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UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN JOSE DIVISION

JOHN M HEINEKE,  
Plaintiff,  
v.  
SANTA CLARA UNIVERSITY, et al.,  
Defendants.

Case No. 17-CV-05285-LHK

**ORDER DENYING MOTIONS FOR  
PRELIMINARY INJUNCTION,  
GRANTING MOTION TO DISMISS,  
AND GRANTING MOTION TO STRIKE  
SUR-OPPOSITION**

Re: Dkt. Nos. 114, 126, 138, 145

On May 25, 2018, the Ninth Circuit reversed and remanded this Court’s orders denying Plaintiff John Heineke’s first and second motions for a preliminary injunction with instructions to analyze all four factors from *Winter v. Natural Resources Defense Council*, 555 U.S. 7, 24 (2008). Also before the Court are Defendants Santa Clara University and Jane Doe’s motion to dismiss the First Amended Complaint, ECF No. 114, Plaintiff’s third motion for a preliminary injunction, ECF No. 126, and Plaintiff’s motion to strike Defendants’ unauthorized sur-opposition, ECF No. 138. Having considered the submissions of the parties, the relevant law, the mandate on remand, and the record in this case, the Court DENIES Plaintiff’s first two motions for a preliminary injunction, GRANTS the motion to dismiss, DENIES the third motion for a preliminary

1 injunction, and GRANTS the motion to strike Defendants’ sur-opposition.

2 **I. BACKGROUND**

3 **A. Factual Background**

4 Plaintiff John Heineke (“Plaintiff”) is a 79-year-old former tenured professor of economics  
5 at Santa Clara University (“SCU”). ECF No. 11 (“FAC”) ¶¶ 1, 9-15. In 2015, Jane Doe (“Doe”),  
6 then a student of his, accused Plaintiff of sexual harassment and filed a complaint with SCU’s  
7 Office of EEO & Title IX. FAC ¶¶ 28, 30, 32. Doe chose not to pursue the complaint at that time.  
8 *Id.* ¶ 33. Plaintiff denies the allegations and asserts that Doe made the allegations as a face-saving  
9 way to withdraw from her commitment to serve as Plaintiff’s teaching assistant. *Id.* ¶¶ 34, 43.  
10 Plaintiff alleges that Doe repeatedly met with Plaintiff to seek academic and career guidance,  
11 asked to go to lunch with Plaintiff, and told Plaintiff that he was “sweet,” which Plaintiff contends  
12 show that Plaintiff did not sexually harass Doe. *Id.* ¶¶ 18-19, 44, 54.

13 At some unspecified time, another student (“Student A”) of Plaintiff’s filed a complaint  
14 accusing Plaintiff of sexual harassment. *Id.* ¶ 36. SCU hired a third-party investigator to  
15 investigate the charges. The investigator found that a preponderance of the evidence did not  
16 support Student A’s allegations of sexual harassment. *Id.* ¶ 37. In the course of the investigation  
17 into Student A’s claims, however, the investigator learned of Doe’s complaint and interviewed  
18 her. *Id.* ¶¶ 37-38. The investigator then opened a formal investigation into Doe’s complaint. *Id.*  
19 ¶¶ 38-39. Plaintiff asserts that Student A made her allegations because she was upset about a low  
20 grade she received in Plaintiff’s class. Plaintiff asserts that Doe agreed to resurrect her allegations  
21 to help Student A, with whom Plaintiff says Doe is friends. *Id.* ¶¶ 34, 36. Plaintiff also alleges  
22 that Doe agreed “to assist SCU in creating a pretext for firing Plaintiff and in covering up the fact  
23 that SCU was terminating Plaintiff because of his age.” *Id.* ¶ 43.

24 Following an investigation that included interviewing Doe and a number of witnesses, the  
25 investigator issued a report. The FAC does not specify the report’s findings. Instead, the FAC  
26 only alleges that the investigation and witnesses were biased against Plaintiff and that SCU used

1 the report as pretext to suspend and terminate Plaintiff. *Id.* ¶¶ 39-40, 42-45. In the original  
2 complaint, however, Plaintiff alleged that the report found that Plaintiff had violated SCU’s  
3 Gender-Based Discrimination and Sexual Misconduct Policy as well as Policy 311 of the Staff  
4 Policy Manual. ECF No. 1 ¶ 40; *see also* ECF No. 130-3 at 190 (letter from Provost Jacobs  
5 finding “it is more likely than not that [Plaintiff] violated the University’s Policy on Unlawful  
6 Harassment and Unlawful Discrimination (Appendix F of the Faculty Handbook and Policy 311 of  
7 the Staff Policy Manual)”).

8 On August 20, 2017, SCU threatened to terminate Plaintiff based on the sexual harassment  
9 report. FAC ¶ 45. Plaintiff internally appealed to SCU’s president; while the appeal was pending,  
10 SCU suspended Plaintiff with pay from his teaching duties. *Id.* ¶ 46. SCU replaced Plaintiff with  
11 a “younger (mid-forties), much less qualified adjunct professor (less experienced or competent in  
12 the course materials).” *Id.* Plaintiff contends that news of his suspension quickly spread around  
13 campus. *Id.* Plaintiff alleges that the false accusations have “destroyed his good name and  
14 reputation as one of SCU’s most well-established and prominent professors, have caused him to  
15 suffer diminishment and loss of his reputation, have caused Plaintiff and his wife severe emotional  
16 distress from anxiety about his future and reputation built over a lifetime, have lowered his  
17 standing in the eyes of fellow SCU faculty,” “have prevented him from obtaining other  
18 comparable employment as an economics professor,” and have “caused him . . . depression.” *Id.*  
19 ¶¶ 34, 47.

20 On October 13, 2017, SCU’s president affirmed the evidentiary findings of the report and  
21 also affirmed the decision to terminate Plaintiff’s employment.<sup>1</sup> ECF No. 130-3 at 8. On October  
22 24, 2017, SCU’s provost informed Plaintiff that he could appeal SCU’s president’s decision to the  
23 Faculty Judicial Board (“FJB”). *Id.* Plaintiff appealed SCU’s president’s decision to the FJB.  
24 Plaintiff remained on paid suspension while the appeal to the FJB was pending. *Id.*

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26 <sup>1</sup> The following facts are not pled in the FAC. Rather, they are drawn from the declarations  
27 submitted by the parties in connection to Plaintiff’s preliminary injunction motion.

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1           The FJB hearing occurred from March 19-21, 2018. During the hearing, both sides were  
2 represented by counsel and had the opportunity to call witnesses, cross-examine witnesses, submit  
3 evidence, deliver opening and closing statements, and submit post-hearing briefs. Exh. 3 to PI  
4 Mot. Plaintiff objects to certain limitations of the FJB process, including that he was not allowed  
5 to call SCU’s president as a witness, nor were the identities of some of the witnesses from the  
6 original investigation revealed. PI Reply at 5. In addition, Plaintiff contends that the FJB limited  
7 the scope of his cross-examination of Doe. *Id.* Plaintiff also argues that one of the FJB members,  
8 Professor Lawrence Nelson, was biased against Plaintiff. *Id.* However, the evidence shows that  
9 Plaintiff had the opportunity to ask Professor Nelson to recuse from the FJB hearing and Plaintiff  
10 explicitly “waive[d] any conflict of interest and d[id] not object to Professor Lawrence Nelson  
11 being on the Hearing Committee.” ECF No. 130-3 at 386.

12           On April 30, 2018, the FJB issued a 6-page unanimous decision upholding the decision to  
13 fire Plaintiff. Exh. 3 to PI Mot. The FJB stated that it “found Ms. Jane Doe’s testimony  
14 compelling and credible.” *Id.* at 4. To the contrary, the FJB “doubted the credibility of much of  
15 Professor Heineke’s testimony. [The FJB] found it doubtful, for example, that a Professor  
16 confronted with a student making allegations of gross sexual misconduct, which allegations the  
17 Professor regarded as completely fabricated, the allegations coming as a total shock and surprise  
18 to him, would in the immediate aftermath of such allegations continue to urge that student to serve  
19 as a teaching assistant for him.” *Id.* The FJB went on, “Professor Heineke claimed that he did so  
20 with Ms. Jane Doe, despite his shock at her allegations, because he needed a teaching assistant and  
21 had no other options. For a professor of Heineke’s experience and stature, this explanation  
22 begs credulity.” *Id.* The FJB concluded that “the more obvious explanation is that the  
23 allegations against him did not come as a complete shock, did not strike him as completely made-  
24 up, but rather struck him as a *characterization* of behavior that he recognized had happened, but  
25 which he had interpreted very differently. But his *testimony* was that these very severe allegations  
26 were completely fabricated . . . .” *Id.*

1           The FJB went on to address several of the same arguments that Plaintiff relies on in the  
2 instant case, including Plaintiff’s contention that Doe’s friendly emails to Plaintiff and her  
3 repeated visits to his office contradict Doe’s account of sexual harassment. *Id.* at 5. The FJB  
4 noted that “[t]his evidence fogs our apprehension of the facts of what transpired.” *Id.* The FJB  
5 nevertheless concluded that Doe’s explanation that she wanted to maintain a good relationship  
6 with her professor and hoped to secure a TA position was “plausible, especially given the power  
7 imbalance between a professor and a student. The emails are not inconsistent with a finding of  
8 harassment, but they do raise doubts about whether such harassment was happening.” *Id.* The  
9 FJB also addressed Plaintiff’s allegation that there was a conflict of interest in the investigation  
10 because Belinda Guthrie, SCU’s EEO and chief Title IX officer, was affiliated with NCHERM,  
11 the firm that Guthrie hired to do the investigation. *Id.* at 5-6. The FJB concluded that it would  
12 have been better for Guthrie to have disclosed the relationship or to have hired a firm with which  
13 she was not affiliated, but the FJB also determined that it “c[ould not] say that [any conflict]  
14 rendered the entire investigation unfair, nor its conclusions unreasonable.” *Id.* at 6. The FJB thus  
15 affirmed the investigation’s findings and the decision to terminate Plaintiff’s employment.

16           Two members of the FJB authored a concurring opinion in which they urged SCU to  
17 revisit the procedure for its disciplinary proceedings. *Id.* at 7. These members wrote: “Of course,  
18 employees do not in general have a right to a trial, or the right to question witnesses with the aid of  
19 counsel, before they can be terminated for violation of workplace policies. But if an employee *is*  
20 to be afforded such rights (as they are provided here by the Faculty Handbook), then it makes far  
21 more sense for the employee to enjoy those rights at the ‘trial stage,’ where the employee enjoys a  
22 presumption of innocence, rather than at the appellate stage, where they must overcome a finding  
23 of guilt.” *Id.* These members also noted, however, that “[w]e do not consider the existing  
24 procedures to have produced a result in this case that was clearly wrongful.” *Id.*

25           Professor Nelson wrote a separate concurring opinion in which he rejected the contention  
26 that “a professor accused of sexual harassment or any other form of sexual misconduct has an  
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1 ethical ‘right to confront his accusers’ or a ‘right to question them’ during the University’s  
2 investigative process—if by this it is meant that the complainant is in any sense required to  
3 undergo the questioning of the alleged wrongdoer (or his lawyer) in person in order to have her  
4 complaint taken seriously and acted upon by the University.” *Id.* at 8. Professor Nelson went on:

5           The accused certainly has a right to know the full substance of the complaint and  
6           have an adequate and fair opportunity to respond to it, but he has no right to  
7           personally confront the complainant who may still be suffering from the impact of  
8           misconduct, assuming it actually occurred. (I recall how upset and disturbed Ms.  
9           Jane Doe was when she testified before us with Professor Heineke sitting in front  
10           of her.)

11 *Id.* Professor Nelson also observed that Plaintiff “never explained to my satisfaction why Ms.  
12 Jane Doe would fabricate her allegations” or why she would agree to testify more than two years  
13 later if her allegations were false. Finally, Professor Nelson concluded that he had heard or seen  
14 no evidence to support either Plaintiff’s contention that Plaintiff was discriminated against  
15 because of his age or Plaintiff’s contention that “numerous individuals connected to this case  
16 (John Ottoboni, Fr. Engh, Provost Jacobs, Belinda Guthrie, and Michael Henry) were biased  
17 against him and actively seeking to find him liable for the alleged misconduct and fired.” *Id.* at 9.

18           SCU terminated Plaintiff’s employment on May 1, 2018. Exh. 1 to PI Mot.

19 **B. Procedural History**

20           On September 12, 2017, Plaintiff filed a complaint against Defendants SCU and a named  
21 former student alleging age discrimination, due process violations, wrongful termination,  
22 intentional infliction of emotional distress, negligent infliction of emotional distress, breach of  
23 contract, breach of the covenant of good faith and fair dealing, and defamation. ECF No. 1.

24 **1. First Emergency Motion for Temporary Restraining Order or Preliminary  
25 Injunction**

26           On September 13, 2017, while Plaintiff was suspended with pay pending resolution of his  
27 appeal to the SCU President of the Provost’s decision to terminate Plaintiff, ECF No. 22 at 3,  
28 Plaintiff filed an emergency motion for a temporary restraining order or preliminary injunction,  
ECF No. 14. Also on September 13, 2017, the Court ordered SCU to respond to the emergency

1 motion. ECF No. 16. SCU responded on September 14, 2017. ECF No. 68. On September 15,  
2 2017, the Court denied Plaintiff's emergency motion because the Court found that Plaintiff's  
3 alleged harms—reputational injury, loss of job, and emotional distress—were not irreparable  
4 under United States Supreme Court and Ninth Circuit precedent. *Id.*

5 On September 16, 2017, Plaintiff filed a notice of appeal to the Ninth Circuit Court of  
6 Appeals. ECF No. 23. Plaintiff sought a temporary restraining order from the Ninth Circuit,  
7 which the Ninth Circuit denied in a summary order. ECF No. 25.

8 **2. Defendants' First Motion to Dismiss**

9 On October 3, 2017, Defendants filed a motion to dismiss the complaint in this Court.  
10 ECF No. 69. On October 23, 2017, Defendants filed an administrative motion to allow the named  
11 defendant to proceed under pseudonym. ECF No. 71. On October 27, 2017, Plaintiff filed an  
12 opposition to the administrative motion to proceed under pseudonym. ECF No. 86. Also on  
13 October 27, 2017, Plaintiff filed an opposition to the motion to dismiss. ECF No. 34. On  
14 November 8, 2017, Plaintiff filed a reply in support of the motion to dismiss. ECF No. 70.

15 **3. Plaintiff's Second Motion for a Preliminary Injunction**

16 On November 9, 2017, Plaintiff filed a second emergency motion for a preliminary  
17 injunction. ECF No. 37. The Court struck Plaintiff's motion for failure to comply with the Civil  
18 Local Rules governing page limits. ECF No. 38. On November 13, 2017, Plaintiff refiled the  
19 emergency motion for preliminary injunction based on his alleged imminent firing. Plaintiff's  
20 motion included a request for an evidentiary hearing. ECF No. 39. The Court directed SCU to  
21 file a response by November 15, 2017. ECF No. 40. The Court also set a hearing for the  
22 preliminary injunction motion for November 17, 2017 and ordered the parties to file statements  
23 related to Plaintiff's request for an evidentiary hearing. ECF No. 46.

24 SCU filed an opposition to the emergency motion for a preliminary injunction on  
25 November 15, 2017, ECF No. 75, and both parties filed statements outlining their positions on  
26 Plaintiff's requested evidentiary hearing, ECF Nos. 76, 90. Notably, Plaintiff envisioned calling at  
27

1 least 18 witnesses and taking at least 12 hours of testimony. ECF No. 90.

2 On November 16, 2017, the Court filed an order granting Plaintiff's request for an  
3 evidentiary hearing but limiting each side to two hours of witness testimony. ECF No. 50. The  
4 Court also granted Defendants' request for the named student-defendant to proceed under the  
5 pseudonym of "Jane Doe." ECF No. 54.

6 Also on November 16, 2017, the Court ordered Plaintiff to file a declaration under penalty  
7 of perjury stating whether Plaintiff would file an appeal to the Faculty Judicial Board, as such an  
8 appeal would render Plaintiff's second request for a preliminary injunction premature. ECF No.  
9 51. Plaintiff filed a declaration stating that he had decided to file an appeal to the Faculty Judicial  
10 Board. ECF No. 92. Accordingly, the Court denied Plaintiff's motion for a preliminary injunction  
11 because Plaintiff's status had not materially changed since his first motion for a restraining order  
12 or preliminary injunction. ECF No. 55. Specifically, Plaintiff remained on paid suspension  
13 pending exhaustion of his administrative remedies at SCU. *Id.* at 2. As such, the Court's  
14 reasoning in its Order denying the first motion for a temporary restraining order or preliminary  
15 injunction still applied. *Id.* The Court vacated the associated hearing. *Id.*

16 **4. Defendants' Anti-SLAPP Special Motion to Strike**

17 On November 13, 2017, Defendants filed a special motion to strike the complaint. ECF  
18 Nos. 72. On November 17, 2017, Plaintiff filed an opposition to the special motion to strike. ECF  
19 No. 56. On November 22, 2017, Defendants filed a reply. ECF No. 62. Also on November 28,  
20 2017, Plaintiff filed an administrative motion for permission to file a sur-reply on the anti-SLAPP  
21 special motion to strike. ECF No. 64. The Court denied the administrative motion on November  
22 29, 2017. ECF No. 65. On November 30, 2017, Plaintiff filed a second notice of appeal, this time  
23 challenging the Court's second order denying a preliminary injunction, as well as the Court's  
24 order allowing Doe to proceed under pseudonym. ECF No. 67.

