1	Steven M. Tindall, CSB #187862		
2	Email: smt@classlawgroup.com GIBBS LAW GROUP LLP		
3	505 14th Street, Suite 1110 Oakland, California 94612-1406		
4	Telephone: (510) 350-9700 Facsimile: (510) 350-9701		
5	[Additional Counsel Appear on Signature Page]		
6	Attorneys for Plaintiffs and the Proposed Class		
7	UNITED STATES I FOR THE NORTHERN DIS		
8	SAN JOSE		
9	ALAN BRINKER, AUSTIN RUGG, and ANA SANDERS, individually and on behalf	NO. 5:14-cv-03007-EJD-HRL	
10	of all others similarly situated,	STIPULATION AND [PROPOSED]	
11	Plaintiffs,	ORDER REGARDING FILING OF THIRD AMENDED COMPLAINT AND	
12	V.	DISMISSAL OF OTHER CLAIMS PURSUANT TO FEDERAL RULE OF	
13	NORMANDIN'S, a California corporation, d/b/a NORMANDIN CHRYSLER JEEP	CIVIL PROCEDURE 41(a)(1)(A)(ii)	
14	DODGE RAM, and ONECOMMAND, INC.,	JURY TRIAL DEMAND	
15	Defendants.	HON. EDWARD J. DAVILA	
16		Complaint Filed: July 1, 2014	
17		DATE: November 16, 2017 TIME: 10:00 a.m.	
18		LOCATION: Courtroom 4 – 5th Floor	
19			
20	I. STIPUI	LATION	
21	WHEREAS, Plaintiffs wish to amend the	r putative class definitions in this action and	
22	file the Third Amended Complaint attached heret	o as <u>Exhibit 1</u> ;	
23			
24			
	STIPULATION AND [PROPOSED] ORDER REAMENDED COMPLAINT - 1 Case No. 5:14-cv-03007-EJD-HRL	EGARDING FILING OF THIRD	

1	WHEREAS, Plaintiffs wish to dismiss without prejudice any and all claims of absent
2	putative class members in the Second Amended Complaint other than those encompassed within
3	the Third Amended Complaint, relating to the sole remaining amended putative class;
4	WHEREAS, Defendants do not oppose the filing of this Third Amended Complaint,
5	pursuant to Fed. R. Civ. P. 15(a)(2); and
6	WHEREAS, the parties stipulate that no responsive pleading to the Third Amended
7	Complaint shall be required, pending the Court's Orders granting or denying preliminary and/or
8	final approval of the settlement entered into between the parties on or about October 3, 2017; and
9	WHEREAS, Defendants do not oppose the dismissal without prejudice of any and all
10	claims of absent putative class members in the Second Amended Complaint other than those in
11	the Third Amended Complaint, relating to the sole remaining amended putative class.
12	IT IS SO STIPULATED.
13	RESPECTFULLY SUBMITTED AND DATED this 5th day of October, 2017.
14	TERRELL MARSHALL LAW GROUP PLLC ROBARDS & STEARNS
15 16 17 18 19 20 21 22 23 24	By: /s/ Beth E. Terrell, CSB #178181 Beth E. Terrell, CSB #178181 Email: bterrell@terrellmarshall.com Mary B. Reiten, CSB #203412 Email: mreiten@terrellmarshall.com Adrienne D. McEntee, Admitted Pro Hac Vice Email: amcentee@terrellmarshall.com 936 North 34th Street, Suite 300 Seattle, Washington 98103-8869 Telephone: (206) 816-6603 Facsimile: (206) 319-5450 By: /s/ Andrew V. Stearns, CSB #164849 Email: astearns@boglawyers.com Robert B. Robards, SBN #166855 Email: rrobards@boglawyers.com 718 University Avenue, Suite 216 Los Gatos, California 95032 Telephone: (408) 214-6432 Facsimile: (408) 560-9592 Attorneys for Defendant Normandin's
	STIPULATION AND [PROPOSED] ORDER REGARDING FILING OF THIRD AMENDED COMPLAINT - 2 CASE No. 5:14-cv-03007-EJD-HRL

