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UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF CALIFORNIA

GREGORY SMITH,  
  
Plaintiff,  
  
v.  
  
R. COBB *et al.*,  
  
Defendants.

Case No.: 15-CV-176-GPC(WVG)

**REPORT AND  
RECOMMENDATION RE:  
PLAINTIFF’S MOTION TO STRIKE  
ANSWER**

**[Doc. No. 50.]**

In this prisoner civil rights action under 18 U.S.C. section 1983, Plaintiff moves to strike all twenty affirmative defenses in Defendants’ Answer. As detailed herein, this Court respectfully RECOMMENDS that Plaintiff’s motion to strike be GRANTED in part, DENIED in part, and that 11 of Defendants’ affirmative defenses be STRICKEN. The Court further recommends that Defendants be granted leave to amend 7 of these stricken affirmative defenses.

**I. FACTUAL AND PROCEDURAL BACKGROUND**

Plaintiff is an inmate at Folsom State Prison, in Folsom, California. At all times relevant to this action, however, he was housed at the Richard J. Donovan Correctional Facility (“Donovan”) in San Diego, California. (Doc. No. 4 ¶ 3.) Defendant Cobb was the appeal coordinator. (*Id.* ¶ 4.) Defendant Perez was a correctional sergeant in the mental

1 health department at Donovan. (*Id.* ¶ 7.) Defendant Daroglou was a clinical psychiatrist.  
2 (*Id.* ¶ 6.) Defendant Beduhi was a correctional officer. (*Id.* ¶ 8.) Defendant Sharpe was a  
3 correctional counselor. (*Id.* ¶ 5.)

4 On September 29, 2010, Plaintiff reported to Perez, Daroglou, and Beduhi that his  
5 cellmate was displaying “bizarre behavior.” (*Id.* ¶ 39.) This included walking around the  
6 cell naked and talking about sex with men, rape, and wanting to watch Plaintiff use the  
7 toilet. (*Id.*) Perez, Daroglou, and Beduhi told Plaintiff that they would “take care of the  
8 problem.” (*Id.* ¶ 40.) The following day, Plaintiff’s cellmate attacked him. (*Id.*) Plaintiff  
9 suffered second degree burns on his right arm, neck and back, a broken left shoulder, left  
10 eye damage, two stab wounds, and a fractured disc in his back. (*Id.*) He was hospitalized  
11 for ten days and claims to suffer from continuing mental and physical trauma. (*Id.*)

12 After the attack, officials placed Plaintiff in administrative segregation but returned  
13 him to general population housing after two weeks. (*Id.* ¶ 12.) On October 29, 2010, he  
14 submitted an appeal regarding the September 30, 2010 attack to Appeal Coordinator Cobb.  
15 (*Id.* ¶ 13.) Plaintiff requested continuation of his single cell status, compensation for his  
16 injuries, and copies of all reports related to the assault. (*Id.*) Cobb rejected and “screened  
17 back” Plaintiff’s appeal for failure to seek informal review with his counselor. (*Id.* ¶ 14.)

18 Accordingly, Plaintiff gave his appeal to Correctional Counselor Sharpe for informal  
19 review. (*Id.* ¶ 17.) Sharpe told Plaintiff that he was unable to provide the relief requested  
20 and that Plaintiff’s appeal was inappropriate for informal review. (*Id.* ¶¶ 19-20.) Sharpe  
21 told Plaintiff that he (Sharpe) “would take the appeal back to [the] appeal coordinator” so  
22 that Plaintiff would not be faulted for the appeal being late. (*Id.* ¶ 20.)