25 **5. Order Granting in Part and Denying in Part Anti-SLAPP Motion, Granting**  
26 **Motion to Dismiss**

27 On December 5, 2017, the Court granted in part and denied in part Defendants' anti-



1 SLAPP motion to strike and granted in full Defendants’ motion to dismiss. ECF No. 99. With  
2 respect to the anti-SLAPP motion, the Court first denied the motion as to the § 1983 claim and the  
3 ADEA claim, to the extent Plaintiff pled an ADEA claim, because the anti-SLAPP statute may  
4 only be used to strike state law claims. *Id.* at 9 & n.2. The Court next denied the anti-SLAPP  
5 motion as to the wrongful discharge, breach of contract, and breach of the implied covenant of  
6 good faith and fair dealing causes of action because SCU’s decisions to suspend or discharge  
7 Plaintiff were the actions underpinning Plaintiff’s claims, rather than any protected speech. *Id.* at  
8 12 (citing *Park v. Bd. of Trustees of Cal. State Univ.*, 393 P.3d 905, 907-11 (Cal. 2017); *Ku v.*  
9 *Dibaji*, 2017 WL 3205809 (Cal. Ct. App. July 28, 2017) (unpublished); *Nam v. Regents of Univ. of*  
10 *Cal.*, 205 Cal. Rptr. 3d 687, 695-96 (Ct. App. 2016)). The Court granted with prejudice the anti-  
11 SLAPP motion to strike Plaintiff’s intentional infliction of emotional distress and negligent  
12 infliction of emotional distress claims against SCU as barred by California’s workers’  
13 compensation exclusivity rule. *Id.* at 14-16. The Court denied the anti-SLAPP motion as to the  
14 intentional infliction of emotional distress claim as to Doe but granted with prejudice the motion  
15 as to the negligent infliction of emotional distress claim as to Doe. *Id.* at 17-24. Finally, the Court  
16 denied the anti-SLAPP motion as to the defamation claim against both Defendants. *Id.* at 24-26.

17 Turning to the motion to dismiss, the Court first analyzed Plaintiff’s § 1983 claim for  
18 substantive and procedural due process violations. *Id.* at 27. “To state a claim for relief in an  
19 action brought under § 1983, [a plaintiff] must establish that [he] w[as] deprived of a right secured  
20 by the Constitution or laws of the United States, and that the alleged deprivation was committed  
21 under color of state law.” *Am. Mfrs. Mut. Ins. Co. v. Sullivan*, 526 U.S. 40, 49-50 (1999). “Like  
22 the state-action requirement of the Fourteenth Amendment, the under-color-of-state-law element  
23 of § 1983 excludes from its reach ‘merely private conduct, no matter how discriminatory or  
24 wrongful.’” *Id.* at 50 (quoting *Blum v. Yaretsky*, 457 U.S. 991, 1002 (1982)). Thus, “[i]n order to  
25 recover under § 1983 for conduct by the defendant, a plaintiff must show ‘that the conduct  
26 allegedly causing the deprivation of a federal right must be fairly attributable to the State.’”

1 *Caviness v. Horizon Community Learning Center, Inc.*, 590 F.3d 806, 812 (9th Cir. 2010) (quoting  
2 *Lugar v. Edmondson Oil Co.*, 457 U.S. 922, 937 (1982)).

3 The Court found that Plaintiff failed to establish that SCU was a state actor for the  
4 purposes of his § 1983 claim. First, the Court reasoned that Plaintiff’s allegation that SCU  
5 receives some unspecified amount of federal funding does not by itself transform a private  
6 institution into a state actor because the United States Supreme Court has said that “[t]he  
7 Government may subsidize private entities without assuming constitutional responsibility for their  
8 actions.” ECF No. 99 at 29 (quoting *S.F. Arts & Athletics, Inc. v. U.S. Olympic Committee*, 483  
9 U.S. 522, 544 (1987) (citing *Blum*, 457 U.S. at 1011; *Rendell-Baker v. Kohn*, 457 U.S. 830, 840  
10 (1982))). The Court found that other courts addressing this issue routinely hold that the receipt of  
11 government funds does not by itself transform a private actor into a state actor. *Id.* (citing  
12 *Rendell-Baker*, 457 U.S. at 831-33, 840; *Caviness*, 590 F.3d at 808-09, 818; *Faparusi v. Case W.*  
13 *Reserve Univ.*, 711 F. App’x 269, 275-76 (6th Cir. Oct. 4, 2017) (unpublished); *Gross v. R.T.*  
14 *Reynolds, Inc.*, 487 F. App’x 711, 719 (3d Cir. 2012) (unpublished); *Grosvenor v. Des Moines*  
15 *Area Community College*, 980 F.2d 734, at \*2 (8th Cir. 1992) (unpublished); *Johnson v. Sutter*  
16 *Delta Med. Center*, No. C 11-03628 SI, 2011 WL 5444319, at \*2 (N.D. Cal. Nov. 9, 2011);  
17 *Visintini v. Hayward*, No. C-08-5393 EMC, 2009 WL 2413356, at \*3 (N.D. Cal. Aug. 5, 2009)).

18 Second, the Court found that Plaintiff’s argument in his opposition that SCU acted under  
19 color of state law because its action was compelled by Title IX did not appear in the complaint.  
20 *Id.* at 30. Nonetheless, the Court went on to analyze the Title IX theory and found it insufficient  
21 to establish state action. *Id.* at 31. The Court noted that Plaintiff did not contend that it was the  
22 mere fact that SCU conducted an investigation, as required by law, that deprived him of due  
23 process. Nor did Plaintiff allege that any state or federal law, including Title IX, compelled SCU  
24 to conduct the investigation in a certain way or come to any particular decision about his  
25 termination. Plaintiff’s argument thus amounted to a contention that “a generally applicable  
26 statutory requirement, without more, . . . is sufficient to hold a private employer responsible as a  
27

1 governmental actor.” *Sutton v. Providence St. Joseph Medical Center*, 192 F.3d 826, 837 (9th Cir.  
2 1999). The Court stated that the Ninth Circuit already considered and rejected this argument in  
3 *Sutton*. *See id.* at 837-39. Specifically, in *Sutton*, the plaintiff argued that a private medical center  
4 acted under color of state law when the medical center refused to hire him if he did not provide his  
5 social security number, as required by law. *Id.* at 829. The Ninth Circuit held that complying  
6 with a generally applicable law was not enough to transform a private entity into a state actor. It  
7 explained:

8 To accept Plaintiff’s argument would be to convert every employer—  
9 whether it has one employee or 1,000 employees—into a governmental actor  
10 every time it complies with a presumptively valid, generally applicable law, such  
11 as an environmental standard or a tax-withholding scheme. Private employers  
12 would then be forced to defend those laws and pay any consequent damages, even  
13 though they bear no real responsibility for the violation of rights arising from the  
14 enactment of the laws. “Statutes and laws regulate many forms of purely private  
15 activity, such as contractual relations and gifts, and subjecting all behavior that  
16 conforms to state law to the Fourteenth Amendment would emasculate the  
17 [government] action concept.”

18 *Id.* at 838-39 (alteration in original) (quoting *Adams v. S. Cal. First Nat’l Bank*, 492 F.2d 324,  
19 330-31 (9th Cir. 1974)). Moreover, the Ninth Circuit concluded that such an approach would be  
20 inconsistent with U.S. Supreme Court precedent. *Id.* at 839-41. This Court thus dismissed  
21 Plaintiff’s § 1983 claim with leave to amend as to SCU but with prejudice as to Doe. ECF No. 99  
22 at 32.

23 With respect to the ADEA claim, the Court noted that “as an initial matter, it is not even  
24 clear that Plaintiff has attempted to allege an ADEA claim. The caption of the Complaint lists the  
25 second cause of action as ‘ADEA Violations (29 U.S.C. § 631 et seq.)’ and the Complaint invokes  
26 federal jurisdiction based in part on the ADEA.” *See* ECF No. 1 ¶ 1. “However, the body of the  
27 Complaint does not contain an ADEA cause of action. Rather, the body of the Complaint only  
28 contains a wrongful discharge cause of action that mentions age discrimination in passing.” *See*  
*id.* ¶¶ 57-61. The Court nevertheless went on to analyze Plaintiff’s putative ADEA claim so that  
Plaintiff would have notice of any such claim’s deficiencies:

1 Even setting this anomaly in pleading aside, the Complaint contains only  
2 conclusory allegations that SCU discriminated against Plaintiff based on his age.  
3 In the first paragraph of the Complaint, Plaintiff states that SCU threatened “to  
4 suspend and/or terminate Plaintiff from his tenured position as a professor at SCU  
5 due to his being 79 years old.” Compl. ¶ 1. In paragraph 55, Plaintiff asserts that  
6 he “believes and will prove the real reason SCU is seeking to suspend or  
7 terminate him is age discrimination in order to avoid paying his tenured salary.”  
8 Compl. ¶ 55. In paragraph 61, Plaintiff alleges that “SCU’s failure to follow its  
9 policies as well as state and federal law was done with malice or with reckless  
10 indifference to Plaintiff Heineke’s federally protected rights and was done to  
11 discriminate against him because of his age – he is 79 years old.” Compl. ¶ 61.  
12 Finally, in a declaration that was not signed but which Plaintiff swore was correct  
13 in an attached email, Plaintiff stated that he is 79 years old. Heineke Decl. ¶ 1. In  
14 addition, Plaintiff states that he is “informed and believe[s] that the SCU Business  
15 School is seeking to save money and that firing [him], a tenured professor, is a  
16 way for SCU to save \$100,000/year by replacing [him] with an untenured or  
17 adjunct professor.” *Id.* ¶ 31. Plaintiff added that he believes that “the sexual  
18 harassment accusation is being used as a pretext to fire [him] because of [his] age  
19 and high compensation, so SCU can save money.” *Id.*

20 These allegations fail to sufficiently plead an ADEA violation. Aside from  
21 reciting that Plaintiff is 79 years old, Plaintiff does not allege any direct indication  
22 that he was fired because of his age. As a result, Plaintiff instead must establish a  
23 prima facie case of discriminatory intent using circumstantial evidence, but the  
24 Complaint falls short here, too. Specifically, Plaintiff does not allege that he was  
25 replaced with “a substantially younger employee[] with equal or inferior  
26 qualifications.” *Coleman*, 232 F.3d at 1281. Instead, Plaintiff alleges that SCU  
27 would seek to replace him with “an untenured or adjunct professor.” Heineke  
28 Decl. ¶ 31.

In his Opposition, Plaintiff attempts to conflate the age of any replacement  
with tenure status and salary. For example, Plaintiff states that he has alleged that  
SCU used the sexual harassment allegations “as a pretext . . . to terminate  
Professor Heineke, a 79-year old tenured professor and replace him with a  
younger, cheaper, adjunct professor, and SCU admits it has done so.” MTD Opp.  
at 12 (internal citations omitted); *see also id.* at 16 (repeating assertion that  
Plaintiff has alleged his replacement is “a younger, cheaper adjunct professor”).  
Plaintiff misstates the record, and the Court cautions Plaintiff to take greater care  
in characterizing the record in the future. Neither the Complaint nor Plaintiff’s  
declaration allege that any replacement for Plaintiff will be younger than Plaintiff.  
As stated above, paragraph 31 of Plaintiff’s declaration, upon which Plaintiff  
relies here, only states that his replacement would be untenured and paid less. It  
does not state that any replacement would be younger. Moreover, although the  
Court limits its review on a motion to dismiss to the facts alleged in the  
Complaint and any judicially noticeable facts, the Court notes that Plaintiff’s  
other declarations similarly lack any allegations as to the replacement’s age. *See*  
ECF No. 19 ¶ 3 (separate Plaintiff declaration making same claim about salary  
and tenure status of replacement but failing to mention age); ECF No. 39- 1 at 42

¶ 37 (separate Plaintiff declaration identifying replacement as adjunct professor without mentioning the adjunct professor’s age). Similarly, the Chacko declaration states only that tenured professors “are paid substantially more than adjunct professors.” ECF No. 21 ¶ 3. Moreover, the Guthrie declaration, which Plaintiff cites for the proposition that SCU has admitted to hiring a younger replacement, does not mention the age of any replacement. It says: “SCU has already made arrangements to have another faculty member cover Plaintiff’s courses and changing that at this time will cost SCU money and will inconvenience the students and faculty scheduled to teach Plaintiff’s courses.” ECF No. 17-1 ¶ 10.

While tenure status or salary may be correlated with age, alleging that an employment decision was made based on a separate characteristic that correlates with age is not sufficient to state an ADEA claim, as the following decisions of the United States Supreme Court and Ninth Circuit demonstrate. In *Hazen Paper Co. [v. Biggins]*, 507 U.S. 604 (1993), the United States Supreme Court addressed “whether an employer violates the ADEA by acting on the basis of a factor, such as an employee’s pension status or seniority, that is empirically correlated with age.” 507 U.S. at 608. The United States Supreme Court explained that “[i]t is the very essence of age discrimination for an older employee to be fired because the employer believes that productivity and competence decline with old age.” *Id.* at 610. “Congress’ promulgation of the ADEA was prompted by its concern that older workers were being deprived of employment on the basis of inaccurate and stigmatizing stereotypes.” *Id.* The United States Supreme Court noted, however, that “[w]hen the employer’s decision is wholly motivated by factors other than age, the problem of inaccurate and stigmatizing stereotypes disappears. This is true even if the motivating factor is correlated with age, as pension status typically is.” *Id.* at 611.

With regard to an employee’s years of service, for example, the United States Supreme Court explained that “an employee’s age is analytically distinct from his years of service,” even though age and years of service may often be correlated. *Id.* “An employee who is younger than 40, and therefore outside the class of older workers as defined by the ADEA, may have worked for a particular employer his entire career, while an older worker may have been newly hired. Because age and years of service are analytically distinct, . . . it is incorrect to say that a decision based on years of service is necessarily ‘age based.’” *Id.* (internal citation omitted). As a result, a decision to fire an older employee solely because he had enough years of service to be close to vesting his pension benefits would not constitute discriminatory treatment on the basis of age. The United States Supreme Court explained, “The prohibited stereotype (‘Older employees are likely to be \_\_\_’) would not have figured in this decision, and the attendant stigma would not ensue.” *Id.* at 612. The United States Supreme Court noted that such an action may not be legal, “[b]ut it would not, without more, violate the ADEA.” *Id.*

The Ninth Circuit applied *Hazen Paper Co.* in *Turney v. Beltservice Corp.*, 92 F.3d 1194 (9th Cir. 1996) (unpublished). In *Turney*, the plaintiff argued that he was terminated because of his high salary, which was related to his age, and that

1 his replacement “represented younger, cheaper labor to the company.” *Id.* at \*2.  
2 The Ninth Circuit concluded that *Hazen Paper Co.* controlled because “the  
3 motivating factor for terminating Turney appears to be one which is related to, but  
4 not the same as, his age.” *Id.*; see also *Flynn v. Portland General Elec. Co.*, 958  
5 F.2d 377, at \*7 (9th Cir. 1992) (unpublished) (“A desire to cut costs does not  
6 necessarily equate with an intent to discriminate on the basis of age . . .”). As a  
7 result, in the instant case, not only has Plaintiff failed to plead that he was  
8 replaced with a substantially younger employee, but Plaintiff has also pled facts  
9 that, when accepted as true, as the Court must on a motion to dismiss, “establish  
10 that he cannot prevail on his . . . claim.” *Weisbuch*, 119 F.3d at 783 n.1 (quoting  
11 *Warzon*, 60 F.3d at 1239). Specifically, Plaintiff has pled that the motivating  
12 factor in SCU’s decision to suspend him was a desire to cut costs by replacing  
13 Plaintiff, a tenured, highly paid professor, with an untenured, lower-paid  
14 professor. While tenure status and salary may often relate to age, they are not the  
15 same as age. See *Hazen Paper Co.*, 507 U.S. at 612; *Turney*, 92 F.3d 1194, at \*2.

16 ECF No. 99 at 36-39.

17 The Court thus granted the motion to dismiss the ADEA claim with leave to amend as to  
18 SCU and with prejudice as to Doe. Having dismissed any possible federal causes of action, the  
19 Court then declined to exercise supplemental jurisdiction over the state law causes of action. *Id.* at  
20 40-41. The Court noted that if Plaintiff “fails to cure the § 1983 and ADEA deficiencies identified  
21 in this order, those claims will be dismissed with prejudice.” *Id.* at 45.

## 22 **6. First Amended Complaint and Motion to Dismiss First Amended Complaint**

23 On February 2, 2018, Plaintiff filed a First Amended Complaint. ECF No. 111 (“FAC”).  
24 On February 16, 2018, Defendants filed a motion to dismiss the FAC. ECF No. 114 (“MTD”).  
25 On March 9, 2018, Plaintiff filed an opposition to the motion to dismiss. ECF No. 118 (“MTD  
26 Opp’n”). On March 23, 2018, Defendants filed a reply. ECF No. 119 (“MTD Reply”).

## 27 **7. Third Motion for Preliminary Injunction and Ninth Circuit Decision**

28 On May 10, 2018, Plaintiff filed a third motion for preliminary injunction. ECF No. 145  
29 (“PI Mot.”). On May 24, 2018, Defendants filed an opposition to the motion for preliminary  
30 injunction. ECF No. 130 (“PI Opp’n”). On May 25, 2018, the Ninth Circuit issued a  
31 memorandum disposition reversing the Court’s orders denying Plaintiff’s first and second motions  
32 for a preliminary injunction and remanding for the Court to analyze all four preliminary injunction  
33 factors. ECF No. 135. On May 30, 2018, Defendants filed a “sur-opposition” to respond to the

1 Ninth Circuit decision. ECF No. 136. Also on May 30, 2018, Plaintiff filed a reply in support of  
 2 the motion for a preliminary injunction. ECF No. 137 (“PI Reply”). On May 31, 2018, Plaintiff  
 3 filed a motion to strike Defendants’ sur-opposition. ECF No. 138. Defendants did not respond to  
 4 the motion to strike the sur-opposition. Because Defendants did not seek or receive permission to  
 5 file the sur-opposition, Plaintiff’s motion to strike the sur-opposition is GRANTED.

