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UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA SAN JOSE DIVISION

TESSERA, INC.,

Plaintiff,

v.

TOSHIBA CORPORATION,

Defendant.

Case No. <u>5:15-cv-02543-BLF</u>

OMNIBUS ORDER RE: ADMINISTRATIVE MOTIONS TO SEAL DOCUMENTS

[Re: ECF 139, 140, 144]

Before the Court are three administrative motions to seal, one from Plaintiff Tessera, Inc., and two from Defendant Toshiba Corp. See Mots., ECF 139, 140, 144. All three sealing motions relate to the briefing on the parties' cross-motions for summary judgment. See id. Tessera's motion, located at ECF 140, is GRANTED IN PART and DENIED IN PART, Toshiba's first sealing motion, located at ECF 139, is DENIED as moot, and Toshiba's second sealing motion, located at ECF 144, is GRANTED IN PART and DENIED IN PART.

I. LEGAL STANDARD

"Historically, courts have recognized a 'general right to inspect and copy public records and documents, including judicial records and documents." Kamakana v. City & County of Honolulu, 447 F.3d 1172, 1178 (9th Cir. 2006) (quoting Nixon v. Warner *Commc'ns, Inc.*, 435 U.S. 589, 597 & n. 7 (1978)). Accordingly, when considering a sealing request, "a 'strong presumption in favor of access' is the starting point." Id. (quoting Foltz v. State Farm Mut. Auto. Ins. Co., 331 F.3d 1122, 1135 (9th Cir. 2003)). Parties seeking to seal judicial records relating to motions that are "more than tangentially related to the underlying cause of action" bear the burden of overcoming the presumption with "compelling reasons" that outweigh the general history of access and the public policies favoring disclosure. Ctr. for Auto Safety v. Chrysler Grp., 809 F.3d 1092, 1099 (9th Cir. 2016); Kamakana, 447 F.3d at 1178-79.

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However, "while protecting the public's interest in access to the courts, we must remain mindful of the parties' right to access those same courts upon terms which will not unduly harm their competitive interest." Apple Inc. v. Samsung Elecs. Co., Ltd., 727 F.3d 1214, 1228-29 (Fed. Cir. 2013). Records attached to motions that are "not related, or only tangentially related, to the merits of a case" therefore are not subject to the strong presumption of access. Ctr. for Auto Safety, 809 F.3d at 1099; see also Kamakana, 447 F.3d at 1179 ("[T]he public has less of a need for access to court records attached only to non-dispositive motions because those documents are often unrelated, or only tangentially related, to the underlying cause of action."). Parties moving to seal the documents attached to such motions must meet the lower "good cause" standard of Rule 26(c). Kamakana, 447 F.3d at 1179 (internal quotations and citations omitted). This standard requires a "particularized showing," id., that "specific prejudice or harm will result" if the information is disclosed. Phillips ex rel. Estates of Byrd v. Gen. Motors Corp., 307 F.3d 1206, 1210-11 (9th Cir. 2002); see Fed. R. Civ. P. 26(c). "Broad allegations of harm, unsubstantiated by specific examples of articulated reasoning" will not suffice. Beckman Indus., Inc. v. Int'l Ins. Co., 966 F.2d 470, 476 (9th Cir. 1992). A protective order sealing the documents during discovery may reflect the court's previous determination that good cause exists to keep the documents sealed, see Kamakana, 447 F.3d at 1179-80, but a blanket protective order that allows the parties to designate confidential documents does not provide sufficient judicial scrutiny to determine whether each particular document should remain sealed. See Civ. L.R. 79-5(d)(1)(A) ("Reference to a stipulation or protective order that allows a party to designate certain documents as confidential is not sufficient to establish that a document, or portions thereof, are sealable.").

