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8 **UNITED STATES DISTRICT COURT**  
9 **SOUTHERN DISTRICT OF CALIFORNIA**  
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11 RAUL ARELLANO, JR.,

12 Plaintiff,

13 v.

14 E. OJEDA, et al.,

15 Defendants.  
16  
17

Case No.: 14cv2401-MMA (JLB)

**ORDER DENYING DEFENDANTS’  
MOTION FOR SUMMARY  
JUDGMENT**

[Doc. No. 34]

18 Plaintiff Raul Arellano, Jr. is a state prisoner proceeding *pro se* in this civil rights  
19 action filed pursuant to the Civil Rights Act, 42 U.S.C. § 1983. Defendants E. Ojeda and  
20 L. Helmick move for summary judgment pursuant to Federal Rule of Civil Procedure 56.  
21 *See* Doc. Nos. 34, 39. Defendants argue that Plaintiff failed to exhaust his administrative  
22 remedies prior to filing this lawsuit, as required by the Prison Litigation Reform Act  
23 (“PLRA”), 42 U.S.C. § 1997e(a). Plaintiff filed an opposition to the motion, to which  
24 Defendants replied. *See* Doc. Nos. 37, 42. In addition, the Court permitted Plaintiff to  
25 file a sur-reply. *See* Doc. No. 48. The Court took the motion under submission on the  
26 briefs and without oral argument pursuant to Civil Local Rule 7.1.d.1. *See* Doc. No. 43.  
27 For the reasons set forth below, the Court **DENIES** Defendants’ motion.  
28

1 **BACKGROUND**<sup>1</sup>

2 This action arises out of events occurring on or about April 17, 2014 through April  
3 22, 2014, at R. J. Donovan Correctional Facility in San Diego, California. According to  
4 Plaintiff, during that time he was housed in solitary confinement. On the evening of  
5 April 17, 2014, Plaintiff alleges that the toilet in his cell overflowed. Due to the  
6 persistence of the problem, and the resulting unsanitary conditions in his cell, Plaintiff  
7 asked Defendant Lieutenant Helmick for assistance. Defendant Helmick told Plaintiff to  
8 unclog the toilet with his hands. At Defendant Helmick’s suggestion, Plaintiff submitted  
9 a California Department of Corrections and Rehabilitation (“CDCR”) Form 22  
10 Inmate/Parolee Request for Interview, Item or Service on April 20, 2016. The request  
11 was filled out to the attention of Defendant Sergeant Ojeda. On April 23, 2016,  
12 Defendant Ojeda responded to the request, noting that the toilet was no longer clogged.  
13 Ojeda advised Plaintiff to request cleaning supplies from the floor officers.

14 **LEGAL STANDARD**

15 Under the Prison Litigation Reform Act (“PLRA”), inmates seeking relief from  
16 prison conditions must exhaust available administrative remedies prior to bringing any  
17 suit challenging prison conditions. 42 U.S.C. § 1997e(a) (“No action shall be brought  
18 with respect to prison conditions . . . until such administrative remedies as are available  
19 are exhausted.”); *Porter v. Nussle*, 534 U.S. 516, 524 (2002) (“exhaustion is mandatory . .  
20 . unexhausted claims cannot be brought in court”). “[T]he prison’s requirements . . .  
21 define the boundaries of proper exhaustion.” *Jones v. Bock*, 549 U.S. 199, 218 (2007).  
22 “[T]he exhaustion question in PLRA cases should be decided as early as feasible.”  
23 *Albino v. Baca*, 747 F.3d 1162, 1170 (9th Cir. 2014).

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27 <sup>1</sup> The facts material to the exhaustion issue are taken from Defendants’ Separate Statement of  
28 Uncontested Facts, as well as pertinent declarations and exhibits submitted by the parties.