6 The mandates from the Ninth Circuit issued on June 18, 2018 and June 19, 2018. ECF  
 7 Nos. 142, 143.

8 **II. LEGAL STANDARD**

9 **A. Motion to Dismiss Pursuant to Federal Rule of Civil Procedure 12(b)(6)**

10 Pursuant to Federal Rule of Civil Procedure 12(b)(6), a defendant may move to dismiss an  
 11 action for failure to allege “enough facts to state a claim to relief that is plausible on its face.” *Bell*  
 12 *Atl. Corp. v. Twombly*, 550 U.S. 544, 570 (2007). “A claim has facial plausibility when the  
 13 plaintiff pleads factual content that allows the court to draw the reasonable inference that the  
 14 defendant is liable for the misconduct alleged. The plausibility standard is not akin to a  
 15 ‘probability requirement,’ but it asks for more than a sheer possibility that a defendant has acted  
 16 unlawfully.” *Ashcroft v. Iqbal*, 556 U.S. 662, 678 (2009) (internal citations omitted). For  
 17 purposes of ruling on a Rule 12(b)(6) motion, the Court “accept[s] factual allegations in the  
 18 complaint as true and construe[s] the pleadings in the light most favorable to the nonmoving  
 19 party.” *Manzarek v. St. Paul Fire & Marine Ins. Co.*, 519 F.3d 1025, 1031 (9th Cir. 2008).

20 However, a court need not accept as true allegations contradicted by judicially noticeable  
 21 facts, *Shwarz v. United States*, 234 F.3d 428, 435 (9th Cir. 2000), and the “[C]ourt may look  
 22 beyond the plaintiff’s complaint to matters of public record” without converting the Rule 12(b)(6)  
 23 motion into one for summary judgment, *Shaw v. Hahn*, 56 F.3d 1128, 1129 n.1 (9th Cir. 1995).  
 24 Nor is the Court required to “assume the truth of legal conclusions merely because they are cast in  
 25 the form of factual allegations.” *Fayer v. Vaughn*, 649 F.3d 1061, 1064 (9th Cir. 2011) (per  
 26 curiam) (quoting *W. Mining Council v. Watt*, 643 F.2d 618, 624 (9th Cir. 1981)). Mere

1 “conclusory allegations of law and unwarranted inferences are insufficient to defeat a motion to  
2 dismiss.” *Adams v. Johnson*, 355 F.3d 1179, 1183 (9th Cir. 2004); *accord Iqbal*, 556 U.S. at 678.  
3 Furthermore, “a plaintiff may plead [him]self out of court” if he “plead[s] facts which establish  
4 that he cannot prevail on his . . . claim.” *Weisbuch v. County of Los Angeles*, 119 F.3d 778, 783  
5 n.1 (9th Cir. 1997) (quoting *Warzon v. Drew*, 60 F.3d 1234, 1239 (7th Cir. 1995)).

6 **B. Motion for a Preliminary Injunction**

7 “A preliminary injunction is an extraordinary remedy never awarded as of right.” *Winter*  
8 *v. Natural Res. Def. Council*, 555 U.S. 7, 24 (2008). “A plaintiff seeking a preliminary injunction  
9 must establish that he is likely to succeed on the merits, that he is likely to suffer irreparable harm  
10 in the absence of preliminary relief, that the balance of equities tips in his favor, and that an  
11 injunction is in the public interest.” *Id.* at 20. The party seeking the injunction bears the burden of  
12 proving these elements. *Klein v. City of San Clemente*, 584 F.3d 1196, 1201 (9th Cir. 2009). “The  
13 Ninth Circuit weighs these factors on a sliding scale, such that where there are only ‘serious  
14 questions going to the merits’—that is, less than a ‘likelihood of success on the merits’—a  
15 preliminary injunction may still issue so long as ‘the balance of hardships tips *sharply* in the  
16 plaintiff’s favor’ and the other two factors are satisfied.” *Short v. Brown*, --- F.3d ---, 2018 WL  
17 3077070, at \*3 (9th Cir. 2018) (quoting *Shell Offshore, Inc. v. Greenpeace, Inc.*, 709 F.3d 1281,  
18 1291 (9th Cir. 2013)). The issuance of a preliminary injunction is at the discretion of the district  
19 court. *Alliance for the Wild Rockies v. Cottrell*, 632 F.3d 1127, 1131 (9th Cir. 2011).

20 **C. Leave to Amend**

21 Under Rule 15(a) of the Federal Rules of Civil Procedure, leave to amend “shall be freely  
22 granted when justice so requires,” bearing in mind “the underlying purpose of Rule 15 to facilitate  
23 decision on the merits, rather than on the pleadings or technicalities.” *Lopez v. Smith*, 203 F.3d  
24 1122, 1127 (9th Cir. 2000) (en banc) (ellipses omitted). However, a court “may exercise its  
25 discretion to deny leave to amend due to ‘undue delay, bad faith or dilatory motive on part of the  
26 movant, repeated failure to cure deficiencies by amendments previously allowed, undue prejudice  
27



1 to the opposing party . . . , [and] futility of amendment.” *Carvalho v. Equifax Info. Servs., LLC*,  
2 629 F.3d 876, 892-93 (9th Cir. 2010) (alterations in original) (quoting *Foman v. Davis*, 371 U.S.  
3 178, 182 (1962)).

4 **III. DISCUSSION**

5 The Court first turns to the task on remand from the Ninth Circuit, which is to analyze all  
6 four *Winter* factors for Plaintiff’s first and second motions for a preliminary injunction. The  
7 procedural posture of this case on remand is complicated. As described in the procedural history  
8 section above, between Plaintiff’s notices of appeal and the Ninth Circuit’s disposition of those  
9 appeals, the Court dismissed Plaintiff’s complaint, Plaintiff filed the FAC, Defendants filed a  
10 motion to dismiss the FAC, and Plaintiff filed a third preliminary injunction motion. It is thus  
11 unclear whether the Ninth Circuit’s mandate requires the Court to re-analyze Plaintiff’s first two  
12 preliminary injunction motions, which were both based on a complaint that the Court has since  
13 dismissed. *See Pac. Bell Tel. Co. v. Linkline Commc’ns, Inc.*, 555 U.S. 438, 456 n.4 (2009)  
14 (“Normally, an amended complaint supersedes the original complaint.”).

15 Out of an abundance of caution, the Court analyzes both Plaintiff’s first two preliminary  
16 injunction motions and the third preliminary injunction motion, for the following two reasons.  
17 First, only the Court’s orders denying Plaintiff’s first and second motions for a preliminary  
18 injunction were before the Ninth Circuit, which suggests that the Ninth Circuit’s instructions on  
19 remand relate to the Court’s consideration of those motions. Second, as explained in more detail  
20 in Section III.C below, the nature of the injunctive relief that Plaintiff seeks has changed between  
21 the first two preliminary injunction motions and the third preliminary injunction motion. Namely,  
22 in the first two motions Plaintiff sought a prohibitory injunction, in that he sought to prevent SCU  
23 from suspending or terminating Plaintiff. *See* ECF No. 14 at 1; ECF No. 39 at 1. Plaintiff filed  
24 his third preliminary injunction motion after SCU terminated Plaintiff’s employment. As a result,  
25 the third motion seeks a mandatory injunction, in that it seeks Plaintiff’s reinstatement. *See* ECF  
26 No. 145 at 1. The Ninth Circuit applies a higher standard to mandatory injunctions than to  
27

1 prohibitory injunctions. *See Hernandez v. Sessions*, 872 F.3d 976, 997-98 (9th Cir. 2017)  
 2 (acknowledging criticisms of separate standards but concluding that circuit precedent requires the  
 3 distinction). Thus, the Court concludes that it would be prejudicial to Plaintiff to interpret the  
 4 Ninth Circuit’s mandate as only applying to the third preliminary injunction motion, in which  
 5 Plaintiff faces a heavier burden than he faced in the first two motions. In any event, even if the  
 6 Court’s interpretation of the Ninth Circuit’s mandate is wrong, it would not make a difference,  
 7 because the Court analyzes and denies all three preliminary injunction motions in this order.

8 The Court then addresses the motion to dismiss the FAC and then turns to Plaintiff’s third  
 9 motion for a preliminary injunction.

10 **A. First and Second Motions for a Preliminary Injunction**

11 As stated above, “[a] plaintiff seeking a preliminary injunction must establish that he is  
 12 likely to succeed on the merits, that he is likely to suffer irreparable harm in the absence of  
 13 preliminary relief, that the balance of equities tips in his favor, and that an injunction is in the  
 14 public interest.” *Winter*, 555 U.S. at 20. The party seeking the injunction bears the burden of  
 15 proving these elements. *Klein*, 584 F.3d at 1201. “The Ninth Circuit weighs these factors on a  
 16 sliding scale, such that where there are only ‘serious questions going to the merits’—that is, less  
 17 than a ‘likelihood of success on the merits’—a preliminary injunction may still issue so long as  
 18 ‘the balance of hardships tips *sharply* in the plaintiff’s favor’ and the other two factors are  
 19 satisfied.” *Short*, --- F.3d ---, 2018 WL 3077070, at \*3 (quoting *Shell Offshore*, 709 F.3d at 1291).  
 20 “For the purposes of injunctive relief, ‘serious question[s]’ are ‘questions which cannot be  
 21 resolved one way or the other at the hearing on the injunction. . . . Serious questions need not  
 22 promise a certainty of success, nor even present a probability of success, but must involve a fair  
 23 chance of success on the merits.’” *TAP Mfg., LLC v. Signs*, 2015 WL 12762269, at \*1 (C.D. Cal.  
 24 Mar. 6, 2015) (quoting *Rep. of the Philippines v. Marcos*, 862 F.2d 1355, 1362 (9th Cir. 1988));  
 25 *see also Cascadia Wildlands v. Scott Timber Co.*, 715 F. App’x 621, 624-25 (9th Cir. 2017)  
 26 (unpublished) (citing *Rep. of the Philippines* for definition of “serious questions”).

1           In its memorandum disposition in this case, the Ninth Circuit observed that “in the  
2 employment discrimination context the likelihood of success on the merits may inform the  
3 irreparable harm analysis.” ECF No. 135 at 5 (citing *Chalk v. U.S. Dist. Court Cent. Dist. of Cal.*,  
4 840 F.2d 701, 704-10 (9th Cir. 1988)). Specifically, the Ninth Circuit suggested that if Plaintiff  
5 had a strong age discrimination claim, Plaintiff’s harm might more likely be irreparable. *Id.* The  
6 Ninth Circuit then remanded for this Court to “complete a full analysis of the preliminary  
7 injunction factors to decide whether to issue a preliminary injunction.” Accordingly, the Court  
8 first turns to Plaintiff’s likelihood of success on the merits.

9           **1. Likelihood of Success on the Merits**

10           “The first factor under *Winter* is the most important—likely success on the merits.”  
11 *Garcia v. Google, Inc.*, 786 F.3d 733, 740 (9th Cir. 2015) (en banc). Both Plaintiff’s first and  
12 second preliminary injunction motions fail to carry Plaintiff’s burden of establishing that Plaintiff  
13 is likely to succeed on the merits of his claims. In Plaintiff’s first preliminary injunction motion,  
14 Plaintiff’s analysis of the likelihood of success factor focused entirely on the alleged flaws in  
15 SCU’s investigation of Doe’s allegations and evidence that he contends shows Doe’s allegations  
16 are false. *See* ECF No. 14 at 7-9, 11-13. For example, Plaintiff argued that “Plaintiff has  
17 presented serious questions, if not a strong likelihood of success, that the underlying investigation  
18 and process were fundamentally unfair.” *Id.* at 12. Plaintiff appeared to assume that proving  
19 procedural flaws in the investigation equated to a likelihood of success in proving all of his claims.  
20 Plaintiff did not address the likelihood of success on any particular claim, nor did Plaintiff explain  
21 how his factual allegations about the flaws in the investigation related to the elements of any of his  
22 claims.

23           Plaintiff’s analysis of the likelihood of success factor in the second preliminary injunction  
24 motion is similarly cursory. In Plaintiff’s introduction to the second motion, Plaintiff cites some  
25 evidence in support of his contention that he did not sexually harass Doe, including friendly  
26 emails that Doe sent Plaintiff and Plaintiff’s assertion that he took a lie detector test that proved he  
27

1 did not harass Doe. ECF No. 39 at 1-14. Plaintiff argues that Doe’s accusations are false and that  
2 as a result there was no extraordinary cause to fire him. ECF NO. 39 at 14. Presumably this  
3 argument relates to Plaintiff’s contract claim. In addition, Plaintiff states:

4           Professor Heineke can and will prove that he did not sexually harass Ms. [Doe]  
5 (or anyone else), that there is absolutely no merit to the fabricated (demonstrably  
6 implausible) accusation and that SCU has acted recklessly or purposely in seeking  
7 to terminate him, not because of any sexual harassment, but as a pretext to fire  
8 him because of his age in order to save over \$100,000/year by terminating him  
9 and replacing him with a cheaper adjunct professor who is less qualified.

10 *Id.* at 15. The portion of Plaintiff’s second preliminary injunction motion devoted to his likelihood  
11 of success on the merits states, in full: “With regard to the likelihood of success on the merits, as  
12 shown above, Ms. [Doe]’s accusation is false and fabricated and Professor Heineke will prove it.”  
13 ECF No. 39 at 23.

14           Given the Ninth Circuit’s admonition that “[a] preliminary injunction is ‘an extraordinary  
15 and drastic remedy, one that should not be granted unless the movant, *by a clear showing*, carries  
16 the burden of persuasion,’” *Lopez v. Brewer*, 680 F.3d 1068, 1072 (9th Cir. 2012) (quoting  
17 *Mazurek v. Armstrong*, 520 U.S. 968, 972 (1997) (per curiam)), such cursory analysis does not  
18 satisfy Plaintiff’s burden. *Cf. Short v. Brown*, 2018 WL 1941762, at \*10 (E.D. Cal. Apr. 25, 2018)  
19 (“It is not this Court’s role to spin out arguments . . . that Plaintiffs have not made.”), *aff’d*, 2018  
20 WL 3077070 (9th Cir. June 22, 2018); *Dunn v. Codikow*, 2013 WL 12089494, at \*2 (C.D. Cal.  
21 Oct. 21, 2013) (finding that allegation that contract was fraudulent and void, “without any citation  
22 to relevant case law or evidence, falls well short of meeting [the] burden to establish a substantial  
23 likelihood of success on the merits”).

24           Nevertheless, because the Ninth Circuit highlighted the potential significance of Plaintiff’s  
25 age discrimination allegations in its memorandum disposition, the Court independently assesses  
26 the strength of Plaintiff’s age discrimination claim as presented in the operative complaint at the  
27 time of the first two preliminary injunction motions, even though Plaintiff did not brief his  
28 likelihood of success on his age discrimination claim in any detail in either preliminary injunction

1 motion. The Court previously considered the original complaint’s purported ADEA claim in  
2 detail in the Court’s December 5, 2017 order dismissing the original complaint. This analysis is  
3 recounted in detail in the procedural background section, above, and so the Court need not repeat  
4 all of that analysis again here. In brief, the Court noted that it was unclear whether Plaintiff had  
5 pleaded a standalone ADEA claim at all. ECF No. 99 at 36. To the extent that Plaintiff attempted  
6 to plead an ADEA claim, the Court determined that Plaintiff had failed to allege a prima facie case  
7 because Plaintiff did not allege that he was replaced by a significantly younger professor. *Id.* at  
8 36-39. The Court also concluded that Plaintiff’s allegation that SCU fired him in order to cut  
9 costs—an allegation that Plaintiff repeated in his first and second preliminary injunction motions,  
10 *see* ECF No. 14 at 15, ECF No. 39 at 15—meant that any ADEA claim failed. ECF No. 99 at 37-  
11 40. The Court explained that under U.S. Supreme Court and Ninth Circuit precedent, a  
12 termination motivated by a factor other than age did not violate the ADEA. *Id.* (citing *Hazen*  
13 *Paper Co.*, 507 U.S. at 608, 611 (“there is no disparate treatment under the ADEA when the factor  
14 motivating the employer is some feature other than the employee’s age”); *Turney*, 92 F.3d 1194  
15 (finding that ADEA claim failed where “the motivating factor for terminating Turney appears to  
16 be one which is related to, but not the same as, his age”); *Flynn*, 958 F.2d 377 (“A desire to cut  
17 costs does not necessarily equate with an intent to discriminate on the basis of age . . . .”)); *see*  
18 *also Gross v. FBL Fin. Servs., Inc.*, 557 U.S. 167, 176 (2009) (stating that a “plaintiff must prove  
19 by a preponderance of the evidence (which may be direct or circumstantial), that age was the ‘but-  
20 for’ cause of the challenged employment decision”); *E.E.O.C. v. Timeless Investments, Inc.*, 734  
21 F. Supp. 2d 1035, 1062 (E.D. Cal. 2010) (“Under ‘but-for’ causation, a plaintiff must show that  
22 age was ‘the reason’ for the adverse employment action; there is no ADEA liability for ‘mixed  
23 motive’ employment actions.”). Accordingly, as the Court’s dismissal for failure to state a claim  
24 shows, Plaintiff was not likely to succeed on the merits of any age discrimination claim pled in the  
25 original complaint, nor had Plaintiff established serious questions going to the merits of such a  
26 claim.

