Ш

1					
2					
3					
4					
5					
6					
7					
8	UNITED STATES DISTRICT COURT				
9	SOUTHERN DISTRICT OF CALIFORNIA				
10	LISA HENSLEY,	Case No. 16-cv-2265 DMS (KSC)			
11	Plaintiff,	ORDER (1) DENYING PLAINTIFF'S MOTION FOR SUMMARY JUDGMENT AND (2) GRANTING DEFENDANT'S CROSS-MOTION FOR SUMMARY JUDGMENT			
12	V.				
13	COMMISSIONER OF SOCIAL				
14	SECURITY,				
15	Defendant.				
16					
17	On August 8, 2012, Plaintiff Lisa Hensley filed an application for disability				
18	income benefits, alleging a disability onset date of January 30, 2012.				
19	(Administrative Record ("AR") at 9, 77.) Plaintiff alleged she was disabled due to				
20	fibromyalgia and muscle spasms. (Id. at 77.) Plaintiff's claim was denied initially				
21	and upon reconsideration, after which she requested a hearing before an				
22	Administrative Law Judge ("ALJ"). ( <i>Id.</i> at 97–100, 106–10, 112–13.) ALJ Peter J.				
23	Valentino held a hearing on March 19, 2015. ( <i>Id.</i> at 21–75.) Attorney David Shore				
24	represented Plaintiff at the hearing. (Id.) The ALJ heard testimony from Plaintiff,				
25	medical expert Charles Cooke, M.D., and vocational expert Connie Guillory. (Id.)				
26	On April 28, 2015, the ALJ issued a written decision, finding Plaintiff not disabled.				
27	( <i>Id.</i> at 9–16.) Plaintiff filed a request for review of that decision, which the Appeals				

16-cv-2265 DMS (KSC)

Council denied on August 4, 2016. (*Id.* at 1–3.) Plaintiff filed the present case on
 September 7, 2016.

Plaintiff now moves for reversal or remand of Defendant Commissioner of
Social Security's decision to deny her benefits. Defendant opposes Plaintiff's
motion and cross-moves for summary judgment. For the reasons discussed below,
the Court denies Plaintiff's motion for summary judgment and grants Defendant's
cross-motion for summary judgment.

#### I.

#### BACKGROUND

Plaintiff is a 57 year old female with past relevant work experience as a registered nurse. (AR at 76, 94.) She developed pain syndrome in 2003 or 2004, as a result of a virus. (*Id.* at 299.) Plaintiff's pain syndrome has been diagnosed as fibromyalgia, and she has been seeing John Qian, M.D., her pain management doctor, for treatment. (*Id.* 31–32, 399.)

As relevant to the present case, Plaintiff saw Dr. Qian on July 31, 2012. (AR 15 392.) Plaintiff complained of moderate to severe fibromyalgia pains and severe 16 muscle spasms. (Id.) After a physical examination, Dr. Qian noted that Plaintiff "is 17 alert and orientated[,]" and "[s]he is in no acute distress." (Id. at 393.) Dr. Qian 18 recommended that Plaintiff continue the current medical regimen, which included 19 morphine sulfate, xanax, trazodone, neurontin, and baclofen. (Id.) Subsequently on 20 August 27, 2012, Plaintiff saw Dr. Qian for a follow-up visit. (*Id.* at 390.) Dr. Qian 21 noted Plaintiff's pain was manageable with current medication and recommended 22 23 Plaintiff to continue the current medical regime. (*Id.* at 391.)