In addition to making particularized showings of good cause, parties moving to seal documents must comply with the procedures established by Civ. L.R. 79-5. Pursuant to Civ. L.R. 79-5(b), a sealing order is appropriate only upon a request that establishes the document is "sealable," or "privileged or protectable as a trade secret or otherwise entitled to protection under the law." "The request must be narrowly tailored to seek sealing only of sealable material, and must conform with Civil L.R. 79-5(d)." Civ. L.R. 79-5(b). In part, Civ. L.R. 79-5(d) requires the submitting party to attach a "proposed order that is narrowly tailored to seal only the sealable

Northern District of California

material" which "lists in table format each document or portion thereof that is sought to be sealed," Civ. L.R. 79-5(d)(1)(b), and an "unredacted version of the document" that indicates "by highlighting or other clear method, the portions of the document that have been omitted from the redacted version." Civ. L.R. 79-5(d)(1)(d). "Within 4 days of the filing of the Administrative Motion to File Under Seal, the Designating Party must file a declaration as required by subsection 79-5(d)(1)(A) establishing that all of the designated material is sealable." Civ. L.R. 79-5(e)(1).

DISCUSSION II.

Toshiba's second sealing motion, located at ECF 144, is an amended version of its first sealing motion, located at ECF 139. Toshiba's first sealing motion, ECF 139, thus is DENIED as moot. The remaining sealing motions are resolved under the compelling reasons standard, because the parties' summary judgment briefing is more than tangentially related to the merits of this case.

ECF No.	Document to be Sealed	Result	<u>Reasoning</u>
140-4	Tessera's motion for partial summary	Designations highlighted in yellow SEALED.	Sealed material contains confidential business information.
140-6	judgment Ex. 1	SEALED.	Sealed material contains confidential business information.
140-7	Ex. 2	SEALED.	Sealed material contains confidential business information.
140-8	Ex. 3	SEALED.	Sealed material contains confidential business information.
140-9	Ex. 4	SEALED.	Sealed material contains confidential business information.
140-10	Ex. 5	UNSEALED.	Not narrowly tailored to confidential business information.
140-12	Ex. 7	Designation highlighted in yellow SEALED.	Sealed material contains confidential business information.
140-13	Ex. 8	SEALED.	Sealed material contains confidential business information.
140-14	Ex. 9	SEALED.	Sealed material contains confidential business information.
140-15	Ex. 10	SEALED.	Sealed material contains confidential business information.

Ex. 11	SEALED.	Sealed material contains confidential
		business information.
Ex. 12	SEALED.	Sealed material contains confidential
		business information.
Ex. 14	SEALED.	Sealed material contains confidential
		business information.
Ex. 15	SEALED.	Sealed material contains confidential
		business information.
Ex. 16	SEALED.	Sealed material contains confidential
		business information.
Ex. 17	SEALED.	Sealed material contains confidential
		business information.
Ex. 18	SEALED.	Sealed material contains confidential
		business information.
Ex. 19	UNSEALED.	Not narrowly tailored to confidential
		business information.
Ex. 20	SEALED.	Sealed material contains confidential
		business information.
Ex. 21	SEALED.	Sealed material contains confidential
		business information.
Ex. 22	SEALED.	Sealed material contains confidential
		business information.
Ex. 24	SEALED.	Sealed material contains confidential
		business information.
Ex. 25	SEALED.	Sealed material contains confidential
		business information.
Ex. 26	SEALED.	Sealed material contains confidential
		business information.
Ex. 27	SEALED.	Sealed material contains confidential
		business information.
Ex. 28	UNSEALED.	Not narrowly tailored to confidential
		business information.
Ex. 29	UNSEALED.	Not narrowly tailored to confidential
		business information.
	Ex. 14 Ex. 15 Ex. 16 Ex. 17 Ex. 18 Ex. 19 Ex. 20 Ex. 21 Ex. 22 Ex. 24 Ex. 25 Ex. 26 Ex. 27 Ex. 28	Ex. 12 SEALED. Ex. 14 SEALED. Ex. 15 SEALED. Ex. 16 SEALED. Ex. 17 SEALED. Ex. 17 SEALED. Ex. 18 SEALED. Ex. 19 UNSEALED. Ex. 20 SEALED. Ex. 21 SEALED. Ex. 21 SEALED. Ex. 22 SEALED. Ex. 22 SEALED. Ex. 24 SEALED. Ex. 25 SEALED. Ex. 26 SEALED. Ex. 27 SEALED. Ex. 28 UNSEALED.