1 Plaintiff also failed to show that he was likely to succeed on his § 1983 cause of action. As  
2 the Court explained in the procedural history section above, Plaintiff failed to establish state  
3 action, which is required to state a § 1983 claim. *See* ECF No. 99 at 27-33. Accordingly, the  
4 Court dismissed Plaintiff’s § 1983 claim. The Court also struck several of Plaintiff’s claims  
5 pursuant to California’s anti-SLAPP statute. The Court then declined to exercise supplemental  
6 jurisdiction over and thus dismissed Plaintiff’s remaining state law causes of action. In similar  
7 circumstances, where a court has dismissed the entire complaint, this Court and other courts in the  
8 Ninth Circuit have found that the plaintiff has failed to establish likelihood of success on the  
9 merits. *See, e.g., Prager Univ. v. Google LLC*, 2018 WL 1471939, at \*14 (N.D. Cal. Mar. 26,  
10 2018); *Physician’s Surrogacy, Inc. v. German*, 2017 WL 3622329, at \*12 (S.D. Cal. Aug. 23,  
11 2017). Accordingly, the Court finds that Plaintiff did not establish a likelihood of success on the  
12 merits or serious questions going to the merits in either the first or second preliminary injunction  
13 motions.

14 **2. Irreparable Harm**

15 In *Sampson v. Murray*, 415 U.S. 61 (1974), the U.S. Supreme Court considered a case in  
16 which a federal employee sought to enjoin her dismissal pending resolution of her administrative  
17 appeal. *Id.* at 63. The employee argued that, absent an injunction, she would be irreparably  
18 harmed because “spurious and un rebutted charges against her might remain on the record” and  
19 “she would suffer the embarrassment of being wrongfully discharged in the presence of her  
20 coworkers.” *Id.* at 89. The federal employee also argued that she would be irreparably harmed  
21 because she would be denied an income. *Id.* The D.C. Circuit “intimated that either loss of  
22 earnings or damage to reputation might afford a basis for finding irreparable injury,” but the U.S.  
23 Supreme Court disagreed, saying that a showing of lost earnings and reputational harm “falls far  
24 short of the type of irreparable injury which is a necessary predicate to the issuance of a temporary  
25 injunction.” *Id.* at 91-92. In a footnote, the U.S. Supreme Court observed:

26 We recognize that cases may arise in which the circumstances surrounding an  
27 employee’s discharge, together with the resultant effect on the employee, may so

1 far depart from the normal situation that irreparable injury might be found. Such  
2 extraordinary cases are hard to define in advance of their occurrence. We have  
3 held that an insufficiency of savings or difficulties in immediately obtaining other  
4 employment—external factors common to most discharged employees and not  
5 attributable to any unusual actions relating to the discharge itself—will not  
6 support a finding of irreparable injury, however severely they may affect a  
7 particular individual. But we do not wish to be understood as foreclosing relief in  
8 the genuinely extraordinary situation.

9 *Id.* at 93 n.68. In the instant case, the Ninth Circuit clarified that *Sampson* does not “create a per  
10 se rule for all employment cases—that reputational damage, lost opportunity, and emotional  
11 distress caused by a suspension or termination cannot constitute irreparable harm.” ECF No. 135  
12 at 4.

13 Nevertheless, applying *Sampson*, the Ninth Circuit has rejected assertions of irreparable  
14 harm stemming from lost income, reputational damage, and psychological injury. In *Hartikka v.*  
15 *United States*, 754 F.2d 1516 (9th Cir. 1985), the Ninth Circuit held that lost income, lost  
16 retirement and relocation pay, and damage to a military service member’s reputation resulting  
17 from the stigma of a less-than-honorable discharge did not constitute irreparable harm. *Id.* at  
18 1518. Similarly, in *Kennedy v. Secretary of Army*, 191 F.3d 460 (9th Cir. 1999) (unpublished  
19 table decision), the Ninth Circuit held that lost military benefits, damage to the service member’s  
20 reputation, and damage to his mental health would not support a finding of irreparable harm. *Id.* at  
21 \*2.

22 Plaintiff attempts to distinguish *Hartikka* and *Kennedy* based on the requirement that a  
23 party challenging a military discharge must “make a much stronger showing of irreparable harm  
24 than the ordinary standard for injunctive relief.” PI Mot. at 19-20; *Kennedy*, 191 F.3d 460 at \*2.  
25 However, both *Hartikka* and *Kennedy* show that what the Ninth Circuit described as a “higher  
26 burden” in those cases is actually consistent both with *Sampson*, which concerned civilian  
27 employees, and with current law on irreparable harm. Specifically, in *Hartikka*, the Ninth Circuit  
28 viewed *Sampson* as requiring a higher showing of irreparable harm than the standard preliminary  
injunction requirement, which at that time only required a “possibility of irreparable injury” if  
combined with a likelihood of success on the merits. See *Hartikka*, 754 F.2d at 1518. Of course,

1 the U.S. Supreme Court in *Winter* required a showing that irreparable harm was likely, not just  
 2 possible, 555 U.S. at 22, which means that the distinction drawn in *Hartikka* is no longer  
 3 meaningful. In any event, the standard in *Hartikka* and *Kennedy* is not a higher one than *Sampson*  
 4 because the Ninth Circuit in *Hartikka* explicitly applied the irreparable harm standard from  
 5 *Sampson*, which covered civilian employees, to military employees. 754 F.3d 1518 (“While we  
 6 realize that the rule in *Sampson* concerned the rights of civilian employees, we agree that it should  
 7 also be applied to military personnel.”). Thus, the “higher burden” on military personnel was not  
 8 actually dispositive in either *Hartikka* or *Kennedy*. *Hartikka* found the allegations of irreparable  
 9 harm failed under *Sampson*, which is not specific to the military. *Hartikka*, 754 F.2d at 1518  
 10 (“Our review leads us to conclude that these alleged injuries are insufficient under the *Sampson*  
 11 standard to justify injunctive relief.”). *Kennedy*, in turn, relied entirely on *Hartikka*’s discussion  
 12 of *Sampson*. *Kennedy*, 191 F.3d 460 at \*2. Thus, the fact that the plaintiffs in *Hartikka* and  
 13 *Kennedy* were challenging military discharges does not meaningfully distinguish those cases from  
 14 the instant case.

15 In any event, in *Chalk v. U.S. District Court for the Central District of California*, 840  
 16 F.2d 701 (9th Cir. 1988), the Ninth Circuit held that an HIV-positive teacher who was removed  
 17 from the classroom had demonstrated that he was likely to succeed on his Rehabilitation Act  
 18 claim. *Id.* at 704-09. Citing to district court discrimination cases that considered whether  
 19 emotional distress constitutes irreparable harm,<sup>2</sup> the Ninth Circuit then held that the teacher’s loss  
 20 of job satisfaction and “psychological and physiological distress,” *id.* at 709, established a  
 21 possibility of irreparable harm.<sup>3</sup> *Id.* at 709-10. The Ninth Circuit also stressed in *Chalk* that  
 22 because of the teacher’s diagnosis, “[a] delay, even if only a few months, pending trial represents  
 23 precious, productive time irretrievably lost to him.” *Id.* at 710. In its decision in the instant case,  
 24 the Ninth Circuit characterized *Chalk* as standing for the principle that, where a plaintiff has

25 \_\_\_\_\_  
 26 <sup>2</sup> *Chalk* does not cite or mention the U.S. Supreme Court’s decision in *Sampson*.

27 <sup>3</sup> *Chalk* was decided before the U.S. Supreme Court’s decision in *Winter*, which required that a  
 28 plaintiff show that irreparable harm is likely, not just possible. *See Winter*, 555 U.S. at 22.



1 established a likelihood of success on the merits of a discrimination claim, “the injuries of  
2 reputational harm, loss of opportunity, and emotional distress resulting from that (likely provable)  
3 discrimination were the type of non-compensable injury the law was designed to prevent.” ECF  
4 No. 135 at 5; *see also Stanley v. Univ. of S. Cal.*, 13 F.3d 1313, 1324 n.5 (9th Cir. 1994) (noting in  
5 dicta that there is legal support for the district court’s conclusion that “allegations of intentional  
6 sex discrimination, prospective loss of reputation, business opportunity, and serious emotional  
7 distress” could constitute irreparable harm). The Ninth Circuit thus observed in the instant case  
8 that *Chalk* “suggests that in the employment discrimination context the likelihood of success on  
9 the merits may inform the irreparable harm analysis.” *Id.*

10 Here, as discussed above, Plaintiff did not establish in his first or second preliminary  
11 injunction motion that he was likely to succeed on the merits of his claims, including any age  
12 discrimination claim. Accordingly, to the extent that a likelihood of success on the merits of a  
13 discrimination claim is what distinguishes the harm in *Sampson*, *Hartikka*, and *Kennedy*, which is  
14 not irreparable, from the harm in *Chalk*, which is irreparable, the Court finds that Plaintiff’s case is  
15 closer to *Sampson*, *Hartikka*, and *Kennedy* because Plaintiff did not establish a likelihood of  
16 success on his age discrimination claim in the first two preliminary injunction motions.

17 It is not clear from *Chalk*, *Stanley*, or the Ninth Circuit’s decision in the instant case  
18 whether Plaintiff’s alleged harms of emotional distress, reputational damage, and lost opportunity  
19 to teach, in the absence of discrimination, qualify as irreparable harm. The Court recognizes that  
20 *Sampson* did not create a per se rule that a similar combination of harms could never constitute  
21 irreparable harm, but the Court also doubts that the opposite per se rule exists. *Sampson* and the  
22 range of persuasive district and out-of-circuit appeals court decisions that the Court cited in its  
23 September 15, 2017 order denying the first preliminary injunction motion suggest that in the  
24 majority of cases, emotional distress and reputational harm due to adverse employment decisions,  
25 at least in the absence of discrimination, will not constitute irreparable harm. *See Sampson*, 415  
26 U.S. at 91-93 & n.68; ECF No. 22 at 6-7 (collecting cases).

1           In the instant case, because Plaintiff failed to establish even serious questions going to the  
2 merits of his discrimination claim, the Court finds that Plaintiff has not shown that this is the type  
3 of “generally extraordinary situation” case where an adverse employment action is likely to cause  
4 irreparable harm. *See Sampson*, 415 U.S. at 93 n.68; ECF No. 135 at 5 (Ninth Circuit suggesting  
5 in its memorandum disposition in the instant case “that in the employment discrimination context  
6 the likelihood of success on the merits may inform the irreparable harm analysis”).

7           **3. Balance of Equities**

8           “To obtain a preliminary injunction, a plaintiff must also demonstrate that ‘the balance of  
9 equities tips in his favor.’” *Hernandez*, 872 F.3d at 995 (quoting *Winter*, 555 U.S. at 20). In  
10 Plaintiff’s first preliminary injunction motion, Plaintiff argued that “there will be no harm” to SCU  
11 by an injunction allowing Plaintiff to continue teaching. ECF No. 14 at 15. Specifically, Plaintiff  
12 contended that “even if the Court were to ultimately deny the merits of Professor Heineke’s claims  
13 of wrongful termination[,] [SCU] would simply suspend and/or terminate Professor [*sic*] at a later  
14 time for cause as determined by a full and fair trial on the merits.” *Id.* at 15. By contrast, Plaintiff  
15 argued that his suspension before the internal appeals process completes and the instant case  
16 concludes is a “bell [that] cannot be unrung.” *Id.* Plaintiff makes substantially similar arguments  
17 in his second preliminary injunction motion. ECF No. 39 at 23-24. Plaintiff adds that in the  
18 absence of an injunction, his students would “be deprived of his excellent teaching and mentoring”  
19 and his colleagues would “be deprived of his intellect and friendship.” *Id.* at 23. Thus, the  
20 implication of Plaintiff’s argument is that his emotional distress and reputational damage outweigh  
21 the lack of harm that SCU would suffer as a result of an injunction.

22           SCU responds that an injunction would be “far more likely to cause substantial harm to  
23 SCU.” ECF No. 17 at 21; ECF No. 47 at 22. Specifically, SCU argues that “the reason SCU  
24 suspended Plaintiff is because an independent investigator concluded he sexually harassed a  
25 student in violation of SCU’s policies and federal and state law.” *Id.* “To permit a faculty  
26 member to return to teaching after a finding of such seriously egregious conduct would not only  
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1 signal to SCU’s students and faculty that SCU tolerates such conduct, but it would also leave other  
2 students exposed to a professor who was found to harass his student and could potentially harass  
3 other students.” SCU adds that SCU has already made arrangements to have another faculty  
4 member cover Plaintiff’s courses and changing plans will cost SCU money and will inconvenience  
5 the students and faculty. *Id.*

6 Plaintiff overreaches in contending that an injunction would cause no harm to SCU. The  
7 Sixth Circuit has acknowledged that “[a] college’s or university’s interest in maintaining a hostile-  
8 free learning environment . . . is well recognized.” *Bonnell v. Lorenzo*, 241 F.3d 800, 822 (6th  
9 Cir. 2001). Particularly where, as here, Plaintiff has not shown that he is likely to prevail on his  
10 claim that his suspension was discriminatory, the Court’s intervention into SCU’s internal  
11 disciplinary process before SCU’s process had run its full course would harm the credibility of  
12 that process. Moreover, ordering Plaintiff’s return to the classroom would unduly interfere in a  
13 university’s judgment about how best to protect the safety of its students. Such an intervention  
14 also would likely cause victims of sexual misconduct—be they students, staff, or faculty—to  
15 question whether SCU would take any meaningful action in response to future complaints.  
16 Finally, to the extent that SCU is correct that Plaintiff sexually harassed Doe, ordering Plaintiff’s  
17 return to the classroom would put other students at risk. *Cf. Singh v. School Dist. of Phila.*, 2010  
18 WL 3220336, at \*13 (E.D. Pa. Aug. 11, 2010) (“The harm to Singh is outweighed by the risk of  
19 placing a teacher with violations of school policy back in Kensington CAPA’s learning  
20 environment.”).

21 On the other hand, in the absence of an injunction, Plaintiff would likely continue to suffer  
22 emotional distress, reputational harm, and also would be deprived, at least temporarily, of the  
23 opportunity to continue teaching. To the extent that Plaintiff is correct that he did not sexually  
24 harass Doe, such harms will occur despite Plaintiff’s innocence. However, the Court again notes  
25 that Plaintiff failed to establish a likelihood of success on the merits of his claims.

26 Although in *Chalk* the Ninth Circuit held that the teacher’s injury outweighed any  
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1 theoretical risk to students posed by the teacher’s return to the classroom, *Chalk* is distinguishable  
2 from the instant case. The plaintiff in *Chalk* had established a strong possibility of success on the  
3 merits of a discrimination claim, which Plaintiff has failed to do here. In addition, the potential  
4 harm to students in *Chalk*, which was decided in the 1980s, was largely based on fears and  
5 misunderstandings about the transmission of AIDS, and there was no evidence of any significant  
6 risk to the students. *See* 840 F.2d at 705-08, 710-11. In the instant case, by contrast, an  
7 independent investigator issued more than 100 pages of reports that included a review of emails  
8 and witness testimony in support of his finding that Plaintiff harassed Doe. At least one level of  
9 review affirmed these findings by the time Plaintiff filed his first two preliminary injunction  
10 motions.

11 Weighing SCU’s potential harm from an injunction against Plaintiff’s potential harm in the  
12 absence of an injunction, the Court finds that the balance of equities favors SCU.

#### 13 **4. Public Interest**

14 “When, as here, ‘the impact of an injunction reaches beyond the parties, carrying with it a  
15 potential for public consequences, the public interest will be relevant to whether the district court  
16 grants the preliminary injunction.’” *Hernandez*, 872 F.3d at 996 (quoting *Stormans, Inc. v.*  
17 *Selecky*, 586 F.3d 1109, 1139 (9th Cir. 2009)). Plaintiff argues in his first preliminary injunction  
18 motion that the public interest is best served “when citizens are able to petition the courts to seek  
19 redress of their grievances and the courts are able to effectively redress them if the merits are  
20 proven.” ECF No. 14 at 15. Plaintiff argues in his second preliminary injunction motion that “the  
21 public interest strongly favors a trial on the merits before a person can be stripped of his career.”  
22 ECF No. 39 at 24. Plaintiff also concedes that the public has an interest in preventing sexual  
23 harassment on campus, but Plaintiff argues that “it is also in the public’s interest to have full due  
24 process and a full and fair trial to determine if actual sexual harassment occurred before someone  
25 is irreparably harmed by a false accusation.” *Id.*

26 SCU responds that its suspension of Plaintiff, who was found to have harassed a student,  
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1 “is consistent with the public interest in addressing sexual harassment on campus.” ECF No. 17 at  
2 21. SCU also argues that SCU “followed federal and state law and its own policies in disciplining  
3 a faculty member who was found to have harassed a student,” which makes Plaintiff’s suspension  
4 in the public interest. ECF No. 47 at 23.

5 Plaintiff’s argument highlights a theme upon which he has focused throughout the  
6 proceedings in the instant case: that SCU should not be permitted to terminate or even suspend  
7 Plaintiff unless it can prove in court that he harassed Doe. *See* ECF No. 1 ¶¶ 49, 53, 55; ECF No.  
8 14 at 15; ECF No. 39 at 24. Such a requirement is not the law, nor is it even necessarily in the  
9 public interest. Cases in a busy district such as the Northern District of California take years to  
10 resolve, at great expense to the parties and the courts. Requiring a private employer such as SCU  
11 to prove misconduct in court before terminating or even suspending an employee would go far  
12 beyond what constitutional due process requires, would hamstring private entities’ ability to make  
13 personnel decisions, and would flood the courts. *See Cleveland Bd. of Educ. v. Loudermill*, 470  
14 U.S. 532, 542-46 (1985) (constitutional due process requires notice and an opportunity to respond  
15 before termination from a job in which employee has a property interest, but “the pretermination  
16 hearing need not definitively resolve the propriety of the discharge”).