23 Several months passed. In March and May of 2011, Plaintiff sent two requests to  
24 Appeal Coordinator Cobb seeking a status update of his appeal. (*Id.* ¶¶ 21-22.) Cobb  
25 responded in writing, stating that Plaintiff’s “appeal [is] not in [the appeals] office.” (*Id.*  
26 ¶ 22.) On May 23, 2011, Plaintiff submitted a letter to the Chief of the Office of Appeals  
27 in Sacramento seeking assistance in getting responses to his appeals. (*Id.* ¶ 23.) The Office  
28 of Appeals rejected Plaintiff’s request on the ground that he had bypassed the lower levels

1 of appeal. (*Id.* ¶ 24.) After receiving the Appeals Office’s response, Plaintiff sent the  
2 rejection to Cobb, hoping to restart the appeal process. (*Id.* ¶ 26.) However, he was  
3 “again[] met with opposition.” (*Id.*)

4 Plaintiff filed the operative First Amended Complaint on March 23, 2015, alleging  
5 that Appeal Coordinator Robert Cobb and Correctional Counselor Frank Sharpe obstructed  
6 his access to the courts in violation of his First Amendment rights. (*Id.* ¶ 47.) Plaintiff also  
7 alleges that prison clinical psychiatrist Daroglou, Correctional Sergeant Perez, and  
8 Correctional Officer Buduhi failed to protect him from assault by his cellmate in violation  
9 of his Eighth Amendment rights. (*Id.* ¶¶ 57-59.)

10 Defendants thereafter filed their Answer, asserting twenty affirmative defenses.  
11 (Doc. No. 47.) In response, Plaintiff moved to strike all twenty defenses (Doc. No. 50),  
12 and Defendants opposed the motion while also conceding that some of the defenses should  
13 be stricken (Doc. No. 53). Defendants sought leave to amend some, but not all, of the  
14 defenses they conceded were not sufficiently pled.

## 15 II. LEGAL STANDARD

16 Under Federal Rule of Civil Procedure 12(f), the Court may strike “an insufficient  
17 defense or any redundant, immaterial, impertinent or scandalous” matter from the  
18 pleadings. The purpose of Rule 12(f) is “to avoid the expenditure of time and money that  
19 must arise from litigating spurious issues by disposing of those issues prior to trial.”  
20 *Whittlestone, Inc. v. Handi-Craft Co.*, 618 F.3d 970, 973 (9th Cir. 2010) (internal  
21 quotations and citation omitted). Motions to strike are regarded with disfavor because  
22 striking is such a drastic remedy. *Freeman v. ABC Legal Servs., Inc.*, 877 F. Supp. 2d 919,  
23 923 (N.D. Cal. 2012). The Court must view the pleadings in the light most favorable to  
24 the pleading party when ruling upon a motion to strike. *In re 2TheMart.com, Inc. Sec.*  
25 *Litig.*, 114 F. Supp. 2d 955, 965 (C.D. Cal. 2000) (citation omitted). If a claim is stricken,  
26 leave to amend should be freely given when doing so would not cause prejudice to the  
27 opposing party. *Vogel v. Huntington Oaks Del. Partners, LLC*, 291 F.R.D. 438, 440 (C.D.  
28 Cal. 2013) (citing *Wyshak v. City Nat’l Bank*, 607 F.2d 824, 826 (9th Cir. 1979)).

1 In the Ninth Circuit, “[t]he key to determining the sufficiency of pleading an  
2 affirmative defense is whether it gives plaintiff fair notice of the defense.” *Simmons v.*  
3 *Navajo Cnty., Ariz.*, 609 F.3d 1011, 1023 (9th Cir. 2010) (quoting *Wyshak v. City Nat’l*  
4 *Bank*, 607 F.2d 824, 827 (9th Cir. 1979)). “Fair notice generally requires that the defendant  
5 state the nature and grounds for the affirmative defense.” *Roe v. City of San Diego*, 289  
6 F.R.D. 604, 608 (S.D. Cal. 2013). “The defendant must articulate the affirmative defense  
7 clearly enough that the plaintiff is not a victim of unfair surprise. It does not, however,  
8 require a detailed statement of facts.” *Id.* (citation and internal quotations omitted); *see*  
9 *also Kohler v. Flava Enters., Inc.*, 779 F.3d 1016, 1019 (9th Cir. 2015) (“[T]he ‘fair notice’  
10 required by the pleading standards only require[s] describing [an affirmative] defense in  
11 general terms.”) (internal quotations and citation omitted).

