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**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF CALIFORNIA**

KEVIN WAINE-GOLSTON and ANDRE CORBIN, individually and on behalf of other members of the general public similarly situated,

Plaintiff,

vs.

TIME WARNER ENTERTAINMENT-ADVANCE/NEW HOUSE PARTNERSHIP, a New York general partnership and DOES 1 through 10, inclusive,,

Defendants.

CASE NO. 11cv1057-GPB(RBB)

**ORDER DENYING PLAINTIFFS’
MOTION FOR CLASS
CERTIFICATION AS TO
CALIFORNIA BASED
EMPLOYEES ONLY**

[Dkt. No. 51.]

Before the Court is Plaintiffs Kevin Waine-Golston and Andre Corbin’s motion for class certification as to California based employees only. Defendant Time Warner Entertainment-Advance/New House Partnership (“Time Warner”) filed an opposition. Plaintiffs filed a reply. The motion is submitted on the papers without oral argument, pursuant to Civil Local Rule 7.1(d)(1). After a review of the briefs, supporting documentation, and applicable law, the Court **DENIES** Plaintiffs’ motion for class certification as to California based employees only.

Procedural Background

This action was originally filed in the Superior Court of California for the County of San Diego on April 1, 2009. On May 13, 2011, the case was removed to this Court pursuant to the Class Action

1 Fairness Act of 2005. (Dkt. No. 1.) On June 10, 2011, Plaintiffs filed an amended complaint. (Dkt.
2 No. 6.) The first amended complaint alleges a collective action pursuant to 29 U.S.C. § 216(b) for
3 violations of the Fair Labor Standards Act (“FLSA”); a class action for violation of the California
4 Labor Code; a class action for violation of California Business & Professions Code section 17200 *et*
5 *seq.*; and penalties pursuant to California’s Private Attorneys General Act of 2004 (“PAGA”). (Dkt.
6 No. 6, FAC ¶¶ 11-14.)

7 On May 21, 2012, Plaintiffs filed a motion to certify class of California employees only. (Dkt.
8 No. 51.) On June 18, 2012, Defendant filed an opposition. (Dkt. No. 56.) On June 25, 2012,
9 Plaintiffs filed a reply. (Dkt. No. 59.) On May 18, 2012, three days prior to filing their motion for
10 class certification, Plaintiffs filed a motion for leave to file a second amended complaint. (Dkt. No.
11 50.) On October, 9, 2012, the Court denied Plaintiff’s motion for leave to amend the first amended
12 complaint. (Dkt. No. 83.) Specifically, the Court denied Plaintiff’s motion for leave to add new legal
13 theories and factual allegations regarding Defendant’s time rounding policy; Defendant’s failure to
14 include a “birthday bonus” and discounted television cable service in the regular rate of pay; and
15 Defendant’s failure to provide a second meal period after ten hours of work. (*Id.*) On October 12,
16 2012, the case was transferred to the undersigned judge. (Dkt. No. 84.)

17 **Background**

18 Plaintiff Kevin Waine-Golston was employed at Time Warner from October 29, 2010 until
19 April 2012 as a technical support agent (“TSA”). (Dkt. No. 6, FAC ¶ 33; Dkt. No. 51-5, Waine-
20 Golston Decl. ¶ 3.) He states that he was subject to Time Warner’s standard and uniform policies and
21 practices as laid out in its employee handbook. (Dkt. No. 51-5, Waine-Golston Decl. ¶ 6.) He clocked
22 in and was paid through the Kronos timekeeping system. (*Id.*)

23 Plaintiff Andre Corbin was employed at Time Warner from July 20, 2007 until June 15, 2012
24 as a technical support agent. (Dkt. No. 6, FAC ¶ 38; Dkt. No. 51-4, Corbin Decl. ¶ 3.) He states that
25 he was subject to Time Warner’s standard and uniform policies and practices as laid out in its
26 employee handbook. (Dkt. No. 51-4, Corbin Decl. ¶ 6.) He clocked in and was paid through the
27 Kronos timekeeping system. (*Id.*)

