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**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF CALIFORNIA**

ROBERT C. WOMACK,	
	Plaintiff,
v.	
METROPOLITAN TRANSIT SYSTEM, et	
al.,	
	Defendants.

Case No. 09cv2679 BTM(NLS)
**ORDER GRANTING MOTION FOR
SUMMARY JUDGMENT**

Defendants Metropolitan Transit System (“MTS”) and San Diego Trolley, Inc. (“SDTI”), have filed a motion for summary judgment, or in the alternative, partial summary judgment. For the reasons discussed below, Defendants’ motion is **GRANTED**.

I. FACTUAL BACKGROUND

In September 2005, SDTI hired Plaintiff, Robert C. Womack, as a Code Compliance Inspector (“CCI”). (Womack Dep. (Def.’s Ex. 1) 34:3-10.) As a CCI, Womack’s job duties included enforcing the ordinances of SDTI, issuing citations, making arrests, preparing incident and arrest reports, and testifying in court regarding arrests and violations. (Womack Dep. 34:11-35:18; Burke Decl. ¶ 2.) CCIs are not police officers, do not carry weapons, and are not in any way affiliated with the police department. (Burke Decl. ¶ 2.)

During Womack’s training, Womack received a copy of the SDTI Rules and

1 Instructions for employees and agreed to comply with the rules. (Womack Dep. 37:5-8.)
2 Womack understood that failure to comply with the rules could result in discipline, up to and
3 including discharge. (Womack Dep. 37:10-13.) SDTI's Standard Operating Procedures
4 include the following provisions: Section 1.4.7 (prohibits employees from falsifying any
5 statement or record); SOP 600.1(1) (provides that employees shall not violate any laws,
6 whether on or off SDTI premises, which may have an adverse impact on the reputation or
7 operations of SDTI); SOP 600.1(4) (prohibits unbecoming conduct, both on and off duty,
8 which brings SDTI into disrepute or tends to impair the operation and efficiency of SDTI);
9 and SOP 600.1(41) (requires truthfulness when asked questions by any SDTI supervisor or
10 manager). (Def.'s Ex. 9.)

11
12 A. Canine-Handler Interview

13 On May 2, 2007, Womack interviewed for an SDTI canine-handler position, which
14 involved handling and housing "bomb-sniffing" dogs. (Womack Dep. 49:5-7; Parham Decl.
15 ¶ 2.) The interview was with William Burke (Director of Transit Security), Lawrence Savoy
16 (Assistant Director of Transit Security), and Sgt. Len Parham (Canine and Code Compliance
17 Supervisor), and a TSA representative. (Womack Dep. 44:1-12.) During the interview,
18 Womack was asked to describe the type of residence in which he resided to determine if he
19 could accommodate the dogs. (Womack Dep. 49:8-15.) Womack responded that he had
20 a three-bedroom house in the Santee area with a fenced-in yard. (Womack Dep. 49:16-18.)

21 After the interview, Parham followed up with Womack to arrange a visit to Womack's
22 house. (Parham Decl. ¶ 3.) Initially, Womack told Parham that he could come by his house.
23 (Id.) A short time later, Womack called Parham and told him that he did not actually live in
24 the house he previously described but had planned to rent the house. (Id.) Womack also
25 told Parham that the agreement to rent the house had fallen through. (Id.) Womack was
26 actually living in an apartment. (Id.) Parham informed Burke and Savoy that Womack had
27 lied during the interview. (Id.)

28 On May 4, 2007, Burke and Savoy met with Womack. (Burke Decl. ¶ 6.) Womack

1 claimed that he mis-spoke during the interview because he was nervous. (Id.) Womack
2 explained that although he did not live in a house, he was in the process of trying to rent a
3 house, and had even given the landlord a deposit check. (Id.) The agreement, however,
4 had fallen through. (Id.) Burke offered Womack a chance to show him a copy of his deposit
5 check or a rental agreement to prove that he was not lying. (Burke Decl. ¶ 7.) Womack
6 refused to do so and withdrew his name from consideration. (Id.) According to Womack,
7 he refused to produce evidence of the rental agreement because his financial information
8 is private and does not concern anyone else. (Womack Dep. 61:5-9.)

9 Womack was issued a two-day unpaid suspension for his dishonesty in the canine
10 interview. (Burke Decl. ¶ 8.) Womack did not grieve the suspension and signed his
11 discipline letter. (Burke Decl. ¶ 8; Def.'s Ex. 16.)

12 13 B. Avalon Incident

14 Avalon Home Care ("Avalon") is a local business that provides home care services
15 for elderly or disabled individuals. (Brown Decl. ¶1; Baratti Decl. ¶ 1.) Marcus Brown and
16 Junie Baratti own Avalon. (Id.)