1	Steven M. Tindall, CSB #187862
2	Email: smt@classlawgroup.com GIBBS LAW GROUP LLP
	505 14th Street, Suite 1110
3	Oakland, California 94612-1406 Telephone: (510) 350-9700
4	Facsimile: (510) 350-9701
5	Rob Williamson, <i>Admitted Pro Hac Vice</i> Email: roblin@williamslaw.com
6	Kim Williams, Admitted Pro Hac Vice
7	Email: kwilliams@williamslaw.com WILLIAMSON & WILLIAMS
8	2239 West Viewmont Way, West
	Seattle, Washington 98199 Telephone: (206) 295-3085
9	Attorneys for Plaintiffs and the Proposed Class
10	
11	KMK LAW
12	By: /s/ Steven C. Coffaro, Pro Hac Vice
13	Steven C. Coffaro, <i>Admitted Pro Hac Vice</i> Email: scoffaro@kmklaw.com
	Drew Hicks, Admitted Pro Hac Vice
14	Email: dhicks@kmklaw.com One East Fourth Street, Suite 1400
15	Cincinnati Ohio 45202
16	Telephone: (513) 579-6400 Facsimile: (513) 579-6457
17	Sean P. Flynn, SBN #220184
18	Email: sflynn@gordonrees.com GORDON & REES, LLP
	2211 Michelson Drive, Suite 400
19	Irvine, California 92612 Telephone: (949) 255-6950
20	Facsimile: (949) 255-2060
21	
22	
23	
24	
	STIPULATION AND [PROPOSED] ORDER REGARDING FILING OF THIRD AMENDED COMPLAINT - 3 CASE No. 5:14-cv-03007-EJD-HRL

1	Daniel S. Kubasak, SBN #222336 Email: dkubasak@gordonrees.com
2	GORDON & REES LLP
3	275 Battery Street, Suite 2000 San Francisco, California 94111 Telephone: (415) 986-5900
4	Facsimile: (415) 986-8054
5	Attorneys for Cross Defendant OneCommand, Inc.
6	
7	II. [PROPOSED] ORDER
8	IT IS SO ORDERED.
9	Dated this 6 day of October , 2017.
10	-
11	UNITED STATES DISTRICT JUDGE
12	UNITED STATES DISTRICT JUDGE
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
	STIPULATION AND [PROPOSED] ORDER REGARDING FILING OF THIRD AMENDED COMPLAINT - 4 Case No. 5:14-cv-03007-EJD-HRL

1 III. LOCAL RULE 5-1(I)(3) STATEMENT 2 Pursuant to Local Rule 5-1(i)(3), I hereby attest that concurrence in the filing of this document has been obtained from counsel for all parties, and that I will maintain records to 3 support this concurrence by all counsel subject to this stipulation as required under the local 5 rules. DATED this 5th day of October, 2017. 6 7 TERRELL MARSHALL LAW GROUP PLLC 8 By: <u>/s/ Beth E. Terrell, CSB #178181</u> Beth E. Terrell, CSB #178181 9 Email: bterrell@terrellmarshall.com 936 North 34th Street, Suite 300 10 Seattle, Washington 98103 Telephone: (206) 816-6603 11 Facsimile: (206) 319-5450 12 Attorneys for Plaintiffs and the Proposed Class 13 14 15 16 17 18 19 20 21 22 23 24