28 Plaintiffs allege that Defendant failed to provide overtime compensation in violation of Labor

1 Code section 510(a); failed to provide all wages due and owing in violation of Labor Code section
2 204(a); failed to provide accurate itemized wage statements in violation of Labor Code section 226(a);
3 failed to maintain accurate time records in violation of California Code of Regulations, title 8, section
4 11110 et seq; and failed to comply with Labor Code section 203(a) with respect to Plaintiffs who were
5 discharged or who quit. The factual basis for these legal theories is that Plaintiffs and all other
6 nonexempt employees were required to arrive approximately fifteen minutes prior to the beginning
7 of their shifts so that they can log into their computers and have all necessary software programs
8 running prior to the start of their shifts. (Dkt. No. 6, FAC ¶ 75.) Plaintiffs contend that Defendant
9 does not pay its employees from the time they log into their computers until the time they log out of
10 their computers. (Id. ¶ 76.) Specifically, Plaintiffs assert that Defendant does not pay its employees
11 the time spent logging into the computers at the beginning of the day until the time they activate
12 Avaya/Kronos, Defendant’s time-keeping software, and the time spent deactivating Avaya/Kronos
13 until the time they log out of their computers. (Id. ¶ 76.)

14 **Discussion**

15 Plaintiffs seek to certify: “[a]ll current and former nonexempt employees of TIME WARNER,
16 Inc.¹ who were designated by TIME WARNER, Inc. as nonexempt, and worked at any time in the
17 State of California from April 7, 2007 through the date of trial.”

18 Plaintiffs, also alternatively, seek certification of five separate sub-classes: 1) the
19 “compensation [rounding] policy” issue; 2) the “computer log-in and out” issue; 3) the “overtime rate
20 of pay” issue as to the “birthday bonus” and free/discounted television cable service; 4) the lack of a
21 “second meal period policy” issue; and 5) the “failure to pay wages to ex-employees” issue.

22 Defendant objects to the certification of claims regarding rounding, regular rate of pay and
23 second meal period because they are not alleged in the operative first amended complaint. Plaintiffs
24 contend that their complaint alleges a failure to pay all wages due which would encompass these
25 claims. At the time when Plaintiffs’ motion for class certification was fully briefed on June 25, 2012,

26
27 ¹It appears that Plaintiffs have misnamed the Defendant in its class designation. Defendant is
28 Time-Warner Entertainment-Advance/Newhouse Partnership, not Time Warner, Inc. as Time-Warner
Entertainment-Advance/Newhouse Partnership was substituted as the proper party on June 15, 2011.
(Dkt. No. 7.)

1 the parties did not have the benefit of Judge Moskowitz’ order denying Plaintiff’s motion for leave to
2 amend the first amended complaint filed on October 9, 2012. In that order, Judge Moskowitz denied
3 Plaintiff’s motion to add allegations concerning the time rounding policy, failure to include a “birthday
4 bonus” and discounted television cable service in the regular rate of pay and Defendant’s failure to
5 provide a second meal period after ten hours of work. (Dkt. No. 83.) In coming to its conclusion, the
6 Court noted that the parties entered into a stipulation where “Plaintiffs contend that their First
7 Amended Complaint does not challenge Defendant’s practice of rounding time.” (Id. at 4 citing Dkt.
8 No. 43.)

9 Plaintiffs may not certify a class based on claims not asserted in the complaint. See Anderson
10 v. U.S. Dep’t of Hous. & Urban Dev., 554 F.3d 525, 528-29 (5th Cir. 2008) (trial court abused
11 discretion certifying class based on claims not alleged in complaint); Trinidad v. Victaulic Co. of Am.,
12 No. 85-1962, 1986 WL 276 *3 (E.D. Penn. Aug. 15, 1986) (denying certification of subclass based
13 on claims not alleged in complaint).

14 Although the papers discuss all the issues Plaintiffs seek to certify, the Court only addresses
15 certification as it concerns the computer log-in and out policy and the failure to pay wages to ex-
16 employees as both are alleged in the first amended complaint.