139-4 ¹	Toshiba's first motion for	Designations highlighted in yellow at	Only sealed portions contain confidential business information.
	summary	in yenow at	business information.
	judgment	4:7-21, 23-24,	
	Judgment	5:1-4, 22-24,	
		6:6-15, 17-28,	
		7:1-9, 11-14, 17-23, 26-	
		27,	
		8:1-18,	
		9:1-14, 16-18, 22-24, n.6,	
		10:1-2, 4-6, 10-14,	
		13:14-20, 22-24,	
		14:5-9,	
		15:2,	
		16:1-5, 21, 24,	
		17:2-3, 6-25,	
		18:12, 16, 20-28,	
		19:1-4, 6-9,	
		20:2-3, 5-6	
		SEALED; remainder	
		UNSEALED.	
139-6	Liang Decl. in	Designations highlighted	Only sealed portions contain confidentia
	support of	in yellow at	business information.
	Toshiba's		
	motion for	¶¶ 33, 36, 38, 40	
	summary		
	judgment	SEALED; remainder	
		UNSEALED.	
139-7	Ex. 2 to Liang	SEALED.	Sealed material contains confidential
	Decl.		business information.
139-8	Ex. 3 to Liang	SEALED.	Sealed material contains confidential
	Decl.		business information.
139-9	Ex. 4 to Liang	SEALED.	Sealed material contains confidential
	decl.		business information.
139-10	Ex. 8 to Liang	SEALED.	Sealed material contains confidential
100.11	Decl.	IDIGE ALED	business information.
139-11	Ex. 9 to Liang	UNSEALED.	Does not contain confidential business
120.12	Decl.	GEALED.	information.
139-13	Ex. 10 to	SEALED.	Sealed material contains confidential
120.17	Liang Decl.	IDIGE ALED	business information.
139-15	Ex. 11 to	UNSEALED.	Does not contain confidential business
	Liang Decl.		information.

¹ Toshiba did not re-file the documents to be sealed with its amended sealing motion, and so the documents are identified by the ECF numbers assigned to the first sealing motion. The documents to be sealed are located at ECF 139, 141.

139-17	Ex. 12 to	UNSEALED.	Does not contain confidential business
137 17	Liang Decl.	CINDERILLED.	information.
139-18	Ex. 13 to	SEALED.	Sealed material contains confidential
137 10	Liang Decl.	SEREED.	business information.
139-19	Ex. 14 to	SEALED.	Sealed material contains confidential
137-17	Liang Decl.	SEALED.	business information.
139-21	Ex. 15 to	SEALED.	Sealed material contains confidential
139-21		SEALED.	business information.
139-22	Liang Decl.	SEALED.	Sealed material contains confidential
139-22	Ex. 16 to	SEALED.	business information.
120.02	Liang Decl.	CEALED	
139-23	Ex. 17 to	SEALED.	Sealed material contains confidential
120.24	Liang Decl.	CEALED	business information.
139-24	Ex. 18 to	SEALED.	Sealed material contains confidential
	Liang Decl.		business information.
139-25	Ex. 19 to	SEALED.	Sealed material contains confidential
	Liang Decl.		business information.
139-26	Ex. 20 to	SEALED.	Sealed material contains confidential
	Liang Decl.		business information.
139-27	Ex. 21 to	SEALED.	Sealed material contains confidential
	Liang Decl.		business information.
139-28	Ex. 22 to	SEALED.	Sealed material contains confidential
	Liang Decl.		business information.
139-29	Ex. 23 to	SEALED.	Sealed material contains confidential
	Liang Decl.		business information.
139-30	Ex. 24 to	SEALED.	Sealed material contains confidential
	Liang Decl.		business information.
139-31	Ex. 25 to	SEALED.	Sealed material contains confidential
	Liang Decl.		business information.
141-1	Ex. 26 to	SEALED.	Sealed material contains confidential
	Liang Decl.		business information.
141-2	Ex. 27 to	SEALED.	Sealed material contains confidential
	Liang Decl.		business information.
141-3	Ex. 28 to	SEALED.	Sealed material contains confidential
	Liang Decl.		business information.
141-4	Ex. 29 to	SEALED.	Sealed material contains confidential
	Liang Decl.		business information.
141-5	Ex. 30, part 1	SEALED.	Sealed material contains confidential
1110	to Liang Decl.	SELIEED.	business information.
141-6	Ex. 30, part 2	SEALED.	Sealed material contains confidential
1110	to Liang Decl.	SEI IEEE.	business information.
141-7	Ex. 30, part 3	SEALED.	Sealed material contains confidential
171-/	to Liang Decl.	SEALLD.	business information.
141-8	Ex. 30, part 4	SEALED.	Sealed material contains confidential
141-0		BUALUD.	business information.
141-9	to Liang Decl.	SEALED.	Sealed material contains confidential
141-9	Ex. 30, part 5	SEALED.	
141 10	to Liang Decl.	CEALED	business information.
141-10	Ex. 30, part 6	SEALED.	Sealed material contains confidential
	to Liang Decl.		business information.