17 Taking a less extreme version of Plaintiff’s argument, the Court recognizes that the “public  
18 has an interest in assuring that private institutions comport with general notions of procedural fair  
19 play.” *See Ben-Yonatan v. Concordia College Corp.*, 863 F. Supp. 983, 988 (D. Minn. 1994); *see*  
20 *also Doe v. George Washington Univ.*, --- F. Supp. 3d ---, 2018 WL 1972461, at \*7 (D.D.C. Apr.  
21 25, 2018) (recognizing public interest in fairness in university disciplinary proceedings).  
22 However, the public also has an interest in preventing sexual harassment and in allowing private  
23 entities to make personnel decisions, so long as those personnel decisions do not violate the law.  
24 *See Doe*, 2018 WL 1972461 at \*7 (recognizing public interest in university’s ability to  
25 independently investigate and discipline students for misconduct); *Marshall v. Ohio Univ.*, 2015  
26 WL 1179955, at \*10 (S.D. Ohio Mar. 13, 2015) (observing that, absent a showing of likely

1 success on the merits, the court is reluctant to interfere with disciplinary processes); *Ben-Yonatan*,  
2 863 F. Supp. at 988 (recognizing public interest in harassment-free educational environment).  
3 Here, where Plaintiff has not shown a likelihood of success on the merits of his claims, the Court  
4 finds that an injunction would not be in the public interest.

5 **5. Conclusion**

6 After analyzing all four *Winter* factors with respect to Plaintiff’s first and second  
7 preliminary injunction motions, the Court finds that Plaintiff failed to establish the “irreducible  
8 minimum for obtaining a preliminary injunction,” *Stanley*, 13 F.3d at 1326, which is a likelihood  
9 of success on the merits or serious questions going to the merits. In addition, the Court finds that  
10 Plaintiff has failed to establish irreparable harm, that the balance of equities favors SCU, and that  
11 an injunction would not be in the public interest. Thus, Plaintiff has not carried his burden to  
12 establish that he is entitled to the extraordinary remedy of a preliminary injunction. Plaintiff’s  
13 first and second motions for a preliminary injunction are thus DENIED.

14 The Court next addresses Defendants’ motion to dismiss the FAC because the motion to  
15 dismiss analysis will inform the Court’s analysis of the likelihood of success on the merits of the  
16 third preliminary injunction motion.

17 **B. Motion to Dismiss FAC**

18 The Court first addresses the federal causes of action. The Court then addresses the state  
19 causes of action.

20 **1. Plaintiff’s § 1983 Cause of Action Fails for Lack of State Action**

21 “To state a claim for relief in an action brought under § 1983, [a plaintiff] must establish  
22 that [he] w[as] deprived of a right secured by the Constitution or laws of the United States, and  
23 that the alleged deprivation was committed under color of state law.” *Am. Mfrs. Mut. Ins. Co. v.*  
24 *Sullivan*, 526 U.S. 40, 49-50 (1999). “Like the state-action requirement of the Fourteenth  
25 Amendment, the under-color-of-state-law element of § 1983 excludes from its reach ‘merely  
26 private conduct, no matter how discriminatory or wrongful.’” *Id.* at 50 (quoting *Blum v. Yaretsky*,

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1 457 U.S. 991, 1002 (1982)). Thus, “[i]n order to recover under § 1983 for conduct by the  
2 defendant, a plaintiff must show ‘that the conduct allegedly causing the deprivation of a federal  
3 right must be fairly attributable to the State.’ *Caviness v. Horizon Community Learning Center,*  
4 *Inc.*, 590 F.3d 806, 812 (9th Cir. 2010) (quoting *Lugar v. Edmondson Oil Co.*, 457 U.S. 922, 937  
5 (1982)).

6 “When addressing whether a private party acted under color of law, [the Court] therefore  
7 start[s] with the presumption that private conduct does not constitute governmental action.” *See*  
8 *Sutton v. Providence St. Joseph Med. Center*, 192 F.3d 826, 835 (9th Cir. 1999). “Courts have  
9 used four different factors or tests to identify” when the presumption of private action is overcome  
10 and the private action can be attributed to the state: “(1) public function, (2) joint action, (3)  
11 governmental compulsion or coercion, and (4) governmental nexus.” *Id.* at 835-36; *see also*  
12 *Abordo v. Mobi PCS*, 684 F. App’x 631, 632 (9th Cir. 2017) (unpublished) (citing *Sutton’s*  
13 explanation of the four tests).

14 Plaintiff appears to rely on governmental coercion and/or joint action as the basis for state  
15 action here. *See* FAC ¶ 5 (“These federal funding requirements and restrictions and penalties are  
16 designed to and, in fact, do require SCU to act in fact and in reality as an enforcement arm of the  
17 federal government to carry out enforcement of these federal and state anti-discrimination laws by  
18 coercing SCU . . . .”); MTD Opp’n at 9 (“Plaintiff has also alleged that Defendant SCU is required  
19 and was coerced by the federal and (State of California) [*sic*] to enforce both federal and state anti-  
20 discrimination (as to age and sexual harassment) laws as a condition of obtaining federal grant  
21 funds and that Defendant SCU is forced to enforce on behalf of federal and state government laws  
22 making it a ‘partner’ with the government in enforcing these laws.” (citation omitted)).

23 Specifically, Plaintiff argues that the federal and state governments coerce SCU into enforcing  
24 anti-discrimination and anti-sexual harassment laws by conditioning funds on enforcement of  
25 these laws. MTD Opp’n at 8-12. Plaintiff asserts that SCU then used its obligation to enforce  
26 anti-sexual harassment laws as a pretext for discriminating against Plaintiff based on his age. *Id.*

1 Plaintiff’s theory of state action fails for several reasons. First, as the Court previously  
 2 explained, neither the receipt of federal funds nor “governmental compulsion in the simple form of  
 3 a generally applicable statutory requirement, without more,” transforms a private actor into a state  
 4 actor for the purpose of § 1983. *Sutton*, 192 F.3d at 837; *see* ECF No. 99 at 29-32 (citing *S.F. Arts*  
 5 *& Athletics, Inc. v. U.S. Olympic Committee*, 483 U.S. 522, 544 (1987); *Rendell-Baker v. Kohn*,  
 6 457 U.S. 830, 840 (1982); *Caviness*, 590 F.3d at 808-09, 818; *Sutton*, 192 F.3d at 837-39).

7 Second, Plaintiff’s attempt to frame the relationship between SCU and the federal and state  
 8 governments as compulsion or joint action fails under Ninth Circuit precedent. In *Sutton*, the  
 9 Ninth Circuit offered a detailed explanation of the evolution of the concept of compulsion in the  
 10 state action context. 192 F.3d at 838-43. The Ninth Circuit explained that “[i]n the typical case  
 11 raising a state-action issue, a private party has taken the decisive step that caused the harm to the  
 12 plaintiff, and the question is whether the State was sufficiently involved to treat that decisive  
 13 conduct as state action.” *Id.* at 838 (quoting *Nat’l Collegiate Athletic Ass’n v. Tarkanian*, 488  
 14 U.S. 179, 192 (1988)). The Ninth Circuit then traced the applicability of the concept of  
 15 compulsion through cases in which the government was held accountable for the actions of a  
 16 private party and cases in which a private party was held accountable as a state actor. *Id.* at 836-  
 17 39.

18 The Ninth Circuit concluded that “in each of the Supreme Court’s private-defendant cases,  
 19 there was some additional nexus [beyond the application of a general statute] that made it fair to  
 20 deem the private entity a governmental actor in the circumstances.” *Id.* at 839 (citing *Lugar v.*  
 21 *Edmondson Oil Co.*, 457 U.S. 922, 941-42 (1982); *Moose Lodge No. 107 v. Irvis*, 407 U.S. 163,  
 22 177 (1972); *Adickes v. S.H. Kress & Co.*, 398 U.S. 144, 149 (1970)). The Ninth Circuit explained  
 23 that “[t]ypically, the nexus has consisted of participation by the state in an action ostensibly taken  
 24 by the private entity, through conspiratorial agreement (*Adickes*), official cooperation with the  
 25 private entity to achieve the private entity’s goal (*Lugar*), or enforcement and ratification of the  
 26 private entity’s chosen action (*Moose Lodge*).” *Id.* at 841.



1           In *Sutton*, the Ninth Circuit also distinguished *Carlin Communications, Inc. v. Mountain*  
2 *States Tel. & Tel. Co.*, 827 F.2d 1291 (9th Cir. 1987), which Plaintiff cites in the instant case for  
3 the proposition that a private party can be a state actor. *See* MTD Opp’n at 9. In *Carlin*, “the  
4 plaintiff operated a ‘976’ number that allegedly distributed sexually explicit materials to minors,  
5 in violation of state law. An Arizona deputy district attorney told the defendant, a telephone  
6 company, to terminate the plaintiff’s service. The deputy district attorney threatened to prosecute  
7 the company if it did not comply.” *Sutton*, 192 F.3d at 843 (citations omitted) (citing *Carlin*, 827  
8 F.2d at 1293, 1295). The Ninth Circuit explained that in *Carlin*, “the government directed a  
9 specific entity to take a specific (allegedly unconstitutional) action against a specific person. . . .  
10 We do not read *Carlin* as applying to cases such as this, which involve only generally applicable  
11 laws.” *Sutton*, 192 F.3d at 843 (citation omitted).

12           Here, the Court previously observed that “Plaintiff does not contend that it was the mere  
13 fact that SCU conducted an investigation, as required by law, that deprived him of due process.  
14 Nor does Plaintiff allege that any state or federal law, including Title IX, compelled SCU to  
15 conduct the investigation in a certain way or come to any particular decision about his  
16 termination.” ECF No. 99 at 31. Plaintiff also does not contend that either the federal or state  
17 government helped SCU with its investigation, conspired with SCU to investigate and terminate  
18 Plaintiff, or enforced or ratified SCU’s decision to terminate Plaintiff. *See Sutton*, 192 F.3d at  
19 841; *see also Logan v. Bennington Coll. Corp.*, 72 F.3d 1017, 1028 (2d Cir. 1995) (finding no  
20 state action where the state “neither drafted the disciplinary code, nor participated in determining  
21 what sentence was to be handed out under it); *Doe v. Washington & Lee Univ.*, 2015 WL  
22 4647996, at \*9 (W.D. Va. Aug. 5, 2015) (rejecting argument that university was a state actor  
23 where the plaintiff did not allege that the government participated in the decisionmaking process  
24 or deprived university of its autonomy to investigate and adjudicate charges).

25           To the contrary, Plaintiff argues that SCU used its federally and state-mandated anti-sexual  
26 harassment policies and process as a pretext to violate Plaintiff’s rights. *See* MTD Opp’n at 9. In  
27

1 other words, SCU was required by “a generally applicable statutory requirement” to have policies  
 2 to address sexual harassment, and Plaintiff alleges that SCU “abused” this requirement as a pretext  
 3 to discriminate against him. *Id.* at 11. Under *Sutton*, such allegations are not sufficient to  
 4 establish state action through compulsion because the government was not involved in SCU’s  
 5 allegedly wrongful conduct. *See Sutton*, 192 F.3d at 841; *see also Collins v. Womancare*, 878  
 6 F.2d 1145, 1152 (9th Cir. 1989) (“[A]n allegation that *private* parties misused a Virginia  
 7 attachment procedure could not state a claim under section 1983 because such private parties  
 8 could not be considered acting under color of state law.” (citing *Lugar*, 457 U.S. at 940)).

9 Third, as the Court previously explained, other courts that have considered variations of  
 10 Plaintiff’s state action theory—that receipt of federal funding and/or compliance with Title IX  
 11 makes a private school a state actor—have rejected it. *See* ECF No. 99 at 29-30, 32 (citing  
 12 *Caviness*, 590 F.3d at 808-09, 818; *Faparusi v. Case W. Reserve Univ.*, 711 F. App’x 269, 275-76  
 13 (6th Cir. Oct. 4, 2017) (unpublished); *Doe v. Case W. Reserve Univ.*, No. 1:17 CV 414, 2017 WL  
 14 3840418, at \*9 (N.D. Ohio Sept. 1, 2017); *Gross v. R.T. Reynolds, Inc.*, 487 F. App’x 711, 719 (3d  
 15 Cir. 2012) (unpublished); *Grosvenor v. Des Moines Area Community College*, 980 F.2d 734, at \*2  
 16 (8th Cir. 1992) (unpublished)). Indeed, the Court has identified additional cases that come to the  
 17 same conclusion. *See, e.g., Doe v. Univ. of Denver*, 2018 WL 1304530, at \*6-7 (D. Col. Mar. 13,  
 18 2018); *Rossley v. Drake Univ.*, 2017 WL 5634151, at \*3 (S.D. Iowa Sept. 6, 2017); *Tsuruta v.*  
 19 *Augustana Univ.*, 2015 WL 5838602, at \*2 (D.S.D. Oct. 7, 2015); *Armstrong v. Wilson*, 942 F.  
 20 Supp. 1252, 1262 (N.D. Cal. 1996); *cf. Stilwell v. City of Williams*, 831 F.3d 1234, 1243 (9th Cir.  
 21 2016) (describing distinction that the U.S. Supreme Court drew in *Fitzgerald v. Barnstable School*  
 22 *Committee*, 555 U.S. 246 (2009), between a Title IX claim, which can be brought against a private  
 23 institution, and a § 1983 claim, which “reaches only state actors”). In response, Plaintiff attempts  
 24 to distinguish *Caviness* and *Faparusi* by arguing that the state action allegations in those cases  
 25 differed from Plaintiff’s allegations, *see* MTD Opp’n at 10, but Plaintiff does not address any of  
 26 the other cases that have rejected his theory, nor does Plaintiff cite any authority that affirmatively

1 supports his position that receipt of federal funding and compliance with Title IX makes SCU a  
2 state actor. As such, Plaintiff has not persuaded the Court that its previous ruling should change.

3 Finally, Plaintiff again argues that it is not appropriate to decide state action at the motion  
4 to dismiss stage because state action is a “highly factual determination.” *Id.* at 8. The Court  
5 previously considered and rejected this argument. ECF No. 99 at 28-29. Moreover, where  
6 Plaintiff’s theory of state action fails as a matter of law and Plaintiff has not identified what  
7 additional facts he hopes to discover that would make a difference to the Court’s analysis, the  
8 Court finds that dismissing the § 1983 claim at the motion to dismiss stage is appropriate. *See*  
9 *generally Price v. Hawaii*, 939 F.2d 702, 707-08 (9th Cir. 1991) (affirming dismissal of § 1983  
10 claim at pleading stage). The motion to dismiss the § 1983 claim is thus GRANTED with  
11 prejudice because Plaintiff failed to cure the deficiencies previously identified by the Court and  
12 amendment would be futile. *See Carvalho*, 629 F.3d at 892-93.

13 **2. The FAC Fails to Separately State an ADEA Claim**

14 Federal Rule of Civil Procedure 10(b) provides that “[i]f doing so would promote clarity,  
15 each claim founded on a separate transaction or occurrence . . . must be stated in a separate count.”  
16 “Separate counts will be required if necessary to enable the defendant to frame a responsive  
17 pleading or to enable the court and the other parties to understand the claims.” *Bautista v. Los*  
18 *Angeles County*, 216 F.3d 837, 840 (9th Cir. 2000) (quoting JAMES WM. MOORE, ET AL., MOORE’S  
19 FEDERAL PRACTICE, § 10.03[2][a] (3d ed. 1997)). Courts in this district and other courts in the  
20 Ninth Circuit routinely require plaintiffs to state each claim separately. *See, e.g., Washington v.*  
21 *Alameda County*, 2018 WL 707522, at \*2 (N.D. Cal. Feb. 5, 2018) (requiring plaintiff to allege  
22 claims separately in amended complaint and stating that “The Court will only consider claims that  
23 are clearly identified as such in the complaint.”); *Rivera v. East Bay Municipal Utility Dist.*, No. C  
24 15-380-SBA, 2015 WL 6954988, at \*9 n.12 (N.D. Cal. Nov. 10, 2015) (requiring plaintiff to  
25 allege discrimination and retaliation claims as separate claims for relief in amended complaint);  
26 *Cuviello v. Feld Entm’t, Inc.*, No. 13-CV-3135-LHK, 2014 WL 1379849, at \*4-5 (N.D. Cal. Apr.

1 7, 2014) (dismissing cause of action that combined assault and battery claims); *Magallon v.*  
 2 *Ventura County Sheriff's Dep't*, No. CV 11-7053-CAS, 2011 WL 4481288, at \*2 (C.D. Cal. Sept.  
 3 27, 2011) (“The preferred practice of pleading is to state various claims for relief in separate  
 4 counts.”).

5 Here, in the December 5, 2017 order dismissing the original complaint, the Court stated  
 6 that “it is not even clear that Plaintiff has attempted to allege an ADEA claim. The caption of the  
 7 Complaint lists the second cause of action as ‘ADEA Violations (29 U.S.C. § 631 et seq.)’ and the  
 8 Complaint invokes federal jurisdiction based in part on the ADEA. *See* Compl. ¶ 1. However, the  
 9 body of the Complaint does not contain an ADEA cause of action. Rather, the body of the  
 10 Complaint only contains a wrongful discharge cause of action that mentions age discrimination in  
 11 passing. *See* Compl. ¶¶ 57-61.” ECF No. 99 at 36. The Court nevertheless analyzed the  
 12 deficiencies in Plaintiff’s age discrimination allegations so that Plaintiff would have the  
 13 opportunity to cure the deficiencies if he chose to plead an ADEA violation in an amended  
 14 complaint. *Id.* at 36-40. The Court then dismissed “the ADEA cause of action, to the extent that  
 15 the Complaint pleads one.” *Id.* at 39-40.