17 On or about April 23, 2007, Womack left a message on Brown's cell phone. Womack
18 claimed to be the son-in-law of an Avalon client, Mary LaDuc, and alleged that Ms. LaDuc's
19 caretaker had stolen some of her cash. The recorded message stated:

20 Hello, my name is Robert Womack. I'm the son-in-law of Mary LaDuc and we
21 need to talk to you about what happened here. We're finding some more
22 problems here at Mary's house and I was – my understanding is she – you're
23 going to come over here today to return some money that was stolen from
24 Mary. I want to tell you I'm also with the law enforcement. I'm a police officer
and I'm going to be conducting an investigation with my department. I think
the best thing for you to do as soon as you can is get ahold of me or Dodie as
soon as possible. I'm going to try and page you and then I will return your
phone call to you.

25 (Womack Dep. 126:13-127:9; Brown Decl. ¶ 3.)

26 Brown and Baratti returned Womack's call that same day. (Brown Decl. ¶ 4; Baratti
27 Decl. ¶ 3.) Womack accused an Avalon caregiver of stealing his mother-in-law's petty cash,
28 and stated that based on his experience in criminal matters, it appeared that the caregiver

1 was guilty of theft. (Id.) Womack said that he would be dusting for fingerprints and warned
2 Brown and Baratti that they could be charged criminally in connection with the matter. (Id.)
3 Womack also inquired about whether Avalon conducts criminal background checks on its
4 caregivers. (Brown Decl. ¶ 4; Baratti Decl. ¶ 4.) Although Avalon normally would not release
5 criminal background reports without the consent of the subject employee, Brown and Baratti
6 provided background check information on a few Avalon employees to Womack because
7 they thought that Womack was a police officer. (Id.) Later, Brown and Baratti discovered
8 that Womack was not Ms. LaDuc's son-in-law or a police officer. (Brown Decl. ¶ 5; Baratti
9 Decl. ¶ 5.)

10 On May 4, 2007, Brown went to the San Diego Police Department to file a complaint
11 against Womack for misrepresenting himself as a police officer and threatening Avalon and
12 himself. (Brown Decl. ¶ 6.) The police told Brown to file his complaint directly with SDTI.
13 (Id.) Accordingly, Brown went to SDTI's office and met with Burke, Savoy, and Sgt. Dave
14 Adams. (Id.) Brown played Womack's voicemail message for them and described the
15 subsequent phone conversation he had with Womack. (Id.)

16 On May 11, 2007, Savoy met with Womack to discuss Avalon's complaint. (Savoy
17 Decl. ¶ 7.) Womack denied that he represented himself as a police officer or threatened an
18 investigation by his department, although he did admit that he was not actually the son-in-law
19 of Ms. LaDuc. (Id.) Upon being confronted with the recording of the voicemail, Womack
20 said that he did not hear the recording clearly and that he did not remember specifically
21 representing himself as a law enforcement officer or police officer. (Def.'s Ex. 18.) Savoy
22 reported the results of the interview to Burke. (Savoy Decl. ¶ 7.)

23 24 C. Termination and Post-Termination Proceedings

25 On September 21, 2007, SDTI issued Womack a Notice of Intent to Terminate
26 Employment. (Def.'s Ex. 17.) The notice referenced the canine-handler incident and also
27 set forth the facts regarding the Avalon incident. With respect to the Avalon incident, the
28 letter explained:

1 Our conclusion is that you lied to SDTI during the course of our investigation
2 into this incident, by denying that you left a voice mail message for Mr. Brown
3 saying that your [sic] were in law enforcement, and a police officer, and that
4 your department would be investigating this matter. . . . Misrepresenting
5 yourself as a police officer was false, and his [sic] irreparably damaged your
6 credibility. SDTI can no longer trust you to act as a Code Compliance
7 Inspector, since it is beyond dispute that you will lie and misrepresent yourself.
8 Since your job for SDTI includes issuing citations and making arrests, yet we
9 know that you will lie when you see fit, you are not qualified for your position,
10 and we have no choice but to terminate your employment. In your position you
11 may be called upon to testify in court. Since we now know that you may testify
12 falsely in order to obtain a result that you desire, we cannot allow you to
13 continue in your position.

8 (Def's Ex. 17, p, 681.) The notice explained that Womack had violated SDTI rules and
9 procedures including Section 1.4.7 (falsification of statements or records), SOP 600.1(1)
10 (violation of law), SOP 600.1(4) (unbecoming conduct), and SOP 600.1(15) (abuse of
11 position).