STIPULATION AND [PROPOSED] ORDER REGARDING FILING OF THIRD AMENDED COMPLAINT - 5 CASE No. 5:14-cv-03007-EJD-HRL

1	CERTIFICATE OF SERVICE
2	I, Beth E. Terrell, hereby certify that on October 5, 2017, I electronically filed the
3	foregoing with the Clerk of the Court using the CM/ECF system which will send notification of
4	such filing to the following:
5	Andrew V. Stearns, SBN #164849
6	Email: astearns@boglawyers.com Robert B. Robards, SBN #166855
7	Email: rrobards@boglawyers.com ROBARDS & STEARNS
8	718 University Avenue, Suite 216 Los Gatos, California 95032
9	Telephone: (408) 214-6432 Facsimile: (408) 560-9592
10	Attorneys for Defendant Normandin's
11	Sean P. Flynn, SBN #220184
12	Email: sflynn@gordonrees.com GORDON & REES LLP
	2211 Michelson Drive, Suite 400
13	Irvine, California 92612 Telephone: (949) 255-6950
14	Facsimile: (949) 255-2060
15	Daniel S. Kubasak, SBN #222336 Email: dkubasak@gordonrees.com
16	GORDON & REES LLP
17	275 Battery Street, Suite 2000 San Francisco, California 94111
18	Telephone: (415) 986-5900 Facsimile: (415) 986-8054
19	
20	
21	
22	
23	
24	
	STIPULATION AND [PROPOSED] ORDER REGARDING FILING OF THIRD AMENDED COMPLAINT - 6 CASE No. 5:14-cv-03007-EJD-HRL

1	Steven C. Coffaro, Admitted Pro Hac Vice	
2	Email: scoffaro@kmklaw.com Drew Hicks, <i>Admitted Pro Hac Vice</i>	
3	Email: dhicks@kmklaw.com KMK LAW	
4	One East Fourth Street, Suite 1400 Cincinnati Ohio 45202	
5	Telephone: (513) 579-6400 Facsimile: (513) 579-6457	
6	Attorneys for Cross Defendant OneCommand, Inc.	
7	DATED this 5th day of October, 2017.	
8	TERRELL MARSHALL LAW GROUP PLLC	
9	D	
10	By:/s/ Beth E. Terrell, CSB #178181 Beth E. Terrell, CSB #178181	
11	Email: bterrell@terrellmarshall.com 936 North 34th Street, Suite 300	
12	Seattle, Washington 98103 Telephone: (206) 816-6603	
13	Facsimile: (206) 319-5450	
14	Attorneys for Plaintiffs and the Proposed Class	
15		
16		
17		
18		
19		
20		
21		
22		
23		
24		
	STIPULATION AND [PROPOSED] ORDER REGARDING FILING OF THIRD AMENDED COMPLAINT - 7 CASE No. 5:14-cv-03007-EJD-HRL	

1	Steven M. Tindall, CSB #187862		
2	Email: smt@classlawgroup.com GIBBS LAW GROUP LLP 505 14th Street, Suite 1110		
3			
4	Oakland, California 94612-1406 Telephone: (510) 350-9700		
5	Facsimile: (510) 350-9701		
6	[Additional Counsel Appear on Signature Page]		
7	Attorneys for Plaintiffs and the Proposed Class		
8			
9	UNITED STATES D FOR THE NORTHERN DIS		
10	SAN JOSE I		
11	ALAN BRINKER, AUSTIN RUGG, and		
12	ANA SANDERS, individually and on behalf	NO. 5:14-cv-03007-EJD-HRL	
13	of all others similarly situated,	THIRD AMENDED CLASS ACTION	
14	Plaintiffs,	COMPLAINT FOR DAMAGES AND INJUNCTIVE RELIEF	
15	v.	JURY TRIAL DEMAND	
16	NORMANDIN'S, a California corporation, d/b/a NORMANDIN CHRYSLER JEEP		
17	DODGE RAM, and ONECOMMAND, Inc.,	HON. EDWARD J. DAVILA	
18	Defendants.	Complaint Filed: July 1, 2014	
19		DATE:	
20		TIME: LOCATION: Courtroom 4 – 5th Floor	
21			
22	Plaintiffs Alan Brinker, Austin Rugg, and	Ana Sanders (hereinafter collectively referred	
23	to as "Plaintiffs"), by their undersigned counsel, f	or this class action complaint against	
24	Defendant Normandin's d/b/a Normandin Chrysle	er Jeep Dodge Ram and its present, former, or	
25	future direct and indirect parent companies, subsidiaries, affiliates, agents, and/or other related		
26	entities, and Defendant OneCommand, Inc., and its present, former, or future direct and indirect		
27			
	THIRD AMENDED CLASS ACTION COMPLAINJUNCTIVE RELIEF - 1 CASE No. 5:14-cv-03007-EJD-HRL	AINT FOR DAMAGES AND	