### 12 III. DISCUSSION

#### 13 A. Affirmative Defenses That Defendants Concede are Insufficient (Nos. 1, 2, 8, 9, 14 10, 16, 17, 18, and 20)

15 Defendants concede that eight of their affirmative defenses are in insufficient or  
16 invalid and request leave to amend four of those defenses. Accordingly, Plaintiff’s motion  
17 should be granted as to the first, second, eighth, ninth, sixteenth, seventeenth, eighteenth,  
18 and twentieth defenses. The only question that remains is whether leave should be granted  
19 to amend the eighth, ninth, sixteenth, seventeenth defenses.<sup>1</sup> The undersigned respectfully  
20 recommends that leave to amend be granted.

21 Defendants concede they have failed to plead a sufficient factual basis to provide  
22 Plaintiff fair notice of the basis for his failure to mitigate his claims or damages (eighth  
23 affirmative defense); to allege damages (ninth affirmative defense); that Defendants acted  
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26 <sup>1</sup> Defendants do not seek to amend the first, second, eighteenth, and twentieth affirmative  
27 defenses for good reason. As they concede, these defenses are either inapplicable or are  
28 not legally-valid affirmative defenses. Accordingly, leave to amend would be futile.

1 reasonably under the circumstances (sixteenth affirmative defense); and that the alleged  
2 harm Plaintiff suffered was not foreseeable to Defendants (seventeenth affirmative  
3 defense). For each of these four defenses, they seek leave to amend in order to provide  
4 additional factual support. Leave to amend is proper here given that Defendants have not  
5 previously been granted leave to amend. Moreover, Plaintiff has not argued that prejudice  
6 would result if the Court were to grant Defendants leave to amend for the first time, and it  
7 is not evident how Plaintiff would be prejudiced. Additionally, given that the deficiency  
8 in each of these defenses is in their lack of detail, it is not readily apparent that leave to  
9 amend to add details would be futile.

10 Accordingly, this Court respectfully recommends that the first, second, eighteenth,  
11 and twentieth affirmative defenses be stricken without leave to amend, and that the eighth,  
12 ninth, sixteenth,<sup>2</sup> and seventeenth affirmative defenses be stricken with leave to amend.

13 **B. Appropriate Affirmative Defenses (Nos. 3, 4, 7, 10, 11, 12, 13, 14, and 19)**

14 **1. Third Affirmative Defense: Failure to Exhaust Administrative Remedies**

15 Citing *Wyatt v. Terhune*, 315 F.3d 1108 (9th Cir. 2003), Plaintiff contends the third  
16 affirmative defense asserting that he failed to exhaust his administrative remedies should  
17 be stricken because Defendants failed to raise this defense in an “unenumerated Rule 12(b)  
18 motion rather than in a motion for summary judgment.” (Doc. No. 50 at 4.)<sup>3</sup> However, as  
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21 <sup>2</sup> However, the Court notes that the sixteenth affirmative defense stating that “Defendants  
22 acted reasonably under the circumstances” teeters on being a redundant defense that  
23 strongly resembles other defenses. *See Sliger v. Prospect Motg., LLC*, 789 F. Supp. 2d  
24 1212, 1216 (E.D. Cal. 2011) (“Redundant matter is defined as allegations that constitute a  
25 needless repetition of other averments . . . .”) (internal quotations and citation omitted).  
26 For example, the sixteenth affirmative defense is similar to the sixth (“Justification”) and  
27 seventh (“Good Faith”) affirmative defenses. Although this Court recommends granting  
28 leave to amend, Defendants should consider whether this defense is necessary and *should*  
be amended in light of the sixth and seventh affirmative defenses.

<sup>3</sup> Page numbers in record citations refer to the numbers stamped on documents by the  
CM/ECF system.

1 Defendants note, an en banc panel of the Ninth Circuit Court of Appeals expressly  
2 overruled *Wyatt* and held that failure to exhaust is a defense that may be plead and proven  
3 in the regular course of litigation or by a summary judgment motion, if appropriate. *Albino*  
4 *v. Baca*, 747 F.3d 1162, 1166 (9th Cir. 2014) (en banc). Accordingly, the third affirmative  
5 defense is properly pled and should not be stricken.