12 On October 10, 2007, SDTI held a Skelly¹ hearing for Womack. (Greenland Decl. ¶
13 8.) Mary Jane Greenland, Manager of Human Resources for MTS, was the Hearing Officer,
14 and Burke and Savoy were present on behalf of SDTI. (Def.'s Ex. 11.) Womack was
15 represented by counsel at the hearing. (Greenland Decl. ¶ 8.) After the hearing, Burke,
16 Greenland, and legal counsel for SDTI agreed that termination was appropriate. (Id.) In a
17 termination letter dated October 11, 2007, Greenland explained that SDTI had made the
18 decision to terminate Womack's employment "for the reasons set forth in the Notice of
19 Intention to Terminate Employment, relating to your misrepresenting yourself to a third party
20 as a San Diego Police Officer and lying to SDTI management during the investigation into
21 this incident." (Def.'s Ex. 11.)

22 Under the Collective Bargaining Agreement ("CBA") (Def.'s Ex. 12) between SDTI and
23 the Transit Enforcement Officers' Association of San Diego ("the union"), Womack filed a
24

25 ¹ In Skelly v. State Personnel Board, 15 Cal. 3d 194 (1975), the California Supreme
26 Court discussed what procedural due process requirements the state must comply with
27 before dismissing a permanent employee. The Court explained that even though due
28 process does not require the state to provide the employee with a full trial-type evidentiary
hearing before the disciplinary action is imposed, at minimum, preremoval safeguards "must
include notice of the proposed action, the reasons therefor, a copy of the charges and
materials upon which the action is based, and the right to respond, either orally or in writing,
to the authority initially imposing discipline." Id. at 215.

1 grievance alleging that his termination was not for just cause. (Def.'s Ex. 13.) The CBA
2 provides that if a grievance cannot be resolved informally or through a nonbinding mediation,
3 the dispute shall be submitted to final and binding arbitration. (CBA, Article 8.)

4 The arbitration was held before David B. Hart on December 10, 2008 and January 23,
5 2009. (Betts Decl. ¶ 6.) Womack was represented by counsel. (Id.) Womack testified, and
6 his counsel gave an opening and closing statement, cross-examined the witnesses, and
7 made evidentiary objections. (Id.; Def.'s Ex. 4.) Womack's counsel argued that the
8 termination was unfair because, among other things, SDTI lacked clear policies regarding
9 discipline, and SDTI improperly considered the canine-handler incident, for which Womack
10 had already been disciplined. (Def.'s Ex. 6.)

11 In a thirteen-page decision, Arbitrator Hart held that Womack's termination was for
12 just cause. (Def.'s Ex. 3.) The arbitrator found that the evidence established that Womack
13 misrepresented himself as a police officer, fraudulently obtained confidential information
14 from Avalon, and was not truthful during SDTI's investigation of the matter. (Def.'s Ex. 3, p.
15 77.) The arbitrator also found that because of the seriousness of the incident in relation to
16 the trust placed in him by SDTI, SDTI did not abuse its discretion in imposing the ultimate
17 punishment of termination. (Def.'s Ex. 3, p. 78.)

18 19 **II. STANDARD**

20 Summary judgment is appropriate under Rule 56 of the Federal Rules of Civil
21 Procedure if the moving party demonstrates the absence of a genuine issue of material fact
22 and entitlement to judgment as a matter of law. Celotex Corp. v. Catrett, 477 U.S. 317, 322
23 (1986). A fact is material when, under the governing substantive law, it could affect the
24 outcome of the case. Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 248 (1986); Freeman
25 v. Arpaio, 125 F.3d 732, 735 (9th Cir. 1997). A dispute is genuine if a reasonable jury could
26 return a verdict for the nonmoving party. Anderson, 477 U.S. at 248.

27 A party seeking summary judgment always bears the initial burden of establishing the
28 absence of a genuine issue of material fact. Celotex, 477 U.S. at 323. The moving party

1 can satisfy this burden in two ways: (1) by presenting evidence that negates an essential
2 element of the nonmoving party's case; or (2) by demonstrating that the nonmoving party
3 failed to establish an essential element of the nonmoving party's case on which the
4 nonmoving party bears the burden of proving at trial. Id. at 322-23. "Disputes over irrelevant
5 or unnecessary facts will not preclude a grant of summary judgment." T.W. Elec. Serv., Inc.
6 v. Pacific Elec. Contractors Ass'n, 809 F.2d 626, 630 (9th Cir. 1987).

7 Once the moving party establishes the absence of genuine issues of material fact, the
8 burden shifts to the nonmoving party to set forth facts showing that a genuine issue of
9 disputed fact remains. Celotex, 477 U.S. at 314. The nonmoving party cannot oppose a
10 properly supported summary judgment motion by "rest[ing] on mere allegations or denials
11 of his pleadings." Anderson, 477 U.S. at 256. When ruling on a summary judgment motion,
12 the court must view all inferences drawn from the underlying facts in the light most favorable
13 to the nonmoving party. Matsushita Elec. Indus. Co. v. Zenith Radio Corp., 475 U.S. 574,
14 587 (1986).