17 **A. Legal Standard for Class Certification**

18 Federal Rule of Civil Procedure 23 (“Rule 23”) governs the certification of a class. See Fed.
19 R. Civ. P. 23. A plaintiff seeking class certification must affirmatively show the class meets the
20 requirements of Rule 23. Wal-Mart Stores, Inc. v. Dukes, 131 S. Ct. 2541, 2551 (2011). To obtain
21 certification, a plaintiff bears the burden of proving that the class meets all four requirements of Rule
22 23(a)—numerosity, commonality, typicality, and adequacy. Ellis v. Costco Wholesale Corp., 657 F.3d
23 970 979-80 (9th Cir. 2011). If these prerequisites are met, the court must then decide whether the class
24 action is maintainable under Rule 23(b). The Court exercises discretion in granting or denying a
25 motion for class certification. Staton v. Boeing Co., 327 F.3d 938, 953 (9th Cir. 2003).

26 The party seeking to certify a class must demonstrate that it has met all four requirements of
27 Rule 23(a) and at least one of the requirements of Rule 23(b). See Zinser v. Accufix Research Inst.,
28 Inc., 253 F.3d 1180, 1186 (9th Cir. 2011). The moving party must provide allegations and supporting

1 facts to satisfy these requirements. Doninger v. Pacific Northwest Bell, Inc., 564 F.2d 1304, 1309 (9th
2 Cir. 1977).

3 The Court is required to perform a “rigorous analysis,” which may require it “to probe behind
4 the pleadings before coming to rest on the certification question.” Dukes, 131 S. Ct. at 2551. “[T]he
5 merits of the class members’ substantive claims are often highly relevant when determining whether
6 to certify a class. More importantly, it is not correct to say a district court may consider the merits to
7 the extent that they overlap with class certification issues; rather, a district court must consider the
8 merits if they overlap with Rule 23(a) requirements.” Ellis, 657 F.3d.at 981. Nonetheless, the district
9 court does not conduct a mini-trial to determine if the class “could actually prevail on the merits of
10 their claims.” Id. at 983 n.8; United Steel, Paper & Forestry, Rubber, Mfg. Energy, Allied Indus. &
11 Serv. Workers Int’l Union, AFL-CIO v. ConocoPhillips Co., 593 F.3d 802, 808 (9th Cir. 2010)
12 (citation omitted) (court may inquire into substance of case to apply the Rule 23 factors, however,
13 “[t]he court may not go so far . . . as to judge the validity of these claims.”). “[I]n determining whether
14 to certify the class, the district court is bound to take the substantive allegations of the complaint as
15 true” but “also is required to consider the nature and range of proof necessary to establish those
16 allegations.” In re Coordinated Pretrial Proceedings in Petroleum Prods. Antitrust Litig., 691 F.2d
17 1335, 1342 (9th Cir. 1982) (citing Blackie v. Barrack, 524 F.2d 891, 901 n. 7 (9th Cir. 1975)).

18 **1. Rule 23(a)(1) - Numerosity**

19 To establish numerosity, a plaintiff must show that the represented class is “so numerous that
20 joinder of all members is impracticable.” Fed. R. Civ. P. 23(a)(1); Bates v. United Parcel Serv., 204
21 F.R.D. 440, 444 (N.D. Cal. 2001). However, “impracticable” does not mean impossible; it refers only
22 to the difficulty or inconvenience of joining all members of the class. Harris v. Palm Springs Alpine
23 Estates, Inc., 329 F.2d 909, 913 (9th Cir. 1964). “Because no exact numerical cut-off exists, the
24 specific facts of each case must be examined to determine if impracticability exists.” Haley v.
25 Medtronic, Inc., 169 F.R.D. 643, 647 (C.D. Cal. 1996) (citing Gen. Tel. Co. v. EEOC, 446 U.S. 318,
26 330 (1980)). “As a general rule, classes of 20 are too small, classes of 20–40 may or may not be big
27 enough depending on the circumstances of each case, and classes of 40 or more are numerous enough.”
28 Ikonen v. Hartz Mountain Corp., 122 F.R.D. 258, 262 (S.D. Cal. 1988) (citation omitted).

1 Plaintiffs assert that there are about 2,000 members of the putative class in Count II and 1,800
2 ascertaining members in Count III. (Dkt. No. 6, FAC ¶¶ 22, 23.) Time Warner does not challenge the
3 numerosity requirement. Therefore, the Court concludes that it has been met.

