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**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF CALIFORNIA**

DANY ROJAS-VEGA,  
  
vs.  
  
T. DIANE CEJKA, et al.,

Plaintiff,  
  
  
Defendants.

CASE NO. 09CV2489 BEN (BLM)  
  
**ORDERSUA SPONTE  
DISMISSING THIRD AMENDED  
COMPLAINT FOR FAILING TO  
STATE A CLAIM  
[Doc. No. 26]**

**INTRODUCTION**

Plaintiff Dany Rojas-Vega proceeding *pro se* and *in forma pauperis* (“IFP”) has filed a Third Amended Complaint (“TAC”). The Court can decipher that Plaintiff is attempting to state claims under the Freedom of Information Act (“FOIA”) and the Privacy Act. Plaintiff additionally cites to numerous other statutes that have no legal basis under the allegations of his TAC. This constitutes Plaintiff’s fourth attempt to file a complaint that states a claim for relief. For the reasons set forth below, the Court *sua sponte* **DISMISSES the TAC without leave to amend.**

**BACKGROUND**

Plaintiff’s TAC asserts claims against U.S. Citizenship and Immigration Services (“USCIS”), Immigration and Customs Enforcement (“ICE”), Eric Holder, Jr., and Robin Baker. Plaintiff alleges that in 1995, he entered into a plea agreement in state court with an “agency.”<sup>1</sup>(state court case no:

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<sup>1</sup>Plaintiff continually refers to an “agency,” but it is unclear from paragraph to paragraph to which agency he is referring.

1 M707038). In 2003, after Plaintiff was placed in deportation proceedings for his drug conviction, he  
2 sought the tapes and transcripts of his state plea proceedings. Plaintiff alleges that he attempted to  
3 obtain the tapes and transcripts of the state court plea proceedings from other sources, but eventually  
4 sought them from an agency.

## 5 DISCUSSION

### 6 I. *Sua Sponte* Screening per 28 U.S.C. § 1915(e)(2)

7 The Court has reviewed Plaintiff's TAC and finds that Plaintiff has again failed to state a claim  
8 for relief. A complaint filed by any person proceeding, or seeking to proceed, IFP under 28 U.S.C. §  
9 1915(a) is subject to mandatory *sua sponte* review and dismissal if the complaint is frivolous or  
10 malicious, fails to state a claim upon which relief may be granted, or seeks monetary relief from a  
11 defendant immune from suit. 28 U.S.C. § 1915(e)(2)(B); *Lopez v. Smith*, 203 F.3d 1122, 1126-27 (9th  
12 Cir. 2000). Where a complaint fails to state "any constitutional or statutory right that was violated,  
13 nor asserts any basis for federal subject matter jurisdiction," there is no "arguable basis in law" and  
14 the court on its own initiative may decline to permit the plaintiff to proceed and dismiss the complaint  
15 under § 1915. *Cato v. United States*, 70 F.3d 1103, 1106 (9th Cir. 1995) (citing *Neitzke v. Williams*,  
16 490 U.S. 319, 325 (1989)).

17 "[W]hen determining whether a complaint states a claim, a Court must accept as true all  
18 allegations of material fact and must construe those facts in the light most favorable to the plaintiff."  
19 *Resnick v. Hayes*, 213 F.3d 443, 447 (9th Cir. 2000); *see also Barren v. Harrington*, 152 F.3d 1193,  
20 1194 (9th Cir. 1998) (§ 1915(e)(2) "parallels the language of Federal Rule of Civil Procedure  
21 12(b)(6)."). While "[c]onstruing these pro se pleadings liberally, as we must," *Franklin v. Murphy*,  
22 745 F.2d 1221, 1230 (9th Cir. 1984) (citing *Haines v. Kerner*, 404 U.S. 519 (1972)), the Court may  
23 not "supply essential elements of the claim that were not initially pled." *Ivey v. Bd. of Regents of the*  
24 *Univ. of Alaska*, 673 F.2d 266, 268 (9th Cir. 1982). Having concluded the § 1915(e) review, Plaintiff's  
25 TAC is dismissed without leave to amend.

#### 26 A. Plaintiff's Privacy Act Claim.

27 Plaintiff's citizenship prevents him from obtaining relief under the Privacy Act. The Privacy Act  
28 allows an "individual" to gain access to agency records containing information regarding himself. 5

1 U.S.C. § 552a(d)(1); *Raven v. Pan. Canal Co.*, 583 F.2d 169, 170 (5th Cir. 1978). Individuals who  
2 are denied access to certain official records may bring a civil action to compel disclosure. 5 U.S.C.  
3 § 552a(g)(1); *Raven*, 583 F.2d at 170. Under the Privacy Act, an “individual” is defined as “a citizen  
4 of the United States or an alien lawfully admitted for permanent residence.” 5 U.S.C. § 552a(a)(2).  
5 Congress purposely limited the Privacy Act in this manner. *Raven*, 583 F.2d at 171; *Stone v. Exp.-Imp.*  
6 *Bank of U.S.*, 552 F.2d 132, 136-37 (5th Cir. 1977); *Doherty v. U.S. Dep’t of Justice*, 596 F. Supp.  
7 423, 425-26 (S.D.N.Y. 1984); *Fla. Med. Ass’n, Inc. v. Dep’t Health, Educ. & Welfare*, 479 F. Supp.  
8 1291, 1307 (M.D. Fla. 1979). “The legislative history of the Privacy Act indicates that the definition  
9 of ‘individual’ by Congress was intended to exclude from the coverage of the Privacy Act those  
10 interests of foreign nationals and nonresident aliens. . . .” *Fla. Med. Ass’n, Inc.*, 479 F. Supp. at 1307.