- 8. <u>Personal Jurisdiction</u>. This Court has personal jurisdiction over Defendants because they do business in California, and the wrongful acts alleged in this Complaint were committed in California. In addition, Defendant Normandin is a California corporation.
- 9. <u>Venue</u>. Venue is proper in this District pursuant to 28 U.S.C. § 1391(b)(2) in that a substantial part of the events or omissions giving rise to Plaintiffs' claims occurred in this District.

IV. THE TELEPHONE CONSUMER PROTECTION ACT OF 1991, 47 U.S.C. § 227

- 10. In 1991, Congress enacted the TCPA in response to a growing number of consumer complaints regarding certain telemarketing practices.
- 11. The TCPA makes it unlawful "to make any call (other than a call made for emergency purposes or made with the prior express consent of the called party) using an automatic telephone dialing system or an artificial or prerecorded voice … to any telephone number assigned to a … cellular telephone service." *See* 47 U.S.C. § 227(b)(1)(A)(iii).
- 12. For calls made on or after October 16, 2013, the FCC requires written prior consent for prerecorded telemarketing or advertising telephone calls. 47 C.F.R. § 64.1200(a)(2); (f)(8); see also In the Matter of Rules and Regs. Implementing the Tel. Consumer Prot. Act of 1992, 27 F.C.C.R. 1830, 1874 (2012). "Prior written consent" means "an agreement, in writing, bearing the signature of the person called that clearly authorizes the seller to deliver or cause to be delivered to the person called advertisements or telemarketing messages using an automatic telephone dialing system or an artificial or prerecorded voice, and the telephone number to which the signatory authorizes such advertisements or telemarketing messages to be delivered." 47 C.F.R. § 64.1200(f)(8). The written agreement must include "a clear and conspicuous disclosure informing the person signing that: (A) By executing the agreement, such person authorizes the seller to deliver or cause to be delivered to the signatory telemarketing calls using an automatic telephone dialing system or an artificial or prerecorded voice; and (B) The person is not required

to sign the agreement (directly or indirectly), or agree to enter into such an agreement as a condition of purchasing any property, goods, or services." 47 C.F.R. § 64.1200(f)(8).

- 13. The TCPA provides a private cause of action to persons who receive calls in violation of 47 U.S.C. § 227(b)(1)(A). *See* 47 U.S.C. § 227(b)(3).
- 14. Federal Communication Commission ("FCC") promulgated regulations "generally establish that the party on whose behalf a solicitation is made bears ultimate responsibility for any violations." *See* Rules and Regulations Implementing the Telephone Consumer Protection Act of 1991, Memorandum and Order, 10 F.C.C. Rcd. 12391, 12397 ¶ 13 (1995).
- 15. The FCC confirmed this principle in 2013, when it explained that "a seller may be held vicariously liable under federal common law principles of agency for violations of either section 227(b) or section 227(c) that are committed by third-party telemarketers." *See In the Matter of the Joint Petition Filed by Dish Network, LLC*, 28 F.C.C. Rcd. 6574 (2013).

V. FACTUAL ALLEGATIONS

A. Factual Allegations Regarding Defendants

- 16. Defendant Normandin owns and operates an automobile dealership in San Jose, California, doing business as Normandin Chrysler Jeep Dodge Ram.
- 17. In addition to selling and leasing new and used automobiles, Defendant Normandin's website explains that their "services include trusted Chrysler Jeep RAM and Dodge car repair" and offers "original Chrysler Jeep RAM and Dodge parts." *See* http://www.normandinchryslerjeep.net/about-us/ (last visited July 25, 2017).
- 18. Defendant OneCommand is an automotive marketing and advertising company. It holds itself out to be the "successful dealer's secret weapon for delivering consistent results in their Sales and Service departments." *See* http://www.onecommand.com/who-we-are (last visited July 25, 2017).

