| 1 | TABLE OF CONTENTS OF EXHIBITS |
|----------|---|
| 2 | |
| 3 | EXHIBIT A |
| 4 | Ithaca City Court documents filed by Cornell on November 2, 2007 |
| 5 | |
| 6 | EXHIBIT B |
| 7 | Order unsealing Plaintiff's criminal records in Tompkins County Court; unsealed |
| 8 | records filed by Cornell on December 12, 2007 |
| 9 | |
| 10 | EXHIBIT C |
| 11 | June 3, 2008 Order Granting Special Motion to Strike in the 2007 Action 42 |
| 12 | |
| 13 | |
| 14 | |
| 15 | |
| 16 | |
| 17 | |
| 18 | |
| 19 | |
| 20 | |
| 21 | |
| 22 | |
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EXHIBIT A

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| STATE OF NEW YORK : COUNTY OF T CITY COURT : CITY OF ITHA | | Į. |
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| THE PEOPLE OF THE STATE OF NET | V York | |
| * 78 * | ACCUSATORY | |
| | INSTRUMENT | |
| Kevin G. Vangluderen <u>0</u> 08 19/23/61 D | eferdani | |
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| the above named Defendant, with having co | | 12 |
| n violation of the Section 140.20 | , Subdivision of the 2.001 Law | |
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| knowingly enter or remain unlawful | y in a building, to wit: defendant entered at approx. | |
| DAN .com. 312C. Fernow .Hall | d, Cornell University. City.of Linaca. M.X | |
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| | sources of Complainant's information and the grounds for his will)83-h22andsuoraconfessionofdefendant. | |
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| And the farbara tourne | مى بىرى بىرى بىرى بىرى بىرى بىرى بىرى بى | 1 |
| Complainant | Judge or Justice, Deck Officer or Superior, or other authorized person. | |
| | older Authorizen person. | |
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| And the second | | |
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| | EXHIBIT A, Page 3 | |

ADAMS. THEISEN & NASH

ATTORNEYS AND COUNSELORS AT LAW 301 THE CLINTON HOUSE 103 WEST SENECA STREET 17HACA, NY 14950

REPHEN IL BOWNLIN

607-272-3442

ARMAND L ADAMS 1911-1983

August 16, 1983

R. James Miller, Esq. Ithaca City Prosecutor 120 East Clinton Street Ithaca, New York 14850

Re: People v. Kevin G. Vanginderen

Dear Jim:

This letter will confirm arrangements to be made in City Coart to resolve this matter. Kevin will plead on Monday, August 22, to petit larceny in full satisfaction of any charges relative to thefts at Cornell University. Upon his plea the People will agree to a sentence of a one year conditional discharge.

I have made arrangements to have an appropriate accusatory instrument drawn. As I noted in our telephone conversation, this matter was originally handled by the District Attorney's Office, but as it is being resolved by a misdemeanor in City Court, they would like you to handle the plea and sentence for them.

Thank you for your attention to this matter.

Yours very truly,

ADAMS) THBISEN & NASH

Nesh

RWN/dh

cc: Robert C. Mulvey, Esq. Assistant District Attorney

| | W YORK : | COUNTY OF CITY OF ITH | TOMPKINS | | ن در ا | *74 |
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| THE PEOPLE | OF THE | STATE OF NE | W YORK | | , 1 | |
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CITY COURT

The People of the Sinte of New York

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EXHIBIT B

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STATE OF NEW YORK COUNTY COURT : COUNTY OF TOMPKINS

In the Matter of the Application of Cornell University to Unseal Records from the Proceeding Captioned:

"People of the State of New York

2007 Misc. Cr. 667

vs. Kevin Vanginderen"

Defendant.

MEMORANDUM DECISION AND ORDER

Pursuant to CPL §160.50(1)(d), Cornell University seeks unscaling of criminal records previously sealed by this Court in 1983, relative to the above referred action against Kevin Vanginderen. Mr. Vanginderen has filed a civil action against Cornell (now pending in the United States District Court, Southern District of California), involving causes of action for invasion of privacy and libel. The claims involve a publication on the Internet of the Cornell Chronicle on March 17, 1983, which republishes an account of Defendant's arrest on a charge of burglary.

Defendant opposes the motion, claiming that the United States District Court has sole authority at this stage of the proceedings to order such "discovery".

Pursuant to the provisions of CPL §160.50, this Court is authorized to unseal such records upon proper grounds. A civil action which places in issue the contents of the sealed records warrants unsealing of such records to a party in such action. <u>Commercial Union Insurance Co. v. Jones</u>, 216 AD2d 967 (4th Dept. 1995). There is no controlling authority that would require this Court to defer such a decision to any other state or federal court. These are New York scaled criminal records, governed specifically by the provisions of New York statutes.

Accordingly, the Court concludes that Cornell has provided sufficient grounds to grant the motion unscaling such records, held both by the Tompkins County District Attorney's Office, and the records held by the Cornell University Police, relative to the above-captioned criminal matter.

EXHIBIT E, PAGE 3

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This Decision constitutes the Order of this Court, entered upon notice to all parties.

ENTER.

DATED: November 16, 2007

M. JOHN SHERMAN TOMPKINS COUNTY JUDGE ļ

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EXHIBIT E, PAGE 4

| • • | | Case 3:07-cv-02045-BTM-JMA Document 13-3 Filed 12/12/2007 Page 1 of 91 | yp |
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| | - | EXHIBIT F, PAGE 5 | |

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Case 3:07-cv-02045-BTM-JMA Document 13-3

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Filed 12/12/2007 Page 2 of 91

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Filed 12/12/2007 Page 3 of 91

OTHER

83-422

CORNELL UNIVERSITY DEPARTMENT OF PUBLIC SAFETY

SUPPLEMENTARY INVESTIGATION REPORT

CASE Bunglany <u>NO</u> AGENCY _ OFFENSE_ PAGE 1445 hrs 3/5/83 83-00345 This officer spoke with Terry Hoover at Triangle Books to advise him of the stolen books. Mr. Hoover stated that both P-1 and p-2 were sold to him sometime before woon 3/5/83. Upon inspection of the books; the " arme <u>Richard Backer</u> was pantially scratched out, establishing these books as P-1 4 P-2. Mr. Hower further stated that the subject who sold the books was tall, approx 5'114-6ft med. build with sandy colored hair. Mr. Hover stated he felt he would recognize T-1 should he see him again Mr. Hoover also provided this officer with a copy of the sign-in log used when books are sold to Triangle. The signature was not legible but the initials were obviously K. V. In the margin of the page was the 10 # 222416. Mr. Hoover stated that T-1 had produced a college 10, but could not remember which school. 1530 hrs 3/5/83 This officer checked the computer printout under the letter v to attempt to note the 10 number. The result was the above listed information on T-1. ASTER FILE DO NOT REMOVE PO Date of Investigation 3/5 ³anbana, Date of Approval ... EXHIBIT F, PAGE 7

EXHIBIT B, Page 11

1/76-SM

Filed 12/12/2007

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EXHIBIT F, PAGE 8

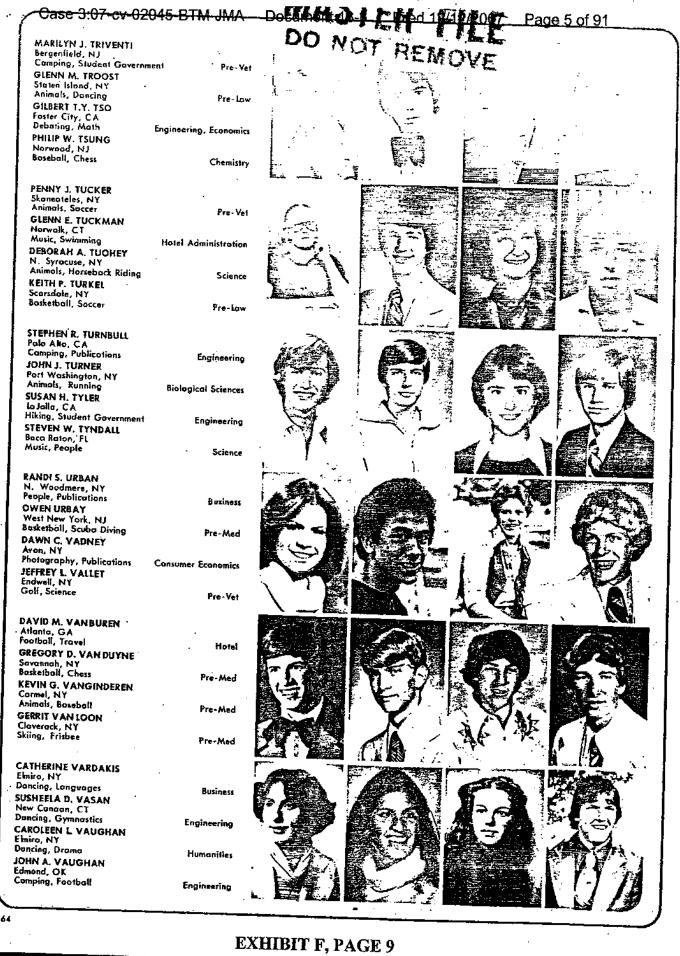


EXHIBIT B, Page 13

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CORNELL UNIVERSITY DEPARTMENT OF PUBLIC SAFETY

SUPPLEMENTARY INVESTIGATION REPORT

Burglany OFFENSE_ ____ PAGE ___

1640 hrs 3/5/83

This officer returned to Triangle Books and again spoke with Mr. Terry Hover. This officer showed Mr. Hoover the enclosed 1979 picture of T-1. Mr. Hoover stated that although the hair is not the same, the facial features match. Mr. Hoover further stated he is certain that T-1 is the same subject who sold P-1 & P-2 to him earlier this date.