## 6 **2. Fourth Affirmative Defense: Qualified Immunity<sup>4</sup>**

7 Plaintiff next contends the fourth affirmative defense of qualified immunity should  
8 be stricken because Defendants did not bring it in a motion to dismiss or summary  
9 judgment and because they have not explained the “legal or factual basis for it.” (Doc. No.  
10 50 at 5.) This Court disagrees.

11 It is well-settled that “[q]ualified immunity is an affirmative defense that must be  
12 pleaded in the answer.” *Norwood v. Vance*, 591 F.3d 1062, 1075 (9th Cir. 2010). That  
13 being said, Defendants need not necessarily raise this defense in a motion to dismiss and,  
14 under certain circumstances, may raise it for the first time in a summary judgment motion  
15 even when they have failed to assert the defense in the Answer. *Id.* Because the dispositive  
16 motion filing deadline in this case is August 1, 2017 (Doc. No. 48 ¶ 8), Defendants may  
17 still move for summary judgment on qualified immunity grounds. Accordingly, the fact  
18 that they have not yet done so is not an appropriate basis to strike the fourth affirmative  
19 defense.

20 With respect to the sufficiency of the defense as pled, the level of specificity required  
21 to assert an affirmative defense merely requires Defendants to “articulate the affirmative  
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24 <sup>4</sup> The fourth affirmative defense states: “Defendants are entitled to qualified immunity  
25 because there can be no constitutional violation based on the facts alleged or presented,  
26 and because Defendants’ conduct did not violate clearly established law. At all relevant  
27 times, Defendants acted within their scope of discretion, with due care, with a good-faith  
28 fulfillment of responsibilities pursuant to applicable statutes, rules, regulations, and  
practices, and with the good-faith belief that their actions comported with all applicable  
federal and state laws.”

1 defense clearly enough that the plaintiff is not a victim of unfair surprise. It does not,  
2 however, require a detailed statement of facts.” *Roe v. City of San Diego*, 289 F.R.D. 604,  
3 608 (S.D. Cal. 2013); *see also Schwarz v. Meinberg*, No. 13-CV-356-BRO(PLAx), 2016  
4 U.S. Dist. LEXIS 99737, at \*10 (C.D. Cal. July 15, 2016) (“Under the fair notice standard,  
5 even defenses that are pled in a conclusory manner may provide fair notice so long as they  
6 are potentially viable affirmative defenses, the nature of the defenses is well known, and  
7 Plaintiff can seek discovery regarding the purported factual basis for these defenses.”)  
8 (citation and internal quotations omitted) (unpublished). Here, Plaintiff alleges that  
9 Defendants’ conduct violated his civil rights. The fourth affirmative defense puts him on  
10 notice that Defendants will argue that their alleged wrongful conduct—as outlined in the  
11 Complaint—constituted an exercise of discretion, was carried out in good faith, or was  
12 under the protection of qualified immunity. Defendants have provided Plaintiff more than  
13 merely a conclusory recitation of a qualified immunity defense. They have provided  
14 several specific bases for the defense. Accordingly, the fourth affirmative defense provides  
15 Plaintiff fair notice, is properly pled, and should not be stricken.

### 16 **3. Seventh Affirmative Defense: Good Faith**

17 The seventh affirmative defense asserts that Defendants’ “actions were at all times  
18 performed in a good faith effort to comply with established California Department of  
19 Corrections and Rehabilitations policies and procedures.” (Doc. No. 27 ¶ 84.) Plaintiff  
20 seeks to strike this defense because “under 11 U.S.C. [§] 548(c) [a, ‘c]onclusory assertion’  
21 [is an] insubstantial defense.” (Doc. No. 50 at 6.) As pled, this defense is appropriate and  
22 provides Plaintiff fair notice.

23 As an initial matter, 11 U.S.C. section 548 is a provision that governs the fraudulent  
24 transfers and obligations of debtors in bankruptcy estates. Accordingly, that statute has no  
25 bearing on any issue in this case or Plaintiff’s motion to strike.