1 In the Ninth Circuit, a motion for summary judgment is generally the appropriate  
2 vehicle for raising the plaintiff's failure to exhaust administrative remedies because  
3 "failure to exhaust is an affirmative defense under the PLRA, and . . . inmates are not  
4 required to specially plead or demonstrate exhaustion in their complaints." *Jones*, 549  
5 U.S. at 216; *Albino*, 747 F.3d at 1170 ("[A] motion for summary judgment, as opposed to  
6 an unenumerated Rule 12(b) motion, [is the proper procedural device] to decide  
7 exhaustion"). The burden is on the defendant to prove that there was an available  
8 administrative remedy that the plaintiff failed to exhaust. *See Albino*, 747 F.3d at 1172.  
9 If the defendant meets that burden, the burden shifts to the prisoner to present evidence  
10 showing that there is something in his particular case that made the existing and generally  
11 available administrative remedies effectively unavailable to him. *Id.*

12 The Court must draw all inferences in the light most favorable to the nonmoving  
13 party and determine whether a genuine issue of material fact precludes entry of judgment.  
14 *Comite de Jornaleros de Redondo Beach v. City of Redondo Beach*, 657 F.3d 936, 942  
15 (9th Cir. 2011) (quotation marks and citation omitted), cert. denied, 132 S. Ct. 1566  
16 (2012). The Court determines only whether there is a genuine issue for trial and, in doing  
17 so, it must liberally construe Plaintiff's filings because he is a *pro se* prisoner. *Thomas v.*  
18 *Ponder*, 611 F3d 1144, 1150 (9th Cir. 2010) (quotation marks and citations omitted).

### 19 DISCUSSION

20 The California Department of Corrections and Rehabilitation (CDCR) provides its  
21 prisoners the right to appeal administratively "any departmental decision, action,  
22 condition or policy perceived by those individuals as adversely affecting their welfare."  
23 Cal. Code Regs. tit. 15, § 3084.1(a). It also provides them the right to file appeals  
24 alleging misconduct by correctional officers and/or officials. *Id.* § 3084.1(e). On  
25 January 28, 2011, the inmate appeals process was modified and limited to three levels of  
26 review with provisions allowing the first level to be bypassed under specific  
27 circumstances. *Id.* § 3084.7. If a prisoner is not satisfied with the response he receives at  
28 the first level of review, he may submit his appeal to the second level of review, after

1 which he may appeal to the third and final level. *Id.* § 3084.7. In order to satisfy section  
2 1997e(a), California state prisoners are required to use this process to exhaust their claims  
3 prior to filing suit. *Woodford v. Ngo*, 548 U.S. 81, 85-86 (2006). Proper exhaustion  
4 requires using all steps of an administrative process and complying with “deadlines and  
5 other critical procedural rules.” *Id.* at 90.

6 ***1. Plaintiff’s Inmate Appeals***

7 On November 26, 2014, the R. J. Donovan Inmate Appeals Office (“IAO”)  
8 received Plaintiff’s Inmate 602 Appeal, Log No. 14-4202, regarding the toilet incident.  
9 In this appeal, Plaintiff stated:

10 On May 1, 2014 I send a 602 to Appeal Coordinator raising the same issue  
11 I’ll be raising here. I then have been waiting till this day for a response. I didn’t  
12 send another 602 sooner because it can get cancel [sic] due to Title 15 rules  
13 for duplication. Since it doesn’t say how much time it has to pass in order to  
14 file another 602, that is why I waited till now. But I believe 5-6 months is been  
15 enough time to re-due [sic] another one.

16 Doc. No. 34-3 at 8-10.<sup>2</sup> On December 9, 2014, the IAO cancelled the appeal as untimely.

17 On December 16, 2014, the IAO received Plaintiff’s Inmate 602 Appeal, Log No.  
18 14-4414, regarding the cancellation of Plaintiff’s November 2014 appeal. In this appeal,  
19 Plaintiff requested that the IAO grant review of the issues raised in his November 2014  
20 appeal, despite the untimeliness of that appeal. At the second level of review, Plaintiff  
21 informed the Appeals Coordinator that he could provide evidence that he submitted a  
22 timely appeal regarding the toilet incident on May 1, 2014. Plaintiff claimed that he had  
23 kept the original of the May 1, 2014 appeal in his cell, together with the Form 22 service  
24 request he submitted on April 20, 2014. On January 29, 2015, Plaintiff’s appeal was  
25 denied at the second level of review based on Plaintiff’s failure to “substantiate his  
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28 <sup>2</sup> Document citations refer to the pagination assigned by the CM/ECF system.

1 claim” regarding submission of a timely appeal on May 1, 2014 with “any credible  
2 information or evidence.” Doc. No. 37 at 10.

3 Plaintiff appealed to the third level of review on February 11, 2015. With this  
4 submission, Plaintiff attached the purported original of his May 1, 2014 appeal as a  
5 supporting exhibit. On April 28, 2015, Plaintiff’s appeal was denied at the third level of  
6 review. The third level reviewer noted that “the appellant claims he submitted the  
7 original appeal on May 1, 2014; however, there is no evidence to support his claim.” *Id.*  
8 at 3.