MASTER FILE DO NOT REMOVE

CASE

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AGENCY -

23-00-345

sarbara.

..... Date of Investigation $\frac{3}{5}$

EXHIBIT F, PAGE 10

Case 3:07-cv-02045-BTM-JMA Document 13-3 Filed 12/12/2007 Page 7 of 91

CORNELL UNIVERSITY DEPARTMENT OF PUBLIC SAFETY

SUPPLEMENTARY INVESTIGATION REPORT

OFFENSE Burglary

PAGE 7

CASE

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AGENCY _

83-00345

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0730 hrs. 3/6/83

1/76

A check was made of the lacation file for additional thefts from Fernow Hall. The following cases were found:

83-303, 83-306, 83-311, 83-333, 83-339, and 83-368.

Prior to 83-303 there were no reported thefts from 1981-1983.

83-306, investigated by PO Wittner includes a receipt for the sale of four books to the Campus Store. This receipt shows an 1D # of 22416. (Note: T-1's ID number is 222416). PO Wittner indicated in his narrative that the clerk had not written down the full six digits of the subject's ID number. Further, the receipt shows an Illegible signature of a subject with the initials K.V. A comparison was made of T-1's signature with that from the Campus Store receipt. While not identical the similarities, particularly in the formation of the K and the V, would indicated that both were made by the same individual.

The books recovered at the Campus Store in conjunction with 83-306 resulted in cases 83-333, 83-339 and 83-368, all previously undetected thefts.

The theft reported in 83-303 occured within the same time frome as 83-306 and its related cases.

The theft in 83-311 occured on or about 2/3/83 from room 306 Fernow; the site of several of the other related thefts.

It should be noted that all of the thefts from Fernow during this period have been from normally unsecured rooms and offices easily accessible other that through their doors. Note: the 312 office complex can be easily accessed by clombing over the partitions between effices. Three of the thefte

| | TNATTC |
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| Banbara Bound PO Date of Investigation 3/6/83 | |
| A A Alarystigating Officer | |
| Date of Approval 3/6/83 | |
| Supervisor | •••••• |

EXHIBIT F, PAGE 11 EXHIBIT B, Page 15 Case 3:07-cv-02045-BTM-JMA Document 13-3 Filed 12/12/2007 Page 8 of 91 CORNELL UNIVERSITY DEPARTMENT OF PUBLIC SAFETY

SUPPLEMENTARY INVESTIGATION REPORT

OFFENSE Burglary PAGE 8 have occured in this complex (83-303, 83-421, and 83-422).

It is the opinion of this officer that all eight cases are related due to similaritites of time span, type of items taken and general method of the thefts.

1130 hrs. 3/6/83

PO Wittner contacted via telephone. PO Wittner stated that at the time of the incidents in 83-306 the clerk at the Campus Store stated he could positivly identify the subject who sold him the books should he see him again.

O NOT REMOVE

OTHER AGENCY_

83-003451

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3-422

Date of Investigation Investigating Officer Date of Approval Supervisor EXHIBIT F. PAGE 12 EXHIBIT B.

Case 3:07-cv-02045-BTM-JMA Document 13-3 Filed 12/12/2007 Page 0 0591-422

STATE OF NEW YORK COUNTY OF TOMPKIN CITY, TOWN; WILLAGE OF **O** ANGLE 13cok SHOP 0 Date Place Time OOVER I, FAN Ł am years of age, born on ςAl 7) L my address is TRUMANSBURG NΥ MGR my occupation is_ А and degree of education is I have been duly warned by BOU/ne who has identified himself as_ 20 \sim <u>ti Ce C</u>

that I do not have to make any statement at all, and that any statement I make may be used in evidence against me in a court of law, and that I have the right to talk to a lawyer for advice before making this statement. Without fear of threat of physical harm upon me or another person, I freely volunteer the following statement to the aforesaid person.

(H Mai c bo wel and q .

I have read this statement consisting of ______ page(s) and the facts contained herein are true and correct. I have also been told and I understand that making a false written statement is punishable as a class A misdemeanor pursuant to section 210.45 of the Penal Law of the State of New York.

| Subscribed and sworn before this | ore me, 19 | Affirmed under penalty of perjury this <u>7</u> day of <u>MHRCH</u> , 19 <u>83</u> | |
|----------------------------------|---------------|---|--|
| | | Signed by Terry Herrer | |
| Title: | | Pageofpage(s) | |
| | | Witness: Banbara & Bolume | |
| • | | Witness: | |
| 5M · 3/82 | EXHIBIT F, PA | GE 13 | |

Case 3:07-cv-02045-BTM-JMA Document 13-3 Filed 12/12/2007 Page 10-of 91 CORNELL UNIVERSITY DEPARTMENT OF PUBLIC SAFETY

CASE OTHER SUPPLEMENTARY INVESTIGATION REPORT Junglany OFFENSE. ZO AGENCY 8.3-00.345 PAGE 3/8/83 0715 The results of this investigation to this point Ry that T-1 JAW 000 10/23/61 Kevin G. Varginderen 603 Winstoh Ct Apt.#3 Ithaca, NY DO HayLS 83 222416 (UID way involved with the following some Cases: 83-303 -Bunglary from 312-C Fernow on or about 2/10-2/12/83 83-306 - Petit lanceny from 207 Fernow 2 text books on or about 2/11/83 Petit Lanceny from 306 Fernow I text book believed to have been 83.311 I text book ' takenon 2/2 07 2/3/83. Petit Lanceny from 306 Fernow 83-333 -I text book unknown date recovered in conjunction with 83-306 Hetit Lanceny from 306 Fernow 83 331 2 text books with now date recovered in conjunction with 83-306 Petit Lanciny from 119 Fernow I text book on or about 2110/83 83-368 recovered in conjunction with 83-306 Date of Investigation 3/8/83 Date of Approval ... 1/76-5M EXHIBIT F, PAGE 14

Case 3:07-cv-02045 BTM-JMA Document 13-3 Filed 12/12/2007 LL UNIVERSITY DEPARTMENT OF PUBLIC SAFETY Page 11 of 91 OTHER CASE SUPPLEMENTARY INVESTIGATION REPORT Buratan OFFENSE. AGENCY NO 10 PAGE eeh-53 Bunglany room 312-F Fernow 1 stored tape player/recorder on or about 3/5/83. 83-421 -83-422 -Bunglary room 312-C Fernow 2 text books on or about. 3/5/83 Bunglany room 312-B Fernow 4 text books on or about 315/83 83-426-Pet. Larceny room 308-Fernow I textbook sometime during 83-446last 2 weeks. / jen INCAR Date of Investigation ate of Approval Supervisor 1/76-5M EXHIBIT F, PAGE 15 EXHIBIT B,

· Case 3:07-cx-02045-BTM-IMA Document 13-3 Filed 12/12/2007 Page 12 of 91 OTHER ASE SUPPLEMENTARY INVESTIGATION REPORT Bunglany AGENCY OFFENSE ZO PAGE __ 1/ 0730 3/8/83 This officer and PO Wettmen responded to 17 south die and space with the House President. 40 The House President stated that T-1 resided O at 603 Winston Court. 0 800 This officer and PO witther responded to the Winston Court Apt. Office at 103 Salam Dr. Mr. Lucente advised us that I resided in Apt. 3 of 6/dr. 603. Apt. 3 of bldg. 603. DO NOT PER 0820 This officer and PO Wittner annied at 603 Winston Ct. Apt. 3 to speak with T-1. 0825 T-1 was advised of his rights by PO Witther and agreed to come to Barton Hall for further questioning. *0*835 T-1 was interviewed by PO Witther (refer to page 15) in the presence of this officer. 0850 T-1 orally admitted to several thefts of books, a calculator, and two tape decks from both Fernow and Bradfield. T-1' was again given his rights by this officer mbara Jour PO Date of Investigation 3/8/83 arten R. Mestigating Officer 3/8/8) Date of Approval Supervisor EXHIBIT F, PAGE 16 EXHIBIT B. Page 20

Case 3:07-cv-02045-BTM-JMA Document 13-3 Filed 12/12/2007 Page 13 of 91 CORNELL UNIVERSITY DEPARTMENT OF PUBLIC SAFETY OTHER ASE SUPPLEMENTARY INVESTIGATION REPORT Quiglany AGENCY ZO OFFENSE DO NOT REMOVE 0900 isigned a Waiver of Rights form. T-1 then prepared a written statement confessing to the crimes. 0930 District Altorney Bucko notified at this time. 0950 This officer, POWHIner and T-1 returned to his residence to pick up items T-1 stated he had obtained in other burglanies and had kept. T-1 signed a consent to search form. Found in T-1's bed room were the following 1) TI 55 Calculator serial # 3896699 #83.240 2) Sanyo Tape recorder (rassetti) # 83-241 3) JVC stree cassette player/recorder #83-236 Serial ## 17631022 1000 Upon return to Rarton Hall the above listed items were tagged as evidence (tag #383-236 and a receipt given to T-1: 1030 T-1 was kingerprinted and photographed by PO Witther. 1035 -1 interviewed by Lt. Bace; refer to encl.#6 for details arbana HO Date of Investigation 3/8/83 vestigating Officer ₽K. ply Date of Approval EXHIBIT F, PAGE 17 EXHIBIT B.

