12 *British Airways PLC*, 717 F. Supp. 2d 303, 307 (E.D.N.Y. 2010) (“[T]he right and the remedy are
13 each part of the ‘claim’ as defined in Rule 56.”); *SEC v. Fisher*, No. 07 C 4483, 2012 WL
14 3757375, at *9 (N.D. Ill. Aug. 28, 2012) (“Courts have held that a particular remedy is a part of a
15 claim on which summary judgment may be granted.”); see also *Matson Plastering Co. v.*
16 *Plasterers & Shophands Local No. 66*, 658 F. Supp. 1580, 1580-81 (N.D. Cal. 1987) (granting
17 summary judgment to union defendant on question of whether plaintiff union member was entitled
18 to punitive damages, on the grounds that such damages were legally foreclosed), *aff’d* *Matson*
19 *Plastering Co. v. Plasterers & Shophands Local No. 66, Operative Plasterers & Cement Masons*
20 *Int’l Ass’n of the U.S. & Can.*, 852 F.2d 1200 (9th Cir. 1988). Therefore, the Court finds that it can
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22 ³ The only case identified by the Court in which a district court held a defendant could not
23 challenge a plaintiff’s right to recover certain remedies in a motion for summary judgment is
24 *In re Methyl Tertiary Butyl Ether Products Liability Litigation*. 517 F. Supp. 2d 662, 666
25 (S.D.N.Y. 2007) (“Rule 56, together with Rule 54, allows a court to enter partial summary
26 judgment, but these rules focus on claims, not the relief sought. Thus, the very concept of
27 defendants’ proposal—partial summary judgment as to a particular remedy—is outside the
28 contemplation of the Federal Rules.” (emphases in original)). However, the *In re Methyl* court
then treated defendant’s motion for summary judgment regarding remedies as a motion in limine,
and ruled on it as such. See *id.* at 667 (“[D]efendants’ motion will be considered as a motion in
limine to exclude from trial all arguments and evidence that are relevant solely to punitive
damages.”).

1 address Defendant’s motion for summary judgment challenging whether Loft is permitted to
2 recover punitive and emotional distress damages for Loft’s breach of contract claim.

3 The Court first addresses whether Loft may recover punitive damages for Loft’s breach of
4 contract claim brought pursuant to the LMRA. “The general rule . . . is that punitive damages are
5 not allowed in actions for breach of contract brought under [the LMRA].” *Moore v. Local Union*
6 *569 of Int’l Bhd. of Elec. Workers*, 989 F.2d 1534, 1542 (9th Cir. 1993); see also *Williams v. Pac.*
7 *Maritime Ass’n*, 421 F.2d 1287, 1289 (9th Cir. 1970) (“[W]e think the proposition is established
8 under federal labor law that punitive damages may not be awarded for grievances” brought
9 pursuant to the LMRA). Here, Loft in his breach of contract claim brought pursuant to the LMRA
10 requests “punitive damages.” SAC ¶ 29. Loft is precluded from recovering such damages.
11 Accordingly, the Court GRANTS Defendant’s motion for summary judgment as to Loft’s request
12 for punitive damages in the breach of contract cause of action.

13 The Court now turns to whether Loft may recover emotional distress damages for Loft’s
14 breach of contract claim. As a preliminary matter, the Court notes that Defendant, in arguing that
15 “[e]motional distress remedies are . . . not a remedy for ‘breach of contract,’” cites no supporting
16 authority. Mot. at 11. Furthermore, although the Ninth Circuit has not explicitly addressed whether
17 a plaintiff may recover emotional distress damages for a breach of contract claim brought pursuant
18 to the LMRA, “in the [N]inth [C]ircuit there is some precedent for awarding damages for mental
19 and emotional distress” for a LMRA claim in general. *Alday v. Raytheon Co.*, 619 F. Supp. 2d
20 726, 732 (D. Ariz. 2008), *aff’d*, 620 F.3d 1219 (9th Cir. 2010).