15 16 **III. DISCUSSION**

17 In his First Amended Complaint ("FAC"), Womack, who is proceeding pro se, names
18 as defendants MTS, SDTI, individual MTS/SDTI employees, the Transit Enforcement
19 Officers Association of San Diego, and Terrance Joseph (identified as President of the
20 union). Defendants MTS and SDTI move for summary judgment, or in the alternative, partial
21 summary judgment. It appears that the other defendants have not been served.

22 Construing the FAC liberally,² the FAC asserts the following legal claims against MTS
23 and SDTI: (1) violation of SDTI Rules and Instructions; (2) violation of 18 U.S.C. § 241 -
24 Conspiracy Against Rights; (3) violation of 18 U.S.C. § 242 - Deprivation of Rights; (4)
25 violation of Cal. Penal Code § 118 - Perjury; (5) violation of Cal. Penal Code § 422.6 -
26 Interference with Civil Rights; (6) violation of rights under NLRB v. Weingarten, 420 U.S. 251

27
28 ² The body of the FAC does not include numbered causes of action. Although the caption page lists a number of causes of action, it appears that this list does not include all of the claims referenced in the body of the complaint.

1 (1975); (7) wrongful termination/ termination without just cause; (8) hybrid LMRA § 301/fair
2 representation claim; (9) slander; (10) violation of the First Amendment of the U.S.
3 Constitution - Freedom of Speech; (11) violation of the California Constitution, Article I,
4 Section 2 - Freedom of Speech; (12) violation of the First Amendment of the United States
5 Constitution - Right to Privacy; (13) violation of the California Constitution Article I, Section
6 I, Right to Privacy; (14) violation of 5 U.S.C. § 552a, Privacy Act of 1973; (15) violation of the
7 Fourteenth Amendment of the United States Constitution - Due Process; (16) violation of
8 Cal. Civil Code § 52.1 (interference with exercise or enjoyment of constitutional rights).

9 As discussed below, the Court finds that there are no triable issues of material fact
10 with respect to Womack’s claims. Therefore, Defendants are entitled to summary judgment.

11
12 A. SDTI Internal Rules

13 The FAC alleges that Burke, Savoy, and Greenland violated SDTI Rules and
14 Instructions. Although it is possible that the violation of SDTI policies and procedures could,
15 in some circumstances, be evidence of the violation of a statute or other legal duty, Womack
16 cannot maintain a free-standing legal claim for the violation of SDTI internal rules and
17 policies.

18
19 B. Violation of Various Criminal Statutes

20 Plaintiff brings claims under federal and California criminal statutes, specifically, 18
21 U.S.C. § 241 (Conspiracy Against Rights), 18 U.S.C. § 242 (Deprivations of Rights), Cal.
22 Penal Code § 118 (Perjury), and Cal. Penal Code § 422.6 (Interference with Exercise of Civil
23 Rights). None of these criminal provisions provide for civil enforcement or imply that a civil
24 remedy is available. Therefore, Womack’s claims under these statutes fail as a matter of
25 law. See Aldabe v. Aldabe, 616 F.2d 1089, 1092 (9th Cir. 1980) (explaining that 18 U.S.C.
26 §§ 241 and 242 “provide no basis for civil liability” and affirming dismissal of claims); Pollock
27 v. University of Southern California, 122 Cal. App. 4th 1416, 1429 (2003) (explaining that
28 perjury is a criminal wrong and that there is no civil cause of action for “perjury”).

1 C. Violation of Weingarten Rights

2 Womack alleges that Defendants violated his rights under NLRB v. Weingarten, 420
3 U.S. 251 (1975), by failing to tell him of his union rights during his May 4, 2007 meeting with
4 Burke and Savoy. (FAC at 6.) In Weingarten, the Supreme Court interpreted Section 7 of
5 the National Labor Relations Act, 29 U.S.C. § 157, to include the right of an employee to
6 have a union representative present at meetings with an employer when the meeting is
7 investigatory, the employee reasonably expects the meeting will result in disciplinary action,
8 and the employee requests representation. Id. at 257-58. Womack's claim that his
9 Weingarten rights were violated allege unfair labor practices that fall within the exclusive
10 jurisdiction of the NLRB. See Dellbridge v. Acme Food Corp., 2010 WL 148803, at * 3
11 (D.N.J. Jan. 14, 2010) (holding that plaintiff's claim that his civil rights pursuant to
12 Weingarten were violated was preempted and dismissing claim as a matter of law).
13 Therefore, Defendants are entitled to summary judgment on this claim.

14
15 D. Wrongful Termination/Termination without Just Cause

16 Womack alleges that he was wrongfully terminated. Womack claims that Burke and
17 Savoy made false allegations against him and that he was punished for conduct taking place
18 in the privacy of his own home.