Case 3:07-cv-02045-BTM-IMA Document 13-3 Filed 12/12/2007 Page 14 of 91 CASE SUPPLEMENTARY INVESTIGATION REPORT Bingiany NO AGENCY OFFENSE ____ PAGE___/3 1145 V.P. Gurowite advised of this case by Capi. Murphy. 1900 83-422 T-1 was transported to City Court for arraignment before Judge Ward. T-1 was released in his own recognizance to appear at a Preliminary Hearing in City Court on March 18,1983. . T-1 remained with Ithaca Police Dept. Detectives for further processing BO NOT REMOVE 1420 This officer and PO Witther responded to 312-C Fernow Hall and spoke with C-1. C-1 stated that he had at no time authorized T-1 to remove any items from his office. C-1 was shown the items obtained at T-1's residence for possible identification. C-1 identified the calculator and the Sanyo tape deck as those that were taken from his office on or about 2/10/83. (Refer CR 83-303) Enclosed is a signed statement to this effect from T-7. 1500 During the course of the interviews with T-1, T-1 repeatedly confessed to taking text books from room 411 Bradfield Hall during the course of the past year. NGORG \ $-\frac{40}{5}$ Date of Investigation $\frac{3}{8}/83$ vestigating Officer Date of Approval ... Supervisor EXHIBIT F, PAGE 18 EXHIBIT B, Page 22

This confession establishes T-1 as the perpetrator 23the following Cases. Petit Larceny from 411 Bradfield 3 text books unknown date Ke 1670 Petit Lanceny 411 Bradfield 82-1685 1 textbook on or about 10/28-11/15/82. Petit lanceny 411 Bradfield 82 - 1686 3 text books on or about 11/11 - 11/18/82 Bunglary 411 Bradfield. 82 . 856 -4 text books, I hand lins I dissecting kit sometime during may 1982 - Petit Lanceny 411 Bradfield 82-868 7 text books, unknown date reported 614/82. 1530

Dean Drinkwater notified of this case by

ilara Date of Investigation Date of Approval . 1/76-SM

Lt. Roice

EXHIBIT F, PAGE 19 EXHIBIT B,

Case 3:07-cv-02045-BTM-JMA Document 13-3 Filed 12/12/2007 Page 16 of 91 CORNELL UNIVERSITY DEPARTMENT OF PUBLIC SAFETY

SUPPLEMENTARY INVESTIGATION REPORT

OFTENSE Burglary

___PAGE____75

CASE

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OBSS hrs., 3-8-83, the defendant was interviewed by this officer about the books he sold over the past several weeks he stated that he saw a list of books for Sale in the laundry with a telephone humber on it several weeks ago and that he balled the number and arranged a meeting at unis library with a hispanic male, bought books then resold them. He then said that he remembered the number on frida and called again, met with the subject and bought the books

in CR# 83-922, took them to triangle and soid themon Saturday. This officer then asked the defendant how it was possible that he brught the books on Friday when C-1 stated that they were taken sometime late friday night or saturday morning. The defendant then admited that he took the books from rooms and took the books, steres, calculator and tage deck. I am He was then asked about other the fts from formed hall and he book thefts from Bradfield Hall for the period from i-2.52 to He was the took the books. He was then asked about the

H-15-82: He said that he took the books. When asked how he gained access to the building he said that he had worked in the Lab (room All) and was given a key to the building and the room, that when he got no one asked him for the keys back. He was then asked how Outside door to Bradfield and going into the basement that there is a tunnel that goes to Pernow and that the only door there has a crash ban on it. Once inside Fernow he went to the rooms

Wayne & Wiltnes -8.3 Infestigating Officer JOBO NOT REMOVE- D Supervisor 1/76-SM

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| • | -JMA Document 13-3 Filed 12/12/2007 Page 17 of 91 NIVERSITY DEPARTMENT OF PUBLIC SAFETY |
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| OFFENSE Burglar | $\frac{g}{1}$ PAGE <u>16</u> $\stackrel{A}{\Box}$ |
| | numbered BJ-1 and GFX were R |
| taken as evidence and | |
| when asked | assigned evidence tage 83-237. |
| he was i | ook the books he stated and de la |
| have just a poor st. | ook the books he stated again that will |
| c.1 is to live on | ook the books he stated again that we udent and that he took them to we and ur took the took them to |
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| Var long to sately | use. He wanted to know what took |
| ago, and since it | use. He wanted to know what took im, that he expected to be caught a didnot he continued by taking thing; |
| from Fernow stall. | im, that he expected to be caught a did not he continued by taking thing; |
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| 1/76-5M Supervisor | Date of Approval 5-8-87 |
| | EXHIBIT F, PAGE 21 |
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| Case 3:07-cv-02045-BTM-JMA Document 13-3 Filed 12/12/2007 Page 18 of 91 CORNELL UNIVERSITY DEPARTMENT OF PUBLIC SAFETY SUPPLEMENTARY INVESTIGATION REPORT |
|---|
| OFFENSE Burglary PAGE 17 The following enclosures are continued from page #1. #5. Voluntary Statement from C-1 #6. Interview of Defend by Lt. Boice 17. Advice of Right Sorm #8. Consent to Search form #9. Oral Admissions Form 10. written Admissions Forms. 11. Accusatory Insturment. 12. Affidavit of Service form |
| Barbars Born Date of Investigation 3/8/83 Buton 1. June of Approval 3/8/83 INGEM |
| EXHIBIT B |

Case 3:07-cv-02045-BTM-JMA Document 13-3 Filed 12/12/2007 Page 19 of 91 CORNELL UNIVERSITY DEPARTMENT OF PUBLIC SAFETY

SUPPLEMENTARY INVESTIGATION REPORT

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AGENCY

OFFENSE Burglary _____PAGE 18

1335 hrs., 3-9-83, Dawson Deanna K, 308 Fernow Hall reported that the text book, Natures + Properties of Soils, had been taken out of 308. CR # 83-446. This book has not been recovered.

0930 hrs, 3-10-83; this, affirer spake with Hollenbeck, Lorraine A., Secretary, Plant Breeding: 252 Emerson Hall. Ms. Hollenbeck is incharge of key issue for flant Breeding. Ms. Hollenbeck said that the defendant was hired by flant Breeding on 10-2-81 and worked untill 10-13-81. She said she Could not be sure; but the records show that the defendant was terminated because of illness and she thought he was the one with Mononecieosis. She also said that the defendant was issue the keys and that the department never asked for them

It is believed that the defendant was the perpetrator

| 82-856 | | Fern | iew Hall . | . Y 📽 |
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| 82-868 | | 83 | 3-303 | |
| 82-1670 | | 83 | 3-306 | |
| 82-1685 | | 83 | , -31l | |
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| In his | statement to | - | | 17 |

report he admitted to lt Boice ENC#6, page 4 of this times and Fernow Hall 3 times.

| Warner & Weatther | |
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| Wayne, & Wystiner | Date of Investigation |
| 176-5M | Date of Approval 3-11-83 |
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EXHIBIT F, PAGE 23

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Filed 12/12/2007 Page/20 of 314 J 2 4 ENC#3

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| STATE OF NEW YORK | | | | | |
| COUNTY OF TO MARINE | | | | | |
| CITY, TOWN, or VILLAGE OF | | | | | |
| Date 3/7/43 Time 7:30 /1 | Place Tr | ELANDIE | $-2\omega\omega c$ | S HOP | |
| , TERRY HOOVER | | years of age, | , born on | JAN 6 | 41 |
| ny address is <u>38 SMLC DR</u> | TRAFTING | 1. d Ki | NY | | ; |
| ny occupation is <u>ACS IT</u> MOR | , and | degree of educa | stion is | ΒS | ; |
| have been duly warned by 11) i XDi 1/10 | | | | , who has ic | entified |
| nimself as Petrol Officer | | | | | |
| that I do not have to make any statement at all, and that any st | tatement I make may | be used in eviden | ce against m | e in a court of la | w, and |
| that I have the right to talk to a lawyer for advice before making | ng this statement. V | Athout fear of ti | areat of phy | sical harm upon | me or |
| another person, I freely volunteer the following statement to th | ne aforesaid person. | | | | |
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| the State of New York. | | | | | |
| stars at a star star at a | Affirmed under | r penalty of pe | riury . | _ | • |
| ubscribed and sworn before me | Affirmed under this | | <u>4#RC</u> # | 19 83 | |
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| | Signed by | Jerry 1 | Haven | <u> </u> | |
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EXHIBIT F, PAGE 24