16 Despite being on notice that the complaint did not clearly state an ADEA cause of action,  
 17 Plaintiff’s FAC fails to remedy this deficiency. Just as he did in the original complaint, Plaintiff  
 18 lists “ADEA Violations (29 U.S.C. § 631 et seq.)” in the caption of the FAC but fails to plead an  
 19 ADEA cause of action in the body. *See* FAC ¶¶ 50-93. Instead, Plaintiff once again pleads a state  
 20 law wrongful discharge claim, enumerated as “Count Two.” *Id.* ¶¶ 57-64; *see Rains v. Criterion*  
 21 *Sys., Inc.*, 80 F.3d 339, 343 (9th Cir. 1996) (“It is state, not federal, law that creates the cause of  
 22 action for wrongful discharge in violation of public policy.”). “In order to prevail on such a claim  
 23 under California law, a plaintiff must prove as one element that a fundamental public policy exists  
 24 that is ‘delineated in constitutional or statutory provisions.’” *Rains*, 80 F.3d at 343 (quoting *Gantt*  
 25 *v. Sentry Ins.*, 824 P.2d 680, 687-88 (Cal. 1992)) (ellipses omitted). Here, Plaintiff’s wrongful  
 26 discharge claim is based on multiple possible public policy violations: SCU’s alleged violation of  
 27

1 Plaintiff’s employment contract with SCU (§ 58); SCU’s alleged violation of its own investigation  
 2 policies (§§ 58, 60); SCU’s alleged violation of the California Fair Employment and Housing Act  
 3 (“CFEHA”), Cal. Gov. Code § 12940(a) (§ 61); and SCU’s alleged violation of the ADEA, 29  
 4 U.S.C. § 621 et seq. (§ 61).

5 Defendants argue that it is thus unclear whether Plaintiff intends to assert an ADEA claim  
 6 at all. MTD at 12; Reply at 8-9. Indeed, Plaintiff contradicts himself when describing the claim,  
 7 in that Plaintiff refers to Count Two both as a “wrongful termination/ADEA” claim and as a  
 8 “FEHA related non-statutory claim for wrongful termination based upon age discrimination.”  
 9 MTD Opp’n at 3:10-11, 5:18-19. Similarly, when the Court asked Plaintiff’s counsel at the April  
 10 18, 2018 case management conference whether Count Two was intended to be a wrongful  
 11 discharge claim or an ADEA claim, Plaintiff’s counsel responded that it was “both.” April 18,  
 12 2018 Tr. at 15:15-25. Allowing such ambiguous pleading would force Defendants and the Court  
 13 to guess about the nature of the claim and whether the claim should be evaluated under state or  
 14 federal law. Such ambiguous pleading also would frustrate the purpose of Rule 10(b), which  
 15 requires the parties to plead separate claims in order “to frame the issue and provide the basis for  
 16 informed pretrial proceedings.” *Bautista*, 216 F.3d at 841.

17 The Court finds that Count Two is most fairly read as a state law claim for wrongful  
 18 discharge. First, although not dispositive, the title of the claim is “wrongful discharge,” not  
 19 “ADEA Violation.” *See Rains*, 80 F.3d at 343 & n.2 (noting that a wrongful termination claim  
 20 exists in California law, not federal law, but observing that the label of a cause of action is not  
 21 alone determinative). Plaintiff chose to retain this title for this claim even after the Court placed  
 22 Plaintiff on notice that the previous “wrongful discharge” claim did not clearly plead an ADEA  
 23 claim. *See id.* at 344; *cf. Bautista*, 216 F.3d at 844-45 (O’Scannlain, J., concurring in part and  
 24 dissenting in part) (observing that “[w]hen parties fail to plead their claims with sufficient  
 25 specificity, the district court is under no obligation to redraft the pleadings for them,” particularly  
 26 where the parties “are represented by experienced counsel duly admitted to practice in the federal  
 27

1 courts”).

2 Second, the way that the wrongful discharge claim is pled shows that the claim is better  
3 construed as a wrongful discharge claim than an ADEA claim. Specifically, a wrongful discharge  
4 claim can be based on age discrimination, but it can also be based on other violations of public  
5 policy. *See Hawkins v. SimplexGrinnell, L.P.*, 640 F. App’x 640, 644 (9th Cir. 2016)  
6 (unpublished) (“Under California law, termination motivated by age discrimination can also give  
7 rise to a *Tameny* claim.”); *Rains*, 80 F.3d at 343-44 (discussing wrongful discharge claim based on  
8 religious discrimination); *Tameny v. Atlantic Richfield Co.*, 610 P.2d 1330, 1331 (Cal. 1980)  
9 (foundational California Supreme Court case defining wrongful discharge claim). An ADEA  
10 claim, by contrast, only deals with age discrimination. Here, Plaintiff’s wrongful discharge claim  
11 seeks to incorporate contractual theories and state law discrimination theories that might provide a  
12 basis for a wrongful discharge claim but would be unrelated to an ADEA claim. It thus appears  
13 that count two was drafted to cover the wider scope of a wrongful discharge claim, rather than the  
14 narrower scope of an ADEA claim.

15 Third, neither the fact that the allegations in Plaintiff’s wrongful discharge claim might  
16 also support an ADEA claim, nor the fact that Plaintiff identified the ADEA as a source of public  
17 policy, transform the wrongful discharge claim into an ADEA claim. The Ninth Circuit  
18 considered and rejected a similar argument in *Rains*. There, *Rains* pled the same type of wrongful  
19 discharge claim that Plaintiff asserts here. *See* 80 F.3d at 343. *Rains*’ complaint referenced Title  
20 VII as well as CFEHA. *Id.* The Ninth Circuit held that “[t]he direct and indirect references to  
21 Title VII in [*Rains*’] two state law causes of action do not make those claims into federal causes of  
22 action. Rather, the complaint merely incorporates Title VII as one of several similar sources of  
23 public policy supporting defendant’s state law claims.” *Id.* at 344. The Ninth Circuit also stated  
24 that the fact “[t]hat the same facts could have been the basis for a Title VII claim does not make  
25 *Rains*’ wrongful termination claim into a federal cause of action.” *Id.* Thus, “[w]hile *Rains*  
26 named Title VII as one of several similar bases for determining the applicable public policy in his  
27

1 state law cause of action, he did not file a Title VII claim.” *Id.*

2 Similarly, “multiple courts applying California law have held that a complaint’s citation to  
3 a statutory provision does not transform a common law cause of action for wrongful termination in  
4 violation of public policy into a statutory cause of action.” *Madani v. County of Santa Clara*,  
5 2017 WL 1092398, at \*9 (N.D. Cal. Mar. 23, 2017) (citing *Miklosy v. Regents of the Univ. of Cal.*,  
6 44 Cal. 4th 876, 899 (2008); *Ortiz v. Lopez*, 688 F. Supp. 2d 1072, 1078-79 (E.D. Cal. 2010)).  
7 “Indeed, a claim for wrongful termination in violation of public policy usually must be ‘carefully  
8 tethered to fundamental policies that are delineated in constitutional or statutory provisions.’” *Id.*  
9 (quoting *Gantt v. Sentry Ins.*, 1 Cal. 4th 1083, 1095 (1992)). “Thus, almost every claim for  
10 wrongful termination in violation of public policy will cite to a statutory or constitutional  
11 provision or policy to state a cause of action.” *Id.*

12 Here, Plaintiff’s reference to the ADEA in the context of his wrongful discharge claim  
13 does not transform the wrongful discharge claim into an ADEA claim. *See id.* The fact that the  
14 allegations in the wrongful discharge claim might also support an ADEA claim does not matter  
15 where Plaintiff did not plead a separate ADEA claim.

16 The Court thus construes Count Two as a state law wrongful discharge claim. Plaintiff has  
17 not alleged an independent ADEA claim.

18 The Court DENIES leave to amend to add an ADEA claim because the Court previously  
19 placed Plaintiff on notice that he had failed to clearly state an ADEA claim, *see* ECF No. 99 at 9  
20 n.2, 36. The Court then analyzed the substantive deficiencies of any attempted ADEA claim and  
21 stated that “[i]f Plaintiff fails to . . . cure the § 1983 and ADEA deficiencies identified in this  
22 order, those claims will be dismissed with prejudice.” The FAC failed to cure the deficiencies  
23 identified by the Court. Moreover, the Court finds that allowing yet another round of amendment  
24 due to Plaintiff’s failure to cure a deficiency of which he had notice would cause undue delay.  
25 Specifically, the Court would not be able to rule on another motion to dismiss for at least five  
26 months due to the Court’s congested docket. In addition, the Court finds that allowing Plaintiff

1 leave to amend to cure a deficiency of which Plaintiff clearly had notice would prejudice  
2 Defendants by requiring them to file yet another motion to dismiss in a case where Defendants  
3 have already had to oppose three preliminary injunction motions, and two interlocutory appeals, as  
4 well as file an anti-SLAPP motion and two motions to dismiss. Burdening Defendants with yet  
5 another round of briefing based either on Plaintiff’s tactical decision to plead his claim as a  
6 wrongful discharge claim or Plaintiff’s carelessness in failing to heed the Court’s first order is not  
7 warranted. *See Carvalho*, 629 F.3d at 892-93 (stating that a court “may exercise its discretion to  
8 deny leave to amend due to undue delay,” “undue prejudice to the opposing party,” or “repeated  
9 failure to cure deficiencies by amendments previously allowed”); *Bautista*, 216 F.3d at 841 (citing  
10 docket congestion as a relevant factor).

11         These circumstances are thus distinguishable from those in *Bautista*, where the Ninth  
12 Circuit held that a district court abused its discretion for dismissing a case with prejudice based on  
13 the plaintiff’s failure to comply with Rule 10(b). In *Bautista*, the district court’s first order  
14 dismissing the case was “bare-bones,” “did not specify what it required in the pleading,” and  
15 “gave no warning that it would dismiss the next complaint with prejudice if it did not comply.”  
16 *See Bautista*, 216 F.3d at 841. Here, by contrast, the Court’s December 5, 2017 order put Plaintiff  
17 on notice that he had failed to clearly allege an ADEA claim. The Court then analyzed the  
18 substantive deficiencies of any attempted ADEA claim and stated that “[i]f Plaintiff fails to . . .  
19 cure the § 1983 and ADEA deficiencies identified in this order, those claims will be dismissed  
20 with prejudice.” ECF No. 99 at 45.

### 21         **3. State Law Claims**

22         After dismissal of the § 1983 claim, the following state law claims remain: wrongful  
23 discharge, intentional infliction of emotional distress, breach of contract, breach of the covenant of  
24 good faith and fair dealing, and defamation. A federal court may exercise supplemental  
25 jurisdiction over state law claims “that are so related to claims in the action within [the court’s]  
26 original jurisdiction that they form part of the same case or controversy under Article III of the  
27



1 United States Constitution.” 28 U.S.C. § 1367(a). Conversely, a court may decline to exercise  
2 supplemental jurisdiction where it “has dismissed all claims over which it has original  
3 jurisdiction.” 28 U.S.C. § 1367(c)(3); *see also Albingia Versicherungs A.G. v. Schenker Int’l,*  
4 *Inc.*, 344 F.3d 931, 937-38 (9th Cir. 2003) (as amended) (holding that Section 1367(c) grants  
5 federal courts the discretion to dismiss state law claims when all federal claims have been  
6 dismissed). Typically, the Court would proceed directly to analyzing whether to retain  
7 supplemental jurisdiction over the state law claims. However, because Plaintiff’s wrongful  
8 discharge claim incorporates an alleged underlying ADEA violation, and because Plaintiff invoked  
9 federal jurisdiction under the ADEA, the Court examines whether the wrongful discharge claim  
10 presents a federal question such that the Court would have original jurisdiction over the wrongful  
11 discharge claim.

12 Generally speaking, “[a] cause of action arises under federal law only when the plaintiff’s  
13 well-pleaded complaint raises issues of federal law.” *Hansen v. Blue Cross of Cal.*, 891 F.2d  
14 1384, 1386 (9th Cir. 1989). Typically, a complaint that asserts only state law claims does not arise  
15 under federal law. *Met. Life Ins. Co. v. Taylor*, 481 U.S. 58, 63 (1987). Federal question  
16 jurisdiction will lie over state law claims like Plaintiff’s wrongful discharge claim only “in certain  
17 cases” where those state law claims “implicate significant federal issues.” *Grable & Sons Metal*  
18 *Prods., Inc. v. Darue Eng’g & Mfg.*, 545 U.S. 308, 312 (2005). Under *Grable*, a federal court may  
19 exercise jurisdiction over a state law claim only if (1) the action necessarily raises a federal issue  
20 that is (2) disputed and (3) substantial, and if (4) the court may entertain the case without  
21 disturbing the congressionally approved balance of federal and state judicial responsibilities. *Id.* at  
22 314; *see Williston Basin Interstate Pipeline Co. v. An Exclusive Gas Storage Leasehold*, 524 F.3d  
23 1090, 1100 (9th Cir. 2008) (citing standard from *Grable*). The party seeking to establish  
24 jurisdiction must justify a need for “the experience, solicitude, and hope of uniformity that a  
25 federal forum offers on federal issues.” *Grable*, 545 U.S. at 312.

26 Here, Plaintiff’s wrongful discharge claim does not necessarily raise a federal issue. The  
27

1 Ninth Circuit has considered very similar cases at least twice, in *Rains* and *Glanton v. Harrah's*  
2 *Entertainment, Inc.*, 297 F. App'x 685 (9th Cir. 2008) (unpublished), and in both cases found that  
3 no federal issue was necessarily raised. In *Rains*, as mentioned above, the plaintiff raised the same  
4 California state law wrongful discharge claim that Plaintiff raises here and invoked the violation of  
5 a federal statute as one of the underlying public policies that his firing violated, as Plaintiff does  
6 here. The Ninth Circuit explained that “[t]he invocation of Title VII as a basis for establishing an  
7 element of a state law cause of action does not confer federal question jurisdiction when the  
8 plaintiff also invokes a . . . state statute that can and does serve the same purpose.” 80 F.3d at 345.  
9 Specifically, the Ninth Circuit explained that CFEHA prohibits discrimination on the basis of  
10 religion just as Title VII does. Thus, the Ninth Circuit held that “[w]hen a claim can be supported  
11 by alternative and independent theories—one of which is a state law theory and one of which is a  
12 federal law theory—federal question jurisdiction does not attach because federal law is not a  
13 necessary element of the claim.” *Id.* at 346.

14 Similarly, in *Glanton*, the plaintiff brought a constructive discharge claim under Nevada  
15 law. One element of the constructive discharge claim is “a showing of intolerable working  
16 conditions and/or a violation of Nevada public policy.” 297 F. App'x at 687. Citing *Rains*, the  
17 Ninth Circuit held that the constructive discharge claim did not necessarily raise a federal issue:

18 Although *Glanton's* complaint alleges violations of “EPA, OSHA” and “federal  
19 statutes and regulations,” these violations are not the sole means of establishing  
20 intolerable working conditions or violations of Nevada public policy. Indeed,  
21 *Glanton* alleges violations of state statutes and regulations that prohibit the same  
22 or similar conduct as their federal counterparts and do not depend on their federal  
23 counterparts. Because there are alternative and independent theories, the district  
24 court did not have subject matter jurisdiction over *Glanton's* claims.

25 *Id.*

26 Here, like in *Rains* and *Glanton*, Plaintiff's wrongful discharge claim references federal  
27 law (the ADEA) as well as state law (CFEHA) as bases for the wrongful discharge claim. *See*  
28 FAC ¶ 61. Because both the ADEA and CFEHA prohibit age discrimination, the wrongful  
discharge claim “can be supported by alternative and independent theories.” *Rains*, 80 F.3d at

1 346; *see Stevenson v. Super. Ct.*, 16 Cal. 4th 880, 885 (1997) (holding that CFEHA’s prohibition  
2 against age discrimination can “support a common law action for tortious wrongful discharge”).  
3 Thus, because federal law is not a necessary element of the wrongful discharge action, the  
4 wrongful discharge action does not invoke federal question jurisdiction. *See Rains*, 80 F.3d at  
5 345-46. The wrongful discharge claim is thus treated as a state law claim for jurisdictional  
6 purposes. The Court must next consider whether to retain supplemental jurisdiction over the state  
7 law claims.

8 In considering whether to retain supplemental jurisdiction, a court should consider factors  
9 such as “economy, convenience, fairness, and comity.” *Acri v. Varian Assocs.*, 114 F.3d 999,  
10 1001 (9th Cir. 1997) (en banc) (citations and internal quotation marks omitted). However, “in the  
11 usual case in which all federal-law claims are eliminated before trial, the balance of factors . . .  
12 will point toward declining to exercise jurisdiction over the remaining state law claims.” *Exec.*  
13 *Software N. Am., Inc. v. U.S. Dist. Court*, 24 F.3d 1545, 1553 n.4 (9th Cir. 1994) (emphasis  
14 omitted), *overruled on other grounds by Cal. Dep’t of Water Res. v. Powerex Corp.*, 533 F.3d  
15 1087 (9th Cir. 2008).

16 Here, the factors of economy, convenience, fairness, and comity support dismissal of  
17 Plaintiff’s remaining state law theories of relief. This case is still at the pleading stage, and no  
18 discovery has taken place. Federal judicial resources are conserved by dismissing the state law  
19 theories of relief at this stage. The Court finds that dismissal promotes comity as it enables  
20 California courts to interpret questions of state law. For these reasons, the Court declines to  
21 exercise supplemental jurisdiction over Plaintiffs’ state law theories of relief. The state law claims  
22 are thus DISMISSED without prejudice to Plaintiff refiling these claims in state court.

23 The Court next turns to Plaintiff’s third motion for a preliminary injunction.

24 **C. Third Motion for Preliminary Injunction**

25 Following SCU’s termination of Plaintiff’s employment, Plaintiff filed a third preliminary  
26 injunction motion in which he seeks reinstatement to his tenured professorship. *See* ECF No. 145

1 at 1. The Ninth Circuit distinguishes between prohibitory and mandatory injunctions. “A  
2 prohibitory injunction preserves the status quo.” *Stanley*, 13 F.3d at 1320. A mandatory  
3 injunction, by contrast, “orders a responsible party to ‘take action.’” *Garcia*, 786 F.3d at 740  
4 (quoting *Marlyn Nutraceuticals, Inc. v. Mucos Pharma GmbH & Co.*, 571 F.3d 873, 879 (9th Cir.  
5 2009)). Here, the nature of the injunctive relief that Plaintiff seeks has thus changed from a  
6 prohibitory injunction (preventing SCU from suspending or firing Plaintiff) to a mandatory  
7 injunction (reinstating Plaintiff).