19 Whether Womack's termination was "wrongful," is governed by the CBA, which
20 requires "just cause" for termination. A suit for breach of a collective bargaining agreement
21 is governed exclusively by federal law under § 301(a) of the LMRA, 29 U.S.C. § 185(a).
22 Young v. Anthony's Fish Grottos, Inc., 830 F.2d 993, 997 (9th Cir. 1987). "The preemptive
23 force of section 301 is so powerful as to displace entirely any state claim based on a
24 collective bargaining agreement . . . and any state claim whose outcome depends on
25 analysis of the terms of the agreement." Id. (citations omitted). Therefore, any claim by
26 Womack that he was terminated without just cause is preempted by the LMRA. See
27 O'Sullivan v. Longview Fibre Co., 993 F. Supp. 743 (N.D. Cal. 1997) (holding that
28 employee's breach of contract claim alleging that the employer did not have just cause to

1 discharge him was preempted by the LMRA).

2 To the extent Womack seeks to bring a claim for wrongful termination in violation of
3 public policy – i.e., a Tameny³ cause of action – such a claim is barred by California’s
4 Government Claims Act, Cal. Gov’t Code § 810, et seq., which abolishes common law tort
5 liability for public entities. See Miklosy v. Regents of the University of California, 44 Cal. 4th
6 876, 900 (2008) (holding that because a Tameny cause of action is a common law, judicially
7 created tort, § 815 bars Tameny actions against public entities).

8
9 E. Hybrid LMRA § 301 Claim

10 When a union representing an employee during grievance or arbitration proceedings
11 breaches its duty of fair representation, an employee may bring suit against both the
12 employer and the union under LMRA § 301, notwithstanding the outcome or finality of the
13 grievance or arbitration proceeding. DelCostello v. Int’l Bhd. of Teamsters, 462 U.S. 165
14 (1983). Although the FAC does not cite to § 301, the FAC does allege that the union
15 breached its duty of fair representation and that Womack was wrongfully terminated by
16 SDTI. Therefore, the Court liberally construes the FAC as asserting a hybrid § 301 claim.

17 To prevail on a hybrid § 301 claim, the employee must prove both that the union
18 breached its duty of fair representation and that the employer breached the collective
19 bargaining agreement. Bliesner v. Commc’n Workers of America, 464 F.3d 910, 913 (2006).
20 Nothing requires the district court to decide the fair representation question first. Id. at 914.

21 The Court finds that Womack has failed to raise a triable issue of material fact on his
22 claim that SDTI breached the CBA by terminating him without just cause. The evidence
23 before the Court establishes that SDTI terminated Womack for misrepresenting himself as
24 a police officer. The taped voicemail message shows that Womack purposefully led Brown
25 to believe that he was a police officer. In the recorded message, Womack stated:

26 Hello, my name is Robert Womack. I’m the son-in-law of Mary LaDuc and we
27 need to talk to you about what happened here. We’re finding some more
28 problems here at Mary’s house and I was – my understanding is she – you’re

³ Tameny v. Atlantic Richfield Co., 27 Cal. 3d 167 (1980).

1 going to come over here today to return some money that was stolen from
2 Mary. I want to tell you I'm also with the law enforcement. I'm a police officer
3 and I'm going to be conducting an investigation with my department. I think
4 the best thing for you to do as soon as you can is get ahold of me or Dodie as
soon as possible. I'm going to try and page you and then I will return your
phone call to you.

5 (Womack Dep. 126:13-127:9; Brown Decl. ¶ 3.) Womack argues that he never said that he
6 was a "San Diego police officer" or that he was going to conduct a "criminal investigation."
7 Womack also argues that he was in or with law enforcement because he issued citations
8 and made arrests. The Court is not persuaded by Womack's semantic distinctions. The
9 clear import of the message was that Womack was a law enforcement officer who could
10 conduct a criminal investigation. He was not a "police officer," and he did not have a
11 "department" authorized to investigate thefts.

12 At the arbitration, Womack admitted misrepresenting that he was a member of law
13 enforcement. (Arbitration Transcript (Def.'s Ex. 4) 341:5-25.) He admitted that he was not
14 a member of law enforcement and that he made a mistake: "It was a dumb thing to do, but
15 I was very worried for Mary. I have no excuse. It was – I should have never said those
16 words." (Id. at 342:8-10.)

17 With respect to Womack's telephone conversation with Brown and Baratti, which was
18 not recorded, Womack denies saying that he would be dusting for fingerprints or that he
19 would charge Brown and Baratti criminally. He also denies requesting background check
20 information from Avalon. At the arbitration, Womack testified that he did not request or
21 receive the background information. (Arbitration Transcript 346:16-18.)

22 Although Womack disputes the details regarding what happened after he left the
23 voicemail message, the fact remains that Womack misrepresented himself as a law
24 enforcement officer. As noted in the Notice of Intent to Terminate Employment, Womack's
25 acts of dishonesty raised concerns regarding his ability to perform his job: "Since your job
26 for SDTI includes issuing citations and making arrests, yet we know that you will lie when you
27 see fit, you are not qualified for your position, and we have no choice but to terminate your
28 employment. In your position you may be called upon to testify in court. Since we now know

1 that you may testify falsely in order to obtain a result that you desire, we cannot allow you
2 to continue in your position.” (Def’s Ex. 17, p, 681.)