5M - 3/82

EXHIBIT B, Page 28

Witness

| STATE OF NEW YORK COUNTY OF TOMPKINS CITY, TOWN OF VICCAGE TH | ITHACA | NOT GEMOVE |
|---|--|--|
| Date <u>3/8/83</u> L. Kevin G. Vanginde | Time 9:10 AM Plac ren a ton Court Apartments | |
| my occupation is <u>Student</u> I have been duly warned by himself as | Barbara J. Bourne Patrol Officer | , and degree of education is <u>college</u> senior, who has identified |

that I do not have to make any statement at all, and that any statement I make may be used in evidence against me in a court of law, and that I have the right to talk to a lawyer for advice before making this statement. Without fear of threat of physical harm upon me or another person, I freely volunteer the following statement to the aforesaid person.

| 1, Kevin G. Vanginderen, admit to taking a few books, a cadculator, and two small | | | |
|---|--|--|--|
| cassette decks from Fernow Hall. I will return the calculator and tape decks for | | | |
| 1 still possess blem. I also admit entering a lab at Bradfield Hall for the | | | |
| purpose of taking a few textbooks. All of these textbooks were sold to the | | | |
| Campus Store and Triangle Book Store for cash. This cash allowed me to survive | | | |
| in poor times for 1 am an extremely poor college student deeply in debt with | | | |
| little other options. | | | |
| DEFICER WITTNER: You stated that you took books from Bradfield Hall. How did you | | | |
| gain entrance to Bradfield and the labs? | | | |
| VANGINDEREN: I had a key for the building and lab given to me when I had a lab | | | |
| job there two years ago and nobody asked for its return. | | | |
| WITTNER: The only things you took out of Bradfield were the books? | | | |
| VANGINDEREN: From that one lab. | | | |
| WITTNER: You didn't take anything else? | | | |
| VANGINDEREN: Not in Bradfield. | | | |
| WITTNER: How did you gain entrance into Fernow Hall? | | | |
| VANGINDEREN: A tunnel leading from the basement of Bradfield Hall to Fernow | | | |
| which is unlocked. gained entrance through it. | | | |
| WITTNER: The rooms you took the books from in Fernow were the rooms locked? | | | |
| VANGINDEREN: No. The rooms I went into on the main corridor were unlocked. The | | | |
| ones at the ends of the corridor had spaces above the doors, which I | | | |
| I have read this statement consisting of page(s) and the facts contained herein are true and correct. I have also been told and I | | | |

are true and correct. I have also been told and I understand that making a false written statement is punishable as a class A misdemeanor pursuant to section 210.45 of the Penal Law of the State of New York.

| Subscribed and swo thisday | rn before me / of; 1 <u>9</u> ; | Affirmed under penalty of berjury this the day of the d |
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| | · · · · · · | Signed by Kom Vary |
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| ™ - 3/72 | EXHIBIT F, PAGI | 25 |

EXHIBIT B, Page 29

, Case 3:07-cv-02045-BTM-JMA Document 13-3 庄 府ied 12/12/2007 一Páge 22 of 91 ~

<u>}</u> --

| STATE OF NEW YORK State Stat |
|--|
| COUNTY OF TOMPKINS |
| CITY, TOWN, BY VILLAGE OF ITHACA |
| Date 3/8/83 Time 9:10 AM Place Berron Hall T STEMOVE |
| 1, Revin G. Vanginderen am 21 years of age, born on 10/23/61 |
| my address is |
| my occupation is <u>Student</u> , and degree of education is <u>college senior</u> . I have been duly warned by <u>Barbara J. Bourne</u> , who has identified |
| I have been duly warned by <u>Barbara J. Bourne</u> , who has identified himself as <u>Patrol Officer</u> . |
| |
| that I do not have to make any statement at all, and that any statement I make may be used in evidence against me in a court of law, and that I have the right to talk to a lawyer for advice before making this statement. Without fear of threat of physical harm upon me or another person, I freely volunteer the following statement to the aforesaid person. |
| jumped. |
| WITTNER: At the books you took last year and this year were sold to Campus |
| Store and Triangle Book Store. |
| VANGINDEREN: Yes. |
| WITTNER: Not to a private individuals |
| VANGINDEREN: No. |
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| I have read this statement consisting of page(s) and the facts contained herein are true and correct. I have also been told and I understand that making a false written statement is punishable as a class A misdemeanor pursuant to section 210.45 of the Penal Law of the State of New York. Subscribed and sworn before me this, 19 |
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| Title: Pageofpage(s) |
| Witness: At W.U-BDUL |
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| 5M - 3/72 EXHIBIT F, PAGE 26 |
| EXHIBIT B, Page 30 |
| |

Document 13-3 - Filed 12/12/2007 - Page 23 of 91 Case 3:07-cv-02045-BTM-JMA Voluntary Statement STATE OF NEW YORK COUNTY OF Tompkins DO NOT CITY, TOWN, or VILLAGE OF_ Ithaca Date 3-8-83 11 1420 Time Place Ifa VNO Kichaac 1. . ket years of age, born on_ 12/4 ខភា my address is 134 lthaca, Jude his Ka my occupation is_ <u>Graduates</u> Student , and degree of education is <u>Qrac</u> I have been duly warned by Wayne ttner , who has identified himself as <u>Cornell</u> 410] icer \mathcal{O} - that I do not have to make ony statement at all, and that any statement I make may be used in avidance against me in a court of law, and that have the right to talk to a lawyer for advice before making this statement. Without fear of threat of physical harm upon me or another person, I freely volunteer the following statement to the aforesaid person. ຆຬຆຬ $\hat{}$ м Cal I have read this statement consisting of 1 page(s) and the facts contained herein are true and correct. I have also been told and I understand that making a false written statement is punishable as a class A misdemeanor pursuant to section 210,45 of the Penal Law of the State of New York. Affirmed under penalty of perjury Subscribed and sworn before me larci this_ da٩ this day of Signed by Page Dage(s Title: Witnes

EXHIBIT F, PAGE 27

EXHIBIT B, Page 31

Witness:

5M - 3/82 -

Document 13-3 Filed 12/12/2007 Case 3:07-cv-02045-BTM-JMA Page 24 DO NOT REMON Voluntary Statement STATE OF NEW YORK COUNTY OF TOMPKINS CITY, TOWN, or VILLAGE OF thaca Ita II Date 3-8-83 420 Fernow Time_ ň Place Richard years of age, born on_ ١, ker am 5 my address is 134 Kd Huco ile, my occupation is , and degree of education is <u>drad</u> (-raduated tudent student I have been duly warned by US a tner who has identified himself as <u>Cornell</u> ۲a tre °C€₽^ - that I do not have to make any statement at all, and that any statement I make may be used in evidence against me in a court of law, and that I have the right to talk to a lawyer for advice before making this statement. Without fear of threat of physical harm upon me or another person, I freely volunteer the following statement to the aforesaid person. 11.1 Caic I have read this statement consisting of 1 page(s) and the facts contained herein are true and correct. I have also been told and i understand that making a faise written statement is punishable as a class A misdemeanor pursuant to section 210.45 of the Penal Law of the State of New York. 5

| Subscribed and sw thisde | rorn before me by of, 19 | this 8 day of March 1983 |
|-----------------------------|-----------------------------|----------------------------|
| | · · · · · | Signed by Kithatal & Balka |
| Title: | | Pageofpage(s) |
| • 🛥 · | | Witness: Walk R. Willie |
| | | Witness: Barbara Bour |
| 5M - 3/82 | EXHIBIT F, PAGE 2 | 28 |

Case 3:07-cv-02045-BTM-JMA

Document #343 Filed: 1/2/42/2007 4 2Page 25 of 91

MASTER FILE

DO NOT REMOVE

Interview of Kevin G. Vanginderen by Lt. William Boice, Public Safety, recorded by Joelle Munson, Public Safety, took place in the Major Investigations Office at 1035 hours, March 8, 1983. Lt. Boice began by having Vanginderen read his previous brief statement, which Vanginderen approved as correct and signed. Lt. Boice then began the following line of questioning: (B - Boice; V-Vanginderen)

B - Please state the facts in your own words.