11 According to the record before the Court, Plaintiff’s lawful permanent resident status was  
12 revoked, resulting in his removal. Consistent with this record, Plaintiff alleges he resides in Del  
13 Marisco Bar, Costa Rica. As such, he is not entitled to enjoy the benefits attributed to U.S. citizens  
14 or individuals currently possessing lawful resident status. Plaintiff cannot state a claim for a benefit  
15 that he is clearly not entitled to under the Privacy Act. Additionally, as discussed below, the TAC  
16 lacks a factual basis to support Plaintiff’s conclusory allegations that a federal agency has the tapes  
17 and transcripts of his state court plea proceedings.

18 **B. Plaintiff’s FOIA Claim.**

19 “The basic purpose of FOIA is to ensure an informed citizenry, vital to the functioning of a  
20 democratic society, needed to check against corruption and to hold the governors accountable to the  
21 governed.” *NLRB v. Robbins Tire & Co.*, 437 U.S. 214, 242 (1978). When a person requests a record  
22 from a federal agency and the agency withholds the record, the person may bring suit in district court  
23 to enjoin the agency from withholding the record and to order the production of any records improperly  
24 withheld. 5 U.S.C. § 552(a)(4)(B); *U.S. Dep’t of Justice v. Reporters Comm. for Freedom of the*  
25 *Press*, 489 U.S. 749, 755 (1989).

26 Although the mandate of FOIA calls for broad disclosure of Government records, the Act  
27 represents a careful balance between “public rights and agency obligations.” *Kissinger v. Reporters*  
28 *Comm. for Freedom of the Press*, 445 U.S. 136, 150 (1980). As such, FOIA provides that any person

1 has a right of access to federal agency records, except to the extent that such records (or portions  
2 thereof) are protected from disclosure by one of nine exemptions or by one of three special law  
3 enforcement exclusions. *See* 5 U.S.C. § 552. To successfully assert a FOIA claim, the plaintiff must  
4 show “that an agency has: (1) ‘improperly’; (2) ‘withheld’; (3) ‘agency records.’” *Id.* A district  
5 court’s authority to implement judicial remedies and order the production of improperly withheld  
6 documents can only be invoked if the agency has violated all three requirements. *See id.* The Court  
7 finds that even liberally construing Plaintiff’s allegations, the TAC fails to state a valid FOIA claim.

8 Plaintiff’s conclusory allegations that an agency improperly withheld tapes and transcripts of  
9 state court proceedings is not sufficient. The tapes and transcripts sought by Plaintiff originated from  
10 state court proceedings. Federal agencies are not caretakers of state court documents. Improper  
11 withholding of a record would, at a minimum, require the agency to have possession of the record  
12 requested. Plaintiff provides no basis for an agency to maintain tapes and transcripts of Plaintiff’s state  
13 court plea proceedings decades after those proceedings took place and years after the state court  
14 destroyed those records. Plaintiff’s conclusory speculation that an agency possessed these documents  
15 is insufficient. An agency cannot improperly withhold a record it has no reason to have. Construing  
16 the allegations liberally in Plaintiff’s favor, the Court finds the TAC lacks a sufficient factual basis  
17 supporting Plaintiff’s allegation that an agency possessed an agency record that it improperly withheld  
18 when requested by Plaintiff in a timely fashion.

19 Additionally, Plaintiff has failed to state a claim under any of the numerous other statutes cited  
20 in the TAC. Accordingly, the TAC is *sua sponte* **DISMISSED** for failing to state a claim pursuant  
21 to 28 U.S.C. § 1915(e)(2). *See Calhoun v. Stahl*, 254 F.3d 845, 845 (2001); *Lopez*, 203 F.3d at 1127.

## 22 **II. Leave to Amend**

23 The Court finds that granting Plaintiff further leave to amend would be futile, particularly given  
24 the four prior attempts to file a complaint that states a claim. *Allen v. City of Beverly Hills*, 911 F.2d  
25 367, 373 (9th Cir. 1990) (identifying futility of amendment and previous opportunities to amend as  
26 factors to assess the propriety of granting leave to amend). The Court has cautioned Plaintiff with each  
27 opportunity to amend that if Plaintiff failed to state a claim, his complaint would be dismissed without  
28 leave to amend. After four attempts, dismissal without leave to amend is appropriate. Additionally,

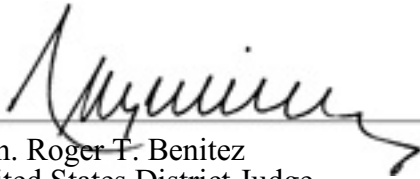
1 as discussed above, there is no basis for Plaintiff's attempt to obtain tapes and transcripts of state court  
2 proceedings from a federal agency years after those proceedings took place. Any further attempt to  
3 amend would be futile.

4 **CONCLUSION**

5 The TAC is **DISMISSED** without leave to amend for failing to state a claim upon which relief  
6 can be granted pursuant to 28 U.S.C. § 1915(e)(2).

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8 **IT IS SO ORDERED.**

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10 DATED: June 10, 2011

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13 Hon. Roger T. Benitez  
14 United States District Judge  
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