On October 29, 2012 and November 26, 2012, Plaintiff returned to Dr. Qian. (AR at 378, 374.) Plaintiff complained that "she continues to struggle with chronic pain" and described her current pain as 7 on a scale of 0 to 10. (*Id.*) Dr. Qian, however, noted that Plaintiff's pain has been manageable with medication, and

#### 28

8

therefore, recommended Plaintiff to continue the current medication regime. (Id. at 378–79, 374–75.) 2

On December 3, 2012, Plaintiff saw Thomas J. Sabourin, M.D. for a 3 consultative orthopaedic evaluation. (AR at 299.) Plaintiff complained of pain in 4 5 the neck, shoulders, elbows, wrists, hands, upper back, lower back, hips, knees, ankles, and feet. (Id.) Dr. Sabourin conducted a physical examination and noted 6 that Plaintiff had a normal gait, normal range of motion of her cervical spine and 7 lumbar spine with pain throughout the range of motion, negative straight leg raise, 8 and full range of motion of the upper and lower extremities with some diffuse 9 tenderness. (Id. at 300–01.) Dr. Sabourin diagnosed Plaintiff with generalized pain 10 syndrome of an undetermined etiology, and concluded that Plaintiff does not have 11 any orthopedic restrictions. (*Id.* at 301.) 12

13 On December 24, 2012, Plaintiff saw Dr. Qian and reported that she continues to have moderate to severe fibromyalgia related pains. (AR at 366.) Dr. Qian again 14 recommended that Plaintiff continue the current medication regime because her pain 15 was manageable with medications. (Id. at 366–37.) Plaintiff returned to Dr. Qian 16 for follow-up visits in January 2013 through January 2015. (Id. at 318, 321, 324, 17 338, 342, 346, 350, 356, 361, 397, 400, 403, 406, 409, 412, 415, 418, 421.) In the 18 progress reports documenting theses visits, Dr. Qian noted that Plaintiff continued 19 to report moderate to severe fibromyalgia related pains, but nevertheless, opined that 20 Plaintiff's pain was manageable with medications. 21

22 23

1

During Plaintiff's most recent visit to Dr. Qian on February 18, 2015, Plaintiff reported that she "is able to manage pain w[ith] the current regimen.... [and is] doing 24 fine with pain management." (Id. at 394.) Dr. Qian noted that Plaintiff had 18/18 positive trigger points, but she was otherwise alert and oriented, not in acute distress, 25 and "functioning at baseline." (Id. at 395.) Dr. Qian again recommended Plaintiff 26 to continue the current medication regimen. (*Id.* at 395.) 27

28

16-cv-2265 DMS (KSC)

1	II.				
2	DISCUSSION				
3	Plaintiff raises two arguments in her motion for summary judgment. First,				
4	Plaintiff argues the ALJ improperly rejected her subjective complaints regarding the				
5	severity of her symptoms. Second, Plaintiff asserts the ALJ failed to properly				
6	consider the opinions of her treating physicians. Defendant disputes there was				
7	anything improper about the ALJ's analysis, and urges the Court to affirm the				
8	Commissioner's decision denying Plaintiff's request for benefits.				
9	A. Legal Standard				
10	Under the Social Security Act, "disability" is defined as the:				
11	inability to engage in any substantial gainful activity by reason of any medically determinable physical or mental impairment which can be				
12					
13	expected to result in death or which has lasted or can be expected to last for a continuous period of not less than twelve months.				
14					
15	42 U.S.C. § 423(d)(1)(A). The impairment must be so severe that the claimant "is				
16	not only unable to do his previous work but cannot engage in any other kind of				
17	substantial gainful work." 42 U.S.C. § 423(d)(2)(A). In addition, the impairment				
18	must result "from anatomical, physiological, or psychological abnormalities which				
19	are demonstrable by medically acceptable clinical and laboratory techniques." 42				
20	U.S.C. § 423(d)(3).				
21	A court cannot set aside a denial of benefits unless the Commissioner's				
22	findings are based upon legal error or are not supported by substantial evidence in				
23	the record as a whole. 42 U.S.C. § 405(g); Magallanes v. Bowen, 881 F.2d 747, 750				
24	(9th Cir. 1989); Martinez v. Heckler, 807 F.2d 771, 772 (9th Cir. 1986); Taylor v.				
25	Heckler, 765 F.2d 872, 875 (9th Cir. 1985). Substantial evidence is such relevant				
26	evidence as a reasonable mind might accept as adequate to support a conclusion.				
27	Richardson v. Perales, 402 U.S. 389, 401 (1971); Orteza v. Shalala, 50 F.3d 748,				
28	- 4 - 16-cv-2265 DMS (KSC)				