4 **2. Rule 23(a)(2) - Commonality**

5 Plaintiffs argue that the common legal questions are whether Time Warner’s failure to consider
6 its computer records when calculating its employees “time worked” violated California Labor sections
7 204(a), 510(a) and/or 1194; and whether Time Warner’s failure to provide “back wages” to ex-
8 employees violate California Labor Code section 203. Defendant contends that Plaintiffs have not
9 established commonality as there was no requirement or practice that employees loaded programs prior
10 to clocking in.

11 Under the Rule, Plaintiffs must show that “there are questions of law or fact common to the
12 class.” Fed. R. Civ. P. 23(a)(2). Commonality requires the plaintiff to demonstrate that the class
13 members ‘have suffered the same injury.’” Dukes, 131 S. Ct. at 2551. “That common contention .
14 . . . must be of such a nature that it is capable of classwide resolution – which means that determination
15 of its truth or falsity will resolve an issue that is central to the validity of each one of the claims in one
16 stroke.” Id. ““What matters to class certification . . . is not the raising of common ‘questions’ . . . but,
17 rather the capacity of a classwide proceeding to generate common *answers* apt to drive the resolution
18 of the litigation. Dissimilarities within the proposed class are what have the potential to impede the
19 generation of common answers.” Id. (emphasis in original) (citation omitted).

20 California Labor Code section 510(a) provides:

21 (a) Eight hours of labor constitutes a day’s work. Any work in excess of eight hours
22 in one workday and any work in excess of 40 hours in any one workweek and the first
23 eight hours worked on the seventh day of work in any one workweek shall be
compensated at the rate of no less than one and one-half times the regular rate of pay
for an employee.

24 Cal. Labor Code § 510(a)

25 California Labor Code section 204(a) provides:

26 (a) All wages, other than those mentioned in Section 201, 201.3, 202, 204.1, or 204.2,
27 earned by any person in any employment are due and payable twice during each
calendar month, on days designated in advance by the employer as the regular paydays.
28 Labor performed between the 1st and 15th days, inclusive, of any calendar month shall
be paid for between the 16th and the 26th day of the month during which the labor was
performed, and labor performed between the 16th and the last day, inclusive, of any

1 calendar month, shall be paid for between the 1st and 10th day of the following month.

2 Cal. Labor Code § 204(a).

3 California Labor Code section 1194 states:

4 (a) Notwithstanding any agreement to work for a lesser wage, any employee receiving
5 less than the legal minimum wage or the legal overtime compensation applicable to the
6 employee is entitled to recover in a civil action the unpaid balance of the full amount
of this minimum wage or overtime compensation, including interest thereon,
reasonable attorney's fees, and costs of suit.

7 Cal. Labor Code § 1194(a).

8 California Labor Code section 203 provides:

9 (a) If an employer willfully fails to pay, without abatement or reduction, in accordance
10 with Sections 201, 201.3, 201.5, 202, and 205.5, any wages of an employee who is
11 discharged or who quits, the wages of the employee shall continue as a penalty from
the due date thereof at the same rate until paid or until an action therefor is
commenced; but the wages shall not continue for more than 30 days.

12 Cal. Labor Code § 203.

13 According to Time Warner's Employee Handbook, "time worked" is defined as the time
14 recorded on the Kronos system. (Dkt. No. 51-7, Ps' Notice of Lodgment ("NOL"), Ex. B, Chandler
15 Depo. at 33:5-34:5.) The Kronos timekeeping system tracks hours worked by its hourly, non-exempt
16 employees. (Dkt. No. 56-5, Testa Decl. ¶ 3.) The Kronos records are titled "Time Detail Reports."
17 (Dkt. No. 51-7, Ps' Notice of Lodgment ("NOL"), Ex. B, Chandler Depo. at 33:5-34:5.) As to the
18 Avaya system, the "employee uses the Avaya system, which records the time in Kronos." (Id. at
19 34:21-22.) Time Warner requires that employees must record actual times in and out at the beginning
20 of the workday, when leaving and returning from lunch, and at the end of the workday. (Id. at 41:19-
21 22.)

22 As to the computer log-in and out issue, Plaintiffs present the following two facts. Waine-
23 Golston states that he corresponded with his supervisor Kevin Nester where Nester instructed him to
24 load his "ACR"² program before clocking in. (Dkt. No. 51-5, Waine-Golston Decl. ¶ 8.; see also Dkt
25 No. 51-7, Ps' NOL, Ex. O.) Another document, dated August 4, 2011, reveals that Waine-Golston
26

27
28 ²Plaintiffs do not explain what the ACR program is but the Court assumes it is a software
program used by the TSAs to perform their duties.