26 Next, in asserting the good faith defense, Defendants also provide the sources upon  
27 which they claim to have relied in good faith: “established California Department of  
28 Corrections and Rehabilitations policies and procedures.” Although they do not identify

1 the precise policies and procedures by number, they nonetheless provide fair notice of the  
2 basis for this defense. As a result, Plaintiff may craft discovery to determine which specific  
3 policies and procedures Defendants claim to have relied on in good faith. *Accord Kohler*  
4 *v. Islands Rests., LP*, 280 F.R.D. 560, 569 (S.D. Cal. 2012) (finding that as long as an  
5 affirmative defense provides sufficient direction for a plaintiff to craft discovery, fair notice  
6 of the defense has been provided). Accordingly, the seventh affirmative defense is properly  
7 pled and should not be stricken.

#### 8 **4. Tenth Affirmative Defense: Rights, Privileges, and Immunities**

9 The tenth affirmative defense is a general denial that “Plaintiff has been deprived of  
10 any rights, privileges, or immunities guaranteed by the laws of the United States of  
11 America or by the laws of the State of California.” (Doc. No. 47 ¶ 87.) In other words,  
12 Defendants assert that they have complied with every law and deny they have done  
13 anything wrong under *any* law. Plaintiff seeks to strike this defense on the basis that his  
14 rights are “[g]uaranteed by the Fourteenth Amendment [of the] United States  
15 Constitution.” (Doc. No. 50 at 7.) Thus, in response to Defendants’ assertion that they  
16 have done nothing wrong under *any* law, he counters and identifies a specific right he  
17 alleges Defendants violated. In making this argument, Plaintiff demonstrates his  
18 understanding of the basis for this defense. Regardless of whether Plaintiff’s contention is  
19 ultimately correct, he nonetheless understands—and has thus received fair notice of—the  
20 nature of the tenth affirmative defense. Accordingly, this defense is sufficiently-pled and  
21 should not be stricken.

#### 22 **5. Eleventh Affirmative Defense: Collateral Estoppel and Res Judicata**

23 Collateral estoppel and res judicata are both valid affirmative defenses specifically  
24 listed under Federal Rule of Civil Procedure 8(c)(1). Such affirmative defenses may be  
25 raised by a motion for summary judgment. *See Magana v. N. Mar. I.*, 107 F.3d 1436, 1446  
26 (9th Cir. 1997) (recognizing that an affirmative defense may be raised for the first time in  
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1 a summary judgment motion if the delay does not prejudice the plaintiff); *Camarillo v.*  
2 *McCarthy*, 998 F.2d 638, 639 (9th Cir. 1993).

3 Plaintiff seeks to strike the eleventh affirmative defense and refers only to the  
4 Court's Order adopting the undersigned's previous Report and Recommendation. (Doc.  
5 No. 50 at 7.) In that Order, the Court found that collateral estoppel and res judicata did not  
6 bar Plaintiff's Eighth Amendment Complaint. (Doc. No. 46 at 13-15.) However, the Court  
7 made no such finding as to Plaintiff's First Amendment claim. Because Defendants  
8 potentially may still file a summary judgment motion on or before August 1, 2017 (Doc.  
9 No. 46 at ¶ 8), they will continue to have the opportunity to raise the collateral estoppel  
10 and res judicata defenses to Plaintiff's First Amendment claim if it applies. Accordingly,  
11 the eleventh affirmative defense should not be stricken.

#### 12 **6. Twelfth Affirmative Defense: Legitimate Penological Goals**

13 The twelfth affirmative defense asserts that "Defendants' actions were reasonably  
14 related to legitimate penological goals." (Doc. No. 47 ¶ 89.) Plaintiff contends this defense  
15 is vague, ambiguous, and redundant. (Doc. 50 at 7.) This Court disagrees for several  
16 reasons.

17 As an initial matter, this defense is generally valid and may be pled in an Answer.  
18 Ninth Circuit Model Civil Jury Instruction 9.28 expressly instructs jurors to "give  
19 deference to prison officials in the adoption and execution of policies and practices that in  
20 their judgment are needed to preserve discipline and to maintain internal security in a  
21 prison." In other words, this instruction instructs jurors to consider the legitimate  
22 penological goals of the prison in rendering their verdict. The twelfth affirmative defense  
23 appears to incorporate this jury instruction.

24 Second, this defense is not redundant. While other defenses may touch on the  
25 reasonableness of Defendants' actions, this defense specifies "penological goals" as  
26 potential justifications for their conduct. This added level of specificity thus differentiates  
27 this defense from others in the Answer.