3 Based on the undisputed evidence, there was just cause for Womack’s termination.
4 The Court agrees with the arbitrator that Womack, as a public employee, owed “unique
5 duties of loyalty, trust, and candor” to his employer and to the public at large, and that his
6 violation of the trust placed in him constituted just cause for termination. Accordingly, SDTI
7 did not terminate him in violation of the CBA, and his hybrid § 301 claim fails.

8
9 F. Slander

10 Although not entirely clear, it appears that Womack’s claim of slander is based on
11 alleged lies told by Burke, Savoy, and SDTI’s attorney. Womack claims that the Notice of
12 Intent to Terminate Employment signed by Burke was filled with “false allegation.” (FAC at
13 8.) Womack also claims that Kasper, an attorney for MTS, fabricated claims against
14 Womack during an unemployment benefits hearing. (FAC at 9-10.) In addition, Womack
15 alleges that Burke and Savoy committed perjury during the arbitration hearing. (FAC at 12.)

16 SDTI is immune from liability for the alleged false statements identified above.
17 California Civil Code § 47(b) provides that a privileged publication or broadcast includes one
18 made “[i]n any (1) legislative proceeding, (2) judicial proceeding, (3) in any other official
19 proceeding authorized by law, or (4) in the initiation or course of any other proceeding
20 authorized by law and reviewable pursuant to Chapter 2 (commencing with Section 1084)
21 of Title 1 of Part 3 of the Code of Civil Procedure.” Any statements made during the
22 arbitration and unemployment benefits hearing are privileged under § 47(b). See Komarova
23 v. National Credit Acceptance, Inc., 175 Cal. App. 4th 324, 336 (2009) (explaining that the
24 privilege under § 47(b) covers communications made in the course of private contractual
25 arbitrations); Ghebreselassie v. Coleman Security Serv., 829 F.2d 892, 898 (9th Cir. 1987)
26 (holding that employer’s report to the state unemployment office fell within the privilege of
27 § 47(b)).

28 Furthermore, statements made by Burke or Savoy in the context of disciplinary

1 proceedings or investigations preparatory to such proceedings are privileged under Cal.
2 Gov't Code § 821.6. Section 821.6 provides: "A public employee is not liable for injury
3 caused by his instituting or prosecuting any judicial or administrative proceeding within the
4 scope of his employment, even if he acts maliciously and without probable cause."
5 California courts have interpreted § 821.6 to encompass acts done to institute and prosecute
6 disciplinary proceedings. See Kemmerer v. County of Fresno, 200 Cal. App. 3d 1426, 1437
7 (1988) (holding that County and County employees were immune from tort liability for acts
8 done in connection with investigating plaintiff's conduct, instituting dismissal proceedings
9 against the plaintiff, and participating in civil service commission hearings); Paterson v. City
10 of Los Angeles, 174 Cal. App. 4th 1393, 1404-05 (2009) (holding that the City and police
11 sergeant were immune for tort liability for investigation of misconduct complaints and
12 institution and prosecution of disciplinary proceedings).

13 14 G. Freedom of Speech

15 Womack claims that Defendants violated his right to free speech under the United
16 States Constitution and the California Constitution (Article I, Section 2). Womack contends
17 that Defendants violated his free speech rights by disciplining him for telephone
18 conversations he had in the privacy of his own home. Womack's claim fails because his
19 speech was not protected.

20 Womack was a public employee. "Public employees . . . often occupy trusted
21 positions in society. When they speak out, they can express views that contravene
22 governmental policies or impair the proper performance of governmental functions." Garcetti
23 v. Ceballos, 547 U.S. 410, 419 (2006). Thus, a government entity has broader discretion
24 to restrict speech when it acts in its role as an employer. Id. at 418.

25 In considering a free speech claim of a public employee, courts carefully balance "the
26 interests of the public employee, as a citizen, in commenting upon matters of public concern
27 and the interest of the State, as an employer, in promoting the efficiency of the public
28 services it performs through its employees." Huppert v. City of Pittsburg, 574 F.3d 696, 702

1 (9th Cir. 2009). “So long as employees are speaking as citizens about matters of public
2 concern, they must face only those speech restrictions that are necessary for their
3 employers to operate efficiently and effectively.” Garcetti, 547 U.S. at 419. If, on the other
4 hand, the employee’s speech does not address a matter of public concern, the speech is not
5 protected by the United States Constitution or California Constitution. Brownfield v. Yakima,
6 612 F.3d 1140, 1147 (9th Cir. 2010) (concluding that employee’s speech was personal and
7 not protected by the First Amendment); Kaye v. Board of Trustees, 179 Cal. App. 4th 48, 56-
8 59 (2010) (holding that Garcetti applies to free speech claims under the California
9 Constitution by public employees).