1 accessed the “Unified System”³ at 6:45 p.m.; however, he did not log back into the Kronos/Avaya
2 system until 6:48 p.m. causing a loss of 3 minutes of compensation. (Dkt. No. 51-6, Ps’ NOL, Ex. P.)
3 Plaintiff does not provide any documents to show that Corbin or any other putative class members
4 clocked in after they loaded their programs.

5 In opposition, Defendant contends there is no commonality because the putative class members
6 have different job duties, routines and methods of recording their time. Plaintiffs’ testimony and
7 records also show that there is no commonality. In addition, Defendant states it did not require
8 employees to arrive early to load programs. Lastly, the message exchange between Waive-Golston
9 and Kevin Nester, a fellow employee⁴, occurred one month after the lawsuit was filed and does not
10 demonstrate a requirement that non-exempt employees are required to load programs prior to clocking
11 in.

12 Prior to May 2010, all hourly, non-exempt employees in California, except the employees
13 working in the field, clocked in and out via a wall clock, merely by swiping their badge on the way in
14 and out of the call center. (Dkt. No. 56-5, Testa Decl. ¶ 4.) Corbin testified that he used the wall clock
15 system. (Dkt. No. 56-9, D’s Appx, Ex. B, Corbin Depo at 63:19-64:6.) Employees in the field used
16 Tele-Time to record their start and stop times by using their cellular telephones to call in and record
17 their times. (Dkt. No. 56-5, Testa Decl. ¶ 5.)

18 In 2010, Time Warner phased out the use of wall clocks and implemented the Kronos system.
19 (Id. ¶ 6.) By June 26, 2010, all hourly, non-exempt employees who did not work in a call center or
20 in the field were to use their Kronos icon on their desktops to clock in and out. (Id. ¶ 7.) By May 4,
21 2010, all hourly, non-exempt employees in the call centers whose duties required them to service
22 customers over the phone, used Kronos Connect, which integrated the Kronos timekeeping system
23 with the Avaya soft-phone system that call center employees use to handle customer phone calls. (Id.
24 ¶ 9.) When the call center employee logs into Avaya, the employee is automatically clocked into

26 ³Plaintiffs do not explain what the “UNIFIED SYSTEM” is and how it correlates with
27 Kronos/Avaya; however, the Court extrapolates from Defendant’s papers that UNIFIED is a software
program utilized by the TSAs to perform their duties.

28 ⁴Waive-Golston testified that Nester was not a supervisor. (Dkt. No. 56-9, D’s Appx., Ex. A,
Waive-Golston Dep. at 109:7-17.)

1 Kronos. (Id.) The field employees continued to use Tele-Time to clock in and out. (Id. ¶ 8.)

2 Defendant provides a declaration stating that most employees lock their computers at the end
3 of the shift instead of logging off. (Dkt. No. 56-7, D’s Appx., White Decl. ¶ 14.) To log in to Avaya,
4 the technical support agents (“TSA”) typically 1) unlock their computers by pressing “control-alt-
5 delete” and enter their system login ID and password; 2) click the Avaya “Log In” icon; and 3) click
6 the “log in” button. (Dkt. No. 56-7, D’s Appx, White Decl. ¶ 14; Dkt. No. 56-9, D’s Appx., Ex. A,
7 Waive-Golston Depo. at 231:14-17.) This process takes less than 30 seconds. (Dkt. No. 56-7, D’s
8 Appx., White Decl. ¶ 14.) If the employee logged off their computer at the end of his or her shift, it
9 would take about two minutes or less to log in and clock in through Avaya. (Id. ¶ 15.) Waive-Golston
10 testified it takes “less than a minute” to unlock the computer and clock-in, while Corbin stated that the
11 process took “a minute, two minutes top.” (Dkt. No. 56-9, D’s Appx., Ex. A, Waive-Golston Depo.
12 at 195:12-14; Dkt. No. 56-9, D’s Appx, Ex. B, Corbin Depo. at 108:21-109:3.)