1 Finally, this defense is not pled in a vague or ambiguous manner. Defendants’  
2 reference to “legitimate penological goals” provides Plaintiff the opportunity to propound  
3 discovery to identify the specific goals with which Defendants contend their actions  
4 comported. *See Kohler*, 280 F.R.D. at 569. As a result, the twelfth affirmative defense as  
5 pled has provided sufficient notice of the nature of the defense and should not be stricken.

#### 6 **7. Thirteenth Affirmative Defense: No Vicarious Liability**

7 The thirteenth affirmative defense asserts that, “[t]o the extent Defendants’ alleged  
8 liability is based on their supervisory capacities, they are not liable for damages under any  
9 doctrine of vicarious liability.” (Doc. No. 47 ¶ 90.) Plaintiff asserts this defense is vague,  
10 ambiguous, and does not provide him fair notice. (Doc. No. 50.) This Court disagrees.

11 It is black letter law that “vicarious liability is inapplicable to . . . § 1983 suits . . . .”  
12 *Ashcroft v. Iqbal*, 556 U.S. 662, 676 (2009); *see also City of Canton v. Harris*, 489 U.S.  
13 378, 385 (1989); *Starr v. Baca*, 652 F.3d 1202, 1207 (9th Cir. 2011) (discussing *Iqbal* and  
14 explaining that “when a supervisor is found liable based on deliberate indifference, the  
15 supervisor is being held liable for his or her own culpable action or inaction, not held  
16 vicariously liable for the culpable action or inaction of his or her subordinates.”).

17 Accordingly, to the extent Plaintiff pursues any defendant in this case based on his  
18 or her vicarious liability *for others’ actions* rather than “culpable action, or inaction, [that]  
19 is directly attributed to them,” *Starr*, 652 F.3d at 1205, such claims are barred as a matter  
20 of law. In this Court’s view, Defendants need not plead anything beyond this clear legal  
21 principle to satisfy the fair notice pleading standard because the nature of this defense is  
22 well-known and well-defined. Accordingly, Defendants have properly pled the thirteenth  
23 affirmative defense, and it should not be stricken.

#### 24 **8. Fourteenth Affirmative Defense: No Recovery for Mental or Emotional** 25 **Injury**

26 The fourteenth affirmative defense states that “Plaintiff is barred by 42 U.S.C.  
27 § 1997e(e) from recovering damages for mental or emotional injury.” (Doc. No. 47 ¶ 47.)  
28 Plaintiff moves to strike this defense on the basis that his physical injuries are evidenced

1 by photographs, medical reports, and mental health records in “violation of 18 U.S.C.  
2 [§] 2246(4).” (Doc. No. 50 at 8.) As explained below, the fourteenth affirmative defense  
3 is proper.

4 As an initial matter, 18 U.S.C. section 2246(6) is not relevant to any claim or defense  
5 in this case. Section 2246 defines various terms used in statutes that govern sexual abuse  
6 criminal offenses. It merely provides the definition of “serious bodily injury” in the  
7 specific context of sexual abuse crimes covered by 18 U.S.C. sections 2241 *et seq.* Thus,  
8 section 2246 and its definition of “serious bodily injury” are inapplicable in this case, as  
9 Plaintiff does not lodge any allegation of sexual abuse.<sup>5</sup>

10 The fourteenth affirmative defense provides Plaintiff fair notice of its contours. Title  
11 42, United States Code, section 1997e expressly limits recovery “for mental or emotional  
12 injury suffered while in custody without a prior showing of physical injury . . . .” 42 U.S.C.  
13 § 1997e(e). Thus, this statute first requires a showing of physical injury before Plaintiff  
14 may recover for mental or emotional injuries. If Plaintiff fails to first make such a showing,  
15 he will be, as the fourteenth affirmative defense asserts, barred from recovering damages  
16 for mental or emotional injuries.