10 The essential question is “whether the speech addressed matters of ‘public’ as
11 opposed to ‘personal’ interest.” Anthoine v. North Central Counties Consortium, 605 F.3d
12 740, 748 (9th Cir. 2010). Examples of public concern include unlawful conduct by a
13 government employee, the misuse of public funds, or inefficiency in managing and operating
14 government entities. Id.

15 Here, the speech concerned a purely personal matter - the care provided by Avalon
16 to a family friend. Furthermore, the speech implicated the ability of SDTI to operate
17 efficiently and effectively. Therefore, Womack’s speech was not protected, and his free
18 speech claims fail.

19
20 H. Invasion of Privacy

21 Womack claims that Defendants violated his right to privacy under the United States
22 Constitution as well as the California Constitution (Article I, Section I). Womack claims that
23 his privacy rights were violated because (1) Defendants disciplined him for telephone
24 conversations that took place in the privacy of his home; (2) Burke asked for private records
25 to prove that he entered into a rental agreement; and (3) Defendants told Avalon that
26 Womack was terminated as a result of misrepresenting himself as a police officer.

27 With respect to Womack’s claim under the California Constitution, Defendants are
28 immune from liability under Cal. Gov’t Code § 821.6. As discussed above, § 821.6 provides

1 absolute immunity for acts taken in connection with investigating, instituting, and prosecuting
2 disciplinary proceedings. This privilege applies even to a constitutionally based privacy
3 cause of action. Jacob B. v. County of Shasta, 40 Cal. 4th 948, 961 (2007). Defendants'
4 imposition of discipline for Womack misrepresenting himself as a police officer and the
5 investigation by Burke into whether Womack intentionally lied about living in a house clearly
6 fall within the scope of § 821.6.

7 As for SDTI telling Avalon that Womack was terminated, the conveying of this
8 information was also within the context of Womack's disciplinary proceedings. SDTI was
9 informing the complainant of the results of the investigation. See Citizens Capital Corp. v.
10 Spohn, 133 Cal. App. 3d 887, 888 (1982) (holding that defendants were immune under §
11 821.6 because the communication at issue "merely reported the results of official
12 investigations of plaintiffs and the revocation action based on those investigations.").
13 Furthermore, it appears that the conveying of this information occurred in conjunction with
14 SDTI preparing for arbitration. Brown learned that Womack had been terminated at the time
15 that SDTI asked Brown to be a witness in the arbitration proceeding. (Brown Decl. ¶ 7.)
16 Therefore, the absolute privilege of § 821.6 applies. ⁴

17 Womack's privacy claim under the First Amendment also fails. Defendants could
18 discipline Womack for telephone conversations he had in the privacy of his own home for
19 the reasons discussed in connection with Womack's freedom of speech claim. See Dible
20 v. City of Chandler, 515 F.3d 918, 930 n. 8 (9th Cir. 2008) (explaining that although there are
21 some limits to a governmental entity's investigation of its employees, "we have never gone
22 so far as to suggest that those limits are exceeded where, as here, the question is directly
23 related to the employee's connection to an otherwise unprotected activity that affects the
24 functions and mission of the employer.").

25 With respect to Burke's request for a rental agreement or copy of a canceled check,
26

27 ⁴ In addition, the qualified privilege set forth in Cal. Civil Code 47(c) applies. Section
28 47(c) extends a conditional privilege to "a communication, without malice, to a person
interested therein . . . by one who is also interested." The outcome of the investigation into
Avalon's complaint was of mutual interest to both SDTI and Avalon.

1 Womack made the documents relevant to his employment by falsely claiming that he lived
2 in a house during the canine-handler interview. Burke had a legitimate reason for wanting
3 to confirm that Womack had entered into a rental agreement and was not purposefully lying,
4 and it was Womack's *choice* whether to produce the proof or be disciplined. The Court
5 does not see how, under these circumstances, Womack's right to privacy was invaded.

6 The Court also finds that Womack did not have a constitutional right of privacy with
7 respect to the fact of his termination. Courts have rejected similar arguments from public
8 employees. See Mraz v. County of Lehigh, 862 F. Supp. 1344, 1349-50 (E.D. Pa. 1994)
9 (holding that county defendants' release of the fact of the plaintiff's termination did not violate
10 his right to privacy because the defendants merely reported an official act of the county);
11 Moran v. Southern Regional High School Dist. Bd. of Educ., 2006 WL 436201 (D.N.J. Feb.
12 17, 2006) (rejecting claim of plaintiff that releasing information related to settlement
13 agreement and the circumstances of the plaintiff's resignation to the press violated his
14 constitutional rights to privacy).