- V About two years ago, I had a lab job at Bradfield Hall, which gave me a key to the building and Room 411. I noticed while I was working there that there were a lot of students that had books from courses they were no longer taking. I took some of these books and sold them to Campus Store and Triangle Book Store for \$10. I am only getting poorer as a college student. I have a job also but when I ran out of cash, I would go to the lab and take a few books. I would only get \$15 or \$20 for them at a time. I went to Bradfield a couple of times the last semester and a couple of times this year, only when I needed the cash. Last semester, they changed the lock to one of the labs. I noticed the tunnel to Fernow this semester and the door was unlocked as well as the rooms. It was the same as in Bradfield, with lots of books that people didn't need. I took a few of them. In one office, there was a calculator on a desk and cassette recorder; and in another room, there was a cassette deck. They were the only things besides books that I took.
- B What were the dates of your employment at Bradfield Hall?

V - Approximately October to December 1981 -- the first semester of my junior year.

- B You were employed on the fourth floor?
- V Yes, 411, 1 think.
- B When approximately did you find yourself in a position where you started stealing?
- V The next semester. I was amazed that no one asked for the key and I had noticed the books.
- B You did not commit any thefts in 1981?
- V No, only second semester.
- B Approximately when did you start?
- V It doesn't stick out.
- B I have one case here from June 1982 (CR82-856).
- V All the books may not have been discovered for a long time. I was not here over the summer. All the books were scientific.
- 8 This case occurred in May. Do you remember names and titles -- Principles of Plant Breeding and Plant Pathology?
- V They were all plant or scientific books -- all from labs or science offices.
- B Case 82-868 -- 8 books in Bradfield Hall, Room 411?

V - Yes

EXHIBIT F, PAGE 29

Case 3:07-cv-02045-BTM-JMA Document 13-3 Filed 12/12/2007 Page 26 of 91 INTERVIEW OF KEVIN G. VANGINDEREN -- March 8, 1983 Page 2 B - List of books -- Breeding Field Crops, Soils and Soil Fertility, Mycogenetics, Statistics, Plant Pathology, Biochemstry, Toxins in Plant Disease, and Plant Breeding [1. V - Sounds it. 'B - Case 82-1670 -- 411 Bradfield Hall -- three textbooks -- Property of Soil, Plant Structure and Function, and Plant Breeding -- November 1982? V - Sounds it. B - Case 82-1685 -- 411 Bradfield Hall -- November 1982 -- textbook -- Plant Mineral Nutrition? V - If they're from 411 ... don't know exact titles. B - Case 82-1682 -- three textbooks? V - (Nodded yes) B - Case 83-303 -- occurred February 10-12 -- Unlawful entry into Room 312C Fernow Hall in which a calculator, TI-55, and a Sanyo tape deck recorder were taken. Were you responsible for these? V - Yes, they have them. (officers) B - These are the two items that were recovered in your room? V - (Nodded yes). In the next room, there was another cassette deck. B - Case 83-306 -- 207 Fernow Hall -- occurred February 11, 1983 -- two textbooks? V - Yes B - Case 83-311 -- February 2 and 3 -- Room 306 Fernow -- Textbook -- Aquatic Chemistry? V - Not offhand; what room? B - 306 V - I imagine. B - Case 83-333 - 306 Fernow -- Approximately middle of February? V - If the room is the same, they're probably just different discoveries of the same theft. B - One textbook -- Biological Science? V - (Nodded yes) B - Case 83-421 -- March 3-5 -- 312F Fernow -- JCV stereo cassette player recorder taken on a Burglary where the subject climbed over a partition. Are you responsible for this? V - Yes. It was the last theft at 2 AM Saturday. B - Case 83-422 - 3120 Fernow -- March 4 and 5 -- Burglary -- theft of two textbooks --Biometry and The Life of Birds. Do you remember these two? V - Sounds familiar.



EXHIBIT F, PAGE 30

. Case 3:07-cv-02045-BTM-JMA Document 13-3 _ Filed 12/12/2007 _ Rage 27 of 91

INTERVIEW OF KEVIN G. VANGINDEREN -- March 8, 1983 NOT HEMOVER 3

- B They were the two you sold to Triangle Book Store.
- V (Nodded yes)
- B Case 83-426 -- 312B Fernow Hall -- March 5 -- Burglary -- four textbooks stolen --Resource Economics; Applied Theory of Price; The Way of Chung Tzu; Leisure -- The Basis of Culture. Are you familiar with this case?
- V Sounds familiar.
- B Where are these books now?
- V I have two paperbacks still. The others were sold to Campus Store and Triangle Book Store, I believe.
- B Have you stolen from any other building besides Bradfield and Fernow?
- V I only stole from buildings that I had access to. I'm not a nervy person. I only stole at night with a key. I didn't break down doors. The rooms were obviously open and vulnerable.
- B Do you know anything about the theft of a dollar bill changer at the Multi-Cat? .
- V No
- B ~ Do you know anything about tampering with an element analyzer on the 8th floor of Bradfield Hall?
- V Know nothing about it.
- B How about miscellaneous things like the theft of soda pop on the 8th floor of Bradfield Hall?
- V I was never on the 8th floor.
- B Your residence is 603 Winston Court, Apartment 3. No problems up there?
- V (Shook head no) I only took books that I figured people didn't need.
- B Can you explain to me why?
- V I currently owe the university approximately \$1,000, a fraternity \$700, and living expenses are high. I foresee myself graduating in debt. The job at Lynah's not enough -- I could go hungry. I have \$5 in my pocket now from the last books I sold. My bank account is empty. I feel remorse and yet I would not have eaten otherwise. A strange remorse -- I would not be at college. Tuition is up -- financial aid is down. I'm a graduating senior -- though not in an honest fashion.
- B 'Are you involved in any other activities?
- V No
- B = is your roommate involved?
- V No. Obviously, I took things that were there for the taking. I didn't break in. My friends are not like that.

EXHIBIT F, PAGE 31

Case 3:07-cv-02045-BTM-JMA Document 13-3

INTERVIEW OF KEVIN G. VANGINDEREN -- March 8, 1983

Page 4

- B How many times do you think you burglarized offices?
- V Bradfield -- three or four times -- maybe a little more. Fernow -- three times.
- B Each time you entered Bradfield, did you use your key?
- V Yes
- B Was this after you were terminated from your job?
- V I was never officially terminated. I had a lab job with a loose schedule -- I came in when I wanted. I didn't come in any more because I didn't get along with my boss. I figured that they would have taken my key back -- they never asked for it.
- B You entered Fernow via the tunnel:
- V (Nodded yes) The unlocked door.
- B You entered offices by climbing over the doors?
- V No, open doors. I only climbed over two doors. MASTER AND DO NOT RENO

B - Which cases were those?

V - The tape decks and the calculator.

EXHIBIT F, PAGE 32

- Case 3:07-cv-02045-BTM-JMA Document 13-3 Filed 12/12/2007 Page 29 of 91

INTERROGATION; ADVICE OF RIGHTS



PLACE

Before we ask you any questions, you must understand your rights. You have the right to remain silent.

Anything you say can be used against you in court.

You have the right to talk to a lawyer for advice before we ask you any questions and to have him with you during questioning.

If you cannot afford a lawyer, one will be appointed for you before any questioning if you wish.

If you decide to answer questions now without a lawyer present, you will still have the right to stop answering at any time. You also have the right to stop answering at any time until you talk to a lawyer.

WAIVER OF RIGHTS

I have read this statement of my rights an I understand what my rights are. I am willing to make a statement and answer questions. I do not want a lawyer at this time. I understand and know what I am doing. No promises or threats have been made to me and no pressure or coercion of any kind has been used against me.

| | | Signed _ | Kim | 2/0 | | ·/ | |
|---------|----------------|----------|----------|-----|---|----|--|
| Witness | Barbaras Boune | | | . (| 1 | | |
| Witness | Wayne & Witte | | | | | | |
| Time | 0900 | • | · . · | | | | |

EXHIBIT F, PAGE 33

CONSTITUTIONAL RIGHTS

CONSENT TO SEARCH

The right of the people to be secure in their papers, houses, persons and effects, against unreasonable searches and seizures shall not be violated and no warrants shall issue but upon probable cause supported by oath or affirmation and particularly describing the place to be searched and the persons or things to be seized. (Fourth Amendment to the Constitution of the United States.)

I, Kevin G. Vanginderen, having been informed of my Constitutional Rights not to have a search made of the premises hereinafter mentioned without a search warrant and of my right to refuse to consent to such a search, hereby authorize Wayne withnen and Banking Bairing Officers of the Cornell University Safety Division to conduct a complete search of my residence located at 603 Winston Ct. Apts Apt#3 These officers are authorized by me to take from my residence any letters, papers, materials or other property which would be considered contraband.