13 Moreover, both Plaintiffs testified that they loaded programs after they clocked in. Corbin
14 testified numerous times that he loaded the programs after he clocked in. (Dkt. No. 56-9, D’s Appx,
15 Ex. B, Corbin Depo. at 69:8-16; 71:7-10; 85:24-86:7; 95:9-18.) Waive-Golston testified that he would
16 load up the other programs after clocked in. (Dkt. No. 56-9, D’s Appx., Ex. A, Waive-Golston Depo.
17 at 222:14-223:16.)

18 Defendant provides records showing the times when Waive-Golston loaded a software program
19 called AAD and UNIFIED during July 21, 2011 to October 14, 2011 and compared it to the Kronos
20 Time Detail Reports for the same time period. (Dkt. No. 56-1, Kabat Decl. ¶ 2.) The records reveal
21 that Waive-Golston routinely clocked in before loading the ADD and UNIFIED programs. (Id.) The
22 records showed one instance when Waive-Golston loaded AAD before he clocked in on July 26, 2011
23 and it was loaded one minute before clocking in. (Id.) In addition, as to the UNIFIED program, there
24 were two instances on August 11 and 15, 2011 when he loaded UNIFIED before clocking in and it
25 took one or two minutes before he clocked into Kronos. (Id., Ex. H.)

26 As to Corbin, the time period from July 7, 2010 to June 15, 2011 showed that he clocked into
27 Kronos before loading the AAD software every day except one instance on March 19, 2011 where he
28 logged into AAD one minute before clocking into Kronos. (Id. ¶ 3.)

1 Defendant's attendance policy states, "[e]mployees are expected to report to work properly
2 as scheduled and to be at their workstations on time. (Dkt. No. 56-4, Chandler Decl. ¶ 4.; Dkt. No.
3 56-10, D's Appx, Ex. L.) Moreover, Plaintiffs confirm that there was no written policy requiring
4 employees to arrive early. (Dkt. No. 56-9, D's Appx, Ex. B, Corbin Depo. at 79:4-14; 92:5-7; Dkt.
5 No. 56-9, D's Appx, Ex. A, Golston Depo. at 163:21-164:8.) There was also a written policy of>>>>

6 The Employee Timecard Approval Procedure states that the method, either by telephone,
7 personal computer, etc. of accessing Kronos varies depending upon the department and employee
8 location. (Dkt. No. 56-9, D's Appx., Ex. C.) Moreover, Waine-Golston stated that in order to load
9 up the other programs, one had to start Avaya because that was the only way an employee logged in.
10 (Dkt. No. 56-9, D's Appx., Ex. A, Waine-Golston Depo. at 222:14-223:9-16.)

11 Defendant also further provides the declarations of twenty-one currently employed putative
12 class members each stating that there was no policy requiring them to load programs prior to clocking
13 in or to otherwise work off the clock. (Dkt. 56-6, D's Appx. of Declarations of Putative Class
14 Members, Exs 1, 2, 3, 4, 5, 6, 9, 10, 11, 13, 16, 17, 19, 20, 21, 22, 23, 24, 25, 26, 27.) Supervisors also
15 confirm that they either advised employees to clock in prior to performing any work or that there was
16 a policy that required employees to log in to programs prior to clocking in, and that there was no
17 requirement or expectation that employees arrive early. (Id., Exs. 8, 12, 14, 15, 18, 28.)

18 Based on these facts, Plaintiffs have not established commonality among the putative class
19 members as to the computer log-in and out policy. They have not shown there was a uniform stated
20 policy or a practice that employees were required to arrive fifteen minutes early to log in to their
21 programs and have all necessary software programs running prior to the start of their shift. Non-
22 exempt employees have different jobs and different methods of keeping their time. Moreover, prior
23 to 2010, the use of a computer log-in and out of the Kronos was not even applicable during the
24 relevant time period Plaintiffs seek to certify. Plaintiffs only provide one example of Waine-Golston
25 logging into a program prior to clocking in. Furthermore, Plaintiffs testified that they clocked in prior
26 to loading programs. Plaintiffs have failed to demonstrate that the putative class members have
27 suffered the same injury. Consequently, Plaintiffs have failed to show a common core of facts or a
28 shared legal issue that affects all class members with respect to this claim.