15
16 I. Federal Privacy Act of 1973

17 Womack alleges that Defendants violated his rights under the Federal Privacy Act of
18 1973, 5 U.S.C. § 552a(b), by disclosing facts about his termination to Avalon. The Privacy
19 Act applies only to "agencies" as defined by 5 U.S.C. § 551(1) and 5 U.S.C. § 552(f)(1), and
20 does not encompass state agencies or bodies.⁵ St. Michael's Convalescent Hospital v. State
21 of California, 643 F.2d 1369, 1373 (9th Cir. 1981). Defendants are state agencies. MTS
22 was created by Cal. Pub. Util. Code § 120050. SDTI is a non-profit public benefit
23 membership corporation, whose sole member is MTS. (Lorenzan Decl. ¶¶ 2-6.)
24 Accordingly, the Privacy Act has no applicability here.

25 _____
26 ⁵ Section 551(1) defines "agency" as "each authority of the Government of the United
27 States, whether or not it is within or subject to review by another agency," with certain
28 enumerated exceptions. Section 552(f)(1) provides that "agency," as defined in § 551(1),
includes "any executive department, military department, Government corporation,
Government controlled corporation, or other establishment in the executive branch of the
Government (including the Executive Office of the President), or any independent regulatory
agency"

1 J. Due Process Rights

2 Womack claims that he was deprived of due process in connection with his
3 termination. Womack argues in his papers that the result of the proceedings was
4 predetermined because the arbitrator was biased and there was a conspiracy among Burke,
5 Savoy, Greenland, and his attorney to carry out Burke's personal vendetta against him,
6 However, Womack presents no evidence in this regard. The evidence in the record
7 establishes that Womack was afforded all the process to which he was entitled.

8 In Cleveland Bd. of Educ. v. Loudermill, 470 U.S. 532, 546 (1985), the Supreme Court
9 held that where state law provides for a full post-termination hearing, prior to termination, a
10 tenured public employee is entitled to oral or written notice of the charges against him, an
11 explanation of the employer's evidence, and an opportunity to present his side of the story.
12 The opportunity to present reasons may be either in person or in writing. Id.

13 Under California law, preremoval safeguards must include, at minimum, "notice of the
14 proposed action, the reasons therefor, a copy of the charges and materials upon which the
15 action is based, and the right to respond, either orally or in writing, to the authority initially
16 imposing discipline. Skelly v. State Personnel Board, 15 Cal. 3d 194, 215 (1975). A public
17 employee is also entitled to a full evidentiary hearing after the disciplinary action is imposed.
18 Id.

19 In this case, Womack was given written notice of the charges against, including an
20 explanation of the facts upon which the employer was relying. Womack was also given a
21 Skelly hearing, during which he had the opportunity to present his side of the story. Womack
22 takes issue with the fact that the hearing was before Greenland, Burke, and Savoy.
23 However, at this point in time, Womack was not entitled to a hearing before an impartial
24 adjudicator. He was entitled to an opportunity to present his side of the story to *SDTI*.

25 After Womack was terminated, he enjoyed a full evidentiary hearing in the form of a
26 final and binding arbitration. Womack was represented by counsel, who gave an opening
27 and closing statement, put Womack on the stand, cross-examined the witnesses, and made
28 evidentiary objections.

1 Although Womack is unhappy with the results of his disciplinary proceedings and
2 believes that he was wronged, Womack has not presented any evidence that he was
3 deprived of his due process rights. Therefore, Defendants are entitled to summary judgment
4 on Womack's due process claim.

5
6 K. Cal. Civ. Code § 52.1

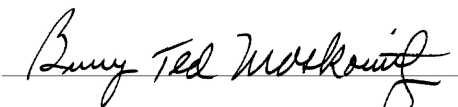
7 Womack brings a claims under Cal. Civ. Code § 52.1, which provides for a civil cause
8 of action "[i]f a person or persons, whether or not acting under color of law, interferes by
9 threats, intimidation, or coercion, or attempts to interfere by threats, intimidation, or coercion,
10 with the exercise or enjoyment by any individual or individuals of rights secured by the
11 Constitution or laws of the United States, or of the rights secured by the Constitution or laws
12 of this state" Because the Court has granted summary judgment in favor of Defendants
13 on Womack's constitutional claims, there is no predicate constitutional violation, and
14 Womack's § 52.1 claim fails.

15
16 **IV. CONCLUSION**

17 For the reasons discussed above, MTS and SDTI's motion for summary judgment is
18 **GRANTED**. Although Womack has not served the remaining defendants, Womack's claims
19 against them would fail for the same reasons. Therefore, the Court orders the Clerk to enter
20 judgment against Womack and in favor of all of the defendants.

21 **IT IS SO ORDERED.**

22 DATED: February 28, 2011

23 
24 Honorable Barry Ted Moskowitz
25 United States District Judge
26
27
28