17 Through the instant motion to strike, Plaintiff disputes this defense’s claim of his  
18 ineligibility for mental or emotional injuries when he argues that the documentary evidence  
19 *does* support his physical injuries and, by extension, any claim for mental or emotional  
20 damages. His argument for striking this defense actually demonstrates a firm  
21 understanding for the basis of this defense. Without Defendants’ mention of physical  
22 injuries, Plaintiff understood such injuries were prerequisite to mental and emotional  
23 damages and responded that he has satisfied the physical injury requirement. Thus,  
24 Plaintiff has received fair notice of this defense.

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27 <sup>5</sup> Although the First Amended Complaint alleges Plaintiff’s cellmate made references to  
28 rape the day before the attack, Plaintiff does not allege that his cellmate sexually assaulted  
him.

1 Plaintiff's argument here is not a proper basis to strike this defense. The existence  
2 of evidence that supports claims or injuries is a matter of factual proof for future  
3 determination as this case progresses—not an issue that bears on the sufficiency of an  
4 answer at this stage of the litigation. Thus, the existence or non-existence of documents  
5 that support any claimed injuries is simply not a valid reason to strike this defense.  
6 Accordingly, the fourteenth affirmative defense is a valid defense, is properly pled, and  
7 should not be stricken.

### 8 **9. Nineteenth Affirmative Defense: Statute of Limitations**

9 The nineteenth affirmative defense asserts that the “statute of limitations applies to  
10 invalidate one or more of Plaintiff's claims.” (Doc. No. 47 ¶ 96.) Plaintiff does not identify  
11 a specific basis for striking this defense and instead states: “See Order adopting Magistrate  
12 Judge's Report and Recommendation on statute of limitations.” (Doc. No. 50 at 9.)

13 A statute of limitations defense is generally a valid defense specifically listed under  
14 Federal Rule of Civil Procedure 8(c)(1). Additionally, this enumerated defense may be  
15 raised “by a motion for dismissal or by summary judgment motion.” *Jablon v. Dean Witter*  
16 *& Co.*, 614 F.2d 677, 682 (9th Cir. 1980). On its face, then, the nineteenth affirmative  
17 defense is properly pled.

18 In the Court's prior Order adopting the undersigned's Report and Recommendation,  
19 the Court found that the statute of limitations did not bar Plaintiff's First Amendment claim.  
20 (Doc. No. 46 at 17-18.) However, the Court did not rule on a statute of limitation bar of  
21 Plaintiff's Eighth Amendment claim. Thus, because this defense continues to potentially  
22 apply to Plaintiff's Eighth Amendment claim and Defendants may still raise it by summary  
23 judgment motion if it applies, it remains a potentially viable defense that should not be  
24 stricken.

### 25 **C. Inappropriate Affirmative Defenses (Nos. 5, 6, and 15)**

26 This Court respectfully recommends striking the fifth, sixth, and fifteenth  
27 affirmative defenses. However, because it does not readily appear that amendment would  
28 be futile, this Court also recommends granting Defendants leave to amend.

1           **1. Fifth Affirmative Defense: Privilege**

2           Plaintiff contends that the fifth affirmative defense, which, in full, states that  
3 “Plaintiff’s claims are barred because Defendants’ actions were privileged under the  
4 circumstances,” (Doc. No. 47 ¶ 82), is vague and ambiguous. This Court agrees.

5           Without substantive argument, Defendants cite *Kohler v. Islands Rests., LP*, 280  
6 F.R.D. 560, 570 (S.D. Cal. 2012) in support of this defense. However, the language of  
7 Defendants’ defense is markedly more vague than the privilege defense that the court in  
8 *Kohler* found was pled sufficiently. There, the defendant had asserted that “its ‘conduct  
9 was privileged because it was undertaken pursuant to the terms of the applicable laws,  
10 regulations, orders and approvals relating to building construction and/or fire safety and  
11 public safety.’” *Id.* The court concluded that the defense was proper, finding that the  
12 defendant had provided “sufficient notice of the *types of sources it relied on* in its allegedly  
13 privileged conduct.” *Id.* (emphasis added).