This written permission is being given by me to the above named officers voluntarily and without threats or promises of any kind, to commence at 0.950on 3-8-83 and to conclude by 0952 on 3-8-83

Signature

une & withe DO NOT REMOVE

EXHIBIT F, PAGE 34

Gase \$07-cv-02045-BTM-JMA Decument \$3 Filed 12/12/2007 Page 31 of 91 Service Servic DO NOT REMOVE NOTICE OF INTENT TO USE ADMISSIONS SCHEDULE A * * * ORAL ADMISSIONS Date: 3-8-83 Time: 0900 hv5 Place: Ba-ton Hall Made To: Wayne L. Wittner Substance of Admissions: "I went into the rooms and Took the books, and stored, calculator, and tape deck. I am a poor student and sold the books to get money" Date: Time: Place: Made To: Substance of Admissions: Date: Time: Place: Made To: Substance of Admissions: STRICT ATTORNEY OMPKINS' COUNTY CA. NEW YORK 14850 **EXHIBIT F, PAGE 35** EXHIBIT B, Page 39

DO NOT REMOVE

| NOTICE C | OF INTENT TO USE ADMISSIONS |
|------------|------------------------------|
| | SCHEDULE B |
| * * * * * | * * * * * * * * * * * * * * |
| | WRITTEN ADMISSIONS |
| (Including | Preliminary Oral Admissions) |
| | |

Time:

Time:

Date: 3/8/83 Time: 09/0 Place: Barton Hall Made To: Barbara J. Barne Copy attached as Exhibit oncl. 44 CK 83-422

Date:

Place:

Made To:

Copy attached as Exhibit

Date:

Place:

Made To:

Copy attached as Exhibit

| | | • |
|----------|---|-------|
| Date: | | Time: |
| Place: | | |
| Made To: | : | |

Copy attached as Exhibit

STRICT ATTORNEY ONPRINS COUNTY-CA. NEW YORK 14850

EXHIBIT F, PAGE 36

| · · · · · · · · · · · · · · · · · · · | |
|--|--|
| THE PEOPLE OF THE STATE OF NEW YORK | |
| - VE - | ACCUSATORY INSTRUMENT |
| Kevin G. Vanginderen DOB 10/23/61 Defendant | |
| ACCUSAT | |
| BE IT KNOWN THAT, by this Accusatory Instrument, as the Complainant herein, accuses <u>Kevin G. Vanginde</u> the above named Defendant, with having committed the off | |
| Burglary in the third Deg in violation of the Section 140.20, Subdivision | |
| of the State of New York, &XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX | XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX |
| FACTS | |
| On or about the <u>51h</u> day of <u>March</u> 1983, the sa of Tompkins, New York, d knowingly enter or remain unlawfully in a build | |
| 00AM.room.312C.Fernow.HallTower.RoadCornell.U | |
| e crime of larceny therein by stealing books, wit Baker, with all action by defendant without auth | orization, are contrary to the provisions |
| the Statute.In.case.made.and.provided. | · · · · · · · · · · · · · · · · · · · |
| The above allegations of fact are made by the Complainant | |
| | |
| XXXXX. upon information and belief, with the sources of Con | plainant's information and the grounds for his |
| XXXXX. upon information and belief, with the sources of Con- belief being investigation of case (Cornell)83-422. WHEREFORE, Complainant prays that a warrant be issu | and.sworn.confession.ofdefendant |
| belief being investigation of case (Cornell)83-422. | and sworn confession of defendant. ed for the arrest of the said defendant. |
| belief being investigation of case (Cornell)83-422. | and.sworn.confession.ofdefendant |
| belief being investigation of case (Cornell)83-422. | and sworn confession of defendant ed for the arrest of the said defendant Barbara Bourne Complainant |
| belief being investigation of case (Cornell)83-422. WHEREFORE, Complainant prays that a warrant be issu | and sworn confession of defendant ed for the arrest of the said defendant. Barbara Bourne Complainant 2 210.45) EANOR UNDER THE LAWS OF THE STATE N INSTRUMENT, TO KNOWINGLY MAKE A |
| belief being Investigation of case (Cornell)83-422. WHEREFORE, Complainant prays that a warrant be issu NOTICE (Penal Law, Section T IS A CRIME, PUNISHABLE AS A CLASS A MISDEM)F NEW YORK, FOR A PERSON, IN AND BY A WRITTE FALSE STATEMENT, OR TO MAKE A STATEMENT W | and sworn confession of defendant ed for the arrest of the said defendant. Barbara Bourne Complainant 2 210.45) EANOR UNDER THE LAWS OF THE STATE N INSTRUMENT, TO KNOWINGLY MAKE A |
| belief being Investigation of case (Cornell)83-422. WHEREFORE, Complainant prays that a warrant be issu N O T I C E (Penal Law, Section T IS A CRIME, PUNISHABLE AS A CLASS A MISDEMI OF NEW YORK, FOR A PERSON, IN AND BY A WRITTE FALSE STATEMENT, OR TO MAKE A STATEMENT W TO BE TRUE. Affirmed under penalty of perjury this8th hay ofMarch | and sworn confession of defendant ed for the arrest of the said defendant. Barbara Bourne Complainant 2 210.45) EANOR UNDER THE LAWS OF THE STATE N INSTRUMENT, TO KNOWINGLY MAKE A VHICH SUCH PERSON DOES NOT BELIEVE |
| belief being Investigation of case (Cornell)83-422. WHEREFORE, Complainant prays that a warrant be issu NOTICE (Penal Law, Section T IS A CRIME, PUNISHABLE AS A CLASS A MISDEM OF NEW YORK, FOR A PERSON, IN AND BY A WRITTE FALSE STATEMENT, OR TO MAKE A STATEMENT W CO BE TRUE. Affirmed under penalty of perjury this8th | and sworn confession of defendant. ed for the arrest of the said defendant. Barbara Bourne Complainant 2 210.45) EANOR UNDER THE LAWS OF THE STATE N INSTRUMENT, TO KNOWINGLY MAKE A VHICH SUCH PERSON DOES NOT BELIEVE Sworn to before me thisday of 19 |
| belief being Investigation.ofcase.(Cornell.)83-422. WHEREFORE, Complainant prays that a warrant be issu N O T I C E (Penal Law, Section T IS A CRIME, PUNISHABLE AS A CLASS A MISDEM OF NEW YORK, FOR A PERSON, IN AND BY A WRITTE FALSE STATEMENT, OR TO MAKE A STATEMENT W TO BE TRUE. Affirmed under penalty of perjury this8th iay ofMarch | and sworn confession of defendant. ed for the arrest of the said defendant. <u>Barbara Bourne</u> <i>Complainant</i> 2 210.45) EANOR UNDER THE LAWS OF THE STATE N INSTRUMENT, TO KNOWINGLY MAKE A VHICH SUCH PERSON DOES NOT BELIEVE Sworn to before me thisday of19 Judge or Justice, Desk Officer or Superior, or 'her authorized person. |

Page 41

EXHIBIT C

| С | ase 3:07-cv-02045-BTM-JMA | Document 27 | Filed 06/03/2008 | Page 1 of 10 | |
|----------|---|-------------------|-----------------------------|----------------------|--|
| 1 | | | | | |
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| 4 | | | | | |
| 5 | | | | | |
| 6 | UNITED STATES DISTRICT COURT | | | | |
| 7 | SOUTHERN DISTRICT OF CALIFORNIA | | | | |
| 8 | | | | | |
| 9 | KEVIN VANGINDEREN, | | CASE NO. 07cv2 | | |
| 10 | V. | Plaintiff, | ORDER GRANT MOTION TO ST | | |
| 11 12 | CORNELL UNIVERSITY, | | | | |
| 12 | | Defendant. | | | |
| 14 | | | | | |
| 15 | Defendant Cornell Univ | | | | |
| 16 | Plaintiff's Complaint pursuant to Cal. Civ. Proc. Code § 425.16. For the reasons discussed | | | | |
| 17 | below, Cornell's motion is GR | ANTED. | | | |
| 18 | I. FACTUAL BACKGROUND | | | | |
| 19 | | | | | |
| 20 | A. Judicial Proceedings before the Ithaca City Court On March 8, 1983, Plaintiff Kevin Vanginderen ("Plaintiff") was charged in Ithaca City | | | | |
| 21 | Court with third degree burglary, a felony. The Accusatory Instrument alleged: On or about the 5th day of March 1983, the said defendant did, in the City of | | | | |
| 22 | | | | | |
| 23 | Ithaca, County of Tom unlawfully in a building | , to wit: defenda | ant entered at approx | . 2:00 AM room | |
| 24 25 | 312C Fernow Hall, Tov committ [sic] the crime space belonging to Rich | of larceny there | in by stealing books | with said office | |
| 25 26 | authorization, are contra provided. | ary to the provis | ions of the Statute in | case made and | |
| 27 | (Def.'s Request for Judicial No | otice, Ex. A.) | | | |
| 28 | On August 17, 1983, Pla | | ed with petit larceny, | a misdemeanor, based | |
| | | - | | | |
| | | 1 EXHII | BIT C, | 07cv2045 BTM(JMA) | |
| | | Page | , | | |

on the same events. (<u>Id.</u>) This charge was filed "in satisfaction" of the prior charge of
 burglary in the third degree. (Id.) Plaintiff entered a plea of guilty to the petit larceny charge.
 (<u>Id.</u>)

Cornell's counsel initially believed that the City Court's records of the criminal
proceedings against Plaintiff were sealed. However, on October 15, 2007, Valerie Dorn,
Associate University Counsel at Cornell, received a telephone call from the City Court Clerk's
Office informing her that the records were not sealed and were available for pickup. (Dorn
Decl. ¶ 5.) Ms. Dorn obtained the records on October 16, 2007. (<u>Id.</u>)

9

10

B. Judicial Proceedings Before the County Court of Tompkins

11 On October 15, 2007, the City Court Clerk informed Ms. Dorn that the County Court 12 of Tompkins County ("County Court") possessed sealed records relating to criminal charges against Plaintiff. (Dorn Decl. ¶ 6.) Cornell's counsel applied to the County Court to unseal 13 14 the records of the criminal proceedings against Plaintiff. In an order issued after Cornell filed 15 its moving papers in this case, the County Court concluded that Cornell had provided 16 sufficient grounds to grant the motion to unseal records of the County Court, the Tompkins 17 County District Attorney's Office, and the Cornell University Police. (Supp. Request for 18 Judicial Notice, Ex. E.) Cornell obtained the unsealed records, which are attached as Exhibit 19 F to the Supplemental Request for Judicial Notice.