8 This change in the nature of the injunctive relief that Plaintiff seeks is significant because  
9 “a mandatory injunction ‘goes well beyond simply maintaining the status quo *pendent lite* [and] is  
10 particularly disfavored.” *Garcia*, 786 F.3d at 740 (quoting *Stanley*, 13 F.3d at 1320 (alteration in  
11 original)). Under Ninth Circuit precedent, a plaintiff seeking a mandatory injunction carries a  
12 higher burden than a plaintiff seeking a prohibitory injunction. *See Hernandez*, 872 F.3d at 999  
13 (recognizing criticism of different standards for mandatory and prohibitory injunctions but  
14 concluding that Ninth Circuit precedent requires the distinction); *see also Garcia*, 786 F.3d at 740  
15 (calling the burden for a mandatory injunction “doubly demanding”). Thus, “[w]hen a mandatory  
16 preliminary injunction is requested, the district court should deny such relief ‘unless the facts and  
17 law clearly favor the moving party.’” *Id.* (quoting *Anderson*, 612 F.2d at 1114). This is a higher  
18 standard than “simply” showing that the plaintiff “is likely to succeed.” *Garcia*, 786 F.3d at 740.  
19 “In plain terms, mandatory injunctions should not issue in ‘doubtful cases.’” *Id.* (quoting *Park*  
20 *Vill. Apartment Tenants Ass’n v. Mortimer Howard Trust*, 636 F.3d 1150, 1160 (9th Cir. 2011)).

21 The Court thus analyzes Plaintiff’s third motion for a preliminary injunction, keeping in  
22 mind that Plaintiff carries a higher burden to succeed on his third motion than he did for his first  
23 two preliminary injunction motions.

24 **1. Likelihood of Success on the Merits**

25 In Section III.B, above, the Court dismissed Plaintiff’s § 1983 claim with prejudice after  
26 determining that Plaintiff’s theory of state action failed as a matter of law and dismissed the state  
27

1 law claims without prejudice to refile in state court. In similar circumstances, where the entire  
2 complaint has been dismissed, this Court and other courts in the Ninth Circuit have found that the  
3 plaintiff has failed to establish likelihood of success on the merits. *See, e.g., Prager Univ.*, 2018  
4 WL 1471939 at \*14; *Physician’s Surrogacy, Inc.*, 2017 WL 3622329 at \*12.

5 Even looking beyond the dismissal of Plaintiff’s FAC, however, the Court finds that  
6 Plaintiff has not carried his burden to establish a likelihood of success on the merits, let alone that  
7 “the law and facts *clearly favor* [his] position.” *See Garcia*, 786 F.3d at 740. The section of  
8 Plaintiff’s preliminary injunction motion devoted to likelihood of success on the merits reads, in  
9 full:

10 With regard to the likelihood of success on the merits, as shown above, Ms. Jane  
11 Doe’s accusations are false and fabricated as shown by her own real time emails  
12 and actions. The [Faculty Judicial Board]’s “determination” was without due  
13 process, without key witnesses, was based on applying the wrong burden of  
14 persuasion (which caused the members to feel compelled to find in SCU’s favor)  
and should be given no weight. At a minimum, an evidentiary hearing should be  
held by this Court where it can get a sense of the witness’ [*sic*] credibility.  
Professor Heineke submits that an unbiased jury will find in his favor.

15 PI Mot. at 22 (citation omitted). As with the first two preliminary injunction motions, Plaintiff  
16 does not address the likelihood of success on any particular claim, nor does Plaintiff explain how  
17 these factual allegations relate to the elements of any of his claims. Such a cursory analysis does  
18 not satisfy Plaintiff’s burden. *See Lopez*, 680 F.3d at 1072; *Dunn*, 2013 WL 12089494 at \*2.  
19 Although Plaintiff offers somewhat more specificity in his Reply by mentioning some of his  
20 specific claims, *see* PI Reply at 1-2, these arguments center on Plaintiff’s assertions that Doe’s  
21 allegations are fabricated and that SCU and its investigation was biased against Plaintiff. As  
22 discussed in more detail below, Plaintiff has not shown that he is likely to succeed in proving  
23 either of these underlying factual allegations.

24 Accordingly, even though (1) the Court has dismissed all of Plaintiff’s claims and (2)  
25 Plaintiff has failed to explain in his preliminary injunction motion how his factual allegations  
26 support his claims, either of which would be a sufficient ground for finding that Plaintiff has failed  
27 to establish a likelihood of success on the merits or serious questions going to the merits, the Court

1 nonetheless analyzes Plaintiff’s motion’s arguments for why he has established a likelihood of  
2 success on the merits. First, Plaintiff contends that the friendly tenor of Jane Doe’s emails to  
3 Plaintiff and the fact that Doe continued to seek out Plaintiff’s help during office hours prove that  
4 Plaintiff did not sexually harass Doe. PI Mot. at 8-9, 22; PI Reply at 4-10. Plaintiff explains:

5           The overwhelming evidence shows there was no sexual harassment. The  
6 real reason she suddenly refused to be his TA and falsely fabricated these sexual  
7 harassment lies—not because she had been sexually harassed for eight months—  
8 she hadn’t been, as clearly shown by her emails and actions—but because she was  
9 not prepared. . . . So she lied—she fabricated sexual harassment claims by taking  
10 small bits of innocent events—her welcoming hugs, her requests to meet at his  
11 office, her requests for lunch, their walking down the stairs to go to lunch, her  
12 questions to Professor Heineke about his wife when she saw her picture in his  
13 office, her questions about his house in the mountains after she saw of a picture of  
14 it in his office, the Tesla he drove her to lunch in, his class lectures and  
15 discussions about the reality of the business world and the glass ceiling for  
16 women, especially if they got pregnant and took maternity leave. Jane Doe  
17 twisted these innocent events and wove them into a garbage basket of false sexual  
18 harassment accusations . . . .

19           The falsity of the supposed, continuous sexual harassment in his office is  
20 shown not only by her emails, but by her actions, i.e., her attempts to weasel out  
21 of her own emails and actions will be seen for what they are—lies. The truth is,  
22 she went to his office repeatedly because it was SAFE. She went there because  
23 she wasn’t being sexually harassed. She wasn’t “disgusted” by Professor  
24 Heineke. She thought he was “sweet” and a great and excellent Professor who  
25 helped her and other students and she felt lucky to have him as a professor. He  
26 was a “friend” who she confided in about her classes and career after she had  
27 completed his class. Someone who had been sexually harassed would not do that.

28           . . . We believe it is clear that she is lying now in an effort to negate the  
damning effect of her own emails and actions because she thinks that will help her  
now in this litigation. She is lying now, just as she was lying on September 7, and  
9 when she falsely made up the sexual harassment accusation, because that would  
be a face saving excuse to help her avoid the shame of not being prepared or able  
to do the TA job she had promised to do. She reneged on her promise—she failed  
to prepare—but being the self-centered, excuse making person that she is, she  
could not admit her own failings, so she fabricated an excuse—sexual harassment.  
. . .

PI Reply at 8-10.

However, during her testimony at the Faculty Judicial Board hearing, Doe apparently

1 offered another explanation as to why she would send friendly emails and continue to seek help  
2 from Plaintiff even if he was harassing her: she wanted to get a good grade and was interested in  
3 the prestigious TA position. PI Reply at 9-10; *see also* ECF No. 130-3 at 262 (Doe told the  
4 investigator that she tolerated Plaintiff's actions because she was interested in the TA position).  
5 Although Plaintiff offers this testimony as evidence that Doe "is an admitted liar," *id.* at 9, the  
6 Court finds Doe's explanation plausible, particularly given that Doe was a student of Plaintiff's at  
7 the time and Plaintiff would determine her grade in the course. Accordingly, the Court finds that  
8 Doe's emails and repeated visits to Plaintiff's office are consistent with both Plaintiff's and Doe's  
9 versions of events. Even if these facts weigh in Plaintiff's favor, they do not prove that Doe  
10 fabricated her accusations. *See Kebede v. Ashcroft*, 366 F.3d 808, 811 (9th Cir. 2004) ("A victim  
11 of sexual assault does not irredeemably compromise his or her credibility by failing to report the  
12 assault at the first opportunity.").

13         Next, Plaintiff argues that the Faculty Judicial Board proceedings did not afford him due  
14 process. PI Mot. at 22. As the Court has explained in great detail in its December 5, 2017 order  
15 dismissing the original complaint and in the instant order, Plaintiff's due process claim fails as a  
16 matter of law for lack of state action. Similarly, Plaintiff cites no authority for his apparent beliefs  
17 that a private university's disciplinary process must incorporate all of the procedural protections  
18 that apply in federal court or that a private university can only terminate a tenured faculty member  
19 if the university proves beyond a reasonable doubt in a court proceeding that misconduct occurred.  
20 *See* FAC ¶ 60 ("The due process standard should be *beyond a reasonable doubt with full right to*  
21 *compel witnesses and cross-examine them . . .*"); PI Mot. at 15, 22-23; Reply at 11 ("SCU can  
22 still seek to prove the sexual harassment charge, but in court with due process and a fair trial. If  
23 SCU can prove the accusation at trial, then it will be able to suspend or terminate him."). This is  
24 simply not the law, even for public employees. *Cf. Loudermill*, 470 U.S. at 542-46 (discussing  
25 constitutional due process requirements for pretermination hearing, including oral or written notice  
26 of the charges, an explanation of the employer's evidence, and an opportunity for the employee to  
27

1 tell his side of the story); *Roybal v. Toppenish School Dist.*, 871 F.3d 927, 933 (9th Cir. 2017)  
 2 (contrasting Washington state law, which guarantees employees “notice and a trial-like  
 3 predeprivation hearing to determine whether the adverse employment action is supported by  
 4 probable cause,” with federal due process minimums as defined in *Loudermill*); *Brewster v. Bd. of*  
 5 *Educ. Of Lynwood Unified School Dist.*, 149 F.3d 971, 985 (9th Cir. 1998) (“The hearing need not  
 6 even approximate a trial-like proceeding; in fact, it may be ‘very limited’ and still pass  
 7 constitutional muster.”); *Walker v. President & Fellows of Harvard College*, 82 F. Supp. 3d 524,  
 8 532 (D. Mass. 2014) (“[a] university is not required to adhere to the standards of due process  
 9 guaranteed to criminal defendants or to abide by rules of evidence adopted by courts” (quoting  
 10 *Schaer*, 432 Mass. at 482) (alteration in original)).

11 Plaintiff also contends that the Faculty Judicial Board applied the wrong burden of  
 12 persuasion. PI Mot. at 22. Plaintiff does not specify what cause of action this issue relates to, but  
 13 the Court assumes it goes to Plaintiff’s breach of contract claim. Based on the exhibits that  
 14 Plaintiff submitted in support of his third motion for a preliminary injunction, it appears that  
 15 Plaintiff’s argument is that his dismissal was pursuant to § 3.9 of the Faculty Handbook, which  
 16 governs dismissals for misconduct. *See* Exh. 10 to PI Mot. Faculty Handbook § 3.10.2.3(12)  
 17 provides that “[t]he burden of persuasion in matters before the hearing committee shall be satisfied  
 18 only by a preponderance of the evidence. In cases governed by 3.9 (Misconduct and Diminished  
 19 Fitness) the burden rests upon the University. In all other cases, the burden rests upon the party  
 20 invoking the jurisdiction of the Faculty Judicial Board.” ECF No. 130-3 at 122. Plaintiff thus  
 21 contends that the Faculty Judicial Board erred when it placed the burden of persuasion on Plaintiff  
 22 because his case was governed by § 3.9. Exh. 10 to PI Mot.

23 The Faculty Judicial Board considered Plaintiff’s argument and responded that § 3.10.2.2  
 24 “specifies that the Faculty Judicial Board has jurisdiction in a variety of instances, and it  
 25 distinguishes between ‘cases of sanction for misconduct, as provided in 3.9’ (3.10.2.2(3)) and  
 26 ‘cases designated in the Policy on Unlawful Harassment and Discrimination’ (3.10.2.2(5)).” Exh.



1 11 to PI Mot.; *see also* ECF No. 130-3 at 119. Because Plaintiff was sanctioned under the Policy  
2 on Unlawful Harassment and Discrimination and not for misconduct under § 3.9, the Faculty  
3 Judicial Board reasoned that Plaintiff was the party invoking the jurisdiction of the Faculty  
4 Judicial Board and thus Plaintiff bore the burden of persuasion pursuant to § 3.10.2.3(12). Exh. 11  
5 to PI Mot. The letter from Dennis Jacobs suspending Plaintiff makes clear that his suspension was  
6 pursuant to “the University’s Policy on Unlawful Harassment and Unlawful Discrimination.”  
7 Exh. 6 to PI Mot. Thus, the Court agrees with the Faculty Judicial Board’s determination that  
8 Plaintiff bore the burden of persuasion under the procedures outlined in the Faculty Handbook.  
9 As a result, the Faculty Judicial Board did not apply the wrong burden of persuasion.

10 Accordingly, none of the arguments that Plaintiff makes in his motion for a preliminary  
11 injunction establish a likelihood of success on the merits or serious questions going to the merits,  
12 let alone that the law and facts clearly favor Plaintiff’s position.

13 Finally, because the Ninth Circuit highlighted age discrimination in its remand order, the  
14 Court assesses the strength of Plaintiff’s age discrimination claim even though Plaintiff did not  
15 specifically brief the likelihood of success on the merits of his age discrimination claims in his  
16 third motion for a preliminary injunction. “When a plaintiff seeks injunctive relief based on  
17 claims not pled in the complaint, the court does not have the authority to issue an injunction.”  
18 *Pacific Radiation Oncology, LLC v. Queen’s Medical Center*, 810 F.3d 631, 633 (9th Cir. 2015).  
19 As explained above, Plaintiff did not allege a standalone age discrimination claim under the  
20 ADEA. Nor did Plaintiff allege a standalone age discrimination claim under state law. Thus, the  
21 Court cannot issue a preliminary injunction based on age discrimination alone. The Court’s  
22 analysis thus considers age discrimination only insofar as it would support Plaintiff’s wrongful  
23 discharge cause of action.

24 “The elements of a claim for wrongful discharge in violation of public policy are (1) an  
25 employer-employee relationship, (2) the employer terminated the plaintiff’s employment, (3) the  
26 termination was substantially motivated by a violation of public policy, and (4) the discharge  
27

1 caused the plaintiff harm.” *Nosal-Tabor v. Sharp Chula Vista Medical Center*, 239 Cal. App. 4th  
2 1224, 1234-35 (2015) (quoting *Yau v. Allen*, 229 Cal. App. 4th 144, 154 (2014)). The public  
3 policy violated must be “(1) delineated in either constitutional or statutory provisions; (2) ‘public’  
4 in the sense that it ‘inures to the benefit of the public’ rather than serving merely the interests of  
5 the individual; (3) well established at the time of discharge; and (4) substantial and fundamental.”  
6 *Freund v. Nycomed Amersham*, 347 F.3d 752, 758 (9th Cir.2003) (quoting *City of Moorpark v.*  
7 *Super. Ct.*, 18 Cal.4th 1143, 1159 (1998)). The California Supreme Court has held that violation  
8 of CFEHA’s prohibition of age discrimination can support a wrongful discharge claim. *See*  
9 *Stevenson*, 16 Cal. 4th at 885. Presumably the same reasoning would apply to a violation of the  
10 ADEA.

11 The first, second, and fourth elements of the wrongful discharge cause of action are not  
12 seriously disputed here. The parties do dispute the third element—whether Plaintiff’s termination  
13 was substantially motivated by age discrimination. Accordingly, the Court analyzes whether  
14 Plaintiff has established a likelihood of success of proving that his termination was substantially  
15 motivated by age discrimination. To do so, the Court turns to the ADEA and CFEHA. *See*  
16 *Stevenson*, 16 Cal. 4th at 904-05 (holding that “when a plaintiff relies upon a statutory prohibition  
17 to support a common law cause of action for wrongful termination in violation of public policy,  
18 the common law claim is subject to statutory limitations affecting the nature and scope of the  
19 statutory prohibition”).

20 Under both the ADEA and the CFEHA, when a plaintiff relies on indirect evidence of  
21 discrimination, then analysis of an age discrimination claim employs the burden-shifting  
22 framework from *McDonnell Douglas Corp. v. Green*, 411 U.S. 792 (1973). *See Enlow v. Salem-*  
23 *Keizer Yellow Cab Co.*, 389 F.3d 802, 812 (9th Cir. 2004); *Guz v. Bechtel Nat’l, Inc.*, 24 Cal. 4th  
24 317, 354 (2000). At the first step of the *McDonnell Douglas* framework, Plaintiff must establish a  
25 prima facie case of age discrimination. To do so, Plaintiff must show that (1) he was a member of  
26 the protected class, *i.e.* that he was more than 40 years old; (2) that he was performing

1 competently in his position; (3) that he was fired; and (4) that he was replaced by someone  
 2 substantially younger with equal or inferior qualifications. *See Coleman v. Quaker Oats Co.*, 232  
 3 F.3d 1271, 1281 (9th Cir. 2000); *Guz*, 24 Cal. 4th at 355. Here, Plaintiff has adequately alleged a  
 4 prima facie case. *See* FAC ¶¶ 1, 16, 46, 53, 61. Thus, a presumption of discrimination arises. *See*  
 5 *St. Mary's Honor Center v. Hicks*, 509 U.S. 502, 506 (1993); *Guz*, 24 Cal. 4th at 355.