14           *Kohler* is distinguishable and does not support the sufficiency of Defendants’ fifth  
15 affirmative defense. In contrast to the specific sources of privilege the *Kohler* defendant  
16 cited, Defendants vaguely state that their conduct was privileged “under the  
17 circumstances,” but do not identify any source of this claimed privilege. As a result, the  
18 fifth affirmative defense fails to provide Plaintiff fair notice and should be stricken.  
19 However, this Court recommends that leave to amend should be granted because it is not  
20 readily evident that Defendants would not be able to sufficiently plead a viable privilege  
21 defense.

22           **2. Sixth Affirmative Defense: Justification**

23           Plaintiff contends that the sixth affirmative defense which, in full, states that  
24 “Plaintiff’s claims are barred because Defendants’ actions were reasonable and justified  
25 under the circumstances,” (Doc. No. 47 ¶ 83), is vague and ambiguous. This Court agrees.

26           The defense of justification can excuse a defendant’s alleged unconstitutional  
27 conduct if specific factors or circumstances surrounding the conduct demonstrably justify  
28 it. *See, e.g., Martin v. Snyder*, 329 F.3d 919, 922 (7th Cir. 2003) (warden’s deferment of

1 inmate’s marriage resulting from visitation restrictions justified by penological interests);  
2 *Rahman v. Schriro*, 22 F. Supp. 3d 305, 316 (S.D.N.Y. 2014) (officers following plausible  
3 instructions from a superior or fellow officer could enjoy qualified immunity “where,  
4 viewed objectively in light of the surrounding circumstances, they could lead a reasonable  
5 officer to conclude that the necessary legal justification for his actions exists.”) (internal  
6 quotations, alteration, and citations omitted).

7 Here, the sixth affirmative defense as pled is vague, conclusory, and fails to provide  
8 Plaintiff *any* rationale or basis for Defendants’ claim that their conduct was justified.  
9 Without more, Defendants’ barebones assertion of justified conduct “under the  
10 circumstances” fails to satisfy even the low fair notice standard. *Accord Mayfield v. Cnty.*  
11 *of Merced*, No. 13-CV-1619-LJO(BAM), 2015 U.S. Dist. LEXIS 22760, at \*12-13 (E.D.  
12 Cal. Feb. 24, 2015) (applying fair notice standard and striking justification defense as  
13 insufficient) (unpublished).

14 Defendants rely on the same pin-citation from *Kohler* discussed above and assert,  
15 without substantive argument, that the sixth affirmative defense as currently pled provides  
16 Plaintiff fair notice. However, much like the fifth affirmative defense, the sixth defense  
17 suffers from a lack of any basis for the claimed justification. Thus, the sixth affirmative  
18 defense is insufficient for the same reasons discussed immediately above. Indeed, *Kohler*  
19 provides even less support for the sufficiency of the sixth defense than the fifth defense  
20 because the court in that case never analyzed any justification defense or determined its  
21 sufficiency.<sup>6</sup> Thus, ultimately, *Kohler* does not support the sufficiency of this defense.

22 The sixth affirmative defense should be stricken, and leave to amend should be  
23 granted to assert some basis for the claimed defense.

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28 <sup>6</sup> In fact, the defendant in *Kohler* withdrew its “legitimate business justification” defense.  
*Kohler*, 280 F.R.D. at 563 n.1.



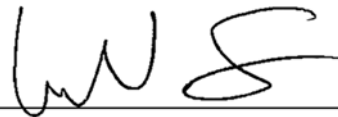
1 This Report and Recommendation will be submitted to the United States District  
2 Judge assigned to this case, pursuant to the provisions of 28 U.S.C. section 636(b)(1)(1988)  
3 and Federal Rule of Civil Procedure 72(b).

4 IT IS ORDERED that no later than **June 30, 2017**, any party to this action may file  
5 written objections with the Court and serve a copy on all parties. The document shall be  
6 captioned "Objections to Report and Recommendation."

7 IT IS FURTHER ORDERED that any reply to the objections shall be filed with the  
8 Court and served on all parties no later than **July 13, 2017**. The parties are advised that  
9 failure to file objections within the specified time may waive the right to raise those  
10 objections on appeal of the Court's order. *Martinez v. Ylst*, 951 F.2d 1153 (9th Cir. 1991).

11 IT IS SO ORDERED.

12 DATED: May 30, 2017

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15 Hon. William V. Gallo  
16 United States Magistrate Judge  
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