21 The closest the Ninth Circuit has come to addressing the question of whether emotional
22 distress damages are recoverable for a LMRA breach of contract claim is in *Bloom v. International*
23 *Brotherhood of Teamsters Local 468*, 752 F.2d 1312 (9th Cir. 1984). *Bloom* involved a breach of
24 fair duty of representation claim brought by several union members against their union. *Id.* at
25 1313-14. The union members asserted a claim for emotional distress damages, and the district
26 court awarded each union member \$2,500 in damages. *Id.* at 1314. The Ninth Circuit reversed on
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1 the grounds that “insufficient facts exist to support an award of damages measured by emotional
2 distress.” Id. at 1313, 1315. The Ninth Circuit found that the individual union members’ claims of
3 emotional distress were sparse: one union member provided no proof of emotional distress, one
4 was “disappointed and ‘felt screwed,’” one “had ‘high hopes’ but was ‘let down,” and “one felt
5 ‘he got treated dirty.’” Id. at 1314. The Ninth Circuit also found that there was “insufficient
6 outrageous conduct” on the part of the union “to sustain a direct suit for infliction of emotional
7 distress.”⁴ Id. at 1315. In so holding, the Ninth Circuit did “not reach” the question of whether “the
8 remedy for a breach of the duty of fair representation might appropriately include damages for
9 emotional distress.” Id. at 1314-15. However, the Ninth Circuit cited and quoted with approval an
10 Eighth Circuit case, in which the Eighth Circuit held that a plaintiff could recover damages for the
11 infliction of emotional distress in a LMRA claim for breach of a collective bargaining agreement.
12 Id. at 1315 (citing and discussing *Richardson v. Commc’ns Workers of Am.*, 443 F.2d 974, 985
13 (8th Cir. 1971)). The Ninth Circuit described the union’s conduct in Richardson as justifying
14 emotional distress damages because it was done in a “particularly abusive manner” and involved
15 “a lengthy pattern of malicious treatment.” Id. (internal quotation marks omitted). Specifically, the
16 plaintiff in Richardson endured, inter alia, “1,000 ‘[v]ile and derogatory’ signs, constant
17 ‘chanting, jeering, and gesturing,’ a daily ‘rain of nuts, bolts, and screws thrown at him,’
18 intentional cigarette burns, vandalism of his car and locker, and vulgarities about his wife.” Id.
19 (quoting Richardson, 443 F.2d at 983 n.12). In such a case, the union’s “extreme conduct”
20 justified recovery of emotional distress damages. See id. (internal quotation marks omitted).

21 Accordingly, based on Bloom, it appears a plaintiff may recover emotional distress
22 damages for a LMRA claim if the plaintiff can point to union conduct that is, for instance,
23 “particularly abusive” and involves “a lengthy pattern of malicious treatment.” Id. at 1315
24

25 ⁴ The Ninth Circuit did not detail the union’s alleged conduct at issue in Bloom. However, the
26 gravamen of the dispute was that, during labor negotiations, the union president promised the
27 plaintiffs that the union president would secure a preferential hiring agreement with another
28 bargaining unit. Bloom, 752 F.2d at 1313. The union failed to secure such an agreement, and the
plaintiffs sued for specific performance. Id.

1 (internal quotation marks omitted). Therefore, Defendant’s argument that Loft is legally precluded
2 from recovering emotional distress damages appears to be incorrect. Furthermore, Defendant
3 explicitly stated that it was not challenging the sufficiency of the evidence in the record to support
4 Loft’s claim for emotional distress damages.⁵ See Opp’n at 13 (“Whether or not Plaintiff has
5 sufficiently alleged outrageous conduct is not the direct thrust of this motion for summary
6 judgment.”). The only challenge Defendant raised is whether emotional distress damages were
7 foreclosed in a LMRA breach of contract action as a matter of law. As previously discussed, this
8 does not appear to be the case. See Bloom, 752 F.2d at 1314-15. Therefore, the Court DENIES
9 Defendant’s motion for summary judgment as to the issue of whether Loft may recover emotional
10 distress damages for his breach of contract claim.

11 **IV. CONCLUSION**

12 For the reasons stated above the Court GRANTS Defendant’s motion for summary
13 judgment as to Loft’s request for punitive damages for Loft’s breach of contract cause of action.
14 The Court otherwise DENIES Defendant’s motion.

15 **IT IS SO ORDERED.**

16
17 Dated: March 31, 2015

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19 _____
LUCY H. KOH
United States District Judge

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22 _____
23 ⁵ Even if Defendant had argued that there was no evidence in the record to support Loft’s claim for
24 emotional distress damages, the Court notes that Loft stated in his declaration that he “suffered
25 mental anguish consisting of anxiety related to the possible penalty of expulsion,” that
26 Defendant’s conduct “upset my familial relations,” and that Loft “suffered headaches, occasional
27 nausea[,] depression, anger, and the loss of reputation among my peers.” Loft Decl. ¶ 22.
28 Furthermore, during Loft’s February 25, 2014 trial, a union official allegedly told Loft that “‘if it
was up to him,’ he would take me ‘out to the back alley.’” Loft Decl. ¶ 15; see also Baker Decl.,
Ex. F, at 36:22-23 (deposition testimony of union official who acknowledged that he and Loft
“had an exchange of sorts” during the February 25, 2014 trial and that “several people heard the
[official’s] comment” as he “would take [Loft] out to the back alley.”).