6 The burden then shifts to SCU to “produc[e] evidence that the plaintiff was rejected, or  
 7 someone else was preferred, for a legitimate, nondiscriminatory reason.” *Enlow*, 389 F.3d at 812  
 8 (quoting *Reeves v. Sanderson Plumbing Prods., Inc.*, 530 U.S. 133, 142 (2000)); *see Guz*, 24 Cal.  
 9 4th at 355-56. “This burden is one of production, not persuasion; it ‘can involve no credibility  
 10 assessment.’” *Reeves*, 530 U.S. at 142 (quoting *St. Mary's Honor Center*, 509 U.S. at 509). Here,  
 11 SCU has met its burden of production by producing admissible evidence that Plaintiff was fired  
 12 because he was found to have violated SCU’s Gender-Based Discrimination and Sexual  
 13 Misconduct Policy. Accordingly, the presumption of discrimination disappears. *See id.* at 142-43;  
 14 *Guz*, 24 Cal. 4th at 356.

15 The burden thus shifts back to Plaintiff “to prove by a preponderance of the evidence that  
 16 the legitimate reasons offered by [SCU] were not its true reasons, but were a pretext for  
 17 discrimination.” *Reeves*, 530 U.S. at 143; *Guz*, 24 Cal. 4th at 356. “A plaintiff may demonstrate  
 18 pretext in either of two ways: (1) directly, by showing that unlawful discrimination more likely  
 19 than not motivated the employer; or (2) indirectly, by showing that the employer’s proffered  
 20 explanation is unworthy of credence because it is internally inconsistent or otherwise not  
 21 believable.” *Earl v. Nielsen Media Research, Inc.*, 658 F.3d 1108, 1112-13 (9th Cir. 2011). With  
 22 respect to indirect evidence, the U.S. Supreme Court explained in *Reeves* that a trier of fact could  
 23 “reasonably infer from the falsity of the explanation that the employer is dissembling to cover up a  
 24 discriminatory purpose,” but the U.S. Supreme Court also made clear that such an inference is not  
 25 automatic. 530 U.S. at 147; *Guz*, 24 Cal. 4th at 361-62. In other words, “[t]he ultimate question is  
 26 whether the employer intentionally discriminated, and proof that ‘the employer’s proffered reason  
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1 is unpersuasive, or even obviously contrived, does not necessarily establish that the plaintiff’s  
2 proffered reason . . . is correct.” *Reeves*, 530 U.S. at 146-47 (quoting *St. Mary’s Honor Center*,  
3 509 U.S. at 524) (alterations in original); *see Guz*, 24 Cal. 4th at 361-62 (“[A]n inference of  
4 intentional discrimination cannot be drawn solely from evidence, if any, that the company lied  
5 about its reasons. The pertinent statutes do not prohibit lying, they prohibit discrimination.”). “It  
6 is not enough . . . to *disbelieve* the employer, the factfinder must *believe* the plaintiff’s explanation  
7 of intentional discrimination.” *Reeves*, 530 U.S. at 147 (quoting *St. Mary’s Honor Center*, 509  
8 U.S. at 519) (alteration in original).

9 Here, Plaintiff offers no “direct evidence of discrimination, such as comments from  
10 supervisors betraying bias or animus against older workers.” *Earl*, 658 F.3d at 1113. Instead,  
11 Plaintiff attacks SCU’s proffered explanation. At its core, Plaintiff’s theory appears to be that  
12 SCU used Doe’s false harassment complaint as a vehicle to fire Plaintiff because of his age. PI  
13 Mot. at 16. Specifically, Plaintiff alleges (1) that Doe fabricated her allegations in order to create  
14 a face-saving way to withdraw from the TA position, to help Doe’s friend, and to create a cover  
15 for SCU to fire Plaintiff based on his age, FAC ¶¶ 26, 28, 34, 36, 43; and (2) that the investigation  
16 suffered from procedural and substantive deficiencies, FAC ¶¶ 39-40, 44, 52.

17 After reviewing the hundreds of pages of evidence offered by both sides, the Court is not  
18 persuaded that Plaintiff has shown that he is likely to succeed in establishing that “age was the  
19 ‘but-for’ cause of the challenged employment decision.” *Gross v. FBL Fin. Servs., Inc.*, 557 U.S.  
20 167, 176 (2009); *see Alliance for the Wild Rockies v. Pena*, 865 F.3d 1211, 1217 (9th Cir. 2017)  
21 (finding that serious questions standard is a “lesser showing than likelihood of success on the  
22 merits”). As stated above and as the U.S. Supreme Court explained in *Reeves*, a factfinder *might*  
23 infer from Plaintiff’s attack on Doe’s allegations and SCU’s investigation that SCU used the  
24 allegations as a pretext to fire Plaintiff based on his age. 530 U.S. at 148. In the Court’s view,  
25 however, the likelihood of a factfinder drawing this inference is low based on the implausibility of  
26 Plaintiff’s allegations, considered individually and as a whole. Specifically, Plaintiff’s theory is  
27

1 that Doe falsely accused Plaintiff of sexual harassment in 2015 because it was a more face-saving  
2 way to withdraw from her commitment to be his TA than simply admitting that she was  
3 unprepared. *See, e.g.*, PI Reply at 10; ECF No. 130-3 at 245. Doe then made a report to SCU’s  
4 EEO office that she chose not to pursue in 2015. ECF No. 130-3 at 263. According to Plaintiff,  
5 when an investigator in a separate case approached Doe about her allegation in 2017, Doe agreed  
6 to be interviewed because she wanted to help SCU create a pretext to fire Plaintiff based on his  
7 age. FAC ¶ 43. Plaintiff also asserts that Doe cooperated with the investigation in order to help  
8 her friend, the complainant in the other case against Plaintiff, who was also a foreign student.

9         However, Plaintiff does not explain why a student would believe that falsely accusing her  
10 professor of sexual harassment would be a less embarrassing way of withdrawing from a  
11 professional commitment than either telling the truth (according to Plaintiff, that Doe was  
12 unprepared) or any other less confrontational, emotionally charged excuse. As the proceedings in  
13 this case make clear, an accusation of sexual harassment, whether false or true, can lead to years of  
14 investigation and public attacks on Doe’s credibility and personal and professional conduct.  
15 Plaintiff’s theory that Doe would fabricate the allegations and then continue to stand by them for  
16 years thus appears implausible. Plaintiff also does not explain why Doe would bother making a  
17 formal report to the EEO office if her only goal was to withdraw from her TA commitment. Nor  
18 does Plaintiff explain his basis for asserting that Doe and the other accuser were friends, beyond  
19 that they were both foreign students from China. ECF No. 1 ¶ 34; FAC ¶¶ 34, 36. To the  
20 contrary, the report of investigation into the other accuser’s allegations makes clear that the other  
21 accuser did not even know Doe’s name as of March 23, 2017, which makes it unlikely that Doe  
22 was driven by any friendship with the other accuser. *See* ECF No. 130-3 at 227-28.

23         According to Plaintiff, SCU then instructed the investigator to pursue Doe’s allegations so  
24 that it could use the investigation as a pretext to fire Plaintiff because of his age. PI Reply at 2.  
25 The investigator then interviewed Doe, witnesses, documentary evidence, and responded to  
26 Plaintiff’s written objections in two reports that totaled more than 100 pages, but Plaintiff  
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1 contends that these reports were biased because SCU’s EEO and Title IX Coordinator is a paid  
2 consultant with the company hired to do the investigation. PI Reply at 2-4. However, Plaintiff  
3 does not explain why, if SCU was seeking a pretextual reason to fire him because of his age and  
4 the investigator was willing to produce a biased report, the same investigator cleared Plaintiff with  
5 respect to the other student’s sexual harassment allegations. *See* ECF No. 130-3 at 214-15  
6 (discussing previous investigation’s finding that “there was insufficient evidence to demonstrate  
7 that the alleged behaviors were both sexual in nature and sufficiently severe, persistent, or  
8 pervasive such that they created a hostile educational environment for the Complainant”).

9 SCU’s provost then reviewed the investigation reports, Plaintiff’s objections to the reports,  
10 and met with Plaintiff. SCU’s provost found it more likely than not that Plaintiff had violated  
11 SCU’s policy prohibiting unlawful harassment. ECF No. 130-3 at 190. SCU’s president then  
12 reviewed the reports, more than 220 pages of documents submitted by Plaintiff, and interviewed  
13 Doe and Plaintiff, among others. SCU’s president then affirmed the evidentiary findings and the  
14 decision to terminate Plaintiff’s employment. ECF No. 130-3 at 199.

15 Finally, the FJB held a multi-day hearing in which witnesses, including Doe and Plaintiff,  
16 testified, and SCU and Plaintiff submitted post-hearing briefs. The FJB explicitly found Doe’s  
17 testimony “compelling and credible” and doubted Plaintiff’s credibility. Exh. 3 to PI Mot at 4.  
18 Plaintiff dismisses SCU’s process as a series of rubberstamps and kangaroo court proceedings.  
19 *See* PI Reply at 5. Again, however, even if Plaintiff succeeds in persuading the factfinder that  
20 SCU’s process had flaws, finding fault with SCU’s disciplinary procedure and finding that SCU  
21 used the proceedings as a pretext to fire Plaintiff *because of his age* are not the same thing.  
22 Plaintiff has not shown that a factfinder is likely to conclude that his termination was motivated by  
23 age.

24 In fact, Plaintiff has repeatedly offered non-discriminatory reasons for his termination.  
25 Plaintiff’s original complaint, sworn declaration, and first two preliminary injunction motions  
26 stated that SCU sought to lower its costs by replacing Plaintiff, a highly paid tenured professor,  
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1 with a lesser paid adjunct professor. *See* ECF No. 1 ¶ 55; Heineke Decl. ¶ 31; ECF No. 14 at 15;  
 2 ECF No. 39 at 15. Although the Court need not decide this issue, the Court notes that it is not  
 3 immediately clear whether Plaintiff may escape the effect of his previous sworn allegations that  
 4 SCU was motivated by a desire to cut costs by omitting those allegations in his FAC after the  
 5 Court ruled that such allegations would cause Plaintiff’s age discrimination claim to fail. *See*  
 6 *Reddy v. Litton Indus., Inc.*, 912 F.2d 291, 296-97 (9th Cir. 1990) (“Although leave to amend  
 7 should be liberally granted, the amended complaint may only allege ‘other facts consistent with  
 8 the challenged pleading.’”); *Plevin v. City & County of San Francisco*, 2011 WL 3240536, at \*2  
 9 (N.D. Cal. July 29, 2011) (same).

10 Plaintiff has also alleged that certain members of the SCU administration, including SCU  
 11 General Counsel John Ottoboni, are biased against Plaintiff. *See, e.g.*, PI Reply at 3 n.1. With  
 12 respect to Ottoboni, Plaintiff apparently told a previous SCU president that Ottoboni “was  
 13 incompetent and should be fired,” which the previous SCU president then told Ottoboni. PI Reply  
 14 at 3 n.1. A factfinder’s conclusion that Plaintiff’s termination was motivated by professional  
 15 grudges or personal dislike would defeat any age discrimination claim.

16 Here, where the evidence does not suggest that age discrimination is any more likely to be  
 17 the motivating force behind Plaintiff’s termination than a good faith reliance on the investigation  
 18 and disciplinary process or an alternative, non-discriminatory reason such as a desire to cut costs,  
 19 which Plaintiff himself alleged under oath was a factor in his termination, Plaintiff has not carried  
 20 his burden to establish that “he is likely to succeed on the merits” of a wrongful discharge claim  
 21 based on age discrimination. *See Winter*, 555 U.S. at 20. It follows the Plaintiff has not met the  
 22 higher burden required of a plaintiff seeking a mandatory injunction to show that the facts and the  
 23 law clearly favor his position. *See Garcia*, 786 F.3d at 740. Thus, Plaintiff has failed to show a  
 24 likelihood of success on the merits.

25 **2. Irreparable Harm**

26 Plaintiff asserts substantially similar arguments regarding irreparable harm as he did in his  
 27

1 second preliminary injunction motion. *See* PI Mot. at 16-22. Accordingly, the Court limits its  
2 analysis to the facts that have changed since the first two preliminary injunction motions.  
3 Specifically, Plaintiff has now been terminated from his employment, rather than suspended with  
4 pay. However, Plaintiff still has not shown a likelihood of success on the merits of his age  
5 discrimination claim. The Court still concludes that, in the absence of a likelihood of success on a  
6 discrimination claim, Plaintiff's harm is closer to the harm in *Sampson, Hartikka, Kennedy*, and  
7 the out-of-circuit and district court cases concerning the termination of university professors than  
8 it is to *Chalk*. Accordingly, Plaintiff has not established that he is likely to suffer irreparable harm  
9 in the absence of an injunction.

10 **3. Balance of Equities**

11 Again, Plaintiff and Defendants make largely similar arguments related to the balance of  
12 equities as they did in relation to the first two preliminary injunction motions. *See* PI Mot. at 23;  
13 PI Opp'n at 9-17, 21-23. In addition, Doe now states in a declaration that she intends to re-enroll  
14 in SCU in fall 2018 to finish her graduate degree. However, Doe states that she will not re-enroll  
15 if Plaintiff is reinstated. *See* ECF No. 130-1.

16 Moreover, SCU hired a third party investigator to conduct an investigation, and SCU's  
17 provost, SCU's president, and the FJB have reviewed the matter. Notably, the investigator, SCU's  
18 president, and the FJB each separately interviewed Plaintiff and Doe and assessed their credibility.  
19 Plaintiff has again failed to demonstrate a likelihood of success on the merits of his claims.

20 Furthermore, ordering Plaintiff's return to the classroom would unduly interfere in a  
21 university's judgment about how best to protect the safety of its students. Such an intervention  
22 also would likely cause victims of sexual misconduct—be they students, staff, or faculty—to  
23 question whether SCU would take any meaningful action in response to future complaints.  
24 Finally, to the extent that SCU is correct that Plaintiff sexually harassed Doe, ordering Plaintiff's  
25 return to the classroom would put other students at risk.

26 At the same time, Plaintiff's harm has increased now that he has been terminated, rather  
27



1 than merely suspended with pay, although the Court notes that SCU continues to pay for  
2 Plaintiff’s medical, vision, and dental insurance through his wife, who is a professor at SCU. *See*  
3 ECF No. 130-2 (declaration of Erendira Rubin). Plaintiff will likely also continue to suffer  
4 emotional distress, reputational harm, and be deprived of the opportunity to continue teaching. To  
5 the extent that Plaintiff is correct that he did not sexually harass Doe, such harms will occur  
6 despite Plaintiff’s innocence. That said, Plaintiff has not established a likelihood of success on  
7 any of his claims. Overall, the Court finds that the balance of equities favors Defendants.

8 **4. Public Interest**

9 Finally, both sides largely restate the same public interest arguments that they made in  
10 relation to the first and second preliminary injunction motions. *See* PI Mot. at 23; PI Opp’n at 22-  
11 23. The Court finds Plaintiff’s primary argument—that the public interest favors a full trial before  
12 the termination of Plaintiff’s employment—unpersuasive for the reasons explained above.  
13 Specifically, requiring a private employer such as SCU to prove misconduct in court before  
14 terminating or even suspending an employee would go far beyond what constitutional due process  
15 requires, would hamstring private entities’ ability to make personnel decisions, and would flood  
16 the courts. *See Loudermill*, 470 U.S. at 542-46 (constitutional due process requires notice and an  
17 opportunity to respond before termination from a job in which employee has a property interest,  
18 but “the pretermination hearing need not definitively resolve the propriety of the discharge”).

19 Although the Court recognizes that the “public has an interest in assuring that private  
20 institutions comport with general notions of procedural fair play,” *Ben-Yonatan*, 863 F. Supp. at  
21 988, the public also has an interest in preventing sexual harassment and in allowing private entities  
22 to make personnel decisions, so long as those personnel decisions do not violate the law. *See Doe*,  
23 2018 WL 1972461 at \*7 (recognizing public interest in university’s ability to independently  
24 investigate and discipline students for misconduct); *Marshall v. Ohio Univ.*, 2015 WL 1179955, at  
25 \*10 (S.D. Ohio Mar. 13, 2015) (observing that, absent a showing of likely success on the merits,  
26 the court is reluctant to interfere with disciplinary processes); *Ben-Yonatan*, 863 F. Supp. at 988

1 (recognizing public interest in harassment-free educational environment). Here, where Plaintiff  
2 has not shown a likelihood of success on the merits of his claims, the Court finds that an  
3 injunction would not be in the public interest.

4 **5. Conclusion**

5 In conclusion, Plaintiff has failed to carry his higher burden to show that the facts and the  
6 law clearly favor his position. *See Garcia*, 786 F.3d at 740. Specifically, Plaintiff has failed to  
7 show a likelihood of success on the merits or irreparable harm. Moreover, the Court has  
8 dismissed the wrongful termination claim after declining to exercise supplemental jurisdiction  
9 over the state law claims. The Court also found that the balance of equities favors Defendants and  
10 that an injunction would not be in the public interest. Thus, Plaintiff's third motion for a  
11 preliminary injunction is DENIED.

12 **IV. CONCLUSION**

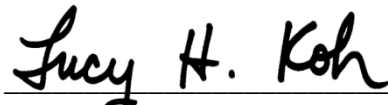
13 For the foregoing reasons, the Court DENIED all three preliminary injunction motions,  
14 GRANTED the motion to dismiss the § 1983 claim with prejudice and GRANTED the motion to  
15 dismiss the remaining state law claims without prejudice to refile the state law claims in state  
16 court. The Court also GRANTED the motion to strike the sur-opposition. The Clerk shall close  
17 the file.

18 **IT IS SO ORDERED.**

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20 Dated: July 10, 2018

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LUCY H. KOH  
United States District Judge

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