141-11	Ex. 30, part 7	SEALED.	Sealed material contains confidential
	to Liang Decl.		business information.
141-12	Ex. 30, part 8	SEALED.	Sealed material contains confidential
	to Liang Decl.		business information.
141-13	Ex. 31, part 1	SEALED.	Sealed material contains confidential
	to Liang Decl.		business information.
141-14	Ex. 31, part 2	SEALED.	Sealed material contains confidential
	to Liang Decl.		business information.
141-15	Ex. 31, part 3	SEALED.	Sealed material contains confidential
	to Liang Decl.		business information.
141-16	Ex. 31, part 4	SEALED.	Sealed material contains confidential
	to Liang Decl.		business information.
141-17	Ex. 31, part 5	SEALED.	Sealed material contains confidential
	to Liang Decl.		business information.
141-18	Ex. 32 to	SEALED.	Sealed material contains confidential
	Liang Decl.		business information.
141-19	Ex. 33 to	SEALED.	Sealed material contains confidential
	Liang Decl.		business information.
141-20	Ex. 34, part 1	UNSEALED.	Does not contain confidential business
	to Liang Decl.		information. Patents are public
			information.
141-21	Ex. 34, part 2	UNSEALED.	Does not contain confidential business
	to Liang Decl.		information. Patents are public
			information.
141-22	Ex. 34, part 3	UNSEALED.	Does not contain confidential business
	to Liang Decl.		information. Patents are public
			information.
141-24	Ex. 36, part 1	UNSEALED.	Not narrowly tailored to confidential
	to Liang Decl.		business information; several of the
			documents contained in this exhibit are
			public information.
141-25	Ex. 36, part 2	UNSEALED.	Not narrowly tailored to confidential
	to Liang Decl.		business information; several of the
			documents contained in this exhibit are
			public information.
141-26	Ex. 37 to	SEALED.	Sealed material contains confidential
	Liang Decl.		business information.
141-27	Ex. 38 to	SEALED.	Sealed material contains confidential
	Liang Decl.		business information.
141-28	Ex. 35 to	Designations highlighted	Sealed material contains confidential
	Liang Decl.	in yellow SEALED.	business information.

The parties may renew their motions to seal the documents the Court has identified as not narrowly tailored. Any renewed motions must comport with Civ. L.R. 79-5(d) and be filed by August 16, 2016.

Furthermore, when Toshiba amended its motion to seal, it submitted only the amended motion, a declaration in support, and a proposed order. See ECF 144. It did not submit the redacted and unredacted version of the documents to be sealed, presumably because the documents were already filed with Toshiba's first motion to seal. See ECF 139. Civ. L.R. 79-5(d)(C)-(D) explicitly requires parties to filed redacted and unredacted versions of the documents sought to be filed under seal. The Court nonetheless has ruled on the amended motion to seal for the sake of expediency, but going forward, any amended motion to seal must fully comply with Civ. L.R. 79-5(d) and include the redacted and unredacted versions of the documents to be sealed. Any amended motion that does not comply with Civ. L.R. 79-5(d) will be denied.

United States District Judge

IT IS SO ORDERED.

Dated: August 9, 2016