Ш

1 2

3

4

5

6

7

8

9

749 (9th Cir. 1995). It is more than a scintilla, but less than a preponderance. *Sorenson v. Weinberger*, 514 F.2d 1112, 1119 n. 10 (9th Cir. 1975).

To determine whether substantial evidence exists to support the ALJ's decision, a court reviews the record as a whole, not just the evidence supporting the decision of the ALJ. *Walker v. Matthews*, 546 F.2d 814, 818 (9th Cir. 1976). A court may not affirm the Commissioner's decision simply by isolating a specific quantum of supporting evidence. *Hammock v. Bowen*, 879 F.2d 498, 501 (9th Cir. 1989). In short, a court must weigh the evidence that supports the Commissioner's conclusions and that which does not. *Martinez*, 807 F.2d at 772.

If there is substantial evidence to support the decision of the ALJ, the decision
must be upheld even when there is evidence on the other side, *Hall v. Secretary*, 602
F.2d 1372, 1374 (9th Cir. 1979), and even when the evidence is susceptible to more
than one rational interpretation. *Gallant v. Heckler*, 753 F.2d 1450, 1453 (9th Cir.
1984). If supported by substantial evidence, the findings of the Commissioner as to
any fact will be conclusive. 42 U.S.C. § 405(g); *Vidal v. Harris*, 637 F.2d 710, 712
(9th Cir. 1981).

17

## **B. Plaintiff's Testimony**

The ALJ is required to make "a credibility determination with findings 18 19 sufficiently specific to permit the court to conclude that the ALJ did not arbitrarily discredit claimant's testimony." Tommasetti v. Astrue, 533 F.3d 1035, 1039 (9th 20 Cir. 2008) (quoting *Thomas v. Barnhart*, 278 F.3d 947, 958 (9th Cir. 2002)). To 21 determine whether a claimant's testimony regarding subjective pain or symptoms is 22 23 credible, an ALJ must engage in a two-step analysis. *Molina v. Astrue*, 674 F.3d 1104, 1112 (9th Cir. 2012). First, "the ALJ must determine whether the claimant 24 has presented objective medical evidence of an underlying impairment which could 25 reasonably be expected to produce the pain or other symptoms alleged." *Ghanim* 26 v. Colvin, 763 F.3d 1154, 1163 (9th Cir. 2014) (quoting Vasquez v. Astrue, 572 F.3d 27

586, 591 (9th Cir. 2009)). If the claimant satisfies this first step, "and there is no 1 2 evidence of malingering, the ALJ can only reject the claimant's testimony about the severity of the symptoms if she gives 'specific, clear and convincing reasons' for the 3 rejection." Id. (quoting Lingenfelter v. Astrue, 504 F.3d 1028, 1036 (9th Cir. 2007)). 4 5 "General findings are insufficient; rather, the ALJ must identify what testimony is not credible and what evidence undermines the claimant's complaints." Lester v. 6 Chater, 81 F.3d 821, 834 (9th Cir. 1995). 7

Here, the ALJ found Plaintiff met the first step. (AR at 12) ("After careful 8 consideration of the evidence, the undersigned finds that the claimant's medically 9 determinable impairments could reasonably be expected to cause the alleged 10 symptoms [.]"). The ALJ, however, found that Plaintiff did not meet the second step 11 because her "statements concerning the intensity, persistence and limiting effects of 12 13 [her] symptoms [were] not entirely credible for the reasons explained in this decision." (Id.) Plaintiff argues the ALJ did not set forth a clear and convincing 14 reason for rejecting her complaints of subjective symptoms. Specifically, Plaintiff 15 argues the ALJ discredited her subjective complaints solely on the basis that it was 16 not supported by objective medical evidence. Defendant contends Plaintiff largely 17 ignore other bases for the ALJ's credibility determination in discounting Plaintiff's 18 subjective complaints. Defendant further argues the ALJ's credibility assessment 19 was proper and is supported by substantial evidence in the record. 20