1 In addition, Plaintiffs seek class certification as to Time Warner’s failure to provide wages to
2 ex-employees stating that based on Defendant’s records, there are at least 750 ex-employees during
3 this time period. Plaintiffs state they are ex-employees and did not receive any “back wages” from
4 Time Warner. (Dkt. No. 51-5, Waine-Golston Decl. ¶ 10; Dkt. No. 51-4, Corbin Decl. ¶ 9.) Besides
5 Plaintiffs’ declarations, they have not provided any evidence that there was a uniform policy or
6 practice of Time Warner to not pay back wages to the 750 ex-employees. Despite the fact that
7 Defendant has failed to address this issue⁵, the Court concludes that Plaintiffs have failed to
8 demonstrate commonality on this issue.

9 Based on our conclusion as to the commonality question, it is not necessary to determine
10 whether Plaintiffs have satisfied the typicality and adequate requirements of Rule 23(a). See Dukes,
11 131 S. Ct. at 2551 n. 5 (“In light of our disposition of the commonality question, however, it is
12 unnecessary to resolve whether respondents have satisfied the typicality and adequate-representation
13 requirements of Rule 23(a).”)

14 3. Rule 23(b)

15 Because the Court find that the requirements of Rule 23(a) are not met, we need not reach the
16 question of whether the proposed class satisfy the requirements of Rule 23(b). See Zinser v. Accufix
17 Research Inst., Inc., 253 F.3d 1180, 1186, amended, 273 F.3d 1266 (9th Cir.2001) (noting that under
18 Rule 23, the plaintiff must show that all four of the requirements of Rule 23(a) are met and then at
19 least one requirement of Rule 23(b)); see Hanlon v. Chrysler Corp, 150 F.3d 1011, 1019 (9th Cir.
20 1998) (Rule 23(a) is the threshold analysis for determining whether class certification is appropriate).

21 B. Evidentiary Objections

22 In their reply, Plaintiffs filed objections to documents submitted by Defendant in its opposition
23 to Plaintiffs’ motion for class certification. (Dkt. No. 59-4, -5, -6, -7, -8.) Without leave of Court
24 Defendant filed a response to Plaintiffs’ evidentiary objections. (Dkt. No. 64-68.)

25 Since a motion to certify a class is a preliminary procedure, courts do not require strict
26 adherence to the Federal Rules of Civil Procedure or the Federal Rules of Evidence. See Eisen v.

27
28 ⁵Defendant states that it did not independently analyze this issue since it claims that the failure to pay wages issue is derivative of the computer log-in and out claim.

1 Carlisle and Jacquelin, 417 U.S. 156, 178 (1974) (The class certification procedure “is not
2 accompanied by the traditional rules and procedures applicable to civil trials.”). At the class
3 certification stage, “the court makes no findings of fact and announces no ultimate conclusions on
4 Plaintiffs’ claims.” Alonzo v. Maximus, Inc., 275 F.R.D. 513, 519 (C.D. Cal. 2011) (quoting Mazza
5 v. Am. Honda Motor Co., 254 F.R.D. 610, 616 (C.D. Cal. 2008). Therefore, the Court may consider
6 inadmissible evidence at the class certification stage. Keilholtz v. Lennox Hearth Prods, Inc., 268
7 F.R.D. 330, 337 n. 3 (N.D. Cal. 2010). “The court need not address the ultimate admissibility of the
8 parties’ proffered exhibits, documents and testimony at this stage, and may consider them where
9 necessary for resolution of the [Motion for Class Certification].” Alonzo, 275 F.R.D. at 519.

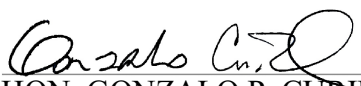
10 Here, Plaintiffs present evidentiary objections to evidence submitted by Defendant in support
11 of its opposition to Plaintiffs’ motion for class certification. At this stage of preliminary proceedings,
12 the Court need not require strict adherence to the Federal Rules of Evidence. See Eisen, 417 U.S. at
13 178. Accordingly, the Court overrules Plaintiffs’ evidentiary objections.

14 **Conclusion**

15 Based on the above, the Court DENIES Plaintiffs’ motion for class certification as to California
16 based employees only.

17 IT IS SO ORDERED.

18
19 DATED: December 18, 2012

20 
21 HON. GONZALO P. CURIEL
22 United States District Judge
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