9 On May 15, 2015, the IAO received Plaintiff’s Inmate 602 Appeal, Log No. 15-  
10 1984, regarding the toilet incident. In this appeal, Plaintiff stated:

11 I know am submitting this 602 one year later but I did file within the 30 day  
12 [sic] of the incident. Also another one 5 months after. But never got a  
13 response. I then was about to submit another one but my property got taken  
14 due to me been in suicidal then to adseg.

15 *Id.* at 15-17. On June 11, 2015, the IAO canceled the appeal as untimely.

## 16 **2. Analysis**

17 Defendants argue that Plaintiff did not exhaust his administrative remedies  
18 properly before filing this lawsuit. Defendants put forth evidence demonstrating that  
19 Plaintiff did not comply with CDCR’s administrative grievance procedures, including the  
20 declaration testimony of B. Self, the Appeals Coordinator at R. J. Donovan. According  
21 to Self, Plaintiff submitted two Inmate Appeals regarding the events at issue in this  
22 litigation, both of which were cancelled as untimely pursuant to California Code of  
23 Regulations, title 15, § 3084.6(c)(4), and § 3084.8(b)(1). *See* Self Decl. ¶ 6. In his  
24 declaration, Self avers that prison officials “did not receive and accept for review any  
25 timely appeals from plaintiff relating to the allegations” at issue in this case. *Id.* ¶ 7.

26 Over the course of this litigation, Plaintiff has made various representations  
27 regarding exhaustion. Plaintiff stated in his original complaint, filed on October 8, 2014,  
28 that he had not exhausted his administrative remedies as to the events of April 17-22,

1 2014 at the time he initiated this action. *See* Doc. No. 1 at 6. Although Plaintiff checked  
2 both the “yes” and “no” boxes in response to the inquiry regarding exhaustion, Plaintiff  
3 stated “[a]dministrative relief was not sought because a 602 could not have been able to  
4 fix the problem. There was no remedy to damage what had already been done.” *Id.*  
5 Plaintiff’s admission is “competent evidence of the facts stated.” *Huey v. Honeywell*, 82  
6 F.3d 327, 333 (9th Cir. 1996). Nevertheless, in his Second Amended Complaint, filed on  
7 December 7, 2015, Plaintiff alleges that he exhausted his administrative remedies through  
8 the third level of review. *See* Doc. No. 13 at 8.

9         With his opposition brief, Plaintiff submits documentation related to his December  
10 2014 appeal, Log No. 14-4414, including a copy of the Third Level Appeal Decision,  
11 dated April 28, 2015, denying the appeal. *See* Doc. No. 37 at 3. However, Plaintiff’s  
12 December 2014 appeal did not exhaust his claim in this action for two reasons. First, it  
13 was submitted and exhausted after Plaintiff filed suit. *See McKinney v. Carey*, 311 F.3d  
14 1198, 1199 (9th Cir. 2002) (An action must be dismissed unless the prisoner exhausted  
15 his available administrative remedies before he filed suit, even if the prisoner fully  
16 exhausts while the suit is pending.); *see also Cano v. Taylor*, 739 F.3d 1214, 1220-21  
17 (9th Cir. 2014). Second, Plaintiff’s December 2014 appeal challenged the cancellation of  
18 his November 2014 appeal, but did not address the underlying April 2014 toilet incident.  
19 As such, Plaintiff’s December 2014 appeal did not suffice to exhaust his claim regarding  
20 that incident. *Sapp v. Kimbrell*, 623 F.3d 813, 824 (9th Cir. 2010).

21         Notwithstanding his apparent failure to exhaust the Eighth Amendment claim  
22 raised in this action, Plaintiff has maintained since November 2014 that he did in fact  
23 submit a timely appeal on May 1, 2014, regarding the clogged toilet in his cell, but he  
24 never received a response from prison officials. If so, this would suggest that Plaintiff  
25 should be excused from the exhaustion requirement. An inmate’s failure to exhaust  
26 under the PLRA may be excused where “circumstances render administrative remedies  
27 effectively unavailable.” *See Nunez v. Duncan*, 591 F.3d 1217, 1224, 1226 (9th Cir.  
28 2010). To be available, a remedy must be “available as a practical matter” and “capable

1 of use.” *Albino*, 747 F.3d at 1171. For example, an administrative remedy may be  
2 unavailable “when prison administrators thwart inmates from taking advantage of a  
3 grievance process through machination, misrepresentation, or intimidation.” *Ross v.*  
4 *Blake*, 578 U.S. \_\_\_, 136 S. Ct. 1850, 1855 (2016).