The record shows the ALJ did not discredit Plaintiff's subjective complaints 21 solely based on the fact that it was not corroborated by objective medical evidence. As Defendant correctly points out, the ALJ also offered other reasons for rejecting Plaintiff's subjective complaints. For example, the ALJ did not find Plaintiff's allegations credible due to the inconsistency between Plaintiff's subjective complaints and her daily activities. See Molina, 674 F.3d at ("In evaluating the 26 claimant's testimony, the ALJ may use ordinary techniques of credibility 27

evaluation.... For instance, the ALJ may consider ... whether the claimant engages 1 2 in daily activities inconsistent with the alleged symptoms.") (internal citations and quotations omitted). The ALJ stated that Plaintiff "prepared meals, did cleaning, 3 laundry and light yard work, drove a care [sic], shopped in stores, socialized with 4 friends and family in person and by phone, had lunch with friends, took a train to 5 visit her sister, and went to the shopping mall on a regular basis[.]" (Id. at 13.) The 6 ALJ found that Plaintiff "has described daily activities that are not limited to the 7 extent one would expect, given the complaints of disabling symptoms and 8 limitations." (AR at 13.) The Court finds that the ALJ made "specific findings" 9 relating to whether Plaintiff's daily activities contradict her allegations of subjective 10 symptoms. See Orn v. Astrue, 495 F.3d 625, 639 (9th Cir. 2007). 11

Plaintiff, however, states "[t]here is simply no evidence of record to establish 12 13 that plaintiff could engage in such activities absent an opportunity to rest or take medication." (Opp'n to Cross-Mot. for Sum. Jdg. at 3.) But the record contains 14 function reports filled out by Plaintiff and her husband that show Plaintiff prepared 15 simple meals, did light gardening, and socialized with friends and family in person 16 or by phone on a daily basis. (Id. at 13, 191–92, 203, 205.) The reports also indicate 17 Plaintiff went to local restaurants, shopping malls, movie theaters, and veterinarian's 18 and doctor's offices on a regular basis. (Id. at 205.) Moreover, Plaintiff did the 19 laundry and light housework, and shopped for groceries and household items once 20 or twice per week. (Id. at 191, 193, 203–04.) Therefore, the ALJ's finding of the 21 inconsistency between Plaintiff's subjective complaints and daily activities is 22 23 supported by substantial evidence in the record.

Moreover, the ALJ found Plaintiff's subjective complaints lacked credibility due to inconsistency between her testimony at the hearing and her prior statements. At the hearing, Plaintiff testified she "was terminated after being on medical leave of absence from January 2012,... they terminated me due to the fact that I had no

date of return from my medical leave of absence." (AR at 26.) The ALJ did not find 1 2 Plaintiff credible because "[t]here is evidence that the claimant stopped working for reasons not related to the allegedly disabling impairments." (AR at 13.) The ALJ 3 stated, "There is some evidence the claimant stopped working for 'personal issues." 4 (*Id.*) Indeed, Dr. Darryl Adderson's<sup>1</sup> medical notes from May 17, 2012 show 5 Plaintiff spoke to him regarding "work situation" and informed him there was "too 6 much animosity at work." (Id. at 273.) The July 4, 2013 letter from Liberty Mutual 7 Insurance to Dr. Qian states that "[he] informed [Liberty Mutual Insurance] that it 8 was personal issues at the claimant's prior job which led to her cessation of work." 9 (Id. at 336.) Moreover, Dr. Adderson's medical notes from April 1, 2014 indicate 10 Plaintiff informed him that she "lleft [sic] work for personal reasons[.]" (*Id.* at 482.) 11