These records show that Cornell police became interested in Plaintiff as a result of their investigation of a theft of two books from Fernow Hall that took place between March 4 and March 5, 1983. The stolen books were located at Triangle Books ("Triangle"), a local bookstore which bought used books. (Ex. F at 7.) A log kept by Triangle showed that someone with the initials "K.V." and the Student I.D. *#* 222416 sold the stolen books to Triangle on March 5, 1983. (<u>Id.</u>) This information led the Cornell police to Plaintiff. (<u>Id.</u>)

Further investigation led Cornell police to believe that Plaintiff was also the perpetrator
of nine additional thefts in Fernow Hall and five unsolved thefts in Bradfield Hall. (Ex. F at
23.) A search of Plaintiff's bedroom resulted in the discovery of a TI 51 calculator, Sanyo

tape recorder, and a JVC stereo cassette player/recorder, all of which were stolen from
 Fernow Hall. (Ex. F at 17.)

3 Plaintiff was interviewed and admitted that he had a key to Bradfield Hall and that he 4 took books from Room 411 and sold them for cash. (Ex. F at 29.) He admitted to the five 5 unsolved thefts in Bradfield Hall. (Ex. F at 29-30.) Plaintiff explained that he discovered a 6 tunnel between Bradfield Hall to Fernow Hall and admitted that he took a calculator, cassette 7 deck, cassette recorder, and various books from Fernow Hall. (Id.) When asked about specific books that were stolen from Fernow Hall, Plaintiff either conceded that he had taken 8 them, indicated that the books sounded "familiar," or that he "imagine[d]" he took them. (Ex. 9 10 F at 30.)

11 On June 7, 1983, a Tompkins County Grand Jury returned an indictment charging 12 Plaintiff with two counts of burglary in the second degree. (Ex. F at 69.) On August 1, 1983, the County Court granted Plaintiff's motion to dismiss the indictment as defective (for 13 14 mistakenly charging burglary in the second degree instead of the proper charge of burglary in the third degree). (Ex. F at 90, 92-93.) The People were given permission to refile. (Id.) 15 16 However, Plaintiff agreed to plead guilty to a misdemeanor in City Court upon the condition 17 that the People not refile felony charges in County Court and agree to recommend a penalty no harsher than probation. (Ex. F at 91.) 18

As indicated above, on August 17, 1983, Plaintiff was charged with petit larceny, a
misdemeanor, in City Court. (Ex. A at 7.) On August 22, 1983, Plaintiff entered a plea of
guilty to the petit larceny charge. (Ex. A at 8.) On March 5, 1985, the County Court issued
an order sealing official records regarding the second degree burglary charges in the County
Court proceedings. (Ex. F at 95.) The order was sent to the Cornell police and the District
Attorney. (Ex. F. at 94.) The records of the misdemeanor in City Court were not sealed.

25 26

27 C. Cornell Chronicle

28

On March 17, 1983, the Cornell Chronicle, a weekly University publication, included

1 the following paragraph on page 6 (as part of the "Blotter Barton" column):

Department of Public Safety officials have charged Kevin G. Vanginderen of 603 Winston Court Apartments with third degree burglary in connection with 10 incidents of petit larceny and five burglaries on campus over a period of a year. Safety reported recovering some \$474 worth of stolen goods from him.

(Def.'s Request for Judicial Notice, Ex. B.)

Paper copies of all issues of the <u>Cornell Chronicle</u> are maintained in the Cornell University Library ("CUL") and are accessible to library patrons who are physically present on campus. (Kenney Decl. ¶ 4.) The <u>Cornell Chronicle</u> has also been microfilmed and is available to anyone who has access to a library that participates in the interlibrary loan system. (<u>Id.</u>) CUL has engaged in multiple long-term projects to digitize information resources in its collection. (<u>Id.</u> at ¶ 3.) Many of these digital materials are stored in CUL's institutional repository, known as eCommons. (<u>Id.</u>) The <u>Cornell Chronicle</u> is one of the resources that is being digitized. (<u>Id.</u> at ¶ 4.) Digitized copies of the <u>Cornell Chronicle</u> are accessible to anyone who has computer access to CUL's eCommons. (Id.)

According to Plaintiff, until September 2, 2007, he had not been aware of the March 17, 1983 <u>Cornell Chronicle</u> article about himself. (Vanginderen Decl. ¶ 8.) He first learned about the article when he ran a "Google" search on himself and the "Google" results included an excerpt from and a link to the digitized version of the article. (<u>Id.</u> at ¶ 9.) Plaintiff requested that Cornell remove the references to himself from the digitized version of the March 17, 1983 <u>Cornell Chronicle</u>. Cornell refused Plaintiff's request.

D. Plaintiff's Complaint

On October 1, 2007, Plaintiff filed his Complaint in state court. Plaintiff asserted claims of libel and public disclosure of private facts. On October 29, 2007, Cornell removed the action to this Court.

II. LAW GOVERNING ANTI-SLAPP MOTIONS

California Code of Civil Procedure § 425.16, California's anti-SLAPP (anti-Strategic Lawsuit Against Public Participation) statute, was enacted "to allow early dismissal of

EXHIBIT C, Page 45

07cv2045 BTM(JMA)

1 meritless first amendment cases aimed at chilling expression through costly, time-consuming 2 litigation." Metabolife Int'l, Inc. v. Wornick, 264 F.3d 832, 839 (9th Cir. 2001). See also 3 Wilcox v. Superior Court of Los Angeles County, 27 Cal. App. 4th 809, 823 (9th Cir. 1994) 4 (explaining that section 425.16 was intended to provide a "fast and inexpensive unmasking 5 and dismissal of SLAPPs"). The anti-SLAPP statute provides: 6 A cause of action against a person arising from any act of that person in furtherance of the person's right of petition or free speech under the United 7 States or California Constitution in connection with a public issue shall be subject to a special motion to strike, unless the court determines that the 8 plaintiff has established that the plaintiff will prevail on the claim. 9 Cal. Civ. Proc. Code § 425.16(b)(1). 10 As used in the anti-SLAPP statute, the term "act in furtherance of a person's right of 11 petition or free speech under the United States or California Constitution in connection with 12 a public issue" includes the following: (1) any written or oral statement or writing made before a legislative, executive, 13 or judicial proceeding, or any other official proceeding authorized by law; (2) any written or oral statement or writing made in connection with an issue under 14 consideration or review by a legislative, executive, or judicial body, or any other official proceeding authorized by law; (3) any written or oral statement or 15 writing made in a place open to the public or a public forum in connection with 16 an issue of public interest; (4) or any other conduct in furtherance of the exercise of the constitutional right of petition or the constitutional right of free speech in connection with a public issue or an issue of public interest. 17 18 Cal. Civ. Proc. Code § 425.16(e). 19 The defendant bringing the motion to strike bears the initial burden of showing that 20 the challenged causes of action arise from an act or acts in furtherance of the defendant's 21 right of petition or free speech. Zamos v. Stroud, 32 Cal. 4th 958, 965 (2004). Once that 22 burden is met, the burden shifts to the plaintiff to establish the probability that plaintiff will 23 prevail on the claim. Id. To satisfy this prong, the plaintiff "must demonstrate that the 24 complaint is both legally sufficient and supported by a sufficient prima facie showing of facts 25 to sustain a favorable judgment if the evidence submitted by the plaintiff is credited." Jarrow 26 Formulas, Inc. v. LaMarche, 31 Cal. 4th 728, 741 (2003) (citation omitted). 27 When determining whether the defendant has met his initial burden of demonstrating 28 that the challenged causes of action arise from protected conduct, courts necessarily look

to the allegations in the operative complaint. Brill Media Co., LLC v. TCW Group, Inc., 132 1 2 Cal. App. 4th 324 (2005). Courts may also examine affidavits in making this assessment. 3 Id. In contrast, in determining the probability of the plaintiff prevailing on the claim, courts 4 look to the evidence that will be presented at trial and require that the evidence be 5 admissible. Church of Scientology v. Wollersheim, 42 Cal. App. 4th 628, 654 (1996). The 6 applicable standard for determining whether the plaintiff has met his burden is the same as 7 for a motion for summary judgment. <u>Colt v. Freedom Communications, Inc.</u>, 109 Cal. App. 8 4th 1551, 1557 (2003).