CASE No. 5:14-cv-03007-EJD-HRL

THIRD AMENDED CLASS ACTION COMPLAINT FOR DAMAGES AND INJUNCTIVE RELIEF - 8
Case No. 5:14-cv-03007-EJD-HRL

Excluded from the Settlement Class are the Judge to whom the Action is assigned and any member of the Judge's staff and immediate family.

- 59. <u>Numerosity</u>. The Class is so numerous that joinder of all members is impracticable. On information and belief, the Class has 8,313 members. Moreover, the disposition of the claims of the Class in a single action will provide substantial benefits to all parties and the Court.
- 60. <u>Commonality</u>. There are numerous questions of law and fact common to Plaintiffs and members of the Class. These common questions of law and fact include, but are not limited to, the following:
- a. Whether Defendants and/or their affiliates, agents, and/or other persons or entities acting on Defendants' behalf violated 47 U.S.C. § 227(b)(1)(A) by making any call, except for emergency purposes, to a cellular telephone number using an ATDS or artificial or prerecorded voice;
- b. Whether Defendants and/or their affiliates, agents, and/or other persons or entities acting on Defendants' behalf knowingly and/or willfully violated 47 U.S.C. § 227(b)(1)(A) by making any call, except for emergency purposes, to a cellular telephone number using an ATDS or artificial or prerecorded voice, thus entitling Plaintiffs and the Class to treble damages;
- c. Whether Defendants are liable for ATDS generated and/or automated or prerecorded calls promoting Defendants' products and/or services made by Defendants' affiliates, agents, and/or other persons or entities acting on Defendants' behalf;
- d. Whether Defendants and/or their affiliates, agents, and/or other persons or entities acting on Defendants' behalf should be enjoined from violating the TCPA in the future.
- 61. <u>Typicality</u>. Plaintiffs' claims are typical of the claims of the Class. Plaintiffs' claims, like the claims of Class arise out of the same common course of conduct by Defendants and are based on the same legal and remedial theories.

тип

- 62. Adequacy. Plaintiffs will fairly and adequately protect the interests of the Class. Plaintiffs have retained competent and capable attorneys with significant experience in complex and class action litigation, including consumer class actions and TCPA class actions. Plaintiffs and their counsel are committed to prosecuting this action vigorously on behalf of the Class and have the financial resources to do so. Neither Plaintiffs nor their counsel have interests that are contrary to or that conflict with those of the proposed Class.
- 63. <u>Predominance</u>. Defendants have engaged in a common course of conduct toward Plaintiffs and members of the Class. The common issues arising from this conduct that affect Plaintiffs and members of the Class predominate over any individual issues. Adjudication of these common issues in a single action has important and desirable advantages of judicial economy.
- 64. <u>Superiority</u>. A class action is the superior method for the fair and efficient adjudication of this controversy. Classwide relief is essential to compel Defendants to comply with the TCPA. The interest of individual members of the Class in individually controlling the prosecution of separate claims against Defendants is small because the damages in an individual action for violation of the TCPA are small. Management of these claims is likely to present significantly fewer difficulties than are presented in many class claims because the calls at issue are all automated. Class treatment is superior to multiple individual suits or piecemeal litigation because it conserves judicial resources, promotes consistency and efficiency of adjudication, provides a forum for small claimants, and deters illegal activities. There will be no significant difficulty in the management of this case as a class action.
- 65. <u>Injunctive and Declaratory Relief Appropriate</u>. Defendants have acted on grounds generally applicable to the Class, thereby making final injunctive relief and corresponding declaratory relief with respect to the Class appropriate on a classwide basis. Moreover, on information and belief, Plaintiffs allege that the automated calls made by Defendants and/or their affiliates, agents, and/or other persons or entities acting on Defendants'

behalf that are complained of herein are substantially likely to continue in the future if an injunction is not entered.