5 Plaintiff declares under penalty of perjury that “I did submitt [sic] 602 on time but  
6 it was lost.” Doc. No. 48 at 2. Plaintiff goes on to explain:

7 Once lost I then submitted 4202 but was consider late. I filed 4414 and when  
8 I filed it I attached the original 602 dated within the 30 days exception. I  
9 mention I was sending the original because only a copy was sent. I send a  
10 copy because I wanted to prevent that if 602 lost or thrown away I still had  
11 the original. And just as how I felt or had a feeling it occured [sic]. Officers  
12 had thrown away my initial 602. And it’s why after giving 602 Appeal office  
13 a reasonable time to answer I decided to make 602-4202. Then 4414 due to  
14 the fact 4202 was late . . . when I was interview by Olson (602 Appeal  
15 Coordinator) on 4414, I did told him about the 602 I previously submitted on  
16 May 1, 2014, but he didn’t want to take my evidence as evidence. According  
to him such evidence was not enough. I then told him that how was I suppose  
[sic] to prove I send it if 602’s don’t got receipts, neither it leaves copy’s. But  
Olson didn’t care. He didn’t told me he would deny me until I received his  
results.

17 *Id.* Although it appears that Plaintiff is not certain whether his May 1, 2014 appeal was  
18 lost in the mail or purposefully mishandled by prison officials, the result would have been  
19 the same in either case – the unavailability of the administrative grievance process, and  
20 therefore any potential remedy. *See Albino v. Baca*, 697 F.3d 1023, 1034 (9th Cir. 2012)  
21 (“[A]ffirmative actions by jail staff preventing proper exhaustion, even if done  
22 innocently, make administrative remedies effectively unavailable.”).

23 Defendants argue that the Court should discount Plaintiff’s explanation regarding  
24 his failure to exhaust, and question the veracity of his assertions regarding the submission  
25 of an appeal on May 1, 2014. According to Defendants, crediting Plaintiff’s evidence  
26 “creates a troubling precedent whereby prisoners can effectively perform an end-run  
27 around the Prison Litigation Reform Act’s exhaustion requirement by blithely offering a  
28 the-dog-ate-my-homework excuse that they filed the appeal, ‘but it must have gotten

1 lost.” Doc. No. 42 at 4. The Court is sympathetic to Defendants’ point in this regard.  
2 But as the Seventh Circuit has noted, “the potential for fraud does not justify obligating  
3 truthful prisoners to prove that they mailed their complaints when the prison authorities  
4 do not provide them with means for verification.” *Dole v. Chandler*, 438 F.3d 804, 813  
5 (7th Cir. 2006).

6 In this case, Plaintiff consistently asserted throughout the appeal process that he  
7 submitted a timely appeal of the toilet incident on May 1, 2014, and for reasons  
8 unbeknownst to him, he never received a response. “When prison officials fail to  
9 respond to a prisoner’s grievance within a reasonable time, the prisoner is deemed to  
10 have exhausted available administrative remedies within the meaning of the PLRA.”  
11 *Andres v. Marshall*, No. 15-56057, 2017 U.S. App. LEXIS 6990, at \*6 (9th Cir. Apr. 21,  
12 2017) (per curiam); *see also Sapp*, 623 F.3d at 823 (citing *Dole v. Chandler*, 438 F.3d  
13 804, 811 (7th Cir. 2006) (“[P]rison officials’ failure to respond to a properly filed  
14 grievance makes remedies ‘unavailable’ and therefore excuses failure to exhaust.”).

15 In sum, although Defendants have shown that Plaintiff failed to exhaust his  
16 administrative remedies prior to commencing this action, Plaintiff submits competent  
17 evidence demonstrating that his failure must be excused. Accordingly, summary  
18 judgment in Defendants’ favor is not appropriate.

19 **CONCLUSION**

20 Based on the foregoing, the Court **DENIES** Defendants’ motion for summary  
21 judgment.

22 **IT IS SO ORDERED.**

23 DATE: April 25, 2017



24 HON. MICHAEL M. ANELLO  
25 United States District Judge  
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