III. DISCUSSION

12 A. Applicability of anti-SLAPP Statute

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The first question that must be addressed is whether Plaintiff's claims fall within the
scope of the anti-SLAPP statute. For the reasons discussed below, the Court finds that
Plaintiff's claims are subject to a special motion to strike.

16 As mentioned above, the anti-SLAPP statute covers any "act in furtherance of a 17 person's right of petition or free speech under the United States or California Constitution in 18 connection with a public issue," including any "conduct in furtherance of the exercise of the 19 constitutional right of petition or the constitutional right of free speech in connection with a 20 public issue or an issue of public interest." Cal. Civ. Proc. Code § 425.16(b), (e)(4). A 21 statement or conduct is "in connection with a public issue or an issue of public interest" if "the 22 statement or conduct concerns a topic of widespread public interest and contributes in some 23 manner to a public discussion of the topic." <u>Hall v. Time Warner, Inc.</u>, 153 Cal. App. 4th 24 1337, 1347 (2007). The "public interest" requirement must be "construed broadly," to 25 encourage continued participation in matters of public significance. Cal. Civ. Proc. Code § 26 425.16(a). See also Gilbert v. Sykes, 147 Cal. App. 4th 13, 23 (2007).

Here, the article in the <u>Cornell Chronicle</u>, in both its original and digitized versions,
concerns an issue of public interest – reporting of a criminal charge that was and is part of

the Ithaca City Court's public records. Although Plaintiff contends that the records of the 1 2 criminal proceedings in City Court were sealed, the evidence submitted by Cornell indicates 3 otherwise. Specifically, the County Court's order regarding the sealing of records was not 4 sent to the City Court, the City Court Clerk informed counsel for Cornell that its records were 5 not sealed, and Cornell was able to obtain the records, which were then submitted to the Court in connection with the motion.¹ 6 7 Case law establishes that the truthful reporting of information in a public record is 8 protected by the First Amendment. In Cox Broadcasting Corp. v. Cohn, 420 U.S. 469 (1975), 9 the Supreme Court explained: 10 By placing the information in the public domain on official court records, the State must be presumed to have concluded that the public interest was thereby being served. Public records by their very nature are of interest to those concerned with the administration of government, and a public benefit is 11 performed by the reporting of the true contents of the records by the media. 12 The freedom of the press to publish that information appears to us to be of critical importance to our type of government in which the citizenry is the final 13 judge of the proper conduct of public business. In preserving that form of government the First and Fourteenth Amendments command nothing less than 14 that the States may not impose sanctions on the publication of truthful information contained in official court records open to public inspection. 15 16 Id. at 495. Thus, the truthful reporting of information in public official records regarding 17 criminal proceedings against an individual are protected by the First Amendment regardless 18 of whether the reporting is concurrent with the criminal proceedings or years later. Gates v. Discovery Communications, Inc., 34 Cal. 4th 679, 693 (2004) ("[T]he high court has never 19 20 suggested, in Cox or in any subsequent case, that the fact the public record of a criminal 21 proceeding may have come into existence years previously affects the absolute right of the 22 press to report its contents.") 23 The Cornell Chronicle article reported information regarding the charge of third-degree 24 25 ¹ Plaintiff objects to the Court's consideration of the City Court records, County Court records, and records of the Cornell Police and County District Attorney. Plaintiff contends 26 that the records are not properly authenticated. Plaintiff's objection is overruled. Cornell has submitted declarations that the records submitted to the Court are the true and correct copies 27 of the records obtained from the City Court and the records that were unsealed pursuant to the County Court's November 16, 2007 order. (Dorn Decl. § 5; Roth Decl. § 6.) Cornell has 28 made a sufficient showing that the documents are what Cornell claims them to be, and the Court takes judicial notice of them pursuant to Fed. R. Civ. P. 201. 7 07cv2045 BTM(JMA) EXHIBIT C,

Page 48

burglary brought in the County Court. The County Court's records were not then sealed and
were public. Although the article also reported facts that were not part of the court's records
- i.e., information regarding the seizure of items from Plaintiff's home and Plaintiff's
suspected involvement in a total of ten petit larcenies and five burglaries – these facts relate
to the circumstances of the charge and, therefore, also concern a matter of public interest.
Accordingly, Plaintiff's Complaint is subject to a special motion to strike under the antiSLAPP statute.

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B. Probability of Prevailing on the Merits

Because Cornell has met its initial burden of demonstrating that the challenged
causes of action arise from protected conduct, the burden shifts to Plaintiff to establish the
probability that he will prevail on his claims. The Court concludes that Plaintiff has failed to
satisfy his burden.

Truth is an absolute defense to any libel action. Campanelli v. The Regents of the 14 15 University of California, 44 Cal. App. 4th 572, 581-82 (1996). However, in order to qualify 16 for the defense, "the defendant need not prove the literal truth of the allegedly libelous 17 accusation, so long as the imputation is substantially true so as to justify the 'gist or sting' of 18 the remark." Id. (guoting Emde v. San Joaquin County Central Labor Council, 23 Cal. 2d 19 146, 160 (1943)). Libel law "overlooks minor inaccuracies and concentrates on the 20 substantial truth." Masson v. New Yorker Magazine, Inc., 501 U.S. 496, 516 (1991).² 21 Here, the Cornell Chronicle article was substantially true. The challenged language 22 of the article is as follows: 23 Department of Public Safety officials have charged Kevin G. Vanginderen of 603 Winston Court Apartments with third degree burglary in connection with 24 10 incidents of petit larceny and five burglaries on campus over a period of a year. 25 26 There is no need to engage in a choice-of-law analysis because New York law 27 governing libel is substantially the same as California law. See Matovcik v. Times Beacon Record Newspapers, 849 N.Y.S.2d 75, 78 (2007) (explaining that even if a publication is not 28 literally true in all respects, the defense of truth applies as long as the publication is "substantially true.").

Plaintiff was charged with third-degree burglary, and the charge arose out of an investigation 1 2 that linked Plaintiff with a total of ten incidents of petit larceny and five burglaries on campus. 3 Thus, the charge had a connection to the ten incidents of petit larceny and five burglaries. 4 The use of the vague phrase "in connection with" was somewhat confusing and may have 5 led some readers to believe that Plaintiff was charged with all fifteen incidents. However, the 6 article did not state that Plaintiff was charged with all of the crimes. Although the article may 7 have been poorly written, the "gist" or sting" of the article was true. Therefore, Plaintiff 8 cannot prevail on his libel claim.

9 Plaintiff also fails to establish the probability that he will prevail on his claim for public 10 disclosure of private facts. Under California law, the elements of the tort of public disclosure 11 of private facts are (1) public disclosure (2) of a private fact (3) which would be offensive and 12 objectionable to the reasonable person and (4) which is not of legitimate public concern. Taus v. Loftus, 40 Cal. 4th 683, 801 (2007).³ With respect to the fourth element, "lack of 13 14 newsworthiness is an element of the 'private facts' tort, making newsworthiness a complete 15 bar to common law liability." As discussed in Section III.A., supra, the article reported on a 16 matter of public interest. Therefore, Plaintiff's claim for public disclosure of private facts fails 17 on the merits.

18 In conclusion, Cornell's special motion to strike is granted in its entirety. Cornell's 19 evidentiary objections are denied as moot because the Court's conclusions would be the 20 same whether the objections were overruled or sustained. The Court does not reach the 21 issue of whether Cornell republished the article when Cornell digitized it and made it 22 accessible on the internet. As the prevailing party on the motion to strike, Cornell is entitled 23 to reasonable attorney's fees and costs. Cal. Civ. Proc. Code § 425.16(c). The amount of 24 the attorney's fees shall be determined upon motion by Cornell. Costs may be sought in the 25 manner provided by Civ.L.R. 54.1 after the entry of judgment.

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³ New York law does not recognize a common-law right of privacy. <u>See Messenger ex rel. Messenger v. Gruner & Jahr Printing and Pub.</u>, 94 N.Y.2d 436, 441 (2000).

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| 1 | IV. <u>CONCLUSION</u> | | | | | |
| 2 | For the reasons discussed above, Cornell's Special Motion to Strike is GRANTED . | | | | | |
| 3 | The Complaint is DISMISSED WITH PREJUDICE . The Clerk shall enter judgment | | | | | |
| 4 | accordingly. Any motion for attorney's fees must be brought within 30 days of this order. | | | | | |
| 5 | IT IS SO ORDERED. | | | | | |
| 6 | DATED: June 3, 2008 | | | | | |
| 7 | Surry Ted Mothout | | | | | |
| 8 9 | Honorable Barry Ted Moskowitz United States District Judge | | | | | |
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| | 10 07cv2045 BTM(JMA) EXHIBIT C, Page 51 | | | | | |