Plaintiff contends "[h]er reason for stopping work at the time she did has no 12 13 bearing regarding her impairments or her credibility." (Opp'n to Cross-Mot. for Sum. Jdg. at 4.) Contrary to Plaintiff's contention, in assessing Plaintiff's 14 credibility, the ALJ was not precluded from considering the fact that Plaintiff left 15 work for reasons other than her disability. See Bruton v. Massanari, 268 F.3d 824, 16 828 (9th Cir. 2001) (ALJ properly found claimant's alleged disability not credible 17 based in part on the fact that the claimant left work for economic rather than medical 18 reasons); Drouin v. Sullivan, 966 F.2d 1255, 1258 (9th Cir. 1992) (pain testimony 19 properly rejected because claimant was laid off from work for reasons unrelated to 20 her pain); Back v. Colvin, 653 F. App'x 550, 552 (9th Cir. 2016) ("some record 21 evidence suggested that family pressures and concerns about parenting—as opposed 22 to debilitating pain-motivated Back to leave full-time employment."). Therefore, 23 24 in weighing Plaintiff's credibility, the ALJ properly considered inconsistencies between her testimony and her statements in the record. 25

26

27

28

<sup>1</sup>Dr. Darryl Adderson was Plaintiff's general treating physician. (AR at 32.)

16-cv-2265 DMS (KSC)

The inconsistencies between Plaintiff's allegations and her daily activities and prior statements in the record were clear and convincing reasons for discounting her claims of disabling symptoms. Because the ALJ's findings are supported by substantial evidence, this Court may not engage in second-guessing. *See Thomas*, F.3d at 959. Accordingly, the ALJ properly discounted Plaintiff's testimony based on specific credibility findings that are properly supported by the record.

## 7

## C. Treating Physician Opinion

8 Plaintiff argues the ALJ failed to provide specific and legitimate reasons for 9 rejecting Dr. Adderson and Dr. Qian's opinions. To evaluate this claim, the Court 10 must look to the law governing the evaluation of physician opinions in disability 11 cases.

The Ninth Circuit case law and Social Security Administration regulations 12 distinguish among the opinions of three types of physicians: "(1) those who treat the 13 claimant (treating physicians); (2) those who examine but do not treat the claimant 14 (examining physicians); and (3) those who neither examine nor treat the claimant 15 (nonexamining physicians)." *Lester*, 81 F.3d at 830–31; 20 C.F.R. § 416.927. 16 Generally, greater weight will be given to an opinion of a treating physician than a 17 nontreating physician. Id. In turn, the opinion of an examining physician is entitled 18 19 to greater weight than a nonexamining physician. *Id.* 

As a general matter, a "treating physician's medical opinion as to the nature 20 and severity of an individual's impairment must be given controlling weight if that 21 opinion is well-supported and not inconsistent with the other substantial evidence in 22 the case record." Edlund v. Massanari, 253 F.3d 1152, 1157 (9th Cir. 2001) (citing 23 24 Social Security Ruling (SSR) 96–2p). Where the treating physician's opinion is not contradicted by another doctor, it may be rejected only for "clear and convincing" 25 reasons. Lester, 81 F.3d at 830. If the opinion of a treating physician is contradicted, 26 the ALJ may reject that opinion provided "specific and legitimate reasons" are given 27

which are supported by substantial record evidence. *Id.* The ALJ can satisfy this
 latter burden "by setting out a detailed and thorough summary of the facts and
 conflicting clinical evidence, stating his interpretation thereof, and making
 findings." *Magallanes*, 881 F.2d at 751.