VII. FIRST CLAIM FOR RELIEF (Violation of the Telephone Consumer Protection Act, 47 U.S.C. § 227(b)(1)(A))

- 66. Plaintiffs reallege and incorporate by reference each and every allegation set forth in the preceding paragraphs.
- 67. The foregoing acts and omissions of Defendants and/or their affiliates, agents, and/or other persons or entities acting on Defendants' behalf constitute numerous and multiple violations of the TCPA, 47 U.S.C. § 227(b)(1)(A), by making calls, except for emergency purposes, to the cellular telephone numbers of Plaintiffs and members of the Class using an ATDS and/or artificial or prerecorded voice.
- 68. As a result of Defendants' and/or their affiliates, agents, and/or other persons or entities acting on Defendants' behalf's violations of the TCPA, 47 U.S.C. § 227(b)(1)(A), Plaintiffs and members of the Class presumptively are entitled to an award of \$500 in damages for each and every call to their cellular telephone numbers using an ATDS and/or artificial or prerecorded voice in violation of the statute, pursuant to 47 U.S.C. § 227(b)(3)(B).
- 69. Plaintiffs and members of the Class are also entitled to and do seek injunctive relief prohibiting Defendants and/or their affiliates, agents, and/or other persons or entities acting on Defendants' behalf from violating the TCPA, 47 U.S.C. § 227(b)(1)(A), by making calls, except for emergency purposes, to any cellular telephone numbers using an ATDS and/or artificial or prerecorded voice in the future.

VIII. SECOND CLAIM FOR RELIEF (Knowing and/or Willful Violations of the Telephone Consumer Protection Act, 47 U.S.C. § 227(b)(1)(A))

- 70. Plaintiffs reallege and incorporate by reference each and every allegation set forth in the preceding paragraphs.
- 71. The foregoing acts and omissions of Defendants and/or their affiliates, agents, and/or other persons or entities acting on Defendants' behalf constitute numerous and multiple

THIRD AMENDED CLASS ACTION COMPLAINT FOR DAMAGES AND INJUNCTIVE RELIEF - 11 Case No. 5:14-cv-03007-EJD-HRL

1	G.	An award to Plaintiffs and the Class of attorneys' fees and costs, as allowed by
2	law and/or eq	quity;
3	Н.	Leave to amend this Complaint to conform to the evidence presented at trial; and
4	I.	Orders granting such other and further relief as the Court deems necessary, just,
5	and proper.	
6		X. DEMAND FOR JURY
7	Dlaint	riffs demand a trial by jury for all issues so triable.
8		
	RESP	PECTFULLY SUBMITTED AND DATED this 5th day of October, 2017.
9		TERRELL MARSHALL LAW GROUP PLLC
10		
11		By: <u>/s/ Beth E. Terrell, CSB#178181</u> Beth E. Terrell, CSB #178181
12		Email: bterrell@terrellmarshall.com
13		Mary B. Reiten, CSB #203412
		Email: mreiten@terrellmarshall.com
14		Adrienne D. McEntee, <i>Admitted Pro Hac Vice</i> Email: amcentee@terrellmarshall.com
15		A. Janay Ferguson, Admitted Pro Hac Vice
16		Email: jferguson@terrellmarshall.com 936 North 34th Street, Suite 300
		Seattle, Washington 98103-8869
17		Telephone: (206) 816-6603
18		Facsimile: (206) 319-5450
19		Steven M. Tindall, CSB #187862
20		Email: smt@classlawgroup.com GIBBS LAW GROUP LLP
21		505 14th Street, Suite 1110
		Oakland, California 94612-1406
22		Telephone: (510) 350-9700 Facsimile: (510) 350-9701
23		
24		
25		
26		
27		

Rob Williamson, <i>Admitted Pro Hac Vice</i> Email: roblin@williamslaw.com Kim Williams, <i>Admitted Pro Hac Vice</i>
Email: kwilliams@williamslaw.com WILLIAMSON & WILLIAMS
2239 West Viewmont Way, West Seattle, Washington 98199
Telephone: (206) 295-3085
Attorneys for Plaintiffs and the Proposed Class

THIRD AMENDED CLASS ACTION COMPLAINT FOR DAMAGES AND INJUNCTIVE RELIEF - 14 CASE No. 5:14-cv-03007-EJD-HRL