Initially, Plaintiff's argument that the ALJ disregarded Dr. Adderson's opinion lacks merit, because Dr. Adderson did not provide an opinion regarding Plaintiff's functional limitations. *See Champagne v. Colvin*, 582 Fed. App'x 696, 697 (9th Cir. 2014) ("[claimant's] contention that the ALJ disregarded his treaters' opinions about his limitations lacks merit, because none of the treating providers gave an opinion regarding his functional limitations."). Therefore, the ALJ did not err in disregarding Dr. Adderson's opinion.

Plaintiff also argues the ALJ improperly rejected Dr. Qian's opinion without 12 13 citing legal justification. The record, however, indicates the ALJ gave two specific and legitimate reasons for giving little weight to Dr. Qian's opinion. The first reason 14 was that Dr. Qian's opinion was inconsistent with his treatment records. 15 Α discrepancy between a doctor's opinion and his clinical notes constitutes a valid 16 reason to not rely on the doctor's opinion. Bayliss v. Barnhart, 427 F.3d 1211, 1216 17 (9th Cir. 2005); see also Connett v. Barnhart, 340 F.3d 871, 875 (9th Cir. 2003) (ALJ 18 properly rejected treating physician's opinion where it was contradicted by treatment 19 notes); Ghanim v. Colvin, 763 F.3d 1154, 1161 (9th Cir. 2014) (conflict between 20 treatment notes and treating physician's opinions constitutes a valid reason to 21 discredit the physician's opinion). Dr. Qian's opinion indicated that "claimant's 22 23 fibromyalgia and chronic pain and related symptoms make her unable to complete 24 an 8 hour workday with significant limitations in sitting, standing, walking, lifting and carrying and performing postural and manipulative movements, and her ability 25 to persist at tasks." (AR at 15.) Nevertheless, the ALJ explained that "Dr. Qian's 26 own progress notes showing no significant and persistent deficits in strength, 27

28

5

6

7

8

9

10

sensation or mobility, as well as that the claimant presented in no acute distress and was alert and oriented does not support his opinions (Exhibits 4F, 6F, 7F)." (*Id.*) Indeed, "[a]lthough claimant consistently reported significant pain, Dr. Qian's progress notes throughout frequently indicate the claimant's pain was manageable or tolerable with medications, [and] that she was independent for her activities of daily living[.]" (*Id.* at 12.) Therefore, the ALJ's determination that Dr. Qian's opinion was inconsistent with his treatment notes was a specific and legitimate reason supported by substantial evidence in the record to discount his opinions.

The second reason the ALJ listed for giving little weight to Dr. Qian's opinion 9 was that his opinion premised on Plaintiff's subjective complaints, which the ALJ 10 had already properly discounted. The ALJ explained, "It also appears Dr. Qian's 11 opinion is based in large part on the claimant's subjective complaints of pain which 12 13 are not fully credible for the reasons indicated herein." (Id.) The Ninth Circuit has explained that rejection of a treating physician's opinion constitutes a specific and 14 legitimate reason when the opinion is premised on a claimant's own subjective 15 complaints that the ALJ had properly discredited. *Fair v. Bowen*, 885 F.2d 597, 605 16 (9th Cir. 1989). Therefore, this reason articulated by the ALJ was also sufficiently 17 specific and legitimate to discount Dr. Qian's opinion. Accordingly, the Court finds 18 the ALJ did not err in assigning little weight to Dr. Qian's opinion. 19

## CONCLUSION

III.

For the reasons discussed above, Plaintiff's motion for summary judgment is
denied, and Defendant's cross-motion for summary judgment is granted. The Clerk
shall enter judgment accordingly, and close this case.

IT IS SO ORDERED.

///

///

# 26

25

20

21

1

2

3

4

5

6

7

8

			A A
1	Dated: March 20, 2017	John m.	Salam
2		Hon. Dana M. S	Sabraw
3		United States D	District Judge
4			
5			
6			
7			
8			
9			
10			
11			
12			
13			
14			
15			
16			
17			
18			
19			
20			
21			
22			
23			
24			
25			
26			
27			
28		- 12 -	16-cv-2265 DMS